



Connecticut Department of  
Energy & Environmental Protection  
Public Utilities Regulatory Authority

## Report of Connecticut Intrastate Gross Revenues

Please submit this completed Reporting Form, to:

**Email (preferred filing method due to COVID):**  
[DEEP.PURA.grossreceiptfiling@ct.gov](mailto:DEEP.PURA.grossreceiptfiling@ct.gov)

OR

CENTRAL PERMIT PROCESSING UNIT  
DEPARTMENT OF ENERGY AND ENVIRONMENTAL PROTECTION  
79 ELM STREET  
HARTFORD, CT 06106-5127

**All regulated companies**, as defined under section 16-49(a)(1) of the Connecticut General Statutes (CGS), shall report annually, on or before May 1<sup>st</sup>, its intrastate gross revenues of the preceding calendar year to the Public Utilities Regulatory Agency (PURA) of the Connecticut Department of Energy and Environmental Protection (DEEP) by completing and submitting this form to the address indicated above.

**NOTE: All companies must file this form regardless of the amount of intrastate gross revenues for the preceding calendar year, even if the amount is zero.** Failure to file this fully completed report no later than May 1<sup>st</sup> may subject the company to a penalty of \$10,000 in accordance with CGS §16-41. Reports filed to an address other than those specified above may subject the filer to penalties.

### Part I: Company Information

*If an applicant is a corporation, limited liability company, limited partnership, limited liability partnership, or a statutory trust, it must be registered with the Secretary of State. If applicable, the applicant's name shall be stated **exactly** as it is registered with the Secretary of State. Please note, for those entities registered with the Secretary of State, the registered name will be the name used by DEEP. This information can be accessed at the Secretary of State's database ([onlineBusinessSearch.ct.gov](http://onlineBusinessSearch.ct.gov)).*

**1. Company Name:**

Mailing Address:

City/Town:

State:

Zip Code:

Business Phone:

ext.:

Contact Person:

Phone:

ext.

\*E-mail:

Website (if available):

\*By providing this e-mail address you are agreeing to receive official correspondence from the department, at this electronic address, concerning the subject report. Please remember to check your security settings to be sure you can receive e-mails from "ct.gov" addresses. Also, please notify PURA if your e-mail address changes.

i) check type of business entity:

corporation  limited liability company  limited partnership  
 limited liability partnership  statutory trust

Other: \_\_\_\_\_

ii) provide Secretary of the State business ID #:\_\_\_\_\_

This information can be accessed at Secretary of State's database ([onlineBusinessSearch.ct.gov](http://onlineBusinessSearch.ct.gov)).

iii)  Check here if your business is **NOT** registered with the Secretary of State's office.

Check if any co-applicants. If so, attach additional sheet(s) with the required information as requested above.

CPPU USE ONLY
App #: _____
Doc #: _____

## Part I: Company Information (continued)

### 2. Billing contact

Name:

Mailing Address:

City/Town:

State:

Zip Code:

Business Phone:

ext.:

Contact Person:

Phone:

ext.

E-mail:

## Part II: Reporting Information

### 1. Type of public service:

- Cable
- Certified Telecommunications Provider
- Electric Distribution Company
- Electric Supplier
- Natural Gas
- Telephone
- Water
- Other (specify): \_\_\_\_\_

2. Reporting Year (calendar year ending December 31<sup>st</sup>): \_\_\_\_\_

3. Supporting documentation for the amounts claimed as Intrastate Gross Revenues	Dollar Amounts
a) Connecticut Intrastate Gross Revenue for the reporting year indicated in Part II: item 2 of this form.	\$
b) Total Gross Revenue for the reporting year per industry requirements referenced in Part III: Supporting Documentation of this form.	\$
c) Difference (line a minus line b), if applicable (Must equal Part III: Total Adjustments of this form)	\$

If there is a difference, please itemize the difference in Part III of this form.

## Part III: Supporting Documentation

Supporting documentation for the amounts claimed as Connecticut Intrastate Gross Revenues is required. Required reconciliation, by Industry, is referenced below. Companies seeking protective treatment of the supporting documentation required in Part III of the Report may submit a request for protective order with their filings. All other portions of the Report are required pursuant to CGS section 16-49 and shall not receive protected treatment.

- Electric Utilities: Attach FERC Form 1, page 300 with reconciliation to line 27
- Natural Gas Utilities: Attach FERC Form 2, page 301, with reconciliation to line 21
- Water Utilities: Attach PURA Annual Report, p. 300, with reconciliation to the sum of lines 2 and 33.
- CATV, Telecom, Electric Suppliers: Complete Statement of Revenues (SOR – see Part V) with reconciliation to claimed intrastate gross revenue amount. (SOR must match Part II, Item 3b)

<b>Supporting documentation for the amounts claimed as Intrastate Gross Revenues</b>	<b>Dollar Amounts</b>
1. Total Gross Revenues as reported in Part II: 3b:	\$
2. Detailed adjustments for reconciliation as reported in Part II: 3c:	
<b>Reason for Adjustment</b>	<b>Dollar Amounts</b>
Non-Connecticut Revenue	\$
	\$
	\$
	\$
	\$
	\$
	\$
	\$
	\$
	\$
	\$
	\$
	\$
	\$
	\$
	\$
	\$
	\$
	\$
<b>Total Adjustments</b>	<b>\$</b>
3. Connecticut Intrastate Gross Revenues as reported in Part II: 3a.	\$

- Additional sheets may be filed, however, the format above must be used.
- Company may be required to produce financial statements that reconcile the amounts provided if further examination is deemed appropriate by PURA.

## Part IV: Report Certification

This report shall be signed and sworn to by **1) the chief executive officer, president or vice president and 2) chief financial officer, treasurer or assistant treasurer or 3) by a majority of the trustees or receivers or by such other person or persons as may be delegated.** Please indicate the signer's title and relationship to the subject company.

"We, the undersigned on our oath do severally say that the intrastate gross revenue from sales and services as defined under sections 16-49 (a) and (b) CGS, is as stated in Part II, item 3, of this form.

This information has been extracted, under our direction, from the original books, papers and records of the respondent. We have carefully examined the same, and declare the same to be a complete and correct statement."

Signature of Officer or Representative

Date

Printed Name of Officer or Representative

Title (relationship to company)

Signature of Officer or Representative

Date

Printed Name of Officer or Representative

Title (relationship to company)

Signature of Officer or Representative

Date

Printed Name of Officer or Representative

Title (relationship to company)

STATE OF \_\_\_\_\_ }

} ss.

COUNTY OF \_\_\_\_\_ }

(Town)

The foregoing was subscribed to and sworn to before me  
this

day of

(day)

\_\_\_\_\_, \_\_\_\_\_ by \_\_\_\_\_

(month) (year)

\_\_\_\_\_.

(Signature of Notary Public or other official)

(Name of Notary Public or other official)

My commission expires \_\_\_\_\_.

Check here if additional signatures are required. If so, please reproduce this sheet and attach signed copies to this sheet.

For additional information, contact the Central Permit Processing Unit (CPPU) at 860-424-4004 or  
[DEEP.CentralPermits@ct.gov](mailto:DEEP.CentralPermits@ct.gov)

## Part V: Statement of Revenues

To be completed by CATV, Telecom, and Electric Suppliers only.

<b>Statement of Revenues for Calendar Year ending December 31,</b>		<b>Dollar Amounts</b>
<b>1. Revenues</b>		
Retail		\$
Wholesale		\$
Other Revenue (such as internet, FiOS)		
Explain		\$
<b>Total Operating Revenue*</b>		\$
<b>NOTE: If necessary, provide attachment for detailed explanation of Revenues.</b>		
<b>2. Reconciliation</b>		<b>Dollar Amounts</b>
Connecticut Revenue**		\$
Non-Connecticut Revenue (other states, exceptions, etc.)		\$
<b>Total Operating Revenue*</b>		\$

\*Matches the revenue on the December 31, Income Statement for .

\*\*Excluding exceptions (i.e. Wholesale, Internet, FiOS, etc.)