

*Office of State Treasurer*  
*Summary of Responses to Request for Disclosure*  
*July 1, 2005 through June 30, 2006*

COMPANY NAME	DIVISION	INVESTMENT SERVICES PROVIDER?	PAID 3rd PARTY FEES?	PAYMENT ARRANGEMENTS	COMMENTS
A. C. Advisory, Inc.	DEBT	Yes	No		
ACA Financial Guaranty Corporation	DEBT	Yes	No		
ACS Unclaimed Property Clearinghouse, Inc.	UCP	No	No		
A. G. Edwards & Sons, Inc.	DEBT	Yes	No		
AEW Capital Management, LP	PFM	Yes	No		
AEW Core Separate Account	PFM	Yes	No		
AEW Partners III, LP	PFM	Yes	No		
AIG Altaris Health Partners, L.P (f.k.a. AIG Healthcare Partners, LP)	PFM	Yes	Yes	Management fees totaling \$900,892.92 were paid to AIG Global Investment Corp on 7/15-05, 1/24-06 and 4/17-06.  AIG Global Investment Corp. was reimbursed for legal fees paid to Kirkland & Ellis LLP in the amount of \$7,463.89.  Liberty Partners, L.P. was paid \$27,404.40 as a limited partner of the General Partner and receives a carried interest.	Vendor identified exemption. Management fees, as well as legal fees, are permissible third party payments. (see C.G.S. § 3-131(b)(2)).
AIG Capital Partners, Inc.	PFM	Yes	No		
AIG Financial Products Corp.	DEBT	Yes	No		
Alignment Capital Group, LLC	PFM	Yes	No		
AMBAC Assurance Corporation	DEBT	Yes	No		
American Beacon	CASH	Yes	No		
AMTEC	DEBT	No	No		
Apex Pryor Securities, Inc.	DEBT	Yes	No		
Apollo Real Estate Advisors LP	PFM	Yes	Yes	Management fee totaling \$405,731 was paid to Apollo R.E. Management III, L.P. pursuant to the limited partnership agreement.	Vendor identified exemption. Management fees are permissible third party payments. (see C.G.S. § 3-131(b)(2)).
Apple Valley Bank and Trust Company (The)	CASH	Yes	No		
Ashmore Investment Management Limited	PFM	Yes	No		
AXA Rosenberg Investment Management	PFM	Yes	No		

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Banc of America Securities, LLC	CASH & DEBT	Yes	No		
Bank of America, NA	CASH & DEBT	Yes	No		
Bank of New York (The)	PFM	Yes	No		
Bank of Tokyo Mitsubishi	CASH	Yes	No		
Barclays Capital	CASH	Yes	No		
Bayerische Landesbank	DEBT	Yes	Yes	Kutak Rock was paid \$2,500 on December 28, 2005 in connection with State of Connecticut general obligation bonds.	Vendor identified exemption. Legal fees, as well as fees related to the issuance of debt, are permissible third party payments. (See C.G.S. § 3-131(b)(2).
BB&T Capital Markets	CASH	Yes	No		
Bear, Stearns & Co., Inc.	CASH & DEBT	Yes	Yes	<p>McCarter &amp; English was paid \$35,000 to serve as underwriter's counsel in connection with State of Connecticut general obligation bonds.</p> <p>i-Deal LLC was paid \$12,920 for municipal syndicate services.</p> <p>Depository Trust Company was paid \$339.00 for custody services.</p> <p>CUSIP Bureau was paid a flat rate of \$624.00 to assign CUSIP numbers to bonds.</p> <p>Bond Market Association was paid \$6,000 for trade group assessment and GASB fee - voluntary assessment on a per-bond basis.</p> <p>Muni Syndicate Services was paid \$6,000 - assessment fee paid for by syndicate of underwriters.</p> <p>Day Loan Interest was paid \$5,636 for internal expenses.</p> <p>Brouillard Communications was paid \$36,834 for radio and newspaper advertising.</p> <p>Farrington &amp; Favia, Inc. was paid \$3,387 for newspaper advertising.</p> <p>Senior Manager Expenses - A total of \$5,925 was paid for internal expenses.</p>	Vendor identified exemption. Legal fees, as well as fees related to the issuance of debt, are permissible third party payments. (See C.G.S. § 3-131(b)(2).

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Belle Haven Investments, L.P.	DEBT	Yes	No		
Bernstein, Litowitz, Berger & Grossman LLP	PFM	Yes	No		
BGI Barclays Global Investors, N.A.	PFM	Yes	No		
Bivium Capital Partners, LLC	PFM	Yes	Yes	Mosaic Investment Advisers was paid 20% of the management fee, a total of \$39,239, for consulting and marketing services.	Vendor identified exemption. Fees for consulting and marketing services are permissible third party payments. (see C.G.S. § 3-131(b)(2)).
Black Rock Financial Management, Inc.	PFM	Yes	No		
Blackstone Capital Partners III	PFM	Yes	Yes	Management fee totaling \$27,471 paid to Blackstone Management Partners III, LLC pursuant to the partnership agreement.  Blackstone Management Associates III, L.L.C. was paid \$582,846 for carried interest on current income distribution and distribution of proceeds as stated in the partnership agreement.	Vendor identified exemption. Management fees are permissible third party payments. (see C.G.S. § 3-131(b)(2)).
Bond Logistix LLC	DEBT	Yes	No		
Bridgewater Associates, Inc.	PFM	Yes	No		
Brown Brothers Harriman & Co.	PFM	Yes	No		
Brown Capital Management, Inc.	PFM	Yes	No		
Buchanan Ingersoll	EXEC & PFM	Yes	No		
Canyon-Johnson Urban Fund II, LP	PFM	Yes	Yes	Canyon Johnson Urban Fund II was paid a management fee of \$1,000,000 pursuant to the limited partnership agreement.	Vendor identified exemption. Management fees are permissible third party payments. (See C.G.S. § 3-131(b)(2)).
Capital Prospects, LLC	PFM	Yes	No		
Capri Capital Advisors, LLC	PFM	Yes	Yes	Presido Partners was paid \$133,115.94 based on a placement agreement to pay 2% of capital commitments in Capri Select Income II.	Vendor identified exemption. Placement agent fees are permissible third party payments. See C.G.S. § 3-131(b)(3).
Carlin, Charron & Rosen LLP	DEBT	No	No		
Carlyle Asia Partners, L.P.	PFM	Yes	No		
Carlyle Europe Partners, L.P.	PFM	Yes	No		
CCP Equity Partners (f.k.a. Conning Capital Partners V, LP)	PFM	Yes	No		

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Charterhouse Group International, Inc.	PFM	Yes	Yes	CHUSA Equity Managers IV, LLC was paid a management fee of \$5,477,293. PricewaterhouseCoopers was paid \$90,715 for accounting services.	Vendor identified exemption. Management fees, as well as fees for accounting services, are permissible third party payments. (see C.G.S. § 3-131(b)(2)).
Citibank, N.A.	DEBT	Yes	No		
Citigroup Global Markets, Inc.	CASH & DEBT	Yes	No		
Citizens Bank	CASH	Yes	No		
Clay Finlay, Inc.	PFM	Yes	No		
Community's Bank (The)	CASH	Yes	No		
Compass Partners European Equity Fund	PFM	Yes	No		
Concentra Integrated Services, Inc.	SIF	No	No		
Connecticut Bank and Trust Company (The)	CASH	Yes	No		
Connecticut Community Bank, N.A.	CASH	Yes	No		
Corvel Corporation	SIF	No	No		
CRA RogersCasey	PFM	Yes	No		
Credit Suisse First Boston, Inc.	CASH	Yes	No		
Crescendo Ventures III, LP	PFM	Yes	No		
Crescendo World Fund LLC	PFM	Yes	Yes	Truro Associates was paid \$320,000 in 4 quarterly payments on 7/28/05, 10/26/05, 1/26/06 and 4/24/06.	Vendor identified exemption. Placement agent fees are permissible third party payments. (see C.G.S. § 3-131(b)(3)).
Day, Berry & Howard, LLP	DEBT & PFM	Yes	No		
Deutsche Bank Securities, Inc.	CASH	Yes	No		
Dexia Credit Local	DEBT	Yes	No		
DLJ Merchant Banking Partners II, LP (Credit Suisse First Boston, Inc.)	PFM	Yes	Yes	Management fee of \$195,596.53 was paid to DLJMB II pursuant to limited partnership agreement.	Vendor identified exemption. Management fees are permissible third party payments (see C.G.S. § 3-131(b)(2)).
Emerging Markets Management, LLC	PFM	Yes	No		
Fairview Constitution Fund, LP	PFM	Yes	No		

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Fairview Constitution Fund II, LP	PFM	Yes	No		
Farmington Savings Bank	CASH	Yes	No		
FGIC	DEBT	Yes	No		
Fidelity Management Trust Co.	PFM	Yes	No		
Fifth Third Securities, Inc.	CASH	Yes	No		
Financial Security Assurance, Inc.	DEBT	Yes	No		
Finn Dixon & Herling LLP	DEBT	Yes	No		
First Albany Capital, Inc.	DEBT	Yes	No		
First Southwest Company	DEBT	Yes	No		
FIS Group, Inc.	PFM	Yes	No		
Forstmann Little Equity VII	PFM	Yes	No		
Forstmann Little MBO VIII	PFM	Yes	No		
Franklin Park Associates, LLC	PFM	Yes	No		
FS Equity Partners V, LP	PFM	Yes	No		
FTN Financial Capital Markets	CASH	Yes	No		
Garmark Partners, LP	PFM	Yes	No		
GarMark Partners II, L.P.	PFM	Yes	No		
Gates Capital Corporation	DEBT	Yes	No		
GE Capital Corporation	CASH	Yes	No		
Gilbert Global Equity Partners, L.P.	PFM	Yes	Yes	Management fee totaling \$229,489 paid to Gilbert Global Equity Partners, LP pursuant to the limited partnership agreement.	Vendor identified exemption. Management fees are permissible third party payments (see C.G.S. § 3-131(b)(2)).
GMAC	CASH	Yes	No		
Goldman Sachs & Co.	CASH & DEBT	Yes	Yes	Cadwaladeer, Wickersham & Taft was paid \$8,303.15 on 3/30/06 for legal services.	Vendor identified exemption. Legal fees are permissible third party payments (see C.G.S. § 3-131(b)(2)).

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Goldman, Sachs Private Equity Fund	PFM	Yes	No		
Grant & Eisenhofer, PA	PFM	Yes	No		
Grantham, Mayo, Van Otterloo & Co.	PFM	Yes	No		
Great Pacific Securities	CASH	Yes	No		
Green Equity Investors, III, L.P.	PFM	Yes	No		
GSCP (NJ) LP Greenwich Street Capital Partners II, L.P.	PFM	Yes	No		
Groom Law Group	PFM	Yes	No		
Grotech Partners V, L.P.	PFM	Yes	Yes	Management fee totaling \$923,000 paid to Grotech Capital Group V, LLC pursuant to the limited partnership agreement.	Vendor identified exemption. Management fees are permissible third party payments (see C.G.S. §3-131(b)(2)).
Guilford Savings Bank (The)	CASH	Yes	No		
Hardwick Law Firm, LLC	DEBT	Yes	No		
Harris Nesbitt Corp./BMO Capital Markets	CASH	Yes	No		
Hartford Investment Management Co.	PFM	Yes	No		
Hicks, Muse, Tate & Furst Equity Fund III, LP	PFM	Yes	No		
Hunton & Williams, LLP	DEBT	Yes	No		
ICV Capital Partners II, LP	PFM	Yes	No		
Imagemaster	DEBT	No	No		
Institutional Shareholder Services, Inc.	EXEC	No	No		
Invesco Global Asset Management (N.A.), Inc.	PFM	Yes	No		
IXIS Funding Corp. (f.k.a. CDC Funding)	DEBT	Yes	No		
Jackson Securities LLC	DEBT	Yes	No		
JP Morgan Chase Bank	CASH	Yes	No		

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JP Morgan Securities, Inc.	CASH & DEBT	Yes	Yes	Pullman & Comley LLC was paid a total of \$56,500 to serve as underwriter's counsel in connection with State of Connecticut general obligation bonds.  Updike, Kelly & Spellacy was paid \$40,000 to serve as underwriter's counsel in connection with State of Connecticut general obligation bonds.	Vendor identified exemption. Legal fees, as well as fees related to the issuance of debt, are permissible third party payments. (See C.G.S. § 3-131(b)(2).
Kaplan Fox & Kilsheimer LLP	PFM	Yes	No		
Kelso Investment Associates VI, L.P.	PFM	Yes	Yes	Deloitte & Touche was paid \$267,750 for accounting and tax services.  Debevoise & Plimpton was paid \$57,682 for legal services.	Vendor identified exemption. Legal fees, as well as accounting services, are permissible third party payments (see C.G.S. §3-131(b)(2).
Kirby, McInerney & Squire, LLP	PFM	Yes	No		
Kohlberg Kravis Roberts 1996 Fund (KKR)	PFM	Yes	No		
KKR Millennium Fund	PFM	Yes	No		
KPS Special Situations	PFM	Yes	No		
Labaton Sucharow & Rudoff, LLP	PFM	Yes	No		
Lamont Financial Services Corporation	DEBT	Yes	No		
Landesbank Hessen-Thuringen	DEBT	Yes	Yes	King & Spaulding was paid \$5,000 for legal services.	Vendor identified exemption. Legal fees are permissible third party payments. (See C.G.S. § 3-131(b)(2).
Landmark Partners, Inc.	PFM	Yes	No		
Law Offices of Joseph C. Reid, P.A.	DEBT	Yes	No		
Lehman Brothers	CASH & DEBT	Yes	No		
Levy & Droney, P.C.	DEBT & PFM	Yes	No		
Lewis & Munday	DEBT & PFM	Yes	No		
Lexington Capital Partners II, L.P.	PFM	Yes	Yes	Proskauer Rose LLP was paid \$27,986 for legal services.	Vendor identified exemption. Legal fees are permissible third party payments. (See C.G.S. § 3-131(b)(2).
Loomis, Sayles & Company, L.P.	PFM	Yes	No		
Loop Capital Markets, LLC	CASH & DEBT	Yes	No		
MBIA	DEBT	Yes	No		

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MCMC, LLC	SIF	No	No		
Melvin Securities, LLC	DEBT	Yes	No		
Merrill Lynch Investment Managers, LLC	PFM	Yes	Yes	Institutional Shareholder Services was paid \$13,600 for global custom voting agent services.  Investor Responsibility Research Center was paid \$2,351 for global custom research - Northern Ireland Service.  Conflict Securities Advisory Group was paid \$12,500 for website access - risk profile and assessment tool.	Vendor identified exemption. Research services are permissible third party payments.
Merrill Lynch & Co.	CASH & DEBT	Yes	No		
MFS Institutional Advisors, Inc.	PFM	Yes	Yes	Institutional Shareholder Services, Inc. was paid \$4,117 for proxy voting services as required in the contract.	Vendor identified exemption. Payments for services in connection with proxy voting are permissible.
Mischler Financial Group, Inc.	CASH	Yes	No		
Morgan Keegan & Company, Inc.	CASH	Yes	No		
Morgan Stanley & Co. Incorporated	CASH & DEBT	Yes	No		
Morgan Stanley Investment Management	PFM	Yes	No		
M.R. Beal & Company	DEBT	Yes	Yes	Updike, Kelly & Spellacy, PC was paid \$25,000 to serve as Underwriter's Counsel.  Harrell & Chambliss, LLP was paid \$15,000 to serve as Underwriter's Counsel.	Vendor identified exemption. Legal fees, as well as fees related to the issuance of debt, are permissible third party payments. (See C.G.S. § 3-131(b)(2)).
Naugatuck Savings Bank	CASH	Yes	No		
New Boston Individual and Institutional Investment Fund IV, LP (a.k.a. AEW Trust 221)	PFM	Yes	No		
New Boston Urban Strategy Fund	PFM	Yes	No		
Nixon Peabody, LLP	DEBT & PFM	Yes	No		
Northwest Community Bank	CASH	Yes	No		



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Oaktree Capital Management, LLC	PFM	Yes	Yes	Mellon Trust, N.A. was paid \$29,000 for back-office services (e.g. accounting, reconciliation, settlement, etc.).  Institutional Shareholder Services was paid \$7,838 in connection with updating the Northern Ireland service list.	Vendor identified exemption. Back-office services fees are permissible third party payments. (See C.G.S. § 3-131(b)(2)).  Research services are permissible third party payments.
Orrick, Herrington & Sutcliffe, LLP	DEBT	Yes	No		
Parish Capital I, L.P.	PFM	Yes	Yes	Juniper Capital Group, LLC was paid \$27,500 to act as placement agent.  Ernst & Young, LLP were paid \$62,851 for audit and tax services.  Robinson Bradshaw & Hinson were paid \$141,044 for legal services.	Vendor identified exemption. Legal fees, as well as accounting services, are permissible third party payments (see C.G.S. § 3-131(b)(2)).  Placement agent services are permissible third party payments. (see C.G.S. § 3-131(b)(3)).
Parish Capital Buyout Fund II, L.P.	PFM	Yes	No		
Pension Consulting Alliance	PFM	Yes	No		
People's Bank	CASH	No	No		
Pepe & Hazard, LLP	PFM	Yes	No		
P.G. Corbin	DEBT	Yes	No		
People's Bank	CASH	No	No		
Phoenix Investment Counsel, Inc.	PFM	Yes	No		
Progress Investment Management Co.	PFM	Yes	No		
Public Resources Advisory Group, Inc.	DEBT	Yes	No		
Pullman & Comley, LLC	DEBT & PFM	Yes	No		
Raymond James & Associates	CASH & DEBT	Yes	No		
RBC Dain Rauscher, Inc.	DEBT	Yes	No		
Reich & Tang Asset Management, L.P.	DEBT	Yes	No		
Reserve Funds (The) (Reserve Management Company)	CASH	Yes	No		
RFE Investment Partners VI, L.P.	PFM	Yes	No		

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RLJ Urban Lodging Fund, L.P.	PFM	Yes	Yes	Mayer, Brown, Rowe and Maw, LLC were paid \$739.00 in connection with legal services. Presidio Partners, LLC were paid \$126,597 for placement agent services and \$537.00 for cost reimbursement.	Vendor identified exemption. Legal fees are permissible third party payments (see C.G.S. § 3-131(b)(2)). Placement agent fees are permissible third party payments (see C.G.S. § 3-131(b)(3)).
RLJ Lodging Fund II, L.P.	PFM	Yes	Yes	Jones Day LLP was paid \$7,311.25 in connection with legal services. Presidio Partners, LLC were paid \$204,750 for placement agent services and \$1,263.47 for cost reimbursement.	Vendor identified exemption. Legal fees are permissible third party payments (see C.G.S. § 3-131(b)(2)). Placement agent fees are permissible third party payments (see C.G.S. § 3-131(b)(3)).
Robinson & Cole, LLP	DEBT & PFM	Yes	No		
Rockwood Capital Real Estate Partners Fund V, LP	PFM	Yes	Yes	Quince Hill Partners were paid \$55,270.30 to act as placement agent.	Vendor identified exemption. Placement agent fees are permissible third party payments (see C.G.S. § 3-131(b)(3)).
Rockwood Capital Real Estate Partners Fund VI, LP	PFM	Yes	No		
Roosevelt & Cross, Incorporated	DEBT	Yes	No		
Samuel A. Ramirez & Co., Inc.	DEBT	Yes	No		
SBK-Brooks Investment Corp.	DEBT	Yes	No		
Schatz Nobel Izard, PC	PFM	Yes	No		
Schroder Investment Management, North America	PFM	Yes	No		
SCP Private Equity Partners, LP	PFM	Yes	Yes	Management fee of \$381,333 was paid to SCP Private Equity Partners, LP pursuant to limited partnership agreement.	Vendor identified exemption. Management fees are permissible third party payments (see C.G.S. § 3-131(b)(2)).
Seattle-Northwest Securities Corp.	CASH	Yes	No		
Security Services of Connecticut	SIF	No	No		
Seward and Monde	DEBT	No	No		
Shawmut Equity Partners, LP	PFM	Yes	No		
Shipman & Goodwin, LLP	DEBT & PFM	Yes	No		
Siebert Brandford Shank Co., LLC	DEBT	Yes	No		
SMBC Capital Markets, Inc	DEBT	Yes	No		
Societe Generale	CASH & DEBT	Yes	No		

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Soeder & Associates LLC	DEBT	Yes	No		
Squire, Sanders & Dempsey LLP	DEBT & PFM	Yes	No		
Starwood Global Opportunity Fund VII, LP	PFM	Yes	Yes	White & Case LLP was paid \$1,000,000.00 for legal services.  Rinaldi, Finkelstein & Franklin, LLC were paid \$239,376.92 for legal services.  Mager, Brown, Rowe & Mew were paid \$7,898.48 for legal services.  Dechest LLP was paid \$36,462.63 for legal services.  Pricewaterhouse Coopers, LLP was paid \$85,000.00 for accounting services.	Vendor identified exemption. Legal fees, as well as accounting services, are permissible third party payments (see C.G.S. §3-131(b)(2)).
State Street Bank & Trust Company	CASH	Yes	No		
State Street Corporation	PFM	No	No		
State Street Global Advisors	PFM	Yes	No		
Suntrust Capital Markets	CASH	Yes	No		
SW Pelham Fund, L.P	PFM	Yes	Yes	Pricewaterhouse Coopers was paid \$38,884.00 for annual audit, tax preparation and specialized accounting.  <u>Legal Services:</u> -Shipman & Goodwin LLP was paid \$91,943.60. -Gaims, Weil, West & Epstein were paid \$365,362.04. -Glenn Powell, Esq. was paid \$5,125.00. -Nixon Peabody LLP was paid \$17,248.00. -Skadden, Arps, Slate, Meagher & Flom, LLP were paid \$139,421.00. -Hamilton and Cumare, LLP was paid \$17,000.00.	Vendor identified exemption. Legal fees, as well as accounting services, are permissible third party payments. (See C.G.S. § 3-131(b)(2)).

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SW Pelham Fund II, LP	PFM	Yes	Yes	<p>Pricewaterhouse Coopers was paid \$61,531.50 for annual audit, tax preparation and specialized accounting.</p> <p>Shipman &amp; Goodwin LLP was paid \$43,915.96 for legal services.</p> <p>BYSIS, Inc. was paid \$41,512.30 for back-office support for fund accounting and reporting.</p> <p>1st West Financial Corporation was paid \$12,175.00 for due diligence review services.</p>	Vendor identified exemption. Legal fees, as well as accounting services, are permissible third party payments. (See C.G.S. § 3-131(b)(2).
Syncom Partners V, LLC	PFM	Yes	Yes	<p>Wilmer Cutler Pickering Hale and Dorr LLP were paid \$200,000 for legal services.</p> <p>DAV/Wetherly Financial, L.P. was paid \$262,500 for placement agent services.</p>	Vendor identified exemption. Legal fees are permissible third party payments. (See C.G.S. § 3-131(b)(2). Placement agent fees are permissible third party payments. (See C.G.S. § 3-131(b)(3))
TCW Asset Management Company (f.k.a. Trust Company of the West)	PFM	Yes	No		
TD Banknorth	CASH	Yes	No		

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Thayer Equity Investors IV, LP	PFM	Yes	Yes	<p><u>Legal Services:</u>            -Chapman &amp; Cutler was paid \$42,500.            -Greenberg Traurig was paid \$69,514.45.            -Hogan &amp; Hartson, LLP was paid \$6,343.18.            -Hunton &amp; Williams was paid \$3,963.11.            -Kelley Drye &amp; Warren was paid \$72.00.            -Kirkland &amp; Ellis, LLP was paid \$8,038.99.            -McCarthy Tetrault, LLP was paid \$333.87.            -McGuireWoods was paid \$62.00.            -Miles &amp; Stockbridge was paid \$2,500.00.            -Piper Rudnick, LLP was paid \$9,233.17.            -Sonnenchein Nath &amp; Rosenthal was paid \$909.00.            -Spencer Fane Britt &amp; Browne was paid \$119,231.36.            -Stinson Morrison Hecker LLP was paid \$2,110.50.            -Williams &amp; Connolly was paid \$97,379.33.</p> <p><u>Consulting Services:</u>            -Custer Consulting Group was paid \$1,500.            -Russell Reynolds Associates was paid \$26,713.12.</p> <p><u>Accounting Services:</u>            -CBIZ Accounting, Tax &amp; Advisor was paid \$18,737.37.            -PriceWaterhouseCoopers, LLC was paid \$156,135.42.</p> <p><u>Marketing Services:</u>            -Burson-Marsteller was paid \$2,397.96 for marketing.</p>	Vendor identified exemption. All are permissible third party payments. (See C.G.S. § 3-131(b)(2).
Thomas H. Lee Equity Advisors IV, LLC	PFM	Yes	Yes	Management fee of \$160,778 paid to THL Equity Advisors IV, LLC. pursuant to the limited partnership agreement.	Vendor identified exemption. Management fees are permissible third party payments (see C.G.S. § 3-131(b)(2).
TIAA-CREF Tuition Financing, Inc.	EXEC	Yes	Yes	<p>Sutherland, Asbill &amp; Brennan, LLP were paid \$46,873.33 for legal services in connection with the CHET program.</p> <p>PricewaterhouseCoopers was paid \$28,143.00 for auditing services.</p>	Vendor identified exemption. Legal fees and accounting services are permissible third party payments. (See C.G.S. § 3-131(b)(2).
Tradition Asiel Securities, Inc.	CASH	Yes	No		
Trinity Plus Funding Co., LLC	DEBT	Yes	No		
Trujillo, Rodriguez & Richards, LLC	PFM	Yes	No		
UBS Financial Services, Inc.	CASH	Yes	No		

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	DEBT	Yes	Yes	Finn Dixon & Herling LLP was paid \$49,276.55 to serve as Underwriter's Counsel in connection with State of Connecticut general obligation bonds.  Soeder Associates, LLC was paid \$20,723.46 to serve as Underwriter's Counsel in connection with State of Connecticut general obligation bonds.  KDJ Advertising LLC was paid \$66,684.35 for marketing services in connection with State of Connecticut general obligation bonds..	Vendor identified exemption. Legal fees, as well as fees related to the issuance of debt, are permissible third party payments. (See C.G.S. § 3-131(b)(2).
Updike, Kelly & Spellacy, P.C.	DEBT & PFM	Yes	No		
Urban Strategy Fund America L.P.	PFM	Yes	No		
U.S. Bank National Association	DEBT	No	Yes	Shipman & Goodwin LLC consultants were paid \$8,051 for legal services based on an hourly fee. -Robert Borden -Daniel Brown, Jr. -Corrine Burnick -Thomas Flynn -Jeff Hussey -Carol McVerry -Scott Murphy -Marie Pollio -William Rock -Thomas Tresselt -Leslie Davenport  Edwards Angell Palmer & Dodge LLP were paid \$5,817.93 for legal services based on an hourly fee. -John Whitlock -Eddirland Christel  Pullman & Comley LLC were paid \$7,560.90 for legal services based on an hourly fee. -Marie Phelan	Vendor identified exemption. Legal fees, as well as fees related to the issuance of debt, are permissible third party payments. (See C.G.S. § 3-131(b)(2).
Veritas Capital Fund, L.P. (The)	PFM	Yes	No		
Vining Sparks	CASH	Yes	No		
Wachovia Bank, N.A.	CASH & DEBT	Yes	No		
Wachovia Capital Markets	CASH	Yes	No		

*Office of State Treasurer  
Summary of Responses to Request for Disclosure  
July 1, 2005 through June 30, 2006*

COMPANY NAME	DIVISION	INVESTMENT SERVICES PROVIDER?	PAID 3rd PARTY FEES?	PAYMENT ARRANGEMENTS	COMMENTS
Walton Street Real Estate Fund II, LP	PFM	Yes	No		
WCAS Fund III	PFM	Yes	No		
WCAS Fund VII	PFM	Yes	No		
Webster Bank, N.A.	CASH & DEBT	No	No		
Wellington Management Company	PFM	Yes	Yes	CRA RogersCasey was paid \$35,000 on 11/29/05 for the Summit Alliance Membership and conference attendance.	Vendor identified exemption. Payments are permissibe.
Wellspring Capital Partners II, LP	PFM	Yes	No		
Wellspring Capital Partners III, LP	PFM	Yes	No		
West LB AG	DEBT	Yes	Yes	Chapman S. Cutler, Attorney's at Law, was paid \$5,000 to serve as Bank Counsel.	Vendor identified exemption. Legal fees are permissible third party payments. (See C.G.S. § 3-131(b)(2).
Western Asset Management Company	PFM	Yes	No		
Westport Senior Living Investment Fund, LP	PFM	Yes	No		
William Blair and Co.	DEBT	Yes	No		
Williams Capital Group, L.P. (The)	CASH	Yes	No		
W. R. Huff Asset Management Co., LLC	PFM	Yes	No		