

**STATE OF CONNECTICUT
OFFICE OF THE STATE TREASURER
Unclaimed Property Division
Verification and Checklist
Calendar Year Ending December 31, 2019
(Maintain with Your Records – Do Not Mail to UCP)**

Holder Name _____ Tax ID Number _____
 Street Address _____
 City _____ State _____ Zip Code _____
 State of Incorporation _____ Date of Incorporation _____

Every person, corporation, or other business association, banking or financial organization, life insurance corporation, utility, court or public authority must complete the following checklist **before filing their Connecticut Holder Report of Unclaimed Property**. This checklist includes by way of illustration, but not limitation, those items which are covered by sections of the Connecticut Unclaimed Property Law.

Please complete the checklist by checking "Yes" by each property type you are reporting. Each item checked "Yes" must be enumerated in your Holder Report of Unclaimed Property.

Please indicate the primary business activity of your company: _____

Did you file and remit a report of unclaimed property last year: Yes _____ No _____

If no, please explain: _____

Please check appropriate property types:

Code	Code Description	Years	Code	Code Description	Years
<u>Financial Institutions</u>			<u>Miscellaneous</u>		
___	AC01 Checking Accounts	3	___	MS01 Wages, Payroll, Salary	1
___	AC02 Savings Accounts	3	___	MS02 Commissions	1
___	AC03 Matured CD or Savings Certificates	3	___	MS03 Worker's Compensation Benefits	3
___	AC04 Christmas or Vacation Club Funds	3	___	MS04 Payment for Goods and Services	3
___	AC05 Money on Deposit to Secure Funds	3	___	MS05 Customer Overpayments	3
___	AC06 Security Deposits	3	___	MS06 Unidentified Remittances	3
___	AC07 Unidentified Deposits	3	___	MS07 Unrefunded Overcharges	3
___	AC08 Suspense Accounts	3	___	MS08 Accounts Payable	3
___	AC99 Aggregate CGS 3-65a(b)	3	___	MS09 Credit Balances/Accounts Receivable	3
<u>Official Checks</u>			___	MS10 Discounts Due	3
___	CK01 Cashier's Checks	3	___	MS11 Refunds Due	3
___	CK02 Certified Checks	3	___	MS13 Unclaimed Loan Collateral	3
___	CK03 Registered Checks (incl. bank m.o.)	3	___	MS14 Pension and Profit sharing Plans	3
___	CK04 Treasurer's Checks	3	___	MS15 Dissolution or Liquidation	1
___	CK05 Drafts	3	___	MS16 Miscellaneous Outstanding Checks	3
___	CK06 Warrants	3	___	MS17 Miscellaneous Intangible Property	3
___	CK07 Money Orders (non-bank)	7	___	MS18 Suspense Liabilities	3
___	CK08 Traveler's Checks:		___	MS99 Aggregate Miscellaneous Property	3
	If banking or financial organization is directly liable	3	<u>Insurance</u>		
	If business association is directly liable (i.e.: Travelers Express is considered a business association)	15	___	IN01 Individual Policy Benefits or Claims	3
___	CK09 Foreign Exchange Checks	3	___	IN02 Group Policy Benefits or Claims	3
___	CK10 Expense Checks	3	___	IN03 Proceeds Due Beneficiaries	3
___	CK11 Pension Checks	3	___	IN04 Proceeds From Matured Policies	3
___	CK12 Credit Checks or Memoranda	3	___	IN05 Premium Refunds	3
___	CK13 Vendor Checks	3	___	IN06 Unidentified Remittances	3
			___	IN07 Other Amounts Due Under Policy	3
			___	IN08 Agent Balances	3
			___	IN09 Limiting Age	3

___ CK14 Checks Written Off to Income	3	___ IN10 Demutualization	3
___ CK15 Other Outstanding Official Checks	3		
___ CK16 CD Interest Checks	3		
___ CK99 Aggregate Uncashed Checks	3		

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Code	Code Description	Years	Code	Code Description	Years
<u>Court Funds</u>			<u>Utilities</u>		
___ CT01	Escrow Funds	3	___ UT01	Utility Deposits	1
___ CT02	Condemnation Awards	3	___ UT02	Membership Fees	1
___ CT03	Missing Heirs' Funds	1	___ UT03	Refunds Or Rebates	1
___ CT04	Suspense Accounts	3	___ UT04	Capital Credit Distributions	1
___ CT 05	Other Court or Public Authority Funds	3	___ UT99	Aggregate Utility Property	1
___ CT 06	Bail Bonds	3			
___ CT99	Aggregate Court Deposits	3			
<u>Safe Deposit/Safekeeping</u>			<u>Securities</u>		
___ SD01	Safe Deposit Contents	5	___ SC01	Dividends (\$)	3
___ SD01	Other Safekeeping	5	___ SC02	Interest(Bonds/Debenture Coupons) (\$)	3
___ SD03	Other Tangible Property	5	___ SC03	Principal(Bonds/Debenture)Payments(\$)	3
<u>Other Insurance</u>			___ SC04	Equity Payments (\$)	3
___ IN01	Individual Policy Benefits or Claims	3	___ SC05	Profits (Capital Gains) (\$)	3
___ IN02	Group Policy Benefits or Claims	3	___ SC06	Funds Paid to Purchase Shares (\$)	3
___ IN03	Proceeds Due Beneficiaries	3	___ SC07	Funds for Stocks and Bonds (\$)	3
___ IN04	Proceeds From Matured Policies	3	___ SC08	Shares of Stock (Certs Retd by PO) (sh)	3
___ IN05	Premium Refunds	3	___ SC09	Cash for Fractional Shares (\$)	3
___ IN06	Unidentified Remittances	3	___ SC10	Unexchanged Stock of Successor (sh)	3
___ IN07	Other Amounts Due Under Policy	3	___ SC11	Other Certificates of Ownership (sh)	3
___ IN08	Agent Credit Balances	3	___ SC12	Underlying/Outstanding Shares (sh)	3
___ IN09	Limiting Age	3	___ SC13	From Liquidated/Redempt of Stock (sh)	3
___ IN10	Demutualization	3	___ SC14	Debentures/Bonds (sh)	3
___ IN99	Aggregate Insurance Property	3	___ SC15	US Government Securities (sh)	3
<u>Mineral Proceeds</u>			___ SC16	Mutual Fund Shares (sh)	3
___ MI01	Net Revenue Interest	3	___ SC17	Warrants (Rights) (sh)	3
___ MI02	Royalties	3	___ SC18	Matured Bond/Debenture Principal (\$)	3
___ MI03	Overriding Royalties	3	___ SC19	Dividend Reinvestment Plans (sh)	3
___ MI04	Production Payments	3	___ SC20	Credit Balances (\$)	3
___ MI05	Working Interests	3	___ SC21	Distributions-Mutual Fund Accounts (\$)	3
___ MI06	Bonuses	3	___ SC99	Aggregate Securities-Related (\$)	3
___ MI07	Delay Rentals	3	<u>Traditional IRA, SEP IRA, SARSEP IRA, Simple IRA</u>		
___ MI08	Shut-In Royalties	3	___ IR01	Cash	3
___ MI09	Minimum Royalties	3	___ IR02	Mutual Funds	3
___ MI99	Aggregate Mineral Interests	3	___ IR03	Securities	3
<u>Trust Property</u>			___ IR04	Reserved for Traditional IRA (Code Reserved for Future Use)	
___ TR01	Paying Agent Accounts	3	<u>All Other Property</u>		
___ TR02	Undelivered or Uncashed Dividends	3	___ ZZZZ	Properties Not Identified Above	3
___ TR03	Funds Held in Fiduciary Capacity	7			
___ TR04	Escrow Accounts – (ie: IOLTA)	3			
___ TR05	Trust vouchers	3			
___ TR06	Pre-need Funeral Plans	3			
___ TR99	Aggregate Plus Property	3			