



*STATE OF CONNECTICUT  
OFFICE OF THE TREASURER*

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**EVALUATION AND IMPLEMENTATION OF SUSTAINABLE PRINCIPLES**

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**COMPANY Name:** \_\_\_\_\_ (“Respondent”)

**1. Energy Usage**

- a. Do you evaluate energy usage and energy costs of potential investment properties? If yes, how is this taken into account when making investment decisions?
- b. How do you view energy usage and energy costs in relation to projected investment returns?
- c. Do you regularly review the energy usage and energy costs of properties under management? Do you take any actions to reduce energy usage and energy costs? Have you adopted energy usage reduction goals for all properties in your portfolio? If yes, please describe.
- d. Do you evaluate the location of properties in the context of energy usage of the tenants of the property (such as availability of services, transportation availability, etc.)? If yes, how is this taken into account when making investment decisions? Do you evaluate potential climate risks to properties? If yes, how is this taken into account when making investment decisions?

**2. Water Usage**

- a. Do you evaluate water usage, water costs, wastewater, and water recycling? If yes, how is this taken into account when making investment decisions?
- b. Do you evaluate potential water risk to properties (such as water scarcity, or future cost of water)? If yes, how is this taken into account when making investment decisions?
- c. Do you regularly review water usage and wastewater issues of properties under management? Do you take any actions to reduce water usage and related costs?

**3. Hazardous Materials**

- a. What is your procedure for identifying whether there are any hazardous materials (such as lead paint, asbestos, ground contaminants, etc.) in buildings and grounds of potential real estate investments? If you identify hazardous materials, how do you proceed?
- b. Do you use outside consultants to evaluate these issues, or use your firm’s own personnel?

**4. Reporting**

- a. Do you benchmark your investment portfolio of buildings?
- b. Do you issue an annual sustainability report? If yes, is the report in accordance with the Global Reporting Initiative (GRI)? If you issue such a report, please provide us with a copy.

**5. Research and Training**

- a. What training, if any, do you make available (or require) for your personnel in the areas of energy usage, environmental risks, climate risk and water risk?
- b. What outside research resources do have available (consultants, etc.)?

**6. Membership / Signatory**

- a. Is your firm a member or a signatory of any of the following:
- ◆ Investor Network on Climate Risk (INCR)
  - ◆ Carbon Disclosure Project (CDP)
  - ◆ CDP Water Disclosure Project
  - ◆ Principles for Responsible Investment (PRI)
  - ◆ Forest Footprint Disclosure
  - ◆ Social Investment Forum
  - ◆ Council of Institutional Investors (CII)
  - ◆ Business for Social Responsibility
- b. Does your firm use information provided by any of these organizations investment research?
- c. Does your firm actively participate with any of these organizations (attend meetings and/or participate in conference calls/webinars, etc.)?
- d. Are there any other organizations that your firm is a member of, an active participant, or use for research data?