

Information Technology Capital Investment Program
Project Status Report

To: Information Technology Strategy and Investment Committee
John Vittner, Office of Policy and Management

From: Ann Morgan

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Agency: Office of State Ethics

Project: OSE IT Upgrades and New Projects

Project Manager: Ann Morgan

Reporting Period: Project Inception through 12/31/2021

Total Funds Requested: \$313,617

Total Funds Allotted to Agency: \$313,617

Accumulative Total Capital Fund Expenditures to Date: \$250,552

Brief Project Description/Summary:

Complete the necessary upgrades to the OSE filing applications for lobbyist, public officials and state employees, purchase the necessary hardware/software to support the applications and other IT upgrades to allow the OSE to meet its mandate of providing education, legal advice and guidance, transparency and enforcement in order to ensure ethical state government. Build/customize an integrated OSE case management system.

Summary of Progress Achieved to Date:

Class definition, Lookup Values and User authentication Attributes, Internal Firewall Strategy have all been defined. Business and technical architecture of OSE existing system, Interfaces and components and technical requirements have been completed.

1/3/19 - Converting the OSE case tracking system from the current MS access to .net web base and integrating it with Laserfiche, our document management system and lobbyist data.

07/18/2019 - Phase 1 is in the testing stage. Ready to go live soon. Phase 2 is in the design stage.

01/15/2020 - Phase 1 is complete as to the Case Management. Step 1 of Phase 2 is complete. Phase 2 remaining steps are not started.

07/06/2020 - Due to COVID we have no update to report.

02/01/2021 - Due to COVID we have no update to report.

01/31/2022 - We have retained a new vendor to address several inadequacies in the performance of the Case Management System (CMS) and optimize its performance. The vendor has been addressing these issues successfully and is in the process of making further improvements to the CMS. We anticipate that the vendor's work on the CMS should be completed by our next report.

Issues and Risks:

No issues as of July 5, 2018

No issues as of Jan 3, 2019

No issues as of July 18, 2019

Issue as of Jan 15, 2020 - Delay in completion of Steps 2 - 5 of Phase 2. We encountered issues with the vendor, specifically its timely completion of the requested work.

07/06/2020 - Due to COVID we have no update to report.

02/01/2021 - Due to COVID we have no update to report.

07/07/2021 - Following our termination of services from our original vendor, we have identified several inadequacies in the performance of the CMS. In order to optimize operational performance of the system, we are in the process of selecting another vendor to address those inadequacies and make further improvements.

01/31/2022 - No issues or Risks to report.

Next Steps & Project Milestones:

Converting the OSE case tracking system from the current MS access to .net web base and integrating it with Laserfiche, our document management system.

1/3/19 - Complete UAT testing of the Case Tracking system and train Staff.

07/18/2019 - Testing CMS, ready to go live 07/23/2019.

01/15/2020 - The OSE encountered significant delays by the vendor in the completion of the project. We are presently assessing the manner in which to complete the project efficiently. To achieve the desired outcome, we still need to do the following:

1. Migrate existing lobbyist code from existing Crystal reports to web reporting and WEB power BI reports.
2. Modernize the SFI and Lobbyist filing portal and convert all code from asp.net architecture to MVC (Model-view-controller).

Estimated time frame: 7/30/2020 based on Vendor completion or this date maybe delayed if you continue to have Vendor issues.

07/06/2020 - Due to COVID we have no update to report. Any timeframes will need to be re-examined/06/2020 -

02/01/2021 - Due to COVID we have no update to report. Any timeframes will need to be re-examined.

07/07/2021 - In order to optimize operational performance of the system, we are in the process of selecting another vendor to address those inadequacies and make further improvements.

01/31/2022 - We have retained a new vendor to address several inadequacies in the performance of the Case Management System (CMS) and optimize its performance. The vendor has been addressing these issues successfully and is in the process of making further improvements to the CMS. We anticipate that the vendor's work on the CMS should be completed by our next report.