



STATE OF CONNECTICUT  
LONG TERM CARE PLANNING COMMITTEE

January 1, 2019

The Honorable Douglas McCrory, Senate Chair  
The Honorable Kevin C. Kelly, Senate Chair  
The Honorable Joseph C. Serra, House Chair  
Aging Committee  
State Capitol, Room 011  
Hartford, CT 06106

The Honorable Marilyn V. Moore, Senate Chair  
The Honorable Joe Markley, Senate Chair  
The Honorable Catherine F. Abercrombie, House Chair  
Human Services Committee  
Legislative Office Building, Room 2000  
Hartford, CT 06106

Dear Committee Chairs:

As required by Section 17b-337(d) of the Connecticut General Statutes, enclosed please find the Long-Term Care Planning Committee's annual report on the number of persons receiving long-term services and supports in the community and the number of persons receiving long-term services and supports in institutions.

If you have any questions on the report, please call me at the Office of Policy and Management at 860-418-6286.

Sincerely,

David Guttchen  
Chair, Long-Term Care Planning Committee  
Office of Policy and Management

cc: Members and Clerks of the Aging, Human Services, and Public Health Committees  
Long-Term Care Planning Committee  
Long-Term Care Advisory Council  
Benjamin Barnes, Secretary, Office of Policy and Management  
Anne Foley, Acting Undersecretary, Office of Policy and Management  
Clerk of the Senate  
Clerk of the House  
Office of Legislative Research  
State Librarian

# CT Rebalancing: Medicaid Long-Term Care Clients and Expenditures

## SFY 2018

### Clients

- From SFY 2017 to SFY 2018, the percentage of individuals receiving Medicaid long-term care services in the community versus an institution increased by approximately 5.0%. Sixty-four percent (64%) of Medicaid clients (a monthly average of 29,585) were served in the community and thirty-six percent (36%) of Medicaid clients (a monthly average of 16,685) received care in an institution.
- Since SFY 2003, the percentage of Medicaid clients receiving care in the community has increased by 39%: from 46% in SFY 2003 to 64% in SFY 2018.

PERCENTAGE OF CONNECTICUT MEDICAID LONG-TERM CARE CLIENTS OVER TIME			
SFY	Home & Community Care	Institutional Care	Total Monthly Average LTC Medicaid Clients
<b>1999-00</b>	<b>41%</b>	<b>59%</b>	<b>36,957</b>
2002-03	46%	54%	37,969
2003-04	49%	51%	39,305
2004-05	50%	50%	40,417
2005-06	51%	49%	41,773
2006-07	52%	48%	41,335
2007-08	52%	48%	40,057
2008-09	53%	47%	40,097
2009-10	54%	46%	40,448
2010-11	55%	45%	41,468
2011-12	56%	44%	41,719
2012-13	58%	42%	42,577
2013-14	59%	41%	44,712
2014-15	60%	40%	45,876
2015-16	60%	40%	46,024
2016-17	61%	39%	45,598
2017-18	64%	36%	46,270

## Expenditures

- In SFY 2018, the percentage of Medicaid long-term care expenditures for individuals in the community versus in an institution increased by 6.0% from SFY 2017. Of the long-term care expenditures for individuals enrolled in Medicaid, 53% were for services provided in the community and 47% were for institutional care.
- Since SFY 2003, the percentage of Medicaid long-term care expenditures for home and community-based care has increased by 71%, from 31% in SFY 2003 to 53% in SFY 2018.

PERCENTAGE OF CONNECTICUT MEDICAID EXPENDITURES FOR LONG-TERM CARE OVER TIME					
SFY	Home & Community Care	Institutional Care	Total LTC Medicaid Expenditures	Total Medicaid Expenditures	Percentage of Total Medicaid Expenditures for LTC
2003	31%	69%	\$1,914,273,731	\$3,406,301,048	56%
2004	33%	67%	\$1,955,406,395	\$3,541,153,371	55%
2005	35%	65%	\$1,977,418,433	\$3,715,210,091	53%
2006 <sup>a</sup>	32%	68%	\$2,227,237,142	\$4,003,243,481	56%
2007	33%	67%	\$2,299,133,950	\$4,016,531,371	57%
2008	33%	67%	\$2,403,524,813	\$4,361,642,828	55%
2009 <sup>b</sup>	35%	65%	\$2,499,416,752	\$5,481,108,439	46%
2010 <sup>c, d</sup>	38%	62%	\$2,586,673,481	\$5,120,011,692	51%
2011	40%	60%	\$2,695,265,598	\$5,764,332,014	47%
2012	41%	59%	\$2,770,265,028	\$5,932,580,102	47%
2013	43%	57%	\$2,894,062,447	\$6,230,395,960	46%
2014 <sup>e</sup>	45%	55%	\$2,876,616,284	\$6,880,327,373	42%
2015	45%	55%	\$2,889,022,951	\$7,167,438,562	40%
2016 <sup>f</sup>	49%	51%	\$3,063,784,905	\$7,424,270,721	41%
2017 <sup>g</sup>	50%	50%	\$3,214,941,505	\$7,521,804,316	43%
2018	53%	47%	\$3,259,286,335	\$7,740,843,361	42%

### Notes:

- a -Between SFY 2005 and SFY 2006, the percent of Medicaid long-term care expenditures for institutional care increased, from 65 to 68 percent, reversing a trend toward shifting expenses toward community-based care. The increase was not due to an increase in the use of institutional care or a shift away from home and community-based care, but rather reflects the significant Medicaid rate increase provided specifically to nursing homes in the fall of 2005.
- b - Beginning in SFY 2009, expenditures are adjusted to account for retroactive claims.
- c - For SFY 2010, the proportion of long-term care Medicaid expenditures for care in the community increased by 5% over the previous year. This large increase is due in part to a rebasing of rates for Medicaid long-term care services for persons with developmental disabilities.
- d - Beginning in SFY 2010, two new Medicaid services were added: 1) a new service category of Hospice was added to both Home and Community Care and Institutional Care and 2) the new Mental Health Waiver.
- e - Beginning in SFY 2014, the Autism Medicaid Waiver was added and the MR Waiver was eliminated.
- f- SFY 2016 CFC and ABI II expenditures included for the first time.
- g- This reflects a correction from SFY 2017 reported numbers. In SFY 2018 it was realized that SFY 2017 expenditure data inadvertently did not pull-in CFC expenditures. This is the corrected figure for SFY 2017 - made Nov 2018.

# CT Rebalancing: Non-Medicaid Long-Term Care Clients

## SFY 2018

### State-Funded Levels of the Connecticut Home Care Program for Elders<sup>1</sup>

In addition to Medicaid funded long-term services and supports programs, the State of Connecticut operates two state-funded levels of the Connecticut Home Care Program for Elders (CHCPE). The state-funded CHCPE program allows seniors who qualify for nursing facility level of care but have incomes and assets exceeding Medicaid levels to receive home care services in their home rather than a nursing facility.

- In SFY 2018, on average, the state-funded levels of CHCPE provided home care services to 2,922 clients per month.
- Of the 2,922 CHCPE clients served, the average monthly enrollment for level 1 was 469 and 2,453 for level 2.
- In 2018, the total annual expenditures for the state-funded levels of CHCPE were \$36,489,526.

### Estimated Number of Non-Medicaid Nursing Facility Occupants<sup>2</sup>

- From 2004 to 2018 the total number of licensed nursing facility beds declined by 14% (from 29,801 to 25,527).
- As of September 30, 2018 nursing facilities in Connecticut had an average occupancy rate of 88% (of the 25,527 available nursing facility beds in Connecticut, 22,401 are occupied).
- Of the 22,401 nursing facility beds occupied, an estimated monthly average of 15,617 were occupied by Medicaid clients and 6,784 beds were occupied by non-Medicaid clients.

Nursing Facility Occupancy Data 2017 - 2018	
25,527	Total nursing facility beds in CT as of 9/30/18
88%	Average occupancy rate as of 9/30/18
22,401	Number of occupied beds on 9/30/18
15,617	Average monthly Number of Medicaid clients in a nursing facility SFY 2018 <sup>3</sup>
6,784	Estimated number of non-Medicaid clients in a nursing facility SFY 2018
70%	Percent of occupied nursing facility beds funded by Medicaid

<sup>1</sup> Data from Department of Social Services CHCPE monthly reports as submitted to OPM.

<sup>2</sup> Unless otherwise noted, all data in this section is from the OPM Annual Nursing Facility Census [Annual Nursing Facility Census, 2018](#).

<sup>3</sup> Data from OPM Annual Rebalancing Medicaid Client Spreadsheet, SFY 2018.

## CT Rebalancing: Combined Medicaid and Non-Medicaid Long-Term Care Clients<sup>4</sup>

**SFY 2018**

### Total Monthly Average Home and Community Care Clients SFY 2018

State-Funded Home Care Clients	Medicaid Home Care Clients	Total Home Care Clients
2,922	29,585	32,507

### Total Monthly Average Institutional Care Clients SFY 2018<sup>5</sup>

Non-Medicaid Institutional Clients	Medicaid Institutional Clients	Total Institutional Clients
5,716	16,685	22,401

### Total Monthly Average Medicaid and Non-Medicaid Long-Term Care Clients SFY 2018

Total Non-Medicaid Long-Term Care Clients	Total Medicaid Long-Term Care Clients	Total Long-Term Care Clients
8,638	46,270	54,908

<sup>4</sup> Non-Medicaid and non-state-funded home care data is not available.

<sup>5</sup> Institutional Care includes: nursing facilities, Intermediate Care Facilities for Individuals with Intellectual Disabilities (ICF/IIDs) and chronic disease hospitals.