

*Office of the State Treasurer
Summary of Responses to Request for Disclosure
July 1, 2020 through June 30, 2021*

COMPANY NAME	DIVISION	INVESTMENT SERVICES PROVIDER?	PAID 3RD PARTY FEES?	PAYMENT ARRANGEMENTS	COMMENTS
280 Securities LLC	DEBT	Yes	No		
abrdn Inc	PFM	Yes	No		
Acacia Financial Group, Inc.	DEBT	Yes	No		
Academy Securities, Inc.	DEBT	Yes	No		
Acadian Asset Management, Inc.	PFM	Yes	No		
Advent Capital Management LLC	PFM	Yes	No		
Aksia LLC	PFM	Yes	No		
Alliance Bernstein L.P. ("AB")	PFM	Yes	No		
Altaris Constellation Partners IV, L.P.	PFM	Yes	No		
Altaris Health Partners II, L.P.	PFM	Yes	No		
Altaris Health Partners III, L.P.	PFM	Yes	No		
Altaris Health Partners IV, L.P.	PFM	Yes	No		
Altaris Health Partners V, L.P.	PFM	Yes	No		
American Realty Advisors	PFM	Yes	No		
AMTEC Corp.	DEBT	No	No		
Anchorage Capital Group, LLC	PFM	Yes	No		
Anderson, Kill PC	PFM	Yes	No		
Aon Hewitt Investment Consulting, Inc.	PFM	Yes	No		
Apollo Capital Management IX, LLC	PFM	Yes	No		
Apollo Capital Management VIII, LLC	PFM	Yes	No		
Appomattox Advisers, Inc. (Thomas Welles Fund I, LLC)	PFM	Yes	No		
				Kirkland & Ellis, LLP was paid \$696,183 in connection with legal services.	

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Arclight Energy Partners Fund V, L.P.	PFM	Yes	Yes	Deloitte & Touche, LLP was paid \$662,766 in connection with audit and tax services. RBC Capital Markets was paid \$3,242,820 in connection with financial services.	All disclosed fees are permissible third party payments. (See C.G.S. § 3-13(b)(2))
Arclight Energy Partners Fund VI, L.P.	PFM	Yes	Yes	Marsh was paid \$971,699 in connection with insurance services. Deloitte & Touche, LLP was paid \$1,079,073 in connection with audit and tax services.	All disclosed fees are permissible third party payments. (See C.G.S. § 3-13(b)(2))
ArentFox Schiff LLP (as successor to Schiff Hardin, LLP)	DEBT & PFM	Yes	No		
ARES Real Estate Enhanced Income Fund	PFM	Yes	No		
ARGA Investment Management, LP	PFM	Yes	No		
Artemis Real Estate Partners, LLC	PFM	Yes	Yes	Artemis Real Estate Partners, LLC was paid management fees totaling \$942,896 pursuant to the Limited Partnership Agreement.	All disclosed fees are permissible third party payments. (See C.G.S. § 3-13(b)(2))
Attucks Asset Management, LLC (f.k.a Capital Prospects, LLC)	PFM	Yes	No		
Audax Management Company (NY), LLC (Audax Mezzanine Fund III, LP)	PFM	Yes	Yes	Audax Management Company (NY), LLC was paid management fees totaling \$131,741 pursuant to the Limited Partnership Agreement. Audax Mezzanine Business III, LP was paid carried interest totaling \$1,355,183 pursuant to the Limited Partnership Agreement.	All disclosed fees are permissible third party payments. (See C.G.S. § 3-13(b)(2))
Avenu SLS Holdings, L.L.C. (Avenu Insights)	SIF	No	No		
Backstrom, McCarley, Berry & Co., L.L.C.	DEBT	Yes	No		
Balance Point Capital Partners III, L.P. (Connecticut Growth Capital, LLC)	PFM	Yes	Yes	Balance Point Capital CT Advisors, LLC was paid management fees totaling \$531,858 (quarterly in advance) pursuant to the Limited Partnership Agreement.	All disclosed fees are permissible third party payments. (See C.G.S. § 3-13(b)(2))
Bank of America, N.A.	CASH & DEBT	Yes	No		
Bank of Nova Scotia (Scotiabank)	CASH	Yes	No		
Barclays Capital, Inc.	CASH & DEBT	Yes	No		
Barings LLC (formerly Cornerstone Patriot Fund)	PFM	Yes	No		
BCEC Management X Limited (BC Partners)	PFM	Yes	No		

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Berkshire Bank	CASH	Yes	No		
BIG Real Estate Fund I, LP (Basis Investment Group)	PFM	Yes	No		
Bivium Capital Partners, LLC	PFM	Yes	No		
BLA Schwartz PC	PFM	Yes	No		
BlackRock Financial Management, Inc.	PFM	Yes	No		
Blackstone Property Partners Life Sciences, LP	PFM	Yes	No		
Blackstone Real Estate Partners Europe III, L.P.	PFM	Yes	No		
Blackstone Real Estate Partners Europe V, L.P.	PFM	Yes	No		
Blackstone Real Estate Partners VI, L.P.	PFM	Yes	No		
Blackstone Real Estate Partners VIII, L.P.	PFM	Yes	No		
Blackstone Real Estate Special Situations Fund II, LP	PFM	Yes	No		
Blaylock Van LLC	DEBT	Yes	No		
BLX Group, LLC	DEBT	Yes	No		
BNY Mellon Capital Markets	CASH	Yes	No		
BofA Securities, Inc. (formerly Bank of America Merrill Lynch)	CASH & DEBT	Yes	No		
Boston Ventures VII, L.P.	PFM	Yes	No		
Cabrera Capital Markets, LLC	DEBT	Yes	No		
Calamos Advisors LLC	PFM	Yes	No		
Carlyle Realty Partners IX	PFM	Yes	No		
Castlelake, L.P. (f.k.a TPG Credit Strategies Fund II, L.P.)	PFM	Yes	No		
CastleOak Securities, L.P.	CASH	Yes	No		

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Causeway Capital Management, LLC	PFM	Yes	No		
Centerbridge Partners LP	PFM	Yes	No		
Citigroup Global Markets, Inc.	CASH & DEBT	Yes	No		
Citizens Bank N.A.	CASH	Yes	No		
Clarion-Lion Industrial Trust	PFM	Yes	No		
Clay Hill, LLC (CT Horizon Legacy Fund, L.P.)	PFM	Yes			
Clearlake Capital Partners III, L.P.	PFM	Yes	Yes	Clearlake Capital Management III, L.P. was paid management fees totaling \$132,774 pursuant to the Limited Partnership Agreement.	All disclosed fees are permissible third party payments. (See C.G.S. § 3-13(b)(2))
Clearlake Capital Partners IV, L.P.	PFM	Yes	Yes	Clearlake Capital Management IV, L.P. was paid management fees totaling \$283,169 pursuant to the Limited Partnership Agreement.	All disclosed fees are permissible third party payments. (See C.G.S. § 3-13(b)(2))
Clearlake Capital Partners V, L.P.	PFM	Yes	Yes	Clearlake Capital Management V, LP, was paid management fees totaling \$342,004 (inclusive of the amounts paid to Credit Suisse Private Fund, as described below) pursuant to the Limited Partnership Agreement. The Credit Suisse Private Fund Group was paid \$19,338 in connection with placement agent services.	All disclosed fees are permissible third party payments. (See C.G.S. § 3-13(b)(2))
Clearlake Capital Management VI, L.P.	PFM	Yes	Yes	Clearlake Capital Management VI, LP, was paid management fees totaling \$337,615 (inclusive of the amounts paid to Credit Suisse Private Fund, as described below) pursuant to the Limited Partnership Agreement. The Credit Suisse Private Fund Group was paid \$31,204 in connection with placement agent services.	All disclosed fees are permissible third party payments. (See C.G.S. § 3-13(b)(2))
Clearlake Flagship Plus Partners Fund	PFM	Yes	Yes	Clearlake Capital Management Flagship Plus, LP, was paid management fees totaling \$13,938 pursuant to the Limited Partnership Agreement.	All disclosed fees are permissible third party payments. (See C.G.S. § 3-13(b)(2))
Clearlake Opportunities Fund II LP	PFM	Yes	Yes	Clearlake Capital Management Opportunities II, LP, was paid management fees totaling \$568,742 (inclusive of the amounts paid to Credit Suisse Private Fund, as described below) pursuant to the Limited Partnership Agreement.	All disclosed fees are permissible third party payments. (See C.G.S. § 3-13(b)(2))

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				The Credit Suisse Private Fund Group was paid \$32,038 in connection with placement agent services.	
CliftonLarsonAllen LLP	DEBT	No	No		
Cohen Milstein Sellers & Toll PLLC	PFM	Yes	No		
Columbia Management Investment Advisers, LLC	PFM	Yes	No		
Connex Credit Union	CASH	Yes	No		
Constitution Fund V (Co-Invest), LLC (managed by Fairview)	PFM	Yes	No		
Constitution Liquidating Fund I, LP	PFM	Yes	No		
Cooperative Rabobank NY Branch (a.k.a. Rabo Securities USA, Inc.)	CASH	Yes	No		
Court Square Capital Partners II, LLC	PFM	Yes	Yes	Kirkland & Ellis was paid \$335 in connection with ongoing legal services. Various fees totaling \$22,788 were paid in connection with auditing, tax preparation, fund administration and insurance	All disclosed fees are permissible third party payments. (See C.G.S. § 3-13(b)(2))
Court Square Capital Partners III, LLC	PFM	Yes	Yes	Court Square Capital Management, LP was paid management fees totaling \$337,369 pursuant to the Limited Partnership Agreement. Kirkland & Ellis was paid \$605 in connection with ongoing legal services. Various fees totaling \$19,370 were paid in connection with auditing, tax preparation, fund administration, and insurance.	All disclosed fees are permissible third party payments. (See C.G.S. § 3-13(b)(2))
Covenant Apartment Fund VIII, L.P.	PFM	Yes	Yes	Covenant Management Services, Inc. was paid management fees totaling \$190,272 pursuant to the Limited Partnership Agreement. Plante & Moran PLLC was paid \$15,190 in connection with audit and tax services.	All disclosed fees are permissible third party payments. (See C.G.S. § 3-13(b)(2))
Covenant Apartment Fund IX, L.P.	PFM	Yes	Yes	Covenant Management Services, Inc was paid management fees totaling \$625,000 pursuant to the Limited Partnership Agreement. Plante & Moran PLLC was paid \$16,854 in connection with audit and tax services.	All disclosed fees are permissible third party payments. (See C.G.S. § 3-13(b)(2))
Covenant Apartment Fund X, L.P.	PFM	Yes	Yes	Covenant Management Services, Inc was paid management fees totaling \$885,417 pursuant to the Limited Partnership Agreement. Plante & Moran PLLC was paid \$16,583 in connection with audit and tax services.	All disclosed fees are permissible third party payments. (See C.G.S. § 3-13(b)(2))
Coventry Health Care Workers Compensation, Inc.	SIF	No	No		
Crow Holdings Realty Partners VII, LP	PFM	Yes	No		

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Crow Holdings Realty Partners VIII, LP	PFM	Yes	No		
Cypress Acquisition Partners Retail Fund, L.P. (d/b/a Arrow Retail)	PFM	Yes	No		
Day Pitney, LLP	DEBT & PFM	Yes	No		
DDJ Capital Management, LLC	PFM	Yes	No		
DRS Belgium SRL (Deminor International SCRL)	PFM	Yes	Yes	DRS Belgium SRL was paid €6,286 in connection with ongoing legal services.	All disclosed fees are permissible third party payments. (See C.G.S. § 3-13(b)(2))
Denali Advisors, LLC	PFM	Yes	No		
Deutsche Bank AG, New York Branch	PFM	Yes	No		
DLA Piper LLP (US)	PFM	Yes	No		
Dornenburg Group, LLC	DEBT	No	No		
Drexel Hamilton, LLC	DEBT	Yes	No		
Driehaus Capital Management, LLC	PFM	Yes	No		
Eaton Vance Management	PFM	Yes	Yes	Orrick was paid \$637 in connection with financial and legal services. Newstate was paid \$482 in connection with financial and legal services.	All disclosed fees are permissible third party payments. (See C.G.S. § 3-13(b)(2))
EQT VIII (No. 2) SCSp	PFM	Yes	No		
Energy Fund XV, L.P. (TCW-EIG Alternative Investments, LLC)	PFM	Yes	No		
Estrada, Hinojosa & Company, Inc.	DEBT	Yes	No		
Fairview Constitution Fund II, LP	PFM	Yes	No		
Fairview Constitution Fund III, LP	PFM	Yes	No		
Fairview Constitution Fund IV, LP	PFM	Yes	No		
FHN Financial Capital Markets (formerly FTN Financial Capital Markets)	CASH & DEBT	Yes	No		
Fidelity Capital Markets (division of National Financial, LLC)	DEBT	Yes	No		
Fidelity Distributors Company, LLC	PFM	Yes	No		
Fiera Capital, Inc.	PFM	Yes	No		

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First Republic Bank	CASH	Yes	No		
FS Equity Partners V, LP	PFM	Yes	No		
FS Equity Partners VI, LP	PFM	Yes	Yes	Freeman Spogli Management Co, LP was paid management fees totaling \$90,535.	All disclosed fees are permissible third party payments. (See C.G.S. § 3-13(b)(2))
GarMark Partners II, L.P.	PFM	Yes	No		
GCM Customized Fund Investment Group, L.P. (CT Cleantech Opportunities Fund, L.P.)	PFM	Yes	No		
GenNx360 Capital Partners II, LP	PFM	Yes	No		
Gerding Edlen Investment Management (Green Cities II, LP & Green Cities III, LP, Green Cities IV, LP)	PFM	Yes	Yes	Green Cities Investment Management was paid management fees totaling \$1,376,544.	All disclosed fees are permissible third party payments. (See C.G.S. § 3-13(b)(2))
Global Infrastructure Management, LLC	PFM	Yes	No		
Global Payments Direct, Inc.	CASH	No	No		
Goldman Sachs Bank USA	DEBT	Yes	No		
Goldman, Sachs & Co.	CASH & DEBT	Yes	Yes	Squire Patton Boggs was paid co-underwriter's counsel fees totaling \$30,000. Shipman and Goodwin was paid co-underwriter's counsel fees totaling \$30,000. Carat USA was paid \$51,940 in connection with media advertising campaign fees.	All disclosed fees are permissible third party payments. (See C.G.S. § 3-13(b)(2))
Goldman Sachs Mitsui Derivative Products LP	PFM	Yes	No		
Goodwin Capital Advisers, Inc.	PFM	Yes	No		
GQG Partners LLC	PFM	Yes	No		
Grain Communications Opportunity Fund III, L. P.	PFM	Yes	No		
Grant & Eisenhofer, PA	PFM	Yes	No		
Great Pacific Securities	CASH	Yes	No		
Hamilton Lane Advisors, LLC	PFM	Yes	No		
HarbourVest Partners, LP	PFM	Yes	No		
Hardwick Law Firm, LLC	DEBT	Yes	No		
Hart Realty Advisers, Inc.	PFM	Yes	No		

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Hartford Funds Management Company	PFM	Yes	Yes	State Street Bank and Trust company was paid \$34,334 in connection with fund accounting services. Ascensus College Savings Recordkeeping Services was paid \$947,947 for the rendering of record keeping and other administrative services. Deloitte & Touche LLP was paid \$120,000 in connection with audit fees paid to an independent auditor. BlackRock Investments LLC, BlackRock Advisors LLC and BlackRock Fund Advisors were paid \$13,850 in connection with administrative services. Invesco Advisers Inc., was paid \$63,028 in connection with administrative services.	All disclosed fees are permissible third party payments. (See C.G.S. § 3-13(b)(2))
Hawkins, Delafield & Wood, LLP	DEBT	Yes	No		
HG Saturn 2 A, L.P. (HG Pooled Management Limited)	PFM	Yes	No		
HG Genesis 9 A, L.P. (HG Pooled Management Limited)	PFM	Yes	No		
HG Titan 1 A, L.P. (HG Pooled Management Limited)	PFM	Yes	No		
Hilltop Securities, Inc.	DEBT	Yes	No		
Hinckley Allen	PFM	Yes	No		
Hollyport Capital, LLP	PFM	Yes	No		
Hudepohl & Associates, Inc.	PFM	No	No		
ICG Europe Fund VII	PFM	Yes	No		
ICG Europe Fund VIII	PFM	Yes	No		
ICV Capital Partners, LLC	PFM	Yes	No		
IFM Investors (US) Advisor, LLC	PFM	Yes	No		
IL&FS India Realty Fund II, LLC	PFM	Yes	No		
ImageMaster, Inc.	DEBT	No	No		
Institutional Shareholder Services, Inc.	PFM	No	No		

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Ironwood Mezzanine Fund IV, LP	PFM	Yes	No		
J M Lummis & Company	CASH	Yes	No		
J.P. Morgan Investment Management, Inc. (PEG) (J.P. Morgan Chase Strategic Property Fund)	PFM	Yes	No		
J.P. Morgan Securities, LLC	CASH & DEBT	Yes	No		
Jackson Walker LLP	PFM	Yes	No		
Janney Montgomery Scott LLC	DEBT	Yes	No		
Jefferies, LLC	DEBT	Yes	No		
JFL Equity Investors III, LP	PFM	Yes	Yes	Debevoise & Plimpton was paid \$39,221 (CT share \$3,458) in connection with legal services. Richards, Layton & Finger was paid \$4,473 (CT share \$395) in connection with legal services.	All disclosed fees are permissible third party payments. (See C.G.S. § 3-13(b)(2))
JFL Equity Investors IV, LP	PFM	Yes	Yes	Debevoise & Plimpton was paid \$9,835 (CT share \$914) in connection with legal services.	All disclosed fees are permissible third party payments. (See C.G.S. § 3-13(b)(2))
JFL Equity Investors V, LP	PFM	Yes	Yes	Jones Day was paid \$855,000 (CT Share \$78,953) in connection with legal services. Caplan Consulting was paid \$395,000 (CT share \$36,475) in connection with consulting and legal services. Richard, Layton & Finger was paid \$31,055 (CT share \$2,868) in connection with consulting and legal services.	All disclosed fees are permissible third party payments. (See C.G.S. § 3-13(b)(2))
K2 Advisors, LLC / D&S Management Co., L.L.C. (Prudence Crandall Fund IV, LLC)	PFM	Yes	No		
K5 Private Investors LP (K1) Investment Management, LLC)	PFM	Yes	No		
Kelmar Associates, LLC	UCP	No	No		
Kessler Topaz Meltzer & Check, LLP	PFM	Yes	No		
KeyBank National Association	CASH	Yes	No		
KKR 2006 Fund LP	PFM	Yes	No		
KKR Millennium Fund LP	PFM	Yes	No		
Labaton Sucharow, LLP	PFM	Yes	No		
Landmark Equity Partners XIV, L.P.	PFM	Yes	No		
Landmark Equity Partners XV, L.P.	PFM	Yes	Yes	Legal Services: Kirkland & Ellis, LLP - \$131,658	All disclosed fees are permissible third party payments. (See C.G.S. § 3-13(b)(2))
				Placement Agent:	

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Landmark Equity Partners XVI, L.P.	PFM	Yes	Yes	JP Morgan Securities - \$2,282,760 Wells Fargo Investment Institute - \$384,800 Legal Fees: Gibson, Dunn and Crutcher - \$118,111 Kirkland & Ellis - \$230,189	All disclosed fees are permissible third party payments. (See C.G.S. § 3-13(b)(2))
Landmark Real Estate Partners VII, LP	PFM	Yes	Yes	Management Fees outside of Commitment: Lombard International Life Assurance Company - \$89,954 NCH New Europe Property Invest - \$170,784 DLJ Real Estate Capital Partners - \$685,853 Silverpeak Real Estate Partners - \$123,271 North Haven Real Estate Fund VII Global - \$69,634 Consulting Fees: The Eider Group - \$51,471	All disclosed fees are permissible third party payments. (See C.G.S. § 3-13(b)(2))
Landmark Real Estate Partners VIII, LP	PFM	Yes	Yes	Legal Fees: IQ-EQ - \$94,639 Kirkland & Ellis, LLP - \$89,949 Proskauer Rose, LLP - \$68,092 Ropes & Gray, LLP - \$151,534 Management Fees outside of Commitment: Aegon Multi-Family Equity Fund, LLC - \$302,596 Crow Holdings Realty Fund II, LP - \$216,477 Crow Holdings Realty Partners VII, LP - \$200,924 Gateway V GP, Ltd - \$130,225 Iron Point Real Estate Partners II, LP - \$87,884 NCH New Europe Property Investors Corp. - \$441,918 Rockpoint Real Estate Fund V GP, LLC - \$194,494 Westbrook Strategic Management II, LLC - \$311,276	All disclosed fees are permissible third party payments. (See C.G.S. § 3-13(b)(2))
Law Offices of Joseph C. Reid, P.A.	DEBT	Yes	No		
Lazard Asset Management, LLC	PFM	Yes	No		
Leeds Equity Advisors, Inc.	PFM	Yes	Yes	Leeds Equity Advisors, Inc. was paid management fees and carried interest totaling \$731,055 pursuant to the Limited Partnership Agreement.	All disclosed fees are permissible third party payments. (See C.G.S. § 3-13(b)(2))
Levine Leichtman Capital Partners IV, LLC	PFM	Yes	No		
Levine Leichtman Capital Partners V, LLC	PFM	Yes	No		
Lewis & Munday, P.C.	DEBT	Yes	No		
Lieff, Cabraser, Heimann & Bernstein, LLP	PFM	Yes	No		
Livingbridge EP LLP	PFM	Yes	No		
Lonestar Real Estate Partners II, L.P.	PFM	Yes	No		
Long Capital Markets, LLC	CASH &	Yes	Yes	Lewis & Munday, PC was paid \$25,000 in connection with underwriter's counsel fees.	All disclosed fees are permissible third party payments. (See C.G.S. §

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Loop Capital Markets, LLC	DEBT	Yes	Yes	Hawkins Delafield & Wood was paid \$25,000 in connection with underwriter's counsel fees.	3-13(b)(2))
Lowey Dannenberg Cohen & Hart, P.C.	PFM	Yes	No		
Mahoney Sabol & Company, LLP	DEBT	Yes	No		
McCarter & English, LLP	PFM	Yes	No		
Meketa Investment Group, Inc.	PFM	Yes	No		
Mesirow Financial REVF IV-GP, LLC	DEBT	Yes	Yes	\$525,000 was accrued for management fees payable to Mesirow Financial Investment Management, Inc.	All disclosed fees are permissible third party payments. (See C.G.S. § 3-13(b)(2))
Mischler Financial Group, Inc.	DEBT	Yes	No		
Mizuho Securities USA, Inc.	CASH	Yes	No		
Morgan Stanley & Co. LLC	DEBT	Yes	No		
Muller & Monroe Asset Management, LLC (CT Emerging Private Equity Fund of Funds L.P.)	PFM	Yes	Yes	Muller & Monroe Asset Management, LLC was paid management fees totaling \$53,030. Schiff Hardin, LLP was paid \$32,070 in connection with legal services. RMS US, LLP was paid \$69,125 in connection with audit/tax fees. GreatBanc Trust Company was paid \$40,208 in connection with custody services. Upwelling Capital Group was paid \$358,627 in connection with secondary sale advisory services. Greenburg Traurig was paid \$65,504 in connection with secondary sale advisory services.	All disclosed fees are permissible third party payments. (See C.G.S. § 3-13(b)(2))
Natwest Markets (f.k.a. RBS Securities, Inc.)	PFM	Yes	No		
New Haven Bank (formerly Start Community Bank)	CASH	Yes	No		
Nomura Asset Management USA, Inc.	PFM	Yes	No		
Nordea	CASH	Yes	No		
Northern Trust Investments, Inc.	PFM	Yes	No		
Nossaman LLP	PFM	Yes	No		

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Nutmeg Opportunities Fund, L.P. (J.P. Morgan Investment Management, Inc.)	PFM	Yes	No		
Nutmeg Opportunities Fund II, LLC (J.P. Morgan Investment Management, Inc.)	PFM	Yes	No		
NW Financial Group, LLC (parent company of NW Capital Markets, Inc.)	DEBT	Yes	No		
Oak Street Real Estate Capital, LLC	PFM	Yes	No		
O'Brien-Staley Partners	PFM	Yes	Yes	Atlantic Pacific Capital was paid \$1,387,500 in connection with placement agent fees.	All disclosed fees are permissible third party payments. (See C.G.S. § 3-13(b)(2))
One Rock Capital Partners LLC	PFM	Yes	No		
Oppenheimer & Co., Inc.	CASH & DEBT	Yes	No		
Orrick, Herrington & Sutcliffe, LLP	DEBT & PFM	Yes	No		
Owl Rock Capital Advisors, LLC	PFM	Yes	No		
Payden & Rygel	PFM	Yes	No		
Pegasus Partners IV, LP	PFM	Yes	No		
Pegasus Partners V, LP	PFM	Yes	No		
People's United Bank, N.A.	CASH	Yes	No		
PFM Financial Advisors, LLC (f.k.a. Public Financial Management, Inc.)	DEBT	Yes	No		

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PGIM Real Estate (f.k.a. Prudential Property Investments S/A, LP)	PFM	Yes	No		
PineBridge Investments, LLC	PFM	Yes	No		
Piper Sandler & Co. (formerly Piper Jaffray & Co.)	CASH & DEBT	Yes	No		
PNC Capital Markets, LLC	DEBT	Yes	No		
Prime Property Fund, LLC (Morgan Stanley Real Estate Advisor)	PFM	Yes	No		
Pugh Capital Management, Inc.	PFM	Yes	No		
Pullman & Comley, LLC	DEBT & PFM	Yes	No		
Raymond James & Associates, Inc.	CASH & DEBT	Yes	No		
RBC Capital Markets, LLC	CASH & DEBT	Yes	No		
R/C Renewable Alternative Energy Fund II LP Customized Fund Investment) (GCM	PFM	Yes	No		
Reinhart, Boerner, Van Deuren, sc	PFM	Yes	No		
RFE Investment Partners VII, L.P.	PFM	Yes	No		
RFE Investment Partners VIII, L.P.	PFM	Yes	No		
RhumbLine Advisers LP	PFM	Yes	No		
Rice Financial Products Company	DEBT	Yes	No		
Robbins Geller Rudman & Dowd, LLLP	PFM	Yes	No		
Robert W. Baird & Co., Incorporated	DEBT	Yes	No		
Robinson & Cole, LLP	DEBT & PFM	Yes	No		
Robinson Bradshaw & Hinson, P.A.	PFM	Yes	No		
Rock Creek Connecticut, LLC (Prudence Crandall Fund III, LLC)	PFM	Yes	No		
Rockfleet Financial Services, Inc.	DEBT	Yes	No		
Rockwood Capital Real Estate Partners Fund VI, LP	PFM	Yes	No		
Rockwood Capital Real Estate Partners Fund VII, LP	PFM	Yes	No		
Roosevelt & Cross, Incorporated	DEBT	Yes	No		

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Samuel A. Ramirez & Co., Inc.	DEBT	Yes	No		
Santander Bank, N.A.	CASH	Yes	No		
Saxena White, PA	PFM	Yes	No		
Schroder Investment Management North America, Inc.	PFM	Yes	No		
Scott+Scott Attorneys at Law LLP	PFM	Yes	No		
Seward & Monde, CPAs	DEBT	No	No		
Shenkman Capital Management, Inc.	PFM	Yes	No		
SHI International Corp.	DEBT	No	No		
Shipman & Goodwin, LLP	DEBT & PFM	Yes	No		
Siebert Williams Shank & Co., LLC (f.k.a. Siebert Cisneros Shank & Co., LLC)	DEBT	Yes	No		
Siris Partners IV, L.P (Siris Capital Group, LLC)	PFM	Yes	No		
Sixth Street TAO GenPar, LP	PFM	Yes	No		
Soeder & Associates LLC	DEBT	Yes	No		
Squire, Patton Boggs, LLP	DEBT & PFM	Yes	No		
Starwood Distressed Opportunity Fund IX, L.P.	PFM	Yes	Yes	Title Company: First American Title Company - \$825,000 Hotel Advisor: Jones Lang Lasalle Americas, Inc. - \$372,513 Digital Risk: Cybelangel USA, Inc. - \$71,400 Office Administration: Connor and Gaskins Unlimited, LLC - \$107,289 Legal Services: Diserio Martin O'Connor & Castiglioni, LLP - \$124,783 Kirkland & Ellis - \$226,836 Paul Hastings, LLP - \$35,271 Rinaldi, Finkelstein & Franklin, LLC - \$446,662 Private Equity: Blue Back Capital Partners, LLC - \$589,667 Tax Advisor: Ernst & Young, LLP - \$910,583	All disclosed fees are permissible third party payments. (See C.G.S. § 3-13(b)(2))

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COMPANY NAME	DIVISION	INVESTMENT SERVICES PROVIDER?	PAID 3RD PARTY FEES?	PAYMENT ARRANGEMENTS	COMMENTS
				Forecastle Advisors, LLC - \$325,000 Consulting: Grant Thornton, LLP - \$78,752 ST Global Services, LLP - \$148,950 Audit / Tax: Pricewaterhousecoopers, LLP - \$558,775	
Starwood Global Opportunity Fund VII, LP	PFM	Yes	Yes	Beacon Advisors was paid \$90,000 in connection with consulting services. Deloitte & Touche LLP was paid \$99,250 in connection with auditing services. Ernst & Young LLP was paid \$163,720 in connection with tax advisor services. Rinaldi, Finkelstein & Franklin LLC was paid \$103,705 in connection with legal services.	All disclosed fees are permissible third party payments. (See C.G.S. § 3-13(b)(2))
Starwood Global Opportunity Fund VIII, LP	PFM	Yes	Yes	Ernst & Young LLP was paid \$492,919 in connection with tax advisor services. Pricewaterhousecoopers, LLP was paid \$359,950 in connection with audit/tax services. Forecastle Advisors, LLC was paid \$65,000 in connection with tax advisor services. Dechert was paid \$57,230 in connection with legal services. Rinaldi, Finkelstein & Franklin LLC was paid \$163,430 in connection with legal services.	All disclosed fees are permissible third party payments. (See C.G.S. § 3-13(b)(2))
Starwood Opportunity Fund X Global, LP	PFM	Yes	Yes	Audit / Tax: Deloitte & Touche, LLP - \$383,500 Tax Advisor: Ernst & Young, LLP - \$1,289,371 Forecastle Advisors, LLC - \$910,000 Deloitte Tax, LLP - \$51,737 Consulting: Grant Thornton, LLP - \$356,433 Paul Hastings, LLP - \$161,515 ST Global Services, LLP - \$95,089 Legal: Kirland & Ellis - \$727,350 Rinaldi, Finkelstein & Franklin, LLC - \$595,885 Mayer Brown LLP - \$52,037 Kromann Reumert - \$87,500 VCC, LLC - \$ 175,000	All disclosed fees are permissible third party payments. (See C.G.S. § 3-13(b)(2))
				Consulting: A5 REIT Services, LLC - \$314,249 Carlsen Equities - \$ 58,645 Chatham Financial Corp. - \$88,766 Cohn Reznick, LLP - \$88,237 Costar Realty Information, Inc. - \$61,092 CT Corporation System - \$198,622 Grant Thornton, LLP - \$488,815 Paul Hastings, LLP - \$142,160 Roofstock, Inc. - \$150,000 Selective Insurance - \$68,068 ST Global Services, LLC - \$87,137	

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Starwood Opportunity Fund XI, LP	PFM	Yes	Yes	Starmark Appraisals, LLC - \$51,000 Sungard Avantgard, LLC - \$59,903 Thompson Reuters UK Limited - \$65,464 Tiber Capital Group - \$156,025 Yardi Systems, Inc. - \$68,964 Fund Administration: UMB Fund Services, Inc. - \$70,950 Legal: Bradley Arant Boult Cummings, LLP - \$ 139,404 Foley & Lardner, LLP - \$204,939 Haynes and Boone, LLP - \$74,462 Kirkland & Ellis - \$2,046,267 Rinaldi, Finkelstein & Franklin, LLC - 2,410,924 Skadden, Arps, Slate Meagher & Flom, LLP - \$1,776,047 White & Case - \$187,853 Tax Advisor: Deloitte Tax, LLP - \$80,850 Ernst & Young, LLP - \$1,115,923 Forecastle Advisors, LLC - \$ 850,000 Auditor: Pricewaterhousecoopers, LLP - \$1,844,709	All disclosed fees are permissible third party payments. (See C.G.S. § 3-13(b)(2))
State Street Global Advisors Trust Company	PFM	Yes	No		
Stellex Capital Partners II, LP	PFM	Yes	Yes	Stellex Management was paid management fees totaling \$865,568 pursuant to the Limited Partnership Agreement. Placement Fees: Credit Suisse - \$18,622 SixPoint - \$9,659 Zade Zalatimo - \$1,431	All disclosed fees are permissible third party payments. (See C.G.S. § 3-13(b)(2))
Stern Brothers & Co.	DEBT	Yes	No		
Stifel Nicolaus & Company, Incorporated	CASH & DEBT	Yes	No		
StoneCastle Cash Management, LLC	CASH	Yes	No		
Stonepeak Partners LP	PFM	Yes	No		
Stone X Financial, Inc. (formerly Int'l FCStone Partners, L.P.)	CASH	Yes	No		
Svenska Handelsbanken	CASH	Yes	No		
Sycamore Advisors, LLC	DEBT	Yes	No		
T. Rowe Price Associates, Inc.	PFM	Yes	No		
TA XI, L.P.	PFM	Yes	Yes	TA Associates XI GP, LP was paid management fees totalling \$11,805,004 pursuant to the Limited Partnership Agreement.	All disclosed fees are permissible third party payments. (See C.G.S. § 3-13(b)(2))

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TD Bank, N.A.	CASH	Yes	No		
TD Securities (USA) LLC	CASH & DEBT	Yes	No		
The Bank of New York Mellon	PFM	Yes	No		
The Rock Creek Group, LP	PFM	Yes	No		
The Vistria Group, LP	PFM	Yes	No		
Thomas H. Lee Equity Fund VI, LLC	PFM	Yes	No		
Torchlight Investors LLC	PFM	Yes	No		
Toyota Financial Services	CASH	Yes	No		
TruAmerica Multifamily LLC	PFM	Yes	Yes	Lightpath Capital was paid \$45,833 in connection with investment and placement agent services.	All disclosed fees are permissible third party payments. (See C.G.S. § 3-13(b)(2))
U.S. Bank National Association	CASH & DEBT	Yes	No		
UBS Financial Services, Inc.	DEBT	Yes	Yes	UBS Securities LLC was paid underwriting fees totaling \$2,363 pursuant to the Distribution and Service Agreement.	All disclosed fees are permissible third party payments. (See C.G.S. § 3-13(b)(2))
UBS Trumbull Property Fund (managed by UBS Realty Investors LLC)	PFM	Yes	Yes	Trumbull Property Fund was paid management fees totaling \$552,942.	All disclosed fees are permissible third party payments. (See C.G.S. § 3-13(b)(2))
UBS Trumbull Property Growth & Income Fund (managed by UBS Realty Investors LLC)	PFM	Yes	Yes	Trumbull Property Growth & Income Fund was paid management fees totaling \$801,464.	All disclosed fees are permissible third party payments. (See C.G.S. § 3-13(b)(2))
UBS Trumbull Property Income Fund (managed by UBS Realty Investors LLC)	PFM	Yes	Yes	Trumbull Property Income Fund was paid management fees totaling \$492,400.	All disclosed fees are permissible third party payments. (See C.G.S. § 3-13(b)(2))
UMB Bank	DEBT	Yes	No		
US RE Company LLC (fka USAA Eagle Real Estate Fund)	PFM	Yes	No		
Vining Sparks IBG, L.P.	CASH	Yes	No		
Vista Equity Partners Fund III, L.P.	PFM	Yes	Yes	Various fees were paid totaling \$30,392 including, but not limited to administrator fees, audit fees, tax preparation fees, legal expenses and insurance.	All disclosed fees are permissible third party payments. (See C.G.S. § 3-13(b)(2))
Vista Equity Partners Fund IV, L.P.	PFM	Yes	Yes	Various fees were paid totaling \$55,077 including, but not limited to administrator fees, audit fees, tax preparation fees, legal expenses and insurance.	All disclosed fees are permissible third party payments. (See C.G.S. § 3-13(b)(2))
Vista Equity Partners Fund VI, L.P.	PFM	Yes	Yes	Various fees were paid totaling \$83,857 including, but not limited to administrator fees, audit fees, tax preparation fees, legal expenses and insurance.	All disclosed fees are permissible third party payments. (See C.G.S. § 3-13(b)(2))
Vista Equity Partners Fund VII, L.P.	PFM	Yes	Yes	Various fees were paid totaling \$166,809 including, but not limited to administrator fees, audit fees, tax preparation fees, legal expenses and insurance.	All disclosed fees are permissible third party payments. (See C.G.S. § 3-13(b)(2))
Waterton	PFM	Yes	No		

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Webster Bank, N.A.	CASH	Yes	No		
Wellington Management Company	PFM	Yes	No		
Wells Fargo Bank, National Association	CASH & DEBT	Yes	No		
Wellspring Capital Partners V, L.P.	PFM	Yes	No		
Wellspring Capital Partners VI, L.P.	PFM	Yes	No		
Welsh Carson Anderson & Stowe Fund XI, LP	PFM	Yes	No		
Welsh Carson Anderson & Stowe Fund XII, LP	PFM	Yes	No		
Welsh Carson Anderson & Stowe Fund XIII, LP	PFM	Yes	No		
WLR IV PPIP Co-Invest, L.P.	PFM	Yes	Yes	Broadpeak Global, LP was paid \$531,447 in connection with non-discretionary advisory and other services.	All disclosed fees are permissible third party payments. (See C.G.S. § 3-13(b)(2))
WLR Recovery Fund IV, L.P.	PFM	Yes	Yes		
Xponance, Inc. (formerly FIS Group)	PFM	Yes	No		
Yucaipa American Alliance Fund II, LP	PFM	Yes	No		
Yucaipa American Alliance Fund III, LP	PFM	Yes	No		