Contents

Introduction ................................................................................................................................................................. 1

Essential Terminology .................................................................................................................................................. 2

One Assessment Cannot Serve Many Purposes ........................................................................................................ 3

The Smarter Balanced Interim Assessments .............................................................................................................. 4

Figure 1: Content Coverage of Summative Assessment and IABs .................................................................................. 4

Sensible Assessment Practices ..................................................................................................................................... 5

1. Prior to the Start of School ........................................................................................................................................ 5
   Review Available Information ................................................................................................................................. 5
   Plan the First Unit of Instruction ............................................................................................................................ 5
   Support Professional Learning .................................................................................................................................. 6

2. Start of the School Year ............................................................................................................................................. 6
   Build Community with New Class .......................................................................................................................... 6
   Deliver the First Unit .................................................................................................................................................. 6

3. Rest of the School Year ............................................................................................................................................. 6
   Shift Fully to On-Grade Instruction with Scaffolds and Supports ........................................................................... 6

4. End of the School Year .............................................................................................................................................. 7
   Administer On-Grade Summative Assessment ....................................................................................................... 7

Conclusion ...................................................................................................................................................................... 8

Infographic: Sensible Assessment Practices ............................................................................................................. 9
Introduction

The purpose of this document is to offer guidance to educators including general education teachers, special education teachers, interventionists, instructional specialists, and related service providers on how they can “assess” their incoming students when the new school year begins without necessarily having to “test” them.

The assessment and instructional practices in this document are intended to apply to all students, including students with disabilities and English learners. The unique needs and strengths of these learners must be considered in the planning of both assessment and instruction, including the provision of supports, accommodations, and modifications as required in a student’s individualized education program (IEP), Section 504 plan, or other intervention/learning plan.
Essential Terminology

Before discussing sensible assessment practices, let's clarify some essential terminology.

**Summative Assessment**
- Administered to all students at the end of instruction to check for learning.
- Can vary in grain-size, e.g., the state summative assessment, teacher made final exams, and several commercially available benchmark assessments sample the entire content domain for a grade, while unit-level summative assessments might cover the content for a much narrower set of standards.

**Screener Assessment**
- A short assessment that is administered to all students to screen them for being at risk of not mastering a skill in the future, e.g., screening for future reading difficulties.
- Typically have a cut score (norm or criterion referenced) to identify at-risk students.
- Often followed by other assessments to diagnose specific need.

**Diagnostic Assessment**
- An assessment that is administered before a unit of instruction to a small group of students or one-on-one and not a large scale survey.
- Grain size of content assessed is small.
- Suitable for identifying student strengths and weaknesses.
- Useful to teachers so they can differentiate instruction and decide what to teach to whom.

Formative Assessment Practices
- Not a single test but a series of effective teaching practices — inseparable from instruction.
- Practices include clarifying the purpose of the learning, providing exemplars so students know what good work looks like, using activities that engage students, eliciting evidence of their learning, providing feedback that help learners to know what they need to do to continue learning, using students as learning resources for one another, and increasing student ownership of their learning.
- Strong, high-quality evidence of its positive impact on increasing student achievement.

Differentiated (Learner-Focused) Instruction and Personalized (Learner-Led) Learning
- Differentiated instruction is an approach to teaching that maximizes the progress of all students within the general education setting by addressing critical differences among students, for example, through the use of flexible grouping, different instructional materials, or different ways of presenting the same content.
- Instruction is personalized when the strategies address the distinct learning needs, interests, aspirations, or cultural backgrounds of individual students.
- Teachers are expected to remain flexible and adjust what's taught based on how students are progressing.
- Effective Tier I instruction is differentiated and personalized.
One Assessment Cannot Serve Many Purposes

There is no single assessment that can meet the needs of all stakeholders and purposes. For example, a teacher may want to know if their students can:

- isolate, blend, add, segment, substitute, and delete phonemes; or
- use equivalent fractions as a strategy to add and subtract fractions.

A district/school leader may want to know:

- which students in early elementary grades are having difficulty with foundational reading skills;
- which schools are evidencing strongest academic growth, especially for students with high needs; or
- who should be identified as gifted/talented.

The Connecticut State Department of Education (CSDE), Boards of Education (BOE), legislators, and other community stakeholders may want to know:

- which districts consistently reflect lowest student achievement statewide;
- which schools are consistently growing students at a substantially greater pace than the state, especially for students with high needs; and
- which districts/schools should receive resources and supports from the state.

While the teacher may need good diagnostic assessments to identify specific strengths and weaknesses, the district may need screeners to identify students at risk of developing reading difficulties, and the CSDE/BOEs may need large-scale standardized summative tests for comparing how different schools prepared students on the grade-level standards. **One assessment cannot serve many purposes!**

Be mindful that commercial test developers may provide many scores and reports from a single test. Each inference about a student from the test score(s) must be validated with evidence. When we refer to the “validity” of an assessment, we’re really talking about the validity of the inferences we make from the information provided by the assessment. Some inferences may have strong validity evidence while others may not. For example, student performance on a summative assessment that samples the content for an entire grade can yield a valid inference about the student’s overall achievement on the grade-level standards; however that same assessment cannot yield a valid inference about a student’s abilities in a very specific skill area like phonemic awareness or adding fractions.

Districts should periodically conduct an assessment inventory to evaluate the full range of assessments in use at the district and school level. The process involves evaluating the quality and fairness of the assessments as well as whether the data collected are used effectively to inform instruction. This evaluation can be used as a tool to align assessment to purpose and eliminate redundancies.
The Smarter Balanced Interim Assessments

The Smarter Balanced assessment system offers both the state summative assessments and a full suite of Interim Assessment Blocks (IABs). Figure 1 illustrates the difference in scope between the two.

Figure 1: Content Coverage of Summative Assessment and IABs

Unlike the summative assessment, which samples the content standards for the entire grade, the IABs are short, fixed-form assessments (i.e., the same test questions in the same order for all students) that focus on a subset of the grade-level standards. The tests are scored immediately; teachers can view the test questions, scoring rubrics, and student responses to obtain greater insight into student cognition and reasoning. Smarter Balanced also offers Focused IABs (F-IABs) that are even smaller in their grain size than the regular IABs. The Smarter Balanced system offers more than 200 block assessments in all grades combined.

In addition to administering the entire IAB as a stop-and-test event, the IABs can also be used in non-standard ways. For instance, a teacher may use test items from an IAB to illustrate the expectation of the standard, as a do-now exercise in the classroom, or as an exit ticket to check for understanding. The IABs can also be administered off-grade. The IABs are a critical component of the system because they can align coherently with a district's curriculum and assessment practices. The IABs (and especially the F-IABs) can be viewed as diagnostic assessments because they identify strengths/weaknesses of students and have the potential to directly inform what a teacher should teach next, and to whom.
To empower teachers, reduce testing time, maximize instructional time, ease student transition from the summer back to school, and accelerate student learning, the CSDE offers the following four-step approach to targeted assessment and instruction for school reopening in the fall (also see infographic on page 9):

1. **Summer — Prior to the Start of School**

   **Review Available Information**

   In lieu of administering a separate assessment to all students at the start of the school year, teachers should be supported to utilize the information and longitudinal data that are already accessible in the school district to “assess” their students. This process should occur during the summer, prior to the start of school in late August.

   1. Teachers, interventionists, instructional specialists, and related service providers must have the opportunity to participate in vertical teams with their colleagues from the prior grade. This may be from the same school or a different school. They should discuss the extent to which students mastered the content standards of the previous grade, where students struggled, and areas of strength. These conversations provide educators with important contextual information to consider as they plan to best meet the needs of the incoming class.

   2. Teachers, interventionists, instructional specialists, and related service providers should receive summaries of the longitudinal student data provided by CSDE and available within the district including:
      
      a. non-assessment data such as the Early Indication Tool support level (i.e., Low-Medium-High), attendance, discipline, mobility, and course failures; and
      
      b. assessment data such as the Kindergarten Inventory, Smarter Balanced, Next Generation Science Standards (NGSS), IABs including Focused IAB, LAS Links, fall/winter benchmark test scores, and IEP Progress Reports

   Using multiple measures in lieu of a single test will result in a stronger “assessment” and better inferences. Of course, students who are new to Connecticut public schools will likely need a screening and/or overall assessment such as the Interim Comprehensive Assessment (ICA) or some other standardized measure of overall achievement level in a subject area.

   **Plan the First Unit of Instruction**

   Along with reviewing available information, educators should design the first unit of instruction for the start of the school year to not only engage students but also ensure that students, including students with high needs, will have a high probability of success with that unit. For example, in mathematics this may be a geometry or statistics unit as opposed to one that places a heavier emphasis on computational skills. While the first unit is ideally an on-grade unit, in some instances it may be necessary for this unit to review standards from the prior grade. If a review approach is chosen for the first unit, then the selected content should emphasize the important pre-requisite standards for new learning in the current grade. The length of a review unit will depend on the needs of the students in the classroom; it may last as little as a few days but is definitely not intended to extend beyond 2–3 weeks.
Support Professional Learning
To use existing information about students effectively and plan the first unit of instruction that has a high probability for engagement and success, teachers may need professional learning opportunities on: assessment/data literacy; formative assessment practices; differentiated instruction and personal learning; and learning progressions of the Connecticut Core Standards.

2. Start of the School Year
   Build Community with New Class
   Invest the time at the start of the school year to build community and establish norms in the new class. Without such community building at the outset, any formal assessment may show artificially depressed student achievement.

   Deliver the First Unit
   As stated previously, the first unit should not only be engaging, but also allow students to have a high probability of success in learning the material. This will help students to ease into learning in the new school year and experience success early. Teachers should differentiate instruction and utilize formative assessment practices to gauge the impact of their teaching and adjust instruction as necessary. To minimize student anxiety at the start of the school year, this unit should not require the administration of a separate test. For certain students, Tier 2 supports can be embedded within the classroom to accelerate learning and advance equity.

3. Rest of the School Year
   Shift Fully to On-Grade Instruction with Scaffolds and Supports
   As Harvard University professor Heather C. Hill and Brown University professor Susanna Loeb wrote in an Education Week opinion piece, “Teachers have always faced students who return in the fall with unfinished learning.” The authors recognize that some review of content may be necessary at the beginning of the school year, but the shift to grade-level content while providing students with necessary support should be as efficient as possible. Therefore, once the community building and first unit (which may include some review of prior grade content) have been completed (no more than 2–3 weeks), teachers should transition fully to on-grade instruction.
• Focused, regular IABs, or something similar that was created to fit within the local curriculum can serve as quick, short, **diagnostic** precursors to the on-grade instructional unit. Districts can identify the prerequisite skills for each on-grade unit and the corresponding IAB that can be used as a diagnostic assessment. Those prerequisite skills may be from a prior grade in which case the appropriate, off-grade IAB can also be administered. The IABs can tell teachers the strengths and weaknesses of the students in their class. Districts may also wish to use existing diagnostic assessments that are already available and familiar to teachers, so long as they are of a fine enough grain-size and truly allow for valid interpretations of students’ strengths and weaknesses.

• Teachers then deliver **differentiated instruction** that covers the grade-level content and is personalized to needs and interests of students.

• **Formative assessment practices** should be implemented so teachers can gauge the impact of their teaching by eliciting evidence of student learning, providing feedback, and adjusting their teaching.

• **Tier 2 supports** should be offered based on the information derived from the formative assessment practices. The support can be either embedded in the classroom or provided separately based on student need.

• Optionally, at the end of the unit, teachers may choose to **administer an aligned, on-grade IAB** or other district determined assessment as a means of evaluating learning mastery.

The same cycle then continues for each instructional unit for the remainder of the year.

4. **End of the School Year**

**Administer On-Grade Summative Assessment**

At the end of the year, the student is administered an on-grade summative assessment (which may be the state assessment for students in Grades 3–8 and 11) to evaluate overall achievement on the state standards.
Conclusion

This guidance for sensible assessment is designed to ease students back into the school year, accelerate learning, and advance equity by:

- minimizing testing time;
- increasing instructional time;
- empowering teachers;
- promoting vertical communication among teachers;
- using available information; and
- implementing differentiated instruction, personalized learning, and formative assessment practices.
Sensible Assessment Practices

1. Summer

★ Review Available Information
In lieu of a separate assessment, what can we already know about our students from existing data/information?*

Vertical Teams for Teachers, Interventionists, Instructional Specialists, and Related Service Providers
• To what extent did students master the grade-level content standards in the previous school year?
• How does the previous teacher describe the students’ strengths and greatest challenges?
• What strategies worked best to engage the incoming class?

Longitudinal Data (Non-Assessment)
• Early Indication Tool support level (Low-Medium-High), attendance, discipline, mobility, course failures, etc.

Longitudinal Data (Assessment)
• K-Inventory, Smarter Balanced, NGSS, Alt. Assessments, LAS Links, IAB, F-IAB, Fall/Winter Benchmark, IEP Progress Reports

★ Plan the First Unit and Support Professional Learning
The first unit should not only engage students but also ensure that students will have a high probability of success. Teachers will need professional learning on topics such as assessment/data literacy, formative assessment practices, differentiated instruction, learning progressions, blended learning approaches/tools.

★ Build Community with New Class While Delivering the First Unit
Acknowledge and address social-emotional learning needs of students. Start with a unit that is engaging and has high probability of success. Review key prior grade content if necessary.
• Deliver differentiated instruction by starting with engaging on-grade unit with high probability of success; review if necessary.
• Use formative assessment practices to gauge impact and adjust instruction.
• Embed Tier 2 supports in the classroom based on review of available information in the summer.

2. Start of the School Year

★ Shift Fully to On-Grade Instruction with Scaffolds and Supports
If the first unit incorporates review, then the shift fully to on-grade instruction should occur within 2–3 weeks. Districts should identify pre-requisite content for each instructional unit and the corresponding diagnostic assessment.

Optional:
Administer aligned, on-grade IAB or district-determined assessment to evaluate mastery of learning

Use diagnostic assessments to identify strengths/weaknesses, especially in the pre-requisite skills for on-grade content

Deliver differentiated instruction covering grade-level content and personalized to needs and interests of students

Offer Tier 2 supports based on formative assessment info. that are either embedded in the classroom or provided separately

Use formative assessment practices to gauge impact and adjust instruction

3. Rest of the School Year

4. End

★ Administer End-of-Year Summative Assessment
Administer an on-grade summative assessment (which may be the state assessment for students in Grades 3–8 and 11) to evaluate overall achievement on state standards

*Students new to CT public schools will likely need a screening and/or overall assessment such as the ICA or a local benchmark assessment.
Endnotes

1. These terminologies have been adapted from multiple sources including:
   • The National Center on Response to Intervention at the American Institutes for Research;
   • The May 6, 2020 blog post by Dr. Brian Gong from the National Center for Assessment titled Fall Educational Assessment: The Information You Need and How to Get It; and
   • The June 3, 2020 blog post by Dr. Scott Marion from the National Center for Assessment titled You Say Tomato: Concerns About the Diagnostic Assessment Rhetoric

2. The following research studies offer strong, high-quality evidence on the positive impact of formative assessment practices in increasing student achievement.

3. These are adapted from Dylan Wiliam’s book Embedded Formative Assessment (2011). Bloomington, IN: Solution Tree Press.


Sensible Assessment Practices

7. The Assessment Inventory Resource developed by the Center on Standards and Assessment Implementation is an example of a tool that could be used to evaluate and streamline the assessments used throughout a district. [https://csaa.wested.org/wp-content/uploads/2020/01/Assessment-Inventory-Resource-and-TAP-Handout.pdf](https://csaa.wested.org/wp-content/uploads/2020/01/Assessment-Inventory-Resource-and-TAP-Handout.pdf)


10. The Smarter Balanced Interim Comprehensive Assessments (ICA) are similar to the summative assessments in length, content, and format. Each content area ICA has two parts, a grade-level ICA and an ICA Performance Task. Both parts of the assessment must be completed in order to obtain information about student performance on the assessment. While all Smarter Balanced assessments are untimed, these fixed-form tests may take over three hours to administer when educators use both sections of math and ELA. If necessary, these assessments can be given out of grade level. Automated scoring is available for most open-ended items.


12. For students in K–3, this may be the appropriate time to administer a short assessment from Section 1 (general outcome measure) of the CSDE’s Approved Menu of Research-based Grades K–3 Universal Screening Reading Assessments to screen for dyslexia or other reading-related learning disabilities. Districts that seek to administer broad survey assessments such as those from Section 2 of the approved menu are encouraged to reevaluate the need for such assessments and if needed, to defer administration to later in the year. Note: The State Board of Education, pursuant to Connecticut General Statutes Section 10-14t, has approved an updated set of assessments for K-3 universal screening for use by school districts beginning with the 2023-24 school year.

Also, please remember the following:

a. Newly enrolled PK-special education students need to be administered the Early Childhood Outcomes assessment within four weeks of entry.

b. By mid-October, every kindergarten teacher completes the Kindergarten Entrance Inventory (KEI) for each student in the classroom.

c. In the winter, identified English learners in grades K–12 are administered the statewide English language proficiency assessment, LAS Links.