**INSTRUCTIONS:**

In accordance with State of Connecticut law and regulations, and Office of the Treasurer policy, for the years 2009 to the present, Respondent must provide the Office of the Treasurer with information regarding any actual or potential conflict of interest, or the appearance of a conflict of interest.

If Respondent is a consultant for the Connecticut Retirement Plans and Trust Funds (“CRPTF”), you must also complete **Attachment 4B – Conflict of Interest - CRPTF Consultant Addendum.**

# CERTIFICATION:

1. Respondent or its officers, directors and employees have the following agreements, relationships, retainers or other arrangements with other persons or entities, including without limitation investment banking firms, financial advisory firms or law firms, that may create a conflict of interest or the appearance of a conflict of interest with the Office of the Treasurer. Attach additional page(s) as needed.
   1. No conflicts to report, or
   2. Conflicts are described in attached supplemental pages
2. Respondent has adequate procedures in place to address actual and potential conflicts of interest**.**

The undersigned certifies that the information submitted in Attachment 4A (and Attachment 4B if applicable) is true, complete and accurate to the best of my knowledge and belief.

**Signed:** Click or tap here to enter text.

**Print Name:** Click or tap here to enter text.

**Title:** Click or tap here to enter text.

**Company/Firm (“Respondent”):** Click or tap here to enter text.