

*Office of the State Treasurer
Summary of Responses to Request for Disclosure
July 1, 2014 through June 30, 2015*

COMPANY NAME		INVESTMENT SERVICES PROVIDER?	PAID 3RD PARTY FEES?	PAYMENT ARRANGEMENTS	COMMENTS
A. C. Advisory, Inc.	DEBT	Yes	No		
Aberdeen Asset Management Inc.	PFM	Yes	No		
ACA Financial Guaranty Corporation	DEBT	Yes	No		
Acacia Financial Group, Inc.	DEBT	Yes	No		
Acadian Asset Management, Inc.	PFM	Yes	No		
AEW Partners III, LP	PFM	Yes	No		
AIG Financial Products Corp.	DEBT	Yes	No		
Altaris Health Partners II, L.P.	PFM	Yes	Yes	Altaris Capital Partners, LLC was paid \$121,928 in connection with management services pursuant to the Limited Partnership Agreement.	Management fees are permissible third party payments. (See C.G.S. § 3-13/ (b)(2).
Altaris Health Partners III, L.P.	PFM	Yes	Yes	Altaris Capital Partners, LLC was paid \$637,422 in connection with management services pursuant to the Limited Partnership Agreement.	Management fees are permissible third party payments. (See C.G.S. § 3-13/ (b)(2).
Altaris Health Partners, L.P.	PFM	Yes	Yes	Altaris Capital Partners, LLC was paid \$244,617 in connection with management services pursuant to the Limited Partnership Agreement.	Management fees are permissible third party payments. (See C.G.S. § 3-13/ (b)(2).
Ambac Assurance Corporation	DEBT	Yes	No		
American Realty Advisors	PFM	Yes	No		
AMTEC Corp.	DEBT	No	No		
Anderson, Kill & Olick	PFM	Yes	No		
Aon Hewitt Investment Consulting, Inc.	PFM	Yes	No		
Apollo Capital Management VIII, LLC	PFM	Yes	No		
Apollo Real Estate Investment Fund, III, L.P.	PFM	Yes	No		
Appomattox Advisers, Inc.	PFM	Yes	Yes	Squire Patton Boggs was paid \$16,041 in connection with legal services. CITCO Fund Administration (Cayman Islands) was paid \$64,666 monthly as Administrator of the Fund. CITCO Global Custody (N.A.) N.V was paid a quarterly fee of \$16,459 in connection with custodial services and \$8,458 for banking and brokerage services.. Deloitte Ltd. was paid \$15,625 in connection with auditing services.	All disclosed fees are permissible third party payments. (See C.G.S. § 3-13/ (b)(1)(2).
AQR Capital Management, LLC	PFM	Yes	No		

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Arclight Energy Partners Fund V, L.P.	PFM	Yes	No		
Ashmore Investment Management Limited	PFM	Yes	No		
Assured Guaranty Municipal Corp.	DEBT	Yes	No		
Audax Mezzanine Fund III, LP	PFM	Yes	No		
Audit Services, US, LLC	UCP	No	No		
Backstrom, McCarley, Berry & Co., L.L.C.	DEBT	Yes	No		
Bank of America, N.A.	CASH & DEBT	Yes	No		
Barclays Capital, Inc.	DEBT	Yes	No		
BB&T & Company	CASH	Yes	No		
Bivium Capital Partners, LLC	PFM	Yes	No		
BlackRock Financial Management, Inc.	PFM	Yes	No		
BlackRock Investment Management, LLC	PFM	Yes	No		
Blackstone Real Estate Partners Europe III, L.P.	PFM	Yes	No		
Blackstone Real Estate Partners VI, L.P.	PFM	Yes	No		
Blackstone Real Estate Partners VIII, L.P.	PFM	Yes	No		
Blackstone Real Estate Special Situations Fund II, LP	PFM	Yes	No		
Blaylock Beal Van LLC	DEBT	Yes	No		
BLX Group, LLC	DEBT	Yes	No		
BNP Paribas Securities Corp.	CASH	Yes	No		
Boston Ventures VII, L.P.	PFM	Yes	No		
Bryant Miller Olive, PA	DEBT	Yes	No		
Cabrera Capital Markets, Inc.	DEBT	Yes	No		
Cambridge International Securities, Inc.	CASH	Yes	No		
Candover 2008 Fund (Arle Capital Partners, Limited)	PFM	Yes	No		
Cantor Fitzgerald & Co.	CASH	Yes	No		
Canyon-Johnson Urban Fund II, LP	PFM	Yes	Yes	Canyon-Johnson Urban Fund II, L.P. was paid \$193,470 in connection with management services.	Management fees are permissible third party payments. (See C.G.S. § 3-13/ (b)(2).
Canyon-Johnson Urban Fund III, LP	PFM	Yes	Yes	Canyon-Johnson Urban Fund II, L.P. was paid \$265,674 in connection with management services.	Management fees are permissible third party payments. (See C.G.S. § 3-13/ (b)(2).

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Capital Prospects, LLC	PFM	Yes	No		
Capri Select Income II, LLC	PFM	Yes	No		
Carlyle Asia Partners, L.P.	PFM	Yes	No		
Carlyle Europe Partners, L.P.	PFM	Yes	No		
Carlyle GMS Finance, Inc.	PFM	Yes	No		
Carlyle NF Investment Corp.	PFM	Yes	No		
Castlelake, L.P. (formerly TPG Credit Strategies Fund II, L.P.)	PFM	Yes	Yes	Denning & Company LLC was paid \$50,710 in connection with placement agent services pursuant to the Limited Partnership Agreement.	Placement agent fees are permissible third party payments. (See C.G.S. § 3-13/ (b)(3).
CastleOak Securities, L.P.	CASH & DEBT	Yes	No		
Charterhouse Group International, Inc.	PFM	Yes	No		
Citigroup Global Markets, Inc.	CASH & DEBT	Yes	Yes	Gonzalez Saggio & Harland was paid \$20,000 in connection with Underwriter's Counsel services. Hinckley Allen & Snyder LLP was paid \$20,000 in connection with Underwriter's Counsel services.	Legal fees are permissible third party payments. (See C.G.S. § 3-13/ (b)(1).
Clarion-Lion Industrial Trust	PFM	Yes	No		
Clay Hill, LLC (CT Horizon Legacy Fund, L.P.)	PFM	Yes	Yes	Muller & Monroe Asset Management, LLC was paid \$50,000 in connection with management fees pursuant to the Limited Partnership Agreement. Schiff Hardin LLP was paid \$1,085 in connection with legal services. RSM McGladrey was paid \$23,375 in connection with tax and audit services. GreatBanc Trust Company was paid \$3,375 in connection with custody services.	Management, legal, tax, auditing, and custody fees are permissible third party payments. (See C.G.S. § 3-13/ (b)(1)(2).
Clearlake Capital Partners III, L.P.	PFM	Yes	Yes	Clearlake Capital Management was paid \$388,679 in connection with management services pursuant to the Limited Partnership Agreement. Park Hill Group, LLC was paid \$152,039 in connection with placement agent services pursuant to the Limited Partnership Agreement.	Management fees and placement agent fees are permissible third party payments. (See C.G.S. § 3-13/ (b)(2)(3).
Cliffwater, LLC	PFM	Yes	No		
Cohen Milstein Sellers & Toll PLLC	PFM	Yes	No		
Colchester Global Investors, Inc.	PFM	Yes	No		
Colony Realty Partners II, LP	PFM	Yes	No		

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Connex Credit Union	CASH	Yes	No		
Constitution Liquidating Fund I, LP	PFM	Yes	No		
Cornerstone Patriot Fund, LP	PFM	Yes	No		
Court Square Capital Partners II, LLC	PFM	Yes	Yes	Kirkland & Ellis was paid \$933 in connection with legal services. Court Square Capital Management, L.P. was paid \$215,650 in connection with management fees pursuant to the Limited Partnership Agreement. Various fees totalling \$123,814 were paid in connection with auditing, accounting, fund administration, and insurance.	All disclosed fees are permissible third party payments. (See C.G.S. § 3-13/ (b)(1)(2).
Court Square Capital Partners III, LLC	PFM	Yes	Yes	Kirkland & Ellis was paid \$1,270 in connection with legal services. Court Square Capital Management, L.P. was paid \$400,330 in connection with management services. Various fees totalling \$23,516 were paid in connection with auditing, accounting, fund administration, and insurance.	All disclosed fees are permissible third party payments. (See C.G.S. § 3-13/ (b)(1)(2).
Covenant Apartment Fund V (Institutional - B), L.P.	PFM	Yes	Yes	Covenant Management Services, Inc. was paid \$49,080.29 in connection with management services. Note: Management fees were refunded (\$59,215) due to the receipt of additional disposition fees which reduced management fees owed dollar for dollar. Plante & Moran, PLLC was paid \$29,036 in connection with audit and tax return services.	Management and accounting fees are permissible third party payments. (See C.G.S. § 3-13/ (b)(2).
Covenant Apartment Fund (Institutional) VI, L.P.	PFM	Yes	Yes	Covenant Management Services, Inc. was paid \$176,625 in connection with management services. Plante & Moran, PLLC was paid \$27,356 in connection with audit and tax return services.	Management fees, auditing and tax services, are permissible third party payments. (See C.G.S. § 3-13/ (b)(2).
Coventry Health Care Workers' Compensation, Inc.	SIF	No	No		
Crescendo Ventures III, LP	PFM	Yes	No		
Cypress Acquisition Partners Retail Fund, L.P.	PFM	Yes	No		
Daiwa Capital Markets America, Inc.	CASH	Yes	No		
Day Pitney, LLP	DEBT & PFM	Yes	No		
Deminor International SCRL	PFM	Yes	No		

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Deutsche Bank AG, New York Branch	PFM	Yes	No		
Deutsche Bank Securities, Inc.	CASH	Yes	No		
Dimensional Fund Advisors LP	PFM	Yes	No		
DJL Merchant Banking Partners II, LP	PFM	Yes	No		
Drexel Hamilton, LLC	DEBT	Yes	No		
Edward D. Jones & Co. LP	DEBT	Yes	No		
Energy Fund XV, L.P. (TCW-EIG Alternative Investments, LLC)	PFM	Yes	Yes	Credit Suisse was paid \$240,000 in connection with placement agent services pursuant to the Limited Partnership Agreement.	Placement agent services are permissible third party payments. (See C.G.S. § 3-13/ (b)(3).
Estrada, Hinojosa & Company, Inc.	DEBT	Yes	No		
Environmental Capital, LLC	DEBT	Yes	Yes	Environmental Attributes Associates was paid \$900 for providing expertise on environmental matters.	Consulting fees are permissible third party payments. (See C.G.S. § 3-13/ (b)(3).
Ethos Private Equity Fund V, LP	PFM	Yes	Yes	Ethos Private Equity (Pty) Limited was paid \$1,500,324 (deferred on 1/7/14) for management services pursuant to the Limited Partnership Agreement.	Management fees are permissible third party payments. (See C.G.S. § 3-13/ (b)(2).
Fairview Constitution Fund II, LP	PFM	Yes	No		
Fairview Constitution Fund III, LP	PFM	Yes	No		
Fairview Constitution Fund IV, LP	PFM	Yes	No		
Fidelity Capital Markets	DEBT	Yes	No		
Fidelity Institutional Asset Management (f.k.a. Pyramis Global Advisors Trust Company)	PFM	Yes	No		
Finn Dixon & Herling LLP	DEBT	Yes	No		
First Quadrant	PFM	Yes	No		
First Southwest Company	DEBT	Yes	No		
FIS Group, Inc.	PFM	Yes	No		
Franklin Park Associates, LLC	PFM	Yes	No		
Frasca & Associates, LLC	DEBT	Yes	No		
Frontier Capital Management Company	PFM	Yes	No		
FS Equity Partners V, LP	PFM	Yes	Yes	Freeman Spogli Management Co., L.P. was paid management fees totalling \$72,093 pursuant to the Limited Partnership Agreement.	Management fees are permissible third party payments. (See C.G.S. § 3-13/ (b)(2).
FS Equity Partners VI, LP	PFM	Yes	Yes	Freeman Spogli Management Co., L.P. was paid management fees totalling \$301,171 pursuant to the Limited Partnership Agreement.	Management fees are permissible third party payments. (See C.G.S. § 3-13/ (b)(2).
FTN Financial	CASH	Yes	No		

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GarMark Partners II, L.P.	PFM	Yes	No		
Garmark Partners, L.P. Liquidating Trust	PFM	Yes	No		
GCM Customized Fund Investment Group, L.P. (CT Cleantech Opportunities Fund, L.P.)	PFM	Yes	Yes	GCM Customized Fund Investment Group, L.P. was paid \$139,599 in connection with fund advisory services pursuant to the Limited Partnership Agreement.	Management fees are permissible third party payments. (See C.G.S. § 3-13/ (b)(2).
GenNx360 Capital Partners II, LP	PFM	Yes	No		
Gerding Edlen Green Cities II, LP	PFM	Yes	Yes	Gerding Edlen Investment Management was paid \$436,640 in connection with management services.	Management fees are permissible third party payments. (See C.G.S. § 3-13/ (b)(2).
Gilbert Global Equity Partners, L.P.	PFM	Yes	No		
Goldman Sachs Bank USA	DEBT	Yes	No		
Goldman, Sachs & Co.	CASH & DEBT	Yes	Yes	Shipman & Goodwin LLP was paid \$65,000 in connection with legal services.	Legal fees are permissible third party payments. (See C.G.S. § 3-13/ (b)(1)
Goldman, Sachs Mitsui Marine Derivative Products, L.P.	DEBT	Yes	No		
Goodwin Capital Advisers, Inc.	PFM	Yes	No		
Grant & Eisenhofer, PA	PFM	Yes	No		
Grantham, Mayo, Van Otterloo & Co.	PFM	Yes	No		
Great Pacific Securities	CASH	Yes	No		
Greenwich Street Capital Markets II, LP	PFM	Yes	No		
Grotech Partners V, L.P.	PFM	Yes	No		
Hardwick Law Firm, LLC	DEBT	Yes	No		
Hart Realty Advisers, Inc.	PFM	Yes	No		
Hartford Life Insurance Company	EXEC	Yes	Yes	Sutherland, Asbill & Brennan LLP was paid \$2,916 in connection with legal services. State Street Bank & Trust Company was paid \$14,921 in connection with custodial services. Ascensus College Savings was paid \$752,241 in connection with administration fees for record-keeping services.	All disclosed fees are permissible third party payments. (See C.G.S. § 3-13/ (b)(1)(2).
Hawkins, Delafield & Wood, LLP	DEBT	Yes	No		
Hicks, Muse, Tate & Furst Equity Fund III, LP	PFM	Yes	No		
ICV Capital Partners II, LP	PFM	Yes	No		
IL&FS India Realty Fund II, LLC	PFM	Yes	No		
ImageMaster, Inc.	DEBT	No	No		

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Institutional Shareholder Services, Inc.	PFM	No	No		
Int'l FCStone Partners, L.P.	CASH	Yes	No		
J M Lummis & Co.	CASH	Yes	No		
J.P. Morgan Chase Strategic Property Fund	PFM	Yes	No		
J.P. Morgan Investment Management (JP Morgan Chase Strategic Property Fund)	PFM	Yes	No		
J.P. Morgan Securities, LLC	CASH & DEBT	Yes	Yes	Bryant Miller Olive was paid \$27,500 in connection with services rendered as Underwriter's Counsel. Hardwick Law Firm LLC was paid \$27,500 in connection with services rendered as Underwriter's Counsel. Hawkins Delafield & Wood LLP was paid \$25,000 in connection with legal services rendered as Underwriter's Counsel. Lewis & Munday was paid \$25,000 in connection with legal services rendered as Underwriter's Counsel.	Legal fees, as well as fees related to the issuance of bonds, are permissible third party payments. (See C.G.S. § 3-13/(b)(1)).
Janney Montgomery Scott LLC	CASH & DEBT	Yes	No		
Jefferies, LLC	CASH & DEBT	Yes	No		
JFL Equity Investors III, LP	PFM	Yes	No		
JPMorgan Chase Bank, N.A.	CASH & DEBT	Yes	No		
K2 Advisors, LLC (Prudence Crandall Fund IV, LLC)	PFM	Yes	No		
Kessler Topaz Meltzer & Check, LLP	PFM	Yes	No		
KeyBanc Capital Markets, Inc.	DEBT	Yes	No		
KKR 2006 Fund	PFM	Yes	No		
KKR Millennium Fund	PFM	Yes	No		
KPS Special Situations Fund II, LP	PFM	Yes	No		
Labaton Sucharow, LLP	PFM	Yes	No		
Lamont Financial Services Corporation	DEBT	Yes	No		
Landmark Equity Partners XIV, L.P.	PFM	Yes	No		
Landmark Equity Partners XV, L.P.	PFM	Yes	No		
Landmark Primary Partners, L.P.	PFM	Yes	No		

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Landmark Real Estate Partners VII, LP	PFM	Yes	No		
Law Offices of Joseph C. Reid, P.A.	DEBT	Yes	No		
Lazard Asset Management, LLC	PFM	Yes	No		
Leeds Equity Partners V, L.P.	PFM	Yes	No		
Levine Leichtman Capital Partners, LLC	PFM	Yes	No		
Levine Leichtman Capital Partners V, LLC	PFM	Yes	No		
Lewis & Munday, P.C.	DEBT	Yes	No		
Lexington Capital Partners II, L.P.	PFM	Yes	No		
Lonestar Real Estate Partners II, L.P.	PFM	Yes	No		
Loomis, Sayles & Company, L.P.	PFM	Yes	No		
Loop Capital Markets, LLC	CASH & DEBT	Yes	Yes	Wiggins & Dana LLP was paid \$12,500 in connection with legal services as Underwriter's Counsel. Hardwick Law Firm was paid \$12,500 in connection with legal services as Underwriter's Counsel.	Legal fees are permissible third party payments. (See C.G.S. § 3-13/ (b)(1)
Lowe & Associates, LLC	PFM	Yes	No		
Lowey Dannenberg Cohen & Hart, P.C.	PFM	Yes	No		
MacFarlane Urban Real Estate Fund II, LP	PFM	Yes	Yes	Paul Hastings LLP was paid \$25,138 in connection with legal services.	Legal fees are permissible third party payments. (See C.G.S. § 3-13/ (b)(1).
Mahoney Sabol & Company, LLP	DEBT	Yes	No		
Marathon European Credit Opportunity Fund, L.P.	PFM	Yes	Yes	Aggregate legal fees paid by the Fund totaled \$148,543, of which a pro rata portion of 5.13% is allocable to the State of Connecticut.	Legal fees are permissible third party payments. (See C.G.S. § 3-13/ (b)(1).
McCarter & English, LLP	PFM	Yes	No		
McElroy, Deutsch, Mulvaney & Carpenter, LLP	PFM	Yes	No		
Melvin Securities, LLC	DEBT	Yes	No		
Merrill, Lynch, Pierce, Fenner & Smith	CASH	Yes	No		
Merrill, Lynch, Pierce, Fenner & Smith (d/b/a Bank of America Merrill Lynch)	DEBT	Yes	No		
MFS Institutional Advisors, Inc.	PFM	Yes	No		
Mizuho Securities USA, Inc.	CASH	Yes	No		
Morgan Stanley & Co. Incorporated	CASH & DEBT	Yes	No		

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Morgan Stanley Alternative Investment, Partners, Inc.	PFM	Yes	Yes	Morgan Stanley AIP (GP), LP was paid \$359,937 in connection with management services pursuant to the Limited Partnership Agreement.	Management fees are permissible third party payments. (See C.G.S. § 3-13/ (b)(2).
Morgan Stanley Investment Partners, Inc.	CASH	Yes	No		
Muller & Monroe Asset Management, LLC (CT Emerging Private Equity Fund of Funds L.P.)	PFM	Yes	Yes	Muller & Monroe Asset Management, LLC was paid \$6,793 in connection with management services pursuant to the Limited Partnership Agreement. Schiff Hardin LLP was paid \$42,266 in connection with legal services. McGladrey LLP was paid \$60,125 in connection with audit and tax services. GreatBanc Trust Company was paid \$31,815 in connection with custody services.	All disclosed fees are permissible third party payments. (See C.G.S. § 3-13/ (b)(1)(2).
National Public Finance Guarantee Corp.	DEBT	Yes	No		
NATIXIS Funding Corp.	DEBT	Yes	No		
New Boston Individual and Institutional Investment Fund IV, LP	PFM	Yes	No		
New Boston Urban Strategy America Fund, L.P.	PFM	Yes	No		
New Century Advisors	PFM	Yes	No		
Nixon Peabody LLP	PFM	Yes	No		
Nogales Investors Fund II, LP	PFM	Yes	No		
Northern Trust Investments, Inc.	PFM	Yes	No		
Nutmeg Opportunities Investment Fund, L.P. (J.P. Morgan Investment Management, Inc.)	PFM	Yes	No		
Oaktree Capital Management, L.P.	PFM	Yes	No		
Oppenheimer & Co., Inc.	CASH	Yes	No		
Orrick, Herrington & Sutcliffe, LLP	DEBT & PFM	Yes	No		
Pacific Investment Management Company (PIMCO)	PFM	Yes	No		
Payden & Rygel Investment Management	PFM	Yes	No		
Pegasus Partners IV, LP	PFM	Yes	No		
Pegasus Partners V, LP	PFM	Yes	No		
People's United Bank	CASH	Yes	No		
Permal Group, LTD (Prudence Crandall Fund I, LLC)	PFM	Yes	No		

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Piper, Jaffray & Co.	CASH & DEBT	Yes	No		
PineBridge Global Emerging Markets Partners, L.L.C.	PFM	Yes	No		
Pomerantz Grossman Hufford Dahlstrom & Gross LLP	PFM	Yes	No		
Prager & Co., LLC	DEBT	Yes	No		
Prime Property Fund, LLC	PFM	Yes	No		
Prisma Capital Management, LLC (Prudence Crandall Fund II, LLC)	PFM	Yes	No		
Progress Investment Management Company, LLC	PFM	Yes	No		
Prudential Property Investments S/A, LP	PFM	Yes	No		
Public Financial Management	DEBT	Yes	No		
Public Resources Advisory Group, Inc.	DEBT	Yes	Yes	Milliman was paid \$1,594 for consulting services in connection with the issuance of bonds.	Consulting services and other fees related to the issuance of bonds are permissible third party payments. (See C.G.S. § 3-13/b)(3).
Pullman & Comley, LLC	DEBT & PFM	Yes	No		
Rabo Securities USA, Inc.	CASH	Yes	No		
Raymond James & Associates, Inc.	CASH & DEBT	Yes	Yes	McKenna Long & Aldridge LLP was paid \$25,000 in connection with legal services as Underwriter's Counsel. Pullman & Comley, LLC was paid \$25,000 in connection with legal services as Underwriter's Counsel.	Legal fees, as well as fees related to the issuance of bonds, are permissible third party payments. (See C.G.S. § 3-13/(b)(1).
RBC Capital Markets, LLC	CASH & DEBT	Yes	No		
RBS Securities, Inc.	CASH	Yes	No		
Reinhart, Boerner, Van Deuren, sc	PFM	Yes	No		
RFE Investment Partners VI, L.P.	PFM	Yes	No		
RFE Investment Partners VII, L.P.	PFM	Yes	No		
RFE Investment Partners VIII, L.P.	PFM	Yes	No		
Rice Financial Products Company	CASH & DEBT	Yes	No		
Robert W. Baird & Co., Incorporated	DEBT	Yes	No		
Robinson & Cole, LLP	DEBT & PFM	Yes	No		
Robbins Geller Rudman & Dowd, LLLP	PFM	Yes	No		
Rock Creek Connecticut, LLC (Prudence Crandall Fund III, LLC)	PFM	Yes	No		
Rockfleet Financial Services, Inc.	DEBT	Yes	No		

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Rockwood Capital Real Estate Partners Fund V, LP	PFM	Yes	Yes	Rockwood Capital, LLC was paid \$16,980 in connection with management services pursuant to the Limited Partnership Agreement.	Management fees are permissible third party payments. (See C.G.S. § 3-13/ (b)(2).
Rockwood Capital Real Estate Partners Fund VI, LP	PFM	Yes	Yes	Rockwood Capital, LLC was paid \$234,282 in connection with management services pursuant to the Limited Partnership Agreement.	Management fees are permissible third party payments. (See C.G.S. § 3-13/ (b)(2).
Rockwood Capital Real Estate Partners Fund VII, LP	PFM	Yes	Yes	Rockwood Capital, LLC was paid \$685,000 in connection with management services pursuant to the Limited Partnership Agreement.	Management fees are permissible third party payments. (See C.G.S. § 3-13/ (b)(2).
Roosevelt & Cross, Incorporated	DEBT	Yes	No		
Russell Implementation Services, Inc.	PFM	Yes	No		
Samuel A. Ramirez & Co., Inc.	CASH & DEBT	Yes	No		
Santander Bank, N.A.	CASH	Yes	No		
Schroder Investment Management North America, Inc.	PFM	Yes	No		
SCP Private Equity Partners, LP	PFM	Yes	No		
Shenkman Capital Management, Inc.	PFM	Yes	No		
Shipman & Goodwin, LLP	DEBT & PFM	Yes	No		
Siebert Brandford Shank & Co., LLC	DEBT	Yes	Yes	Wiggins & Dana was paid \$12,500 for legal fees in connection with legal services as Underwriter's Counsel. Hardwick Law Firm, LLC was paid \$12,500 in connection with legal services as Underwriter's Counsel.	Legal fees are permissible third party payments. (See C.G.S. § 3-13/ (b)(1).
Societe Generale	DEBT	Yes	No		
Soeder & Associates LLC	DEBT	Yes	No		
Squire, Patton Boggs, LLP <i>(formerly Squire Sanders (US) LLP & Patton Boggs, LLP)</i>	DEBT & PFM	Yes	No		

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Starwood Distressed Opportunity Fund IX, L.P.	PFM	Yes	Yes	Legal Services: - Rinaldi Finkelstein & Franklin - \$1,447,541 - Paul Hastings, LLP - \$850,656 - Kirkland & Ellis - \$792,299 - Hogan Lovells International LLP - \$649,578 - Machado, Meyer, Sendacz et al - \$375,059 - Linklaters LLP - \$254,589 - Clifford Chance LLP - \$244,122 - Allen Matkins Leck Gamble et al - \$177,619 - Reed Smith LLP - \$139,046 - Mayer Brown LLP - \$95,199 - Bonelli Erede Pappalardo - \$93,299 - Arthur Cox - \$74,109 - Cushman & Wakefield LLP - \$64,568 - Heuking Kuhn Luer Wojtek - \$63,181 - Demarest Advogados - \$51,658	Legal fees are permissible third party payments. (See C.G.S. § 3-13/ (b)(1).
Starwood Distressed Opportunity Fund IX, L.P. (Cont'd.)		Yes	Yes	Consulting Services: - Real Foundation, Inc. - \$389,383 - Deloitte & Touche, LLP - \$373,577 - Jones Lang LaSalle - \$170,176 - KPMG - \$109,625 - DTZ Debenham Tie Leung - \$100,440 - Control Tech Gerenciamiento - \$73,925 - CBRE Hotels Limited - \$70,471 Audit & Tax Services: - PriceWaterhouse Coopers LLP - \$2,126,068 - Frydland Stevens, LLC - \$1,048,997 - Ernst & Young LLP - \$713,550 Insurance: - Northeast Series of Locton Companies LLC - \$100,717	Consulting, audit, tax and insurance fees are permissible third party payments. (See C.G.S. § 3-13/ (b)(2).
Starwood Global Opportunity Fund VII, LP	PFM	Yes	Yes	Legal Services: - Rinaldi, Finkelstein & Franklin - \$321,866 Audit & Tax Services: - Deloitte & Touche LLP - \$611,473 - Ernst & Young LLP - \$302,206	Legal, audit and tax fees are permissible third party payments. (See C.G.S. § 3-13/ (b)(1)(2).

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Starwood Global Opportunity Fund VIII, LP	PFM	Yes	Yes	<ul style="list-style-type: none"> - Ernst & Young LLP - \$788,376 - Machado, Meyer, Sendacz, Opice E Biscardi - \$290,558 - White & Case LLP - \$239,100 - Kirkland & Ellis - \$104,777 - Deloitte LLP - \$90,541 - Rinaldi, Finkelstein & Franklin LLC - \$35,234 - Mayer Brown LLP - \$22,283 - Skadden, Arps, Slate Meagher & Flom, LLP - \$5,794 - Haynes & Boone - \$2,113 - Sidley Austin - \$593 <p>Consulting Services:</p> <ul style="list-style-type: none"> - Real Foundation, Inc. - \$199,718 <p>Audit & Tax Services:</p> <ul style="list-style-type: none"> - PriceWaterhouseCoopers - \$984,426 - Frydland Stevens LLC - \$351,079 	All disclosed fees are permissible third party payments. (See C.G.S. § 3-13/ (b)(1)(2)).
Starwood Opportunity Fund X Global, LP	PFM	Yes	Yes	<p>Legal Services:</p> <ul style="list-style-type: none"> - Kirkland & Ellis LLP - \$3,466,645 - Latham & Watkins, LLP - \$2,153,950 - Paul Hastings (Europe) LLP - \$1,499,099 - Rinaldi, Finkelstein & Franklin, LLC - \$1,217,560 - Advokatfirman Lindahl KB - \$1,127,330 - Linklaters LLP - \$918,177 - BAHHR - \$656,331 - Advokatfirman Cederquist KB - \$547,017 - Haynes & Boone, LLP - \$480,454 - Greenberg Traurig - \$346,218 - DLA Piper US LLP - \$318,609 - Reed Smith, LLP - \$314,689 - Dentons Europe CS LLP - \$297,937 - Advokatfirmaet Thommessen AS - \$201,509 - Ramboll Norge AS - \$160,076 - Pircher, Nichols & Meeks - \$159,073 - Marx/Okubo Associates, Inc. - \$150,000 - Mellersh & Harding Building Consultancy - \$143,298 - Skene Law Firm, P.C - \$111,212 - Eversheds LLP - \$82,860 - Richards, Layton & Finger, P.A. - \$76,266 - Allen & Overy, LLP - \$73,440 - Toys, LLP - \$72,807 - Mayer Brown LLP - \$63,505 	Legal fees are permissible third party payments. (See C.G.S. § 3-13/ (b)(1)).

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Starwood Opportunity Fund X Global, LP (Cont'd.)	PFM	Yes	Yes	Consulting Services: - Lloyds Bank Corporate Markets - \$875,000 - CDLH Surveyors Limited - \$564,900 - MUFG Union Bank N.A. - \$525,000 - The Abernathy/McGregor Group - \$431,135 - Sweett Uberuam SLU - \$370,184 - Sweco Environment AB - \$363,012 - Selective Insurance - \$339,128 - Ascot Hospitality Management Ltd. -- \$310,442 - Atrium AS - \$244,155 - CBRE Hotels Limited - \$292,423 - Real Foundation, Inc. - \$181,189 - Turner & Townsend Sp. Zoo - \$168,510 - Structured Real Estate Solutions - \$158,140 - City Offices Real Estate - \$143,955 - REIS Services LLC - \$136,727 - A&L Goodbody - \$123,969 - Chartered Land Limited - \$105,796 - Christie + Co Business Intelligence - \$93,028 - CICI Utility - \$91,590 - Stevenson Systems, Inc. - \$87,444 - STR Global Limited - \$79,616 - SunGard AvantGard LLC - \$76,799 - DTZ Debenham Tie Leung - \$72,587 - National Corporate Research, Ltd. - \$66,953 - Anvil AS - \$65,696 - Jones Lang LaSalle - \$64,906	Consulting fees are permissible third party payments. (See C.G.S. § 3-13/(b)(2).
Starwood Opportunity Fund X Global, LP (Cont'd.)	PFM	Yes	Yes	Consulting Services: - Jones Lang LaSalle - \$64,906 - Northeast Series of Lockton Companies, LLC - \$61,392 - David Evans and Associates, Inc. - \$59,800 - McGovern Surveyors - \$58,554 - DP9 Ltd - \$57,422 - Berkeley Capital Partners - \$56,001 - Gleeds Management Services Limited - \$55,969 - The Abernathy/McGregor Group, Inc. - \$55,920 - Bannon Commercial Property Consultants - \$54,788 - LNR Partners Germany GmBH - \$52,883 - Savills Commercial Limited - \$50,475 - Key Capital Estate Ltd - \$50,111 - Smith Brandon International, Inc. - \$51,000 Audit/Tax Services: - PriceWaterhouse LLP - \$3,220,246 - Frydland Steven, LLC - \$1,185,879 - Deloitte LLP - \$986,781 - Ernst & Young LLP - \$666,276	Consulting, audit and tax fees are permissible third party payments. (See C.G.S. § 3-13/(b)(3)).
State Street Global Advisors	PFM	Yes	No		

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Stepstone Pioneer Capital Buyout Fund II, LP <i>(f.k.a. Parish Capital Advisors Buyout Fund II, LP)</i>	PFM	Yes	Yes	Ernst & Young, LLP was paid \$105,913 in connection with the annual audit and tax services. SEI, Inc. was paid \$110,891 in connection with annual administration services.	Audit, tax and administrator fees are permissible third party payments. (See C.G.S. § 3-13(b)(2).
Stepstone Pioneer Capital I, L.P. <i>(f.k.a. Parish Capital Advisors I, L.P.)</i>	PFM	Yes	Yes	Ernst & Young, LLP was paid \$156,915 in connection with the annual audit and tax services. Robinson Brandshaw & Hinson was paid \$215 in connection with legal services (hourly rate). SEI, Inc. was paid \$167,894 in connection with annual administration services.	Legal, tax, auditing and fund administration fees are permissible third party payments. (See C.G.S. § 3-13/ (b)(1)(2).
Sterne, Agee & Leach, Inc.	CASH & DEBT	Yes	unknown		Merged with Stifel Nicolaus during the reporting period.
Stifel Nicolaus & Company, Inc.	CASH & DEBT	Yes	No		
Stone Castle Partners, LLC	CASH	Yes	No		
Stone Harbor Investment Partners, LP	PFM	Yes	No		
Sturdivant & Co.	DEBT	Yes	No		
Sutherland Asbill & Brennan, LLP	PFM	Yes	No		
Svenska Handelsbanken	CASH	Yes	No		
SW Pelham Fund II, LP	PFM	Yes	Yes	Shipman & Goodwin LLP was paid \$22,558 in connection with legal services. Whittlesley & Hadley, PC was paid \$4,000 in connection with audit services. KPMG LLP was paid \$28,227 in connection with accounting services. Michael Z. Gurland was paid \$135,853 in connection with legal services. Sylvia Gerut was paid \$7,384 in connection with court reporting services.	Legal and court reporting fees are permissible third party payments. (See C.G.S. § 3-13/ (b)(1)(2).
SW Pelham Fund, L.P	PFM	Yes	Yes	Whittlesley & Hadley was paid \$4,500 in connection with audit services.	Audit fees are permissible third party payments. (See C.G.S. § 3-13/ (b)(2).
Syncom Ventures V, LP	PFM	Yes	No		
T. Rowe Price Associates, Inc.	PFM	Yes	No		

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TA XI, L.P.	PFM	Yes	Yes	TA Associates XI GP, LP was paid management fees, including carried interest, totaling \$1,787,592 pursuant to the Limited Partnership Agreement.	Management fees are permissible third party payments. (See C.G.S. § 3-13/ (b)(2).
TD Bank, N.A.	CASH	Yes	No		
TD Securities (USA) LLC	CASH & DEBT	Yes	No		
Teigland-Hunt LLP	PFM	Yes	No		
Thayer Equity Investors IV, LP	PFM	Yes	No		
The Bank of New York Mellon	PFM	Yes	No		
The Townsend Group	PFM	Yes	No		
The Williams Capital Group, L.P.	DEBT	Yes	No		
Thomas H. Lee Equity Fund IV, LLC	PFM	Yes	No		
Thomas H. Lee Equity Fund VI, LLC	PFM	Yes	No		
TIAA-CREF Tuition Financing, Inc.	EXEC	Yes	Yes	Sutherland, Asbill & Brennan LLP was paid \$60,705 in connection with legal services. State Street Bank & Trust Company was paid \$14,921 in connection with custodial services. Ascensus College Savings was paid \$752,241 in connection with administration fe	Legal fees are permissible third party payments. (See C.G.S. § 3-13/ (b)(1).
Toussaint Capital Partners, LLC	DEBT	Yes	No		
Trinity Plus Funding Co., LLC	DEBT	Yes	No		
U.S. Bancorp Municipal Securities Group	DEBT	Yes	No		
U.S. Bank National Association	CASH & DEBT	Yes	Yes	Reid and Reige, P.C. was paid \$9,324 in connection with legal services. Shipman & Goodwin was paid \$5,068 in connection with legal services.	Legal fees are permissible third party payments. (See C.G.S. § 3-13/ (b)(1).
UBS Trumbull Property Fund	PFM	Yes	Yes	Trumbull Property Fund was paid \$388,195 in connection with advisory services pursuant to the Advisory Agreement.	Advisory fees are permissible third party payments. (See C.G.S. § 3-13/ (b)(2).
UBS Trumbull Property Growth & Income Fund	PFM	Yes	Yes	Trumbull Property Growth & Income Fund was paid \$194,657 in connection with advisory services pursuant to the Adisory Agreement.	Advisory fees are permissible third party payments. (See C.G.S. § 3-13/ (b)(2).
UBS Trumbull Property Income Fund	PFM	Yes	Yes	Trumbull Property Income Fund was paid \$378,483 in connection with advisory services pursuant to the Advisory Agreement.	Advisory fees are permissible third party payments. (See C.G.S. § 3-13/ (b)(2).
Updike, Kelly & Spellacy, P.C.	DEBT	Yes	No		
USAA Eagle Real Estate Fund	PFM	Yes	No		

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Verus Financial, LLC	SIF	Yes	No		
Vining Sparks IBG, L.P	CASH	Yes	No		
Vista Equity Partners Fund III, L.P.	PFM	Yes	Yes	Vista Equity Partners Fund III, LP was paid \$49,286 in connection with management services, including, but not limited to, administrator, audit, tax preparation, legal fees and insurance.	Management fees are permissible third party payments. (See C.G.S. § 3-13/ (b)(1)(2).
Vista Equity Partners Fund IV, L.P.	PFM	Yes	Yes	Vista Equity Partners Fund IV, LP was paid \$100,293 in connection with management services, including, but not limited to, administrator, audit, tax preparation, legal fees and insurance.	Management fees are permissible third party payments. (See C.G.S. § 3-13/ (b)(1)(2).
Walton Street Real Estate Fund II, LP	PFM	Yes	No		
Webster Bank, N.A.	CASH	Yes	No		
Wellington Management Company	PFM	Yes	No		
Wells Fargo Bank, National Association	CASH & DEBT	Yes	No		
Wells Fargo Securities, LLC	CASH & DEBT	Yes	No		
Wellspring Capital Partners III LP	PFM	Yes	No		
Wellspring Capital Partners V, L.P.	PFM	Yes	No		
Welsh Carson Anderson & Stowe Fund III, LP	PFM	Yes	No		
Welsh Carson Anderson & Stowe Fund VIII, LP	PFM	Yes	No		
Welsh Carson Anderson & Stowe Fund X, LP	PFM	Yes	No		
Welsh Carson Anderson & Stowe Fund XI, LP	PFM	Yes	No		
Westport Senior Living Investment Fund, LP	PFM	Yes	No		
William Blair and Company, LLC	DEBT & PFM	Yes	No		
WLR IV PPIP Co-Invest, L.P.	PFM	Yes	No		
WLR Recovery Fund IV, L.P.	PFM	Yes	No		
Xerox State & Local Solutions, Inc.	UCP	No	No		
Yucaipa American Alliance Fund II, LP	PFM	Yes	No		