



STATE OF CONNECTICUT

OFFICE OF POLICY AND MANAGEMENT

July 20, 2020

The Honorable Kevin Lembo
 State Comptroller
 55 Elm Street
 Hartford, Connecticut 06106

Dear Comptroller Lembo:

Section 4-66 of the General Statutes requires that my office provide information on the state's General Fund for Fiscal Year 2020. An analysis of the Special Transportation Fund is also provided here due to the significance of this fund.

Overview of Changes					
(in millions)					
	Budget (as Revised Dec. 2019)	June Estimate	July Estimate	Change in Estimate - July vs. June	July Est. Variance from Budget
General Fund					
Revenues	\$ 19,564.4	\$ 18,818.3	\$ 19,004.8	\$ 186.5	\$ (559.6)
Expenditures	<u>19,423.3</u>	<u>19,263.0</u>	<u>19,157.9</u>	<u>(105.1)</u>	<u>(265.4)</u>
Operating Results - Surplus/(Deficit)	\$ 141.1	\$ (444.7)	\$ (153.1)	\$ 291.6	\$ (294.2)
Budget Reserve Fund					
Deposit / (Withdrawal)	\$ 318.3	\$ (126.4)	\$ 165.2	\$ 291.6	\$ (153.1)
Proj. Balance 6/30/20	\$ 2,823.8	\$ 2,379.1	\$ 2,670.7	\$ 291.6	\$ (153.1)
Special Transportation Fund					
Revenues	\$ 1,749.1	\$ 1,512.3	\$ 1,518.8	\$ 6.5	\$ (230.3)
Expenditures	<u>1,710.3</u>	<u>1,675.1</u>	<u>1,668.1</u>	<u>(7.0)</u>	<u>(42.2)</u>
Operating Results - Surplus/(Deficit)	\$ 38.8	\$ (162.8)	\$ (149.3)	\$ 13.5	\$ (188.1)
Proj. Fund Balance 6/30/20	\$ 358.9	\$ 157.4	\$ 170.8	\$ 13.4	\$ (188.1)

General Fund

We project that the General Fund will close FY 2020 with an operating shortfall of \$153.1 million, an improvement of \$291.6 million from last month's forecast. The projected shortfall represents 0.8 percent of the General Fund. Our estimates include anticipated state costs for the state's pandemic response. (The table attached to this letter outlines specific measures approved to date as part of that response.) It should be noted that revenue accruals and GAAP accrual adjustments are not yet finalized and may have a material impact on final results. By operation of existing state law, any year-end deficit will be addressed through a transfer from the Budget Reserve Fund when the Comptroller closes the books for fiscal year 2020.

Our forecast of the rainy day fund balance at year end, after closing the anticipated FY 2020 deficit, is \$2.67 billion, or 13.3% of net General Fund appropriations for the fiscal year that began July 1st. A net deposit of

\$165.2 million is projected, although the final volatility cap deposit will not be clear until the revenue accrual period closes. Our Budget Reserve Fund projection is depicted below.

Budget Reserve Fund	
	(in millions)
Estimated BRF Ending Balance - FY 2019 (OSC Est. 9/30/19)	\$ 2,505.5
Projected Operating Deficit - FY 2020 (OPM 07/20/20 Est.)	\$ (153.1)
Volatility Cap Deposit - FY 2020 (OPM 07/20/20 Est.)	<u>318.3</u>
Estimated BRF Ending Balance - FY 2020	\$ 2,670.7

Revenues

Projected revenues have been revised upward by \$186.5 million compared to last month’s estimate. Federal Grants have been revised upward by \$100.0 million to align with the level of federal reimbursement received for the Medicaid program through June 30, 2020. Miscellaneous Revenue has been revised upward by \$43.4 million primarily due to the level of funds recovered from federal and other funding sources that exceed the amount needed to make the full actuarial employer contribution for the State Employees’ Retirement System. Existing law directs such over-recoveries to be deposited to the General Fund as revenue. Refunds of Taxes are revised downward by \$34.2 million as the state issued fewer refunds prior to June 30, 2020, but given the extended filing dates are expected to be issued early in FY 2021. Indian Gaming Payments have been revised upward by \$17.0 million as slot machine activity in the month of June was significantly higher than anticipated in our last forecast, achieving 93% of last fiscal year’s average monthly contribution. All other changes net to a negative \$8.1 million. It should be noted that our estimate includes estimates of FY 2020 revenues that will continue to accrue through August 7th, with approximately \$1.0 billion in revenue attributable to the General Fund still to be collected before the fiscal year closes. This revenue accrual includes the remittance deferrals that were announced by the Internal Revenue Service and the state Department of Revenue Services to assist tax filers impacted by the pandemic.

Expenditures

Preliminary year end expenditures, including outlays for Adjudicated Claims, reflect a net decrease of \$105.1 million from last month’s estimate. Net FY 2020 expenditure requirements total \$265.3 million less than the amended budget plan, due in part to reduced state requirements for Medicaid that were covered through enhanced federal reimbursement. Estimated lapses by agency are detailed in Statement 4, attached.

It should be noted that the year closed with a deficiency in the Department of Correction. Due to the failure to enact deficiency appropriations for FY 2020, payroll expenditures totaling \$21.5 million are unsupported by appropriations. Given the legal and contractual obligation to honor payroll costs, this Personal Services deficiency will contribute to the overall General Fund shortfall to be addressed by transfer from the Budget Reserve Fund.

Additionally, as noted last month, the failure to enact deficiency appropriations for FY 2020 resulted in unresolved shortfalls in two agencies, effectively shifting several FY 2020 deficiencies into the new fiscal year and artificially improving FY 2020 balance. We anticipate the following FY 2020 shortfalls totaling \$5.6 million will affect estimated FY 2021 spending when we issue our first report next month:

- Department of Economic and Community Development. A \$3.45 million FY 2020 shortfall in the Capital Region Development Authority account will carry over to FY 2021. Event cancellations due to the COVID-19 pandemic impacted attendance and associated revenues at the Pratt and Whitney Stadium at Rentschler Field, the XL Center and the CT Convention Center.

- Department of Mental Health and Addiction Services. FY 2020 bills totaling \$2.15 million will be paid in FY 2021. This includes \$0.4 million in Other Expenses due largely to various facility maintenance and repair costs and increased software licensing costs, \$50,000 in the Professional Services account for contracted medical services including contracted psychiatrists, and \$1.7 million in medical costs in the Workers' Compensation Claims account.

Lastly, I note that the University of Connecticut Health Center ended the fiscal year with an estimated \$31.5 million operating deficit. This is due to the public health emergency which impacted revenues from clinical operations. The shortfall will cause cash-flow issues for the agency until clinical revenues return to pre-pandemic levels, and will exacerbate concerns about the ongoing financial position of UConn Health. OPM continues to work with the Governor, the General Assembly and the Health Center to determine the extent to which state support for FY 2021 is warranted in recognition of the FY 2020 outcome as well as a long-term approach for addressing this issue.

Special Transportation Fund

We project that the Special Transportation Fund will end the year with a \$142.2 million operating deficit, and that the fund balance as of June 30, 2020, will be \$177.9 million. Projected revenue has been revised upward by \$6.5 million compared to last month's estimate, reflecting minor adjustments across revenue sources. It should be noted that our estimate includes FY 2020 revenues that will continue to accrue through August 7th, with approximately \$120 million in revenue attributable to the Special Transportation Fund still to be collected before the fiscal year closes. Preliminary year end expenditures have improved by \$14.0 million since last month's estimate. Estimated lapses by agency are detailed in Statement 4, attached.

Tourism Fund

While Sec. 4-66, CGS, does not require that we provide analyses of other appropriated funds, we offer the following information about the status of the Tourism Fund. The fund's revenue source is the Hotel Occupancy Tax, which has underperformed as a result of the pandemic's impact on the hospitality industry. As a result, expenditures from the fund exceeded available revenues by approximately \$525,000. When added to the negative fund balance of \$2.5 million at the end of FY 2019, we anticipate the Tourism Fund will end FY 2020 with a \$3.0 million negative fund balance, and that could double to \$5.7 million by the end of FY 2021.

This is our final estimate for FY 2020. While the foregoing information represents the best estimate that can be made at this time, accounting adjustments made as part of the year-end closing process will affect final results.

Sincerely,



Melissa McCaw
Secretary

Attachments:

- COVID Responses – Budget Impact
- Summary Statements, FY 2020 Revenue and Expenditures

COVID-19 Response Items - Funding Sources and Budget Impact			Total Cost - Expenditure or (Revenue Loss)			Funding Source							Notes	
Agency	Item	Gross Cost SFY 2020	Gross Cost SFY 2021	Gross Total	Status	Unassigned	State SFY 2020	State SFY 2021	Federal - CRF	Federal - FEMA	Federal - T-XIX	Federal - Other		Philanthropy
1. DSS60000	Suspend Medicaid eligibility discontinuances	\$ 6,100,000	\$ 45,100,000	\$ 51,200,000	Approved		\$ 1,700,000	\$ 13,900,000			\$ 35,600,000			State share @ enhanced FMAP (+6.2%). Approved for 4 months (through July)
2. DSS60000	Provide uninsured persons with coverage for COVID-19 - Citizens	\$ 12,600,000	\$ 6,300,000	\$ 18,900,000	Approved		\$ 9,600,000	\$ 4,800,000			\$ 4,500,000			\$4.5m of gross costs are 100% federally funded; balance is 100% state funded. Note: DSS is on hold, pending further federal guidance
3. DSS60000	Provide uninsured persons with coverage for COVID-19 - Non-Citizens	\$ 5,900,000	\$ 3,000,000	\$ 8,900,000	Approved		\$ 2,700,000	\$ 1,400,000			\$ 4,800,000			Assumes majority of costs will be reimbursable at 56.2%
4. DSS60000	Waive HUSKY B copays	\$ 340,000	\$ -	\$ 340,000	Approved		\$ 80,000	\$ -				\$ 260,000		
5. DSS60000	Waive Medicare Part D (Rx) copays for dually eligible population	\$ 100,000	\$ -	\$ 100,000	Approved		\$ 100,000	\$ -						
6. DSS60000	Suspend cash assistance discontinuances (TFA, State Supplement, SAGA)	\$ 1,200,000	\$ -	\$ 1,200,000	Approved		\$ 1,200,000	\$ -						
7. DSS60000	Suspend 21 month time limit for TFA/JFES	\$ 190,000	\$ 770,000	\$ 960,000	Approved		\$ 190,000	\$ 770,000						Approved for 3 months (Note: Suspension of time limit impacts both FY 21 and FY 22, with add'l costs of \$570k in FY 22)
8. DSS60000	Provide additional flexibilities under home and community-based services waivers	\$ 870,000	\$ 430,000	\$ 1,300,000	Approved		\$ 360,000	\$ 250,000			\$ 690,000			
9. DSS60000	Provide temporary relief funding for nursing homes and a COVID-specific grant equivalent to \$600 per bed per day for facilities exclusively serving patients with COVID-19	\$ 22,800,000	\$ 31,100,000	\$ 53,900,000	Approved		\$ 22,800,000	\$ (29,200,000)	\$ 66,900,000		\$ (6,600,000)			Reflects Medicaid rate increase of 10% for March and April (and Medicare cost shift); CRF funding for balance
10. DSS60000	Provide hardship grants to nursing homes facing a substantial deterioration in their finances, which could adversely affect resident care and the continued operation of the facility	\$ -	\$ 56,800,000	\$ 56,800,000	Approved				\$ 56,800,000					Preliminary estimate - likely to be lower depending on the level of additional stimulus funding, additional Medicare billing and/or other assistance received
11. DSS60000	Provide interim payments to FQHCs to assist with cash flow	\$ 5,562,813	\$ (5,562,813)	\$ -	Approved		\$ 5,562,813	\$ (5,562,813)						Advances will be recouped in FY 21
12. DSS60000	Provide pandemic rate increase for residential care homes (RCHs)	\$ 980,000	\$ -	\$ 980,000	Approved		\$ 980,000	\$ -						Includes interim payment in April to be recouped in FY 21. Approved for 3 months.
13. DSS60000	Provide pandemic rate increase for private intermediate care facilities (ICF/IIDs)	\$ 1,640,000	\$ -	\$ 1,640,000	Approved		\$ 1,020,000	\$ (270,000)			\$ 890,000			Includes interim payment in April to be recouped in FY 21. Approved for 3 months.
14. DSS60000	Use DSS' non-emergency medical transportation vendor (Veyo) to assist with meals-on-wheels deliveries	TBD	TBD	\$ -	Approved		TBD	TBD						
15. DSS60000	Expand service array under Community First Choice to include agency-based PCAs	\$ 31,500	\$ 15,800	\$ 47,300	Approved		\$ 11,000	\$ 8,000			\$ 28,300			
16. DSS60000	Provide 90-day supply for most prescription drugs and more flexible pharmacy early refill	\$ -	\$ -	\$ -	Approved		\$ -	\$ -						Fiscal impact expected to be minimal
17. DSS60000	Waive SNAP requirement for face-to-face interviews	\$ -	\$ -	\$ -	Approved		\$ -	\$ -						No state cost--federally funded program. Federal approval received
18. DSS60000	Waive SNAP requirements - extend certification periods by 90 days, suspend collection of most SNAP overpayments, issue supplemental benefits to all existing SNAP households	\$ -	\$ -	\$ -	Approved		\$ -	\$ -						No state cost--federally funded program. Federal approval pending
19. DSS60000	Waive TFA requirement for face-to-face interviews and assessments	\$ -	\$ -	\$ -	Approved		\$ -	\$ -						No additional cost anticipated
20. DSS60000	Expand telehealth under Medicaid	\$ -	\$ -	\$ -	Approved		\$ -	\$ -						No additional cost anticipated
21. DSS60000	Provide additional flexibilities under section 1135 waiver authority	\$ -	\$ -	\$ -	Approved		\$ -	\$ -						No additional cost anticipated
22. DSS60000	Provide additional flexibilities to home care recipients of 1915(i) state plan services	\$ -	\$ -	\$ -	Approved		\$ -	\$ -						No additional cost anticipated
23. DSS60000	Provide interim payments to home health agencies to assist with cash flow	\$ -	\$ -	\$ -	Approved		\$ -	\$ -						Payments will be recouped in FY 20
24. DSS60000	Expedite Medicaid payments to hospitals when possible	\$ -	\$ -	\$ -	Approved		\$ -	\$ -						
25. DSS60000	Support acute care hospitals with COVID-related costs	\$ -	\$ 6,200,000	\$ 6,200,000	Approved		\$ -	\$ 2,000,000			\$ 4,200,000			Reflects temporary 20% DRG add-on for COVID-related diagnoses
26. DSS60000	Provide relief funding for Connecticut Children's Medical Center	\$ -	\$ 16,300,000	\$ 16,300,000	Approved				\$ 16,300,000					
27. DSS60000	Provide relief funding for chronic disease hospitals	\$ -	\$ 1,869,636	\$ 1,869,636	Approved				\$ 1,869,636					Reflects grant funding that generally approximates the value of a temporary Medicaid rate increase of 10%
28. DSS60000	Provide temporary per diem rate of \$1,500 for Hospital for Special Care's COVID recovery unit	\$ -	\$ 380,000	\$ 380,000	Approved				\$ 380,000					Reflects additional per diem costs after factoring in the 10% increase for chronic disease hospitals noted above
29. DSS60000	Provide relief funding for licensed behavioral health outpatient clinics	\$ -	\$ 2,651,741	\$ 2,651,741	Approved				\$ 2,651,741					Reflects grant funding that generally approximates the value of a temporary Medicaid rate increase of 10%
30. DSS60000	Provide relief funding for private psychiatric residential treatment facilities (PRTFs) for children	\$ -	\$ 420,789	\$ 420,789	Approved				\$ 420,789					Reflects grant funding that generally approximates the value of a temporary Medicaid rate increase of 20%
31. DSS60000	Provide relief funding for substance abuse residential detox providers	\$ -	\$ 789,615	\$ 789,615	Approved				\$ 789,615					Reflects grant funding that generally approximates the value of a temporary Medicaid rate increase of 20%
32. DSS60000	Provide relief funding for other clinicians providing behavioral health/substance use disorder treatment and autism services	\$ -	\$ 3,203,660	\$ 3,203,660	Approved				\$ 3,203,660					Reflects grant funding that generally approximates the value of a temporary Medicaid rate increase of 10%
33. DSS60000	Provide relief funding for methadone maintenance providers	\$ -	\$ 1,494,205	\$ 1,494,205	Approved				\$ 1,494,205					Reflects grant funding that generally approximates the value of a temporary Medicaid rate increase of 10%
34. DSS60000	Provide relief funding for home health providers	\$ -	\$ 4,999,212	\$ 4,999,212	Approved				\$ 4,999,212					Reflects grant funding that generally approximates the value of a temporary Medicaid rate increase of 10%
35. DSS60000	Provide relief funding for waiver service providers	\$ -	\$ 13,393,397	\$ 13,393,397	Approved				\$ 13,393,397					Reflects grant funding that generally approximates the value of a temporary Medicaid rate increase of 10%
36. DSS60000	Distribute PPE for self-directed workers under Community First Choice	\$ 130,000	\$ 130,000	\$ 260,000	Approved				\$ 260,000					Costs could be lower depending on model and length of time; estimate assumes 10 weeks

COVID-19 Response Items - Funding Sources and Budget Impact			Total Cost - Expenditure or (Revenue Loss)			Status	Funding Source						Notes	
Agency	Item	Gross Cost SFY 2020	Gross Cost SFY 2021	Gross Total	Unassigned		State SFY 2020	State SFY 2021	Federal - CRF	Federal - FEMA	Federal - T-XIX	Federal - Other		Philanthropy
37.	DSS60000	Provide relief funding for Community First Choice providers	\$ -	\$ 3,031,050	\$ 3,031,050	Approved			\$ 3,031,050					Reflects grant funding that generally approximates the value of a temporary rate increase of 7.5% for self-directed workers, as well as costs incurred by the fiscal intermediary to administer the expanded family and medical leave under FFCRA
38.	DDS50000	Provide relief funding for self-directed workers under DDS' programs	\$ -	\$ 1,334,000	\$ 1,334,000	Approved			\$ 1,334,000					Reflects grant funding that generally approximates the value of a temporary rate increase of 7.5% for self-directed workers, as well as costs incurred by the fiscal intermediary to administer the expanded family and medical leave under FFCRA. (increased from previously stated estimate of \$800,000 @ 5%, but reduced from the original estimate of \$1.6 million @ 10%.)
39.	DPH48500	School-Based Health Centers – no kids will be getting services while schools are closed, but staff need to be paid	\$ -		\$ -	Approved	\$ -							No additional cost anticipated
40.	MHA53000	Allowable costs for expenses vs. returning the payments because services were not delivered	\$ -		\$ -	Approved	\$ -							No additional cost anticipated
41.	OEC64800	Daycare outside of hospitals	\$ 3,550,000		\$ 3,550,000	Approved		\$ 550,000				\$ 3,000,000		Philanthropy covered costs up to \$3M, though May. The costs of \$750,000 for June are funded 75% by FEMA and 25% by CRF funds. UPDATED - No CRF allocated, agency is using \$550,000 from their Care4Kids TANF account to cover costs.
42.	OEC64800	Suspend collection of family fees - Birth to Three program	\$ 375,000		\$ 375,000	Approved	\$ 375,000							Assumes 3 months
43.	OEC64800	Childcare for frontline workers	\$ 10,000,000		\$ 10,000,000	Approved						\$ 10,000,000		Cap at \$10M, for six weeks with limit at 85% SMI. Source: CCDBG, PL 116-136 Division B Title VIII... Take rate much lower than anticipated. Costs like to be \$3M
44.	DOH46900	Homeless shelter decompression initiative	\$ 7,500,000		\$ 7,500,000	Approved			\$ 1,875,000	\$ 5,625,000				Assumes 3 months. FEMA has approved reimbursement @ 75%. White House and Treasury confirm CRF can be used for FEMA match.
45.	DSS60000	Domestic violence shelter decompression	\$ -	\$ 660,000	\$ 660,000	Approved			\$ 165,000	\$ 495,000				Assumes 3 months. FEMA has approved reimbursement @ 75%. White House and Treasury confirm CRF can be used for FEMA match.
46.	BOR77700	Student Refunds, Online Conversion Costs and Donated Equipment	\$ 27,291,079		\$ 27,291,079	Approved						\$ 27,291,079		CARES funding (HEER) received directly by the agency. Does not include revenue losses.
47.	BOR77700	Online Conversion Costs, PPE/Supplies, Facility Costs, and Donated Equipment	\$ 2,151,055		\$ 2,151,055	Approved			\$ 537,764	\$ 1,613,291				Does not include revenue losses. Cost updated to reflect most recent data from BOR. Current distribution assumes 75% reimbursement from FEMA and 25% match from CRF.
48.	UOC67000	Student refunds (housing, dining, parking)	\$ 10,750,423		\$ 10,750,423	Approved						\$ 10,750,423		HEER. Does not include projected FY21 revenue loss at UConn and UConn Health.
49.	CME49500	Refrigerated space for decedent remains	\$ 16,000		\$ 16,000	Approved			\$ 16,000					
50.	DOC88000	Central purchase of Personal Protective Equipment and other supplies	\$ 125,000,000		\$ 125,000,000	Approved			\$ 31,250,000	\$ 93,750,000				FEMA has approved reimbursement @ 75%. White House and Treasury confirm CRF can be used for FEMA match.
51.	MIL36000	State Active Duty to staff the Emergency Operations Center	\$ 171,000		\$ 171,000	Approved			\$ 171,000					
52.	DPH48500	CDC Cooperative Agreement for Emergency Response: Public Health Crisis Response	\$ 9,309,998	\$ -	\$ 9,309,998	Approved						\$ 9,309,998		State and local Covid 19 response activities will be reimbursed from federal emergency supplemental funding; \$2.5m will be utilized to reimburse local health authorities.
53.	DPH48500	Epidemiology and Laboratory Capacity	\$ 9,669,691	\$ -	\$ 9,669,691	Approved						\$ 9,669,691		Supplements pre-existing grant under the Epidemiology and Laboratory Capacity Cooperative Agreement (\$4,075,639). To support DPH Covid19 direct costs in areas of State Public Health Laboratory, Epidemiology, Healthcare Associated Infections
54.	DPH48500	Hospital preparedness	\$ 2,324,172	\$ -	\$ 2,324,172	Approved						\$ 2,324,172		National Bioterrorism Hospital Preparedness Program. \$361,895 each for federally designated Special Pathogen Treatment Centers @ YNH and HH. Balance for DPH direct costs and other hospital needs.
55.	DPH48500	Emerging Infections Program	\$ 2,600,000		\$ 2,600,000	Approved						\$ 2,600,000		To enhance infectious disease surveillance activities. \$2.3m will go to Yale School of PH, \$0.3m to DPH
56.	DAS23000	Architectural support for hospital capacity expansion	\$ 90,000		\$ 90,000	Approved			\$ 90,000					
57.	DAS23000	IT support for teleworking (VPN capacity, etc.)	\$ 320,000	\$ 730,000	\$ 1,050,000	Approved			\$ 1,050,000					
58.	DAS23000	Cleaning and other facility costs	\$ 1,100,000		\$ 1,100,000	Approved			\$ 1,100,000					
59.	SOS12500	Cost of publishing various Executive Orders	\$ 305,000		\$ 305,000	Approved			\$ 305,000					
60.	DPC39500	Waive casino payment of regulatory costs during closure	\$ 584,126		\$ 584,126	Approved		\$ 584,126						GF will pay the full payroll and fringe benefit costs for six pay periods through end of FY 20 (March 26th - June 18th payrolls), as well as indirect costs and OE, due to agreement with casinos to defer true-up of costs until the August 2020 assessment.
61.	DPS32000	Use of interpreters during the Governor's pandemic response briefings	\$ 38,000	\$ -	\$ 38,000	Approved			\$ 38,000					
62.	JUD95000	JUD - 1 - PPE, cleaning and disinfecting, and other response costs	\$ 640,240		\$ 640,240	Approved			\$ 640,240					
63.	DVA21000	Procure housing for certain Level 1 Constant employees of 24/7 agencies to enable physical distancing with those with whom they share living arrangements	\$ 235,000	\$ -	\$ 235,000	Approved			\$ 235,000					
64.	DPS32000	Procure housing for certain Level 1 Constant employees of 24/7 agencies to enable physical distancing with those with whom they share living arrangements	\$ 1,400,000		\$ 1,400,000	Approved			\$ 1,400,000					

COVID-19 Response Items - Funding Sources and Budget Impact			Total Cost - Expenditure or (Revenue Loss)			Funding Source						Notes		
Agency	Item	Gross Cost SFY 2020	Gross Cost SFY 2021	Gross Total	Status	Unassigned	State SFY 2020	State SFY 2021	Federal - CRF	Federal - FEMA	Federal - T-XIX		Federal - Other	Philanthropy
65.	DDS50000	Procure housing for certain Level 1 Constant employees of 24/7 agencies to enable physical distancing with those with whom they share living arrangements	\$ 1,700,000		\$ 1,700,000	Approved			\$ 1,700,000					
66.	MHA53000	Procure housing for certain Level 1 Constant employees of 24/7 agencies to enable physical distancing with those with whom they share living arrangements	\$ 2,000,000		\$ 2,000,000	Approved			\$ 2,000,000					
67.	DOC88000	Procure housing for certain Level 1 Constant employees of 24/7 agencies to enable physical distancing with those with whom they share living arrangements	\$ 6,000,000		\$ 6,000,000	Approved			\$ 6,000,000					
68.	DCF91000	Procure housing for certain Level 1 Constant employees of 24/7 agencies to enable physical distancing with those with whom they share living arrangements	\$ 500,000		\$ 500,000	Approved			\$ 500,000					
69.	OEC64800	Provide technical support and resources to family day care providers	\$ 1,316,573		\$ 1,316,573	Approved							\$ 1,316,573	Administered through EdAdvance
70.	OEC64800	Suspend Birth-to-Three Age Outs at 36 months and continue services through June	\$ 180,000		\$ 180,000	Approved		\$ 180,000						Approved for April, May and June
71.	DSS60000	Suspend Birth-to-Three Age Outs at 36 months and continue services	\$ 180,000	\$ 90,000	\$ 270,000	Approved		\$ 80,000	\$ 45,000		\$ 145,000			Approved for April - June. State share @ enhanced FMAP (+6.2%) for payments made in May and June (for service months of April and May). Source: CARES Act. Must be used for services, activities, and supplies needed to prevent or minimize the impact of COVID-19 on RWHAP clients.
72.	DPH48500	Ryan White HIV/AIDS Program Part B COVID19 Response	\$ 203,981		\$ 203,981	Approved							\$ 203,981	Approved if within existing agency CEPP allocations and as part of normal equipment refresh.
73.	Var	State agency purchase of laptops and other equipment to facilitate telework			\$ -	Approved in part								
74.	DOH46900	Case management for Danbury shelter clients I hotels	\$ 150,000		\$ 150,000	Approved			\$ 150,000					
75.	DPH48500	Enhanced monitoring in nursing homes	\$ 2,800,000		\$ 2,800,000	Approved						\$ 2,800,000		OT for existing nurse consultant staff, TWRs and potential contract with a nursing staffing agency. DPH pursuing CMS CARES grant to defray costs.
76.	OSC15000	COVID-19 Testing	\$ 60,000,000	\$ 90,000,000	\$ 150,000,000	Approved			\$ 150,000,000					Placeholder of \$15m per month = 10,000 tests/day * \$50 * 30 days. Amount is in addition to \$182 million in other federal funds (4th supplemental bill) awarded to CT for testing.
77.	DPH48500	Contact Tracing Solution (IT)	\$ 1,000,000		\$ 1,000,000	Approved			\$ 1,000,000					No Identified Project/No funds yet allotted (6/30/20)
78.	DAS23000	Consulting - Reopen CT strategy, analysis, recommendations, PM	\$ 2,350,000		\$ 2,350,000	Approved			\$ 2,350,000					Incurred in May and June
79.	CRD47200	Sanitizers, dispensers, face masks and carpet protection	\$ 19,822		\$ 19,822	Approved			\$ 19,822					
80.	ECD46000	ReOpenCT - Surveys to determine when to open CT	\$ 60,000		\$ 60,000	Approved			\$ 60,000					Agency believes this may be FEMA reimbursable
81.	DOH46900	Provide Hotel Oversight through Seasonal Shelter	\$ 64,874		\$ 64,874	Approved			\$ 64,874					Agency believes this may be FEMA reimbursable
82.	DOL40000	Various items, plexiglass, cleaning supplies, laptops, printing and staff costs	\$ 2,701,802		\$ 2,701,802	Approved			\$ 2,701,802					
83.	HRO41100	Technological needs, PPE	\$ 49,027		\$ 49,027	Approved			\$ 49,027					
84.	DOC88000	PPE for staff and inmates/Cleaning Supplies and Equipment/Food	\$ 3,118,005		\$ 3,118,005	Approved			\$ 3,118,005					Agency has cleaned facilities day and night, purchased fogger machines. PPE for staff and inmates. Food now brought to inmates cells, prepackaged and no longer chow setting.
85.	DOC88000	Temporary Staffing Due to Staff Shortages	\$ 1,334,305		\$ 1,334,305	Approved			\$ 1,334,305					Medical staffing needed due to staff shortages
86.	DOC88000	Overtime Incurred to Date	\$ 1,094,947		\$ 1,094,947	Approved			\$ 1,094,947					Overtime related to having to open wings of northern to serve as COVID isolation units. OT related to staff shortages when staff use their 14 days
87.	DAS23000	Rental Costs for Refrigerated Trailers to Serve as Morgues	\$ 238,000		\$ 238,000	Approved			\$ 238,000					
88.	DVA21000	Equipment, supplies, and additional staff support	\$ 461,399	\$ 645,878	\$ 1,107,277	Approved			\$ 1,107,277					
89.	MIL36000	Mobile Field Hospital Operations - State Active Duty	\$ 7,817		\$ 7,817	Approved			\$ 7,817					Weekly spot checks, repair and maintenance and retrograde of the four mobile field hospital setups at St. Francis Hospital, Middlesex Hospital, Danbury Hospital & Sharon Hospital. Was reduced from \$67,100.
90.	MIL36000	Task Force Medical - State Active Duty	\$ 141,000		\$ 141,000	Approved			\$ 141,000					20 personnel will be assigned duties at Stamford Hospital to assist federal and state military personnel conducting medical operations for 30 days.
91.	MIL36000	Task Force Medical - Hotel Lodging	\$ 2,000		\$ 2,000	Approved			\$ 2,000					Lodging for 20 personnel for 30 days - was approved at \$36,000, only needed \$2,000
92.	SOS12500	Funding for newspaper posting of additional executive orders	\$ 62,278		\$ 62,278	Approved			\$ 62,278					
93.	DCF91000	Per Diem Rate Based Residential Programs	\$ 2,887,500		\$ 2,887,500	Approved			\$ 2,887,500					
94.	DCF91000	Group Homes	\$ 772,039		\$ 772,039	Approved			\$ 772,039					
95.	DCF91000	Other Congregate Care	\$ 425,746		\$ 425,746	Approved			\$ 425,746					
96.	DCF91000	School of Origin Transportation	\$ -		\$ -	Approved		\$ -						Financial support during school closure to ensure service network is maintained. Within current budget.

COVID-19 Response Items - Funding Sources and Budget Impact			Total Cost - Expenditure or (Revenue Loss)			Funding Source										Notes
Agency	Item	Gross Cost SFY 2020	Gross Cost SFY 2021	Gross Total	Status	Unassigned	State SFY 2020	State SFY 2021	Federal - CRF	Federal - FEMA	Federal - T-XIX	Federal - Other	Philanthropy	Notes		
97.	DCF91000	After School Programs	\$ -		\$ -	Approved		\$ -							Financial support during school closure to ensure service network is maintained. Within current budget.	
98.	MHA53000	CVH Surge Capacity at 60 West	\$ 287,778		\$ 287,778	Approved			\$ 287,778						DMHAS (CVH) will be using 60 West as surge capacity to care for COVID pos patients until they are no longer positive and can go back to their units at CVH. Financial estimate assumes 20 patients for 30 days. This request includes approximately \$800,000 of hazard pay for CAN/Shelter staff.	
99.	DOH46900	Coordinated Access Network - Statewide Shelter Support	\$ 2,918,535	\$ -	\$ 2,918,535	Approved			\$ 2,918,535						Estimated costs for providers to deep clean group homes once an individual has tested positive for COVID-19.	
100.	DDS50000	Deep cleaning costs for private provider residential programs	\$ 1,280,000		\$ 1,280,000	Approved			\$ 1,280,000						Cleaning costs for the state operated regional centers, Southbury Training School and group homes after an individual working in such location has been identified with COVID-19.	
101.	DDS50000	Deep cleaning costs for DDS facilities	\$ 722,090		\$ 722,090	Approved			\$ 722,090						OT costs and approximately 160 temporary DSW, LPN and RN positions to ensure continued coverage of public facilities. Original estimate of \$3,000,379 has been reduced to \$1,000,379.	
102.	DDS50000	Overtime and temp hiring to ensure staff coverage	\$ 1,000,379		\$ 1,000,379	Approved			\$ 1,000,379						Virtual Desktops (Firewall Security), Call Center PC's, Printers, Equipment, and Call Management Software. (Net adjustment over first request - includes 3rd submitted request.)	
103.	JUD95000	JUD - 3 - PPE, cleaning and disinfecting, technology, and other response costs	\$ 200,609		\$ 200,609	Approved			\$ 200,609						Responsibilities including implementing telework agency-wide, procuring and distributing employee PPE, additional coordination with business partners including transit, rail, contractors, etc., to continue maintaining essential business functions; and deep cleaning costs. Includes funding for Over-The-Cap Overtime Request	
104.	DOT57000	DOT - 1 - Staff Overtime	\$ 139,689	\$ -	\$ 139,689	Approved			\$ 139,689						Sanitizing of 72 maintenance facilities & central office.	
105.	DOT57000	DOT - 1 - Materials & Supplies for Sanitizing Agency Facilities	\$ 808,749	\$ -	\$ 808,749	Approved			\$ 808,749						Recommending funding for headsets to utilize more teleconferencing when staff returns to the office. Not recommending (and not including here) funding for webcams.	
106.	DOT57000	DOT - 1 - Back-To-Work Office Equipment / Maintainer Training	\$ 84,302	\$ -	\$ 84,302	Approved			\$ 84,302						24/7 services. Providers continue to pay staff not able to work due to quarantine/illness while paying overtime, hazardous duty rates, and/or hiring temporary workers for coverage and safety. In addition, providers have incurred non-personnel costs for setup of isolation beds, PPE and additional cleaning supplies and services. Financial losses put services at risk and could result in more expensive hospitalizations.	
107.	MHA53000	MH Residential (includes Intensive, Transitional, Group Homes, ABI Community Residence, Respite, IP IMD, Supervised Housing, Shelters)	\$ 3,778,800		\$ 3,778,800	Approved			\$ 3,778,800						24/7 services. Providers continue to pay staff not able to work due to quarantine/illness while paying overtime, hazardous duty rates, and/or hiring temporary workers for coverage and safety. In addition, providers have incurred non-personnel costs for setup of isolation beds, PPE and additional cleaning supplies and services. Financial losses put services at risk and could result in more expensive hospitalizations.	
108.	MHA53000	Substance Abuse Medically Monitored Residential Detox	\$ 123,250		\$ 123,250	Approved			\$ 123,250						24/7 services. Providers continue to pay staff not able to work due to quarantine/illness while paying overtime, hazardous duty rates, and/or hiring temporary workers for coverage and safety. In addition, providers have incurred non-personnel costs for setup of isolation beds, PPE and additional cleaning supplies and services. Financial losses put services at risk and could result in more expensive hospitalizations.	
109.	MHA53000	Substance Abuse Residential Treatment (Intensive, Intermediate, Long Term, Recovery Housing)	\$ 2,114,250		\$ 2,114,250	Approved			\$ 2,114,250						24/7 services. Providers continue to pay staff not able to work due to quarantine/illness while paying overtime, hazardous duty rates, and/or hiring temporary workers for coverage and safety. In addition, providers have incurred non-personnel costs for setup of isolation beds, PPE and additional cleaning supplies and services. Financial losses put services at risk and could result in more expensive hospitalizations.	
110.	MHA53000	Young Adult Services	\$ 1,740,000		\$ 1,740,000	Approved			\$ 1,740,000						Providers have incurred costs including hazardous duty, purchase of telehealth/telework equipment and licenses, PPE, and cleaning services. Additionally providers continue to pay staff not able to work due to quarantine/illness while paying overtime or temporary workers. 50 laptops, minor telecommuting IT related expenses and cleaning supplies.	
111.	MHA53000	Community Services (including Outpatient, IOP, MMT, ACT, CSP, Case Management, BHH, Employment, Jail Diversion, Outreach, Crisis, Residential Support, Supervised Housing, Pre-Trial Education, etc.)	\$ 3,852,225		\$ 3,852,225	Approved			\$ 3,852,225						Funding for installation of an appointment system to control the traffic flow of customers and to maintain social distancing	
112.	OSC15000	Request for funding for COVID-19 related expenses support teleworking	\$ 70,653		\$ 70,653	Approved			\$ 70,653						Funds to install sneeze guards throughout the branches and testing centers	
113.	DMV35000	Funding for installation of an appointment system to control the traffic flow of customers and to maintain social distancing	\$ 150,000	\$ -	\$ 150,000	Approved			\$ 150,000						This will help prevent the spread of serious illnesses and will assist in maintaining the health of customer facing employees.	
114.	DMV35000	Funds to install sneeze guards throughout the branches and testing centers	\$ 125,000	\$ -	\$ 125,000	Approved			\$ 125,000						Due to the virus, the Agency is now completing nightly deep cleaning along with a weekly disinfecting spray of branches that are being utilized by staff and/or public. SFY 21 estimate is through 12/30/2020.	
115.	DMV35000	Funding for the cleaning and disinfecting of branches	\$ 447,000	\$ 1,118,098	\$ 1,565,098	Approved			\$ 1,565,098						Implement remote call center for Consumer Affairs + Deep Cleaning Costs	
116.	DOI37500	Implement remote call center for Consumer Affairs + Deep Cleaning Costs	\$ 16,694		\$ 16,694	Approved			\$ 16,694							

COVID-19 Response Items - Funding Sources and Budget Impact			Total Cost - Expenditure or (Revenue Loss)			Funding Source									
Agency	Item	Status	Gross Cost	Gross Cost	Gross Total	Unassigned	State	State	Federal - CRF	Federal - FEMA	Federal - T-XIX	Federal - Other	Philanthropy	Notes	
			SFY 2020	SFY 2021			SFY 2020	SFY 2021							
117.	DPS32000	Funds for deep cleaning supplies (sanitizers, disinfectant, etc.) and PPE (gloves, N-95s, masks, infrared thermometers, face shields, decontamination systems).	\$ 700,000	\$ -	\$ 700,000	Approved			\$ 700,000						
118.	DPS32000	Funds to rent a modular trailer for six months that will be located in the north lot for those customers arriving at HQ to be fingerprinted.	\$ 13,000	\$ -	\$ 13,000	Approved			\$ 13,000					This will help prevent the spread of serious illnesses in DESPP's HQ and will assist in maintaining the health of customer facing employees. Additional trailers may be requested for some of the other units once the agency opens to the public.	
119.	CRD47200	Cleaning and other facility costs	\$ 902,036		\$ 902,036	Approved			\$ 902,036					Convention Center COVID19 costs, cleaning, facilities maintenance.	
120.	OEC64800	Child Care Provider Incentive Payments	\$ 4,000,000	\$ -	\$ 4,000,000	Approved						\$ 4,000,000		Source: Child Care Development Block Grant	
121.	UHC72000	Equipment, supplies, and other COVID-related expenditures (excl. student refunds)	\$ 3,046,650	\$ -	\$ 3,046,650	Approved			\$ 761,663	\$ 2,284,988				COVID-related costs for teleworking transition, equipment/supplies, medical supplies, drugs, lab services, and other related expenses. Does not include student refunds or revenue losses. Current distribution assumes 75% reimbursement from FEMA and 25% match from CRF.	
122.	DCF91000	Office Cleaning	\$ 59,500		\$ 59,500	Approved			\$ 59,500						
123.	DCF91000	HIPAA Compliant Document Bags for Telework	\$ 20,000		\$ 20,000	Approved			\$ 20,000						
124.	DCF91000	PPE /Cleaning Supplies /Infection Control Printed Materials /Scrubs & Uniforms	\$ 700,000		\$ 700,000	Approved			\$ 700,000						
125.	DCF91000	Temporary Nursing to Screen Workers Entering State Buildings	\$ 220,000		\$ 220,000	Approved			\$ 220,000						
126.	DCF91000	IT Devices and Software to Implement Telework / Consultant Staff Redeployed from CT KIND Development to Mobility Deployment	\$ 500,000		\$ 500,000	Approved			\$ 500,000						
127.	ECD46000	Overtime costs and other COVID19 related expenditures	\$ 104,911		\$ 104,911	Approved			\$ 104,911						
128.	DPS32000	Funds for 100 laptops to allow staff to telework	\$ 130,000	\$ -	\$ 130,000	Approved			\$ 130,000					IT redirected 100 laptops that were part of the agency's re-fresh program (to employees with desktops). It will cost ~ \$130,000 to replace those laptops.	
129.	OEC64800	Provide targeted subsidies to private child care providers to ensure financial viability to support the state's efforts to re-open.	\$ 8,000,000		\$ 8,000,000	Approved						\$ 8,000,000		Child Care Development Block Grant	
130.	CRD47200	Cleaning and sanitizing XL Center and PW Stadium	\$ 314,849		\$ 314,849	Approved			\$ 314,849						
131.	DSS60000	Administrative and technical support for pandemic response and remote working	\$ 54,734	\$ 12,837,085	\$ 12,891,819	Approved			\$ 12,891,819					Includes provision of laptops and other technical resources to support remote work, server enhancements, and other administrative costs. Estimate includes previously requested administrative support for Pandemic EBT at revised amount.	
132.	DCF91000	Special Stipend for Foster Parents/Children who test COVID positive	\$ 41,106		\$ 41,106	Approved			\$ 41,106					To pay higher medically complicated foster rate when foster parent/child tests COVID positive.	
133.	DDS50000	Additional Rent Subsidy supports for individuals unable to work	\$ 382,126		\$ 382,126	Approved			\$ 382,126					Provides supports for approximately 160 individuals with intellectual disability who are eligible for the program due to a temporary loss in wages and require assistance with paying rent in May and June.	
134.	SDR63500	IT technology and support to implement telework	\$ 61,843		\$ 61,843	Approved			\$ 61,843					Additional cost to the agency to support overtime and equipment costs in order to enable staff to telework.	
135.	SDR63500	Cleaning and disinfecting costs of offices	\$ 33,490		\$ 33,490	Approved			\$ 33,490					Additional cost to the agency for cleaning of offices and installation of plexi-glass in reception areas.	
136.	DOL40000	UI Call Center - 6 months	\$ 3,939,242		\$ 3,939,242	Approved			\$ 3,939,242						
137.	OTT14000	Laptops (\$25,588), gloves and cleaning supplies (\$128).	\$ 25,716		\$ 25,716	Approved			\$ 25,716						
138.	DRS16000	COVID related expenses - IT Equipment \$355,066 (Laptops, WIFI doggles and Headsets), Zoom conferencing \$531 and PPE and cleaning supplies \$3,876.	\$ 359,473		\$ 359,473	Approved			\$ 359,473						
139.	UOC67000	Equipment, supplies, and other COVID-related expenditures (excl. student refunds)	\$ 847,830		\$ 847,830	Approved			\$ 211,958	\$ 635,873				COVID-related costs for teleworking transition, equipment/supplies, and other related expenses. Does not include student refunds or revenue losses. Current distribution assumes 75% reimbursement from FEMA and 25% match from CRF.	
140.	JUD95000	JUD - 2 - PPE, cleaning and disinfecting, technology, and other response costs	\$ 183,142		\$ 183,142	Approved			\$ 183,142					Virtual Desktops (Firewall Security), Call Center PC's, Printers, Equipment, and Call Management Software. (Net adjustment over first request - includes 3rd submitted request.)	
141.	PD598500	PDS - 1 - Communications & Temporary Full-Time Attorneys for Case Backlogs	\$ -	\$ 457,229	\$ 457,229	Approved			\$ 457,229					Smartphones for scheduling due to closed courthouses. Attorneys (for a 6-month period, 6 FT, fringes included here) to assist with backlog once courthouses are reopened back to full operations. PDS believes it should only impact 2021. Balance of PDS request will be covered by CESF.	
142.	DMV35000	Teleworking equipment	\$ 73,750	\$ -	\$ 73,750	Approved			\$ 73,750					50 Lenovo ThinkPad T490 laptops to allow additional employees to work from home	
143.	MIL36000	Retrograde Operations - Mobile Field Hospitals State Active Duty	\$ 67,000	\$ -	\$ 67,000	Approved			\$ 67,000					Deconstruct the Mobile Field Hospitals and return them to storage at Camp Hartell. Was approved at \$280,000 - reduced to \$67,000.	

COVID-19 Response Items - Funding Sources and Budget Impact			Total Cost - Expenditure or (Revenue Loss)			Funding Source										Notes
Agency	Item	Gross Cost SFY 2020	Gross Cost SFY 2021	Gross Total	Status	Unassigned	State SFY 2020	State SFY 2021	Federal - CRF	Federal - FEMA	Federal - T-XIX	Federal - Other	Philanthropy	Notes		
144.	GOV12000	Crisis communications and ReOpen CT public awareness	\$ 4,000,000		\$ 4,000,000	Approved				\$ 4,000,000					Max Reiss request. State government must regularly, and clearly communicate with residents the ongoing changes to public health, business, and education guidance throughout this pandemic. The state must have funds set aside for targeted marketing, information, and education campaigns across media platforms to distribute accurate information for all residents. Some of this marketing must be statewide in nature, while others must be targeted for more diverse communities. The information that must be distributed will include but not be limited to: reopening criteria and phases, education guidance, health guidance, business guidance, testing information and locations, and messages from state officials. Will include TV and messaging; Outreach - COVID Municipal toolkits, posters, signage; Materials to every testing location to distribute to every COVID positive and how to conduct contact tracing; and Contact tracing design.	
145.	DAS23000	424 Chapel Street N95 Mask Decontamination Sterilization Unit	\$ 209,460		\$ 209,460	Approved				\$ 209,460					80,000 masks can be sterilized per day.	
146.	DDS50000	Additional supports for individuals receiving only in-home and/or day supports - Residential account	\$ 2,810,897		\$ 2,810,897	Approved				\$ 2,810,897					Covers increased support costs and includes increased use of self directed programs for individuals receiving residential in-home supports in order to continue to meet day goals, meet basic needs and/or maintain structure. Estimates include extra staff and overtime costs.	
147.	DDS50000	Additional supports for individuals receiving only in-home and/or day supports - Day account	\$ 6,237,279		\$ 6,237,279	Approved				\$ 6,237,279					Covers increased support costs and includes increased use of self directed programs for individuals receiving day supports at home in order to continue to meet day goals, meet basic needs and/or maintain structure. Estimates include extra staff and overtime costs.	
148.	DDS50000	Residential Provider Supplemental Payments	\$ 36,540,935		\$ 36,540,935	Approved				\$ 36,540,935					All residential providers are being paid 120% of authorizations to cover the cost of increased staffing and overtime in residential programs if day programs are closed. This increase also covers additional COVID-19 related expenses including, PPE and cleaning expenses.	
149.	DDS50000	Day Provider Supplemental Payments	\$ 7,428,935		\$ 7,428,935	Approved	\$ 7,428,935								Day providers are being paid based upon 100% of authorizations to support drastically lowered attendance due to social distancing requirements. Payments will ensure staff are still in place when day programs reopen. Costs will be covered with budgeted funds.	
150.	DPS32000	Emergency Management Performance Grant (EMPG-S) Program - Supplemental	\$ -	\$ 2,789,396	\$ 2,789,396	Approved	\$ 1,394,698				\$ 1,394,698				FEMA will award funding to support planning and operational readiness for COVID-19 preparedness and response. The School Security Grant Program (bond funds) will be used to provide the required state match for the federal funding.	
151.	DOH46900	Temporary Housing Assistance including Rent Relief	\$ 10,000,000		\$ 10,000,000	Approved				\$ 10,000,000					Payments will be made to landlords.	
152.	ECD46000	DECD Phase 2 graphics and translation	\$ 47,535		\$ 47,535	Approved				\$ 47,535						
153.	BOR77700	Instruction, Student Support, and Technology exceeding available HEER funding at CSUs and COSC	\$ 3,379,595		\$ 3,379,595	Approved				\$ 844,899	\$ 2,534,696				Costs reported as of 5/20/20 include institutional costs at State Universities and Charter Oak State College to off-campus courses in Spring 2020 semester, including supplies and technology needed for instruction and remote learning. Does not include student refunds or lost revenue. Current distribution assumes 75% reimbursement from FEMA and 25% match from CRF.	
154.	UOC67000	Equipment, supplies, and other COVID-related expenditures (excl. student refunds) - Newly reported as of 5/22	\$ 564,824	\$ -	\$ 564,824	Approved				\$ 141,206	\$ 423,618				Expenditures up to 4/30/20 were previously approved - new request represents updated expenditures beyond what has been approved. Current distribution assumes 75% reimbursement from FEMA and 25% match from CRF.	
155.	UHC72000	Equipment, supplies, and other COVID-related expenditures - Newly reported as of 5/22	\$ 1,418,692	\$ 2,052,483	\$ 3,471,175	Approved				\$ 867,794	\$ 2,603,381				Expenditures up to 4/30/20 were previously approved - new request represents updated expenditures beyond what has been approved. Current distribution assumes 75% reimbursement from FEMA and 25% match from CRF.	
156.	DPH48500	Specimen collection for testing nursing home residents and staff	\$ 23,748,850		\$ 23,748,850	Approved				\$ 23,748,850					To support grants to Yale NHH (\$4,162,790) and Griffin Hospital (\$19,586,060).	
157.	MIL36000	Overtime and Other Expenses Costs Related to Cleaning Facilities	\$ 25,000		\$ 25,000	Approved				\$ 25,000					Funding to cover costs of overtime and cleaning supplies for Military facilities.	
158.	DPH48500	Consultant to review NH and LTC components of CT's response to the pandemic		\$ 449,411	\$ 449,411	Approved				\$ 449,411					NEW: Vendor selected on 6/29/20.	
159.	CRD47200	Convention Center costs and CRDA Operations	\$ 478,048		\$ 478,048	Approved				\$ 478,048					Cleaning supplies, air filters, handrail sanitation, technological needs for telework.	
160.	MHA53000	Equipment for to Facilitate Telework and Telehealth for State-Operated Facilities	\$ 905,148		\$ 905,148	Approved				\$ 905,148					Equipment includes Laptops, Software, Servers, Telemed carts, Video Conferencing systems/equipment, cleaning machinery.	

COVID-19 Response Items - Funding Sources and Budget Impact			Total Cost - Expenditure or (Revenue Loss)			Funding Source										Notes
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161.	MHA53000	IT Supplies, Software, Telecommunications for State-Operated Facilities	\$ 385,732		\$ 385,732	Approved			\$ 385,732						OE expenditures include software, iPhones, air cards, conference lines for telework. Also phones for 24/7 sites for clients to communicate with family, friends, etc. due to visitor restrictions.	
162.	MHA53000	Emergency Hiring for State-Operated Facilities	\$ 234,620		\$ 234,620	Approved			\$ 234,620						PS costs for temporary hires including nurses, custodians, MHA1s, assistant cooks.	
163.	MHA53000	Temporary Services for State-Operated Facilities	\$ 1,544,651		\$ 1,544,651	Approved			\$ 1,544,651						OE costs for contracted staff including housekeeping and workers to screen staff reporting to work.	
164.	MHA53000	PPE, Medical, Cleaning, Personal Hygiene Supplies for State-Operated Facilities	\$ 402,317		\$ 402,317	Approved			\$ 402,317						OE costs.	
165.	MHA53000	Other Supplies for State-operated Facilities	\$ 252,140		\$ 252,140	Approved			\$ 252,140						OE costs for office supplies like secure medical records bags to protect PHI, kitchen/dining/food supplies for individual meal servings and cleaning supplies for the pandemic.	
166.	ECD46000	Welcome centers, OT for arts grants	\$ 38,220		\$ 38,220	Approved			\$ 38,220						Welcome center COVID19 supplies, OT for arts grants	
167.	SDE64000	CTECS - PPE, equipment, cleaning, public safety overtime	\$ 2,677,646	\$ -	\$ 2,677,646	Approved			\$ 2,677,646						FY20 expenditures at CTECS for purchases including PPE, technology, cleaning supplies, public safety overtime, and other direct response costs.	
168.	OPM20000	Purchase 75 laptops and docking stations to support telework by agency employees	\$ 83,350		\$ 83,350	Approved			\$ 83,350						To purchase 75 laptops, docking stations and knapsacks to provide equipment for telework	
169.	CRD47200	Dillon Stadium, XL touchless plumbing, CCC hospital surge	\$ 92,718		\$ 92,718	Approved			\$ 92,718							
170.	DPH48500	CDC Enhancing Detection grant	\$ 182,633,998		\$ 182,633,998	Approved						\$ 182,633,998			Significant funding for laboratory operations, epidemiologic surveillance and reporting, contact tracing, and testing, among other activities. State application submitted to CDC on 6/18/20	
171.	DPH48500	Immunization Program	\$ 1,696,075		\$ 1,696,075	Approved						\$ 1,696,075			Supplemental immunization funding, primary purpose is to enhance influenza vaccination coverage as a critical part of COVID-19 response work	
172.	OEC64800	Priority School Readiness	\$ 5,559,359		\$ 5,559,359	Approved			\$ 5,559,359						Stabilize funding for school readiness programs in priority school districts	
173.	JUD95000	Call Center Technology for Social Distancing	\$ 219,570		\$ 219,570	Approved			\$ 219,570						Technology to support a Judicial Call Center by enhancing social distancing. One-time purchase for controllable equipment and phones to expand the call center program. There are no additional operational costs currently or in the future because the program will be administered with existing personnel in an existing facility. Covered in review for CEF funds. Decision made to have CRF cover these costs.	
174.	DOT57000	DOT - 2 - Road Equipment - Electronic Signs and Monitors	\$ 73,778	\$ -	\$ 73,778	Approved			\$ 73,778						Per Secretary, 10% of request funded through CRF with balance to be funded through PAYGO. Request covers cost of 40 variable messaging signs to place throughout the state to assist in coordination and logistics of resources and messaging for the public. DOT currently has 14 signs. DOT Highway Operations determines where the signs should go. DOT has been asked to explain why the signs can't be rented, as they have rented other signs. Also, getting the signs this late into the pandemic for CT -- would it make that much of a difference? - was \$737,776	
175.	DMV35000	Purchase of two way radios for branch locations	\$ 22,000	\$ -	\$ 22,000	Approved			\$ 22,000						The radios will enhance communication for added security to control foot traffic to maintain social distancing and appointment only scheduling, as well as maintain communication with healthcare providers and essential staff. This purchase will enhance communication and provide additional safety and security measures at all DMV locations.	
176.	DMV35000	Funding to reconfigure work space to allow for employees to report back to the office	\$ 150,000	\$ -	\$ 150,000	Approved			\$ 150,000						The contact center is experiencing an unprecedented amount of calls. Due to the need for social distancing, their current contact center location is only working at 35% staff capacity. In order to bring staffing levels to 100%, the DMV needs to reconfigure current office space. In addition, enhancements are also being made in the administrative areas to allow staff who do not have the ability to work from home to come back to a healthy and safe environment.	

COVID-19 Response Items - Funding Sources and Budget Impact			Total Cost - Expenditure or (Revenue Loss)				Funding Source							Notes	
Agency	Item	Gross Cost SFY 2020	Gross Cost SFY 2021	Gross Total	Status	Unassigned	State SFY 2020	State SFY 2021	Federal - CRF	Federal - FEMA	Federal - T-XIX	Federal - Other	Philanthropy		
177.	DMV35000	Funding for Temperature Screening	\$ 36,000	\$ 600,000	\$ 636,000	Approved			\$ 636,000						Due to the COVID19 crises, the Department of Motor Vehicle has started temperature screening both employees and customers that enter our branch locations. The DMV is requesting funding for the healthcare providers needed to perform these screening.
178.	DMV35000	Funds for a digital enablement project to support increased online access to the public and allow additional tools to drive simple transactions online and out of DMV branches.	\$ -	\$ 3,600,000	\$ 3,600,000	Approved			\$ 3,600,000						The projects listed below will help drive the public to simpler and easier online transaction options, thereby slowing the foot traffic that will be required to come into DMV public buildings. Projects: Integrated Online Services, Out-of-State Dealer Online, Enable Work from Home for DMV Employees, Scanning Solution
179.	DMV35000	Funds for touchless soap and hand sanitizer dispensers, including floor stands, along with the initial distribution of soap and hand sanitizer to fill our new touchless dispensers.	\$ -	\$ 34,312	\$ 34,312	Approved			\$ 34,312						These dispensers will be located throughout our branch and office locations.
180.	DOC88000	Expand Telemedicine and Telemental Health Program	\$ 470,000		\$ 470,000	Approved			\$ 470,000						Funds will be used to procure additional laptops and tablets for staff, webcams, Mobile Medical Devices, and Telemedicine Carts.
181.	DOL40000	COVID Summer Youth Employment Initiative	\$ 2,000,000	\$ -	\$ 2,000,000	Approved			\$ 2,000,000						Funds will be provided through the Department of Labor to the five workforce development boards (WDBs) to support COVID related summer youth employment initiatives. Specifically, the WDBs will seek to provide funding to support health related youth employment through partnerships with FQHCs, AHECs and other community providers.
182.	DSS60000	Emergency feeding program		\$ 300,000	\$ 300,000	Approved			\$ 75,000	\$ 225,000					
183.	JUD95000	JUD - 1 - PPE, cleaning and disinfecting, and other response costs	\$ 689,190		\$ 689,190	Approved			\$ 689,190						
184.	DSS60000	Provide funding to support testing of high-risk populations	\$ -	\$ 32,400,000	\$ 32,400,000	Approved			\$ 32,400,000						
185.	MIL36000	State active duty post-8/8/20 to support PPE distribution and warehousing, testing and other COVID activities		\$ 10,533,170	\$ 10,533,170	Approved			\$ 2,633,293	\$ 7,899,877					Contingency in the event that a presidential extension of federal coverage of National Guard costs is not approved.
186.	DPH48500	Nursing Home Testing - 6 Additional Vendors		\$ 11,528,020	\$ 11,528,020	Approved			\$ 11,528,020						Physician One, Stamford Hospital, Fairhaven Health Center, Prospect-Waterbury, Prospect ECHN, Hartford HealthCare
187.	SDE64000	Devices for remote learning		\$ 10,000,000	\$ 10,000,000	Approved			\$ 10,000,000						Funds to be used in conjunction with Governor's Education Emergency Relief fund to provide devices where either districts or families are unable to do so.
188.	DOL40000	Overtime for Call Center through Labor Day		\$ 1,796,622	\$ 1,796,622	Approved			\$ 1,796,622						
189.	DRS16000	COVID related expenses - IT Equipment \$142,104 (100 Laptops, WIFI doggles and Headsets), Zoom conferencing \$408 and PPE and cleaning supplies \$10,911.		\$ 153,423	\$ 153,423	Approved			\$ 153,423						
190.	SOS12500	Cost of publishing various Executive Orders	\$ 124,216		\$ 124,216	Approved			\$ 124,216						Costs related to printing EO 7GG - 7TT in various papers as required by statute
191.	OPM20000	Municipalities		\$ 75,000,000	\$ 75,000,000	Approved			\$ 75,000,000						
192.	Var	PPE and Supplies		\$ 68,750,000	\$ 68,750,000	Approved			\$ 68,750,000						
193.	Var	Testing		\$ 31,323,130	\$ 31,323,130	Approved			\$ 31,323,130						
Subtotal -Additional Expenditures			\$ 707,507,390	\$ 551,998,549	\$ 1,259,505,939		\$ -	\$ 56,896,572	\$ (11,859,813)	\$ 774,874,468	\$ 119,485,422	\$ 44,253,300	\$ 271,539,417	\$ 4,316,573	
Revenue Items															
1.	Rev	Pass-through Entity Tax - Delay March 15, 2020 payment date to July 15, 2020	\$ (333,333)		\$ (333,333)	Approved		\$ (333,333)	\$ -						Cash flow impact, loss of interest
2.	Rev	Corporation Tax - Delay May 15, 2020 payment date to July 15, 2020	\$ (166,667)		\$ (166,667)	Approved		\$ (166,667)	\$ -						Cash flow impact, loss of interest
3.	Rev	Unrelated Business Income - Delay May 15, 2020 payment date to July 15, 2020	\$ (1,667)		\$ (1,667)	Approved		\$ (1,667)	\$ -						Cash flow impact, loss of interest
4.	Rev	Indian Gaming Payments - Defer March 15 & April 15, 2020 Payment to Sep. through Dec.	\$ (28,600,000)	\$ 28,600,000	\$ -	Approved		\$ (28,600,000)	\$ 28,600,000						Cash flow impact, shift of revenue from FY20 to FY21
5.	Rev	Personal Income Tax - Delay April 15 final payment date to July 15	\$ (1,119,556)		\$ (1,119,556)	Approved		\$ (1,119,556)	\$ -						Cash flow impact, loss of interest. Assumes shifted revenue will accrue to FY20
6.	Rev	Personal Income Tax - Delay April 15 1st estimate payment to July 15	\$ (166,813)		\$ (166,813)	Approved		\$ (166,813)	\$ -						Cash flow impact, loss of interest. Assumes shifted revenue will accrue to FY20
7.	Rev	Personal Income Tax - Delay June 15 2nd estimate payment to July 15	\$ (99,521)		\$ (99,521)	Approved		\$ (99,521)	\$ -						Cash flow impact, loss of interest. Assumes shifted revenue will accrue to FY20
8.	Rev	LPF & MVR - Delay Various Licenses and Registrations by 180 Days	\$ (37,000,000)	\$ 37,000,000	\$ -	Approved		\$ (37,000,000)	\$ 37,000,000						STF. Shift of revenue from FY20 to FY21
9.	Rev	Sales and Use Tax - Waive 10 cent plastic bag fee through June 30	\$ (1,800,000)		\$ (1,800,000)	Approved		\$ (1,800,000)	\$ -						Revenue loss for 1.5 months
10.	Rev	Sales and Use Tax - Delay 3/31 & 4/30 payment date to May 31, ann. liab <\$150k	\$ (71,681)		\$ (71,681)	Approved		\$ (71,681)	\$ -						Cash flow impact, loss of interest
11.	Rev	LPF - DPH Delay Various Licenses	\$ (10,000,000)	\$ 10,000,000	\$ -	Approved		\$ (10,000,000)	\$ 10,000,000						Per order of DPH Commissioner
12.	Rev	Gift Tax - Delay April 15 final payment date to July 15	\$ (10,000,000)	\$ 10,000,000	\$ -	Approved		\$ (10,000,000)	\$ 10,000,000						Cash flow impact, loss of interest
13.	Rev	LPF - Extend Term for On-Premise Liquor License by 4 months	\$ (1,800,000)		\$ (1,800,000)	Approved		\$ (1,800,000)	\$ -						One-time revenue loss due to extension
14.	Rev	Corporation Tax - Delay June 15 2nd estimated payment to July 15	\$ (98,333)		\$ (98,333)	Approved		\$ (98,333)	\$ -						Cash flow impact, loss of interest
15.	Rev	Unrelated Business Income - Delay June 15 2nd estimated payment to July 15	\$ -		\$ -	Approved		\$ -	\$ -						Cash flow impact, loss of interest - included in Corp. Tax figure above
16.	Rev	Pass-through Entity Tax - Delay June 15 2nd estimated payment to July 15	\$ (62,083)		\$ (62,083)	Approved		\$ (62,083)	\$ -						Cash flow impact, loss of interest
17.	Rev	Estate Tax - Delay payments due from 4/1-7/15 to July 15	\$ (40,000,000)	\$ 40,000,000	\$ -	Approved		\$ (40,000,000)	\$ 40,000,000						Cash flow impact, loss of interest

COVID-19 Response Items - Funding Sources and Budget Impact			Total Cost - Expenditure or (Revenue Loss)			Funding Source										Notes
Agency	Item	Gross Cost SFY 2020	Gross Cost SFY 2021	Gross Total	Status	Unassigned	State	State	Federal - CRF	Federal - FEMA	Federal - T-XIX	Federal - Other	Philanthropy			
							SFY 2020	SFY 2021								
18. Rev	LPF - DEEP 90 Day extension for Environmental Quality Fee	\$ (2,500,000)	\$ 2,500,000	\$ -	Approved		\$ (2,500,000)	\$ 2,500,000							Shift of revenue from FY20 to FY21	
	Subtotal - Revenue Loss	\$ (133,819,654)	\$ 128,100,000	\$ (5,719,654)		\$ -	\$ (133,819,654)	\$ 128,100,000	\$ -	\$ -	\$ -	\$ -	\$ -			
	Grand Total Impact	\$ 841,327,044	\$ 423,898,549	\$ 1,265,225,593		\$ -	\$ 190,716,226	\$ (139,959,813)	\$ 774,874,468	\$ 119,485,422	\$ 44,253,300	\$ 271,539,417	\$ 4,316,573			

State of Connecticut
Summary of Changes
General Fund and Special Transportation Fund
Projected to June 30, 2020
As of June 30, 2020
(In Millions)

General Fund

Operating Balance - Prior Month		\$	(444.7)
Revenues			
Federal Grants	100.0		
Miscellaneous	43.4		
Refunds of Taxes	34.2		
Indian Gaming Payments	17.0		
All Other Changes (Net)	(8.1)		186.5
Expenditures			
Additional Requirements	(0.4)		
Estimated Lapses	103.5		
Miscellaneous Adjustments/Rounding	1.9		105.1
Operating Deficit - FY 2020			(153.1)

Budget Reserve Fund

Fund Balance as of June 30, 2019		\$	2,505.5
Volatility Cap Deposit	318.3		
FY 2020 Est. Balance from Operations	(153.1)		165.2
Estimated Fund Balance - June 30, 2020		\$	2,670.7
Fund Balance as Percentage of FY 2021 General Fund			13.3%

Special Transportation Fund

Fund Balance as of June 30, 2019		\$	320.1
Operating Balance - Prior Month			(162.8)
Revenues			
Licenses, Permits and Fees	6.3		
Federal Grants	2.8		
All Other Changes (Net)	(2.6)		6.5
Expenditures			
Additional Requirements	0.0		
Estimated Lapses	7.0		
Miscellaneous Adjustments/Rounding	0.0		7.0
Estimated Fund Balance - June 30, 2020		\$	170.9

State of Connecticut
General Fund
Statement of Revenues, Expenditures, and Results of Operations
Projected to June 30, 2020
As of June 30, 2020
(In Millions)

	General Assembly Budget Plan ¹	Revised Estimates OPM	Over/ (Under)
REVENUE			
Taxes	\$ 18,445.1	\$ 17,798.4	\$ (646.7)
Less: Refunds	(1,411.7)	(1,500.0)	(88.3)
Taxes - Net	\$ 17,033.4	\$ 16,298.4	\$ (735.0)
Other Revenue	1,288.2	1,222.9	(65.3)
Other Sources	1,138.6	1,483.5	344.9
TOTAL Revenue	\$ 19,460.2	\$ 19,004.8	\$ (455.4)
EXPENDITURES			
Initial Current Year Appropriations	\$ 19,528.3	\$ 19,528.3	\$ -
Prior Year Appropriations Continued to FY 2020 ²		164.5	164.5
TOTAL Initial and Continued Appropriations	\$ 19,528.3	\$ 19,692.8	\$ 164.5
Appropriation Adjustments ³	-	104.2	104.2
TOTAL Adjusted Appropriations	\$ 19,528.3	\$ 19,797.0	\$ 268.7
Net Additional Expenditure Requirements		21.5	21.5
Estimated Appropriations Lapsed	(209.2)	(544.1)	(334.9)
Estimated Appropriations to be Continued to FY 2021 ²		(144.9)	(144.9)
TOTAL Estimated Expenditures	\$ 19,319.1	\$ 19,129.5	\$ (189.6)
Net Change in Fund Balance - Continuing Appropriations		(19.6)	(19.6)
Miscellaneous Adjustments/Rounding		(48.1)	(48.1)
Net Change in Unassigned Fund Balance - 6/30/2020	\$ 141.1	\$ (153.1)	\$ (294.3)

1. P.A. 19-117. Note that CGS Sec. 2-33c limits appropriations in FY 2020 to 99.5% of General Fund revenue. As a result, the \$141.1 million budgeted surplus is comprised of \$97.3 million due to this 99.5% limitation, plus a \$43.8 million operating surplus. Pursuant to Sec. 50 of P.A. 19-117, \$30.0 million of the operating surplus is available for use toward a potential settlement of hospital litigation. Section 50 also makes available \$160.0 million of FY 2019 surplus for a total of \$190.0 million to effectuate a settlement, and further specifies that the General Assembly Budget Plan be modified to reflect any adjustments to revenue or expenditures that might be necessary as a result of such settlement.

2. CGS Sec. 4-89 and other statutory provisions.

3. PA 19-1 of the December Special Session increased appropriations by \$104.2 million to support costs associated with the hospital settlement.

State of Connecticut
General Fund
Revenue Estimates
Projected to June 30, 2020
As of June 30, 2020
(In Millions)

	General Assembly Budget Plan ^{1.}	Revised Estimates OPM	Over/ (Under)
TAXES			
Personal Income - Withholding	\$ 6,910.5	\$ 6,720.2	\$ (190.3)
Personal Income - Estimates and Finals	2,762.5	2,462.5	(300.0)
Sales and Use	4,444.1	4,187.0	(257.1)
Corporation	1,099.8	973.8	(126.0)
Pass-through Entity Tax	850.0	1,150.0	300.0
Public Service Corporations	237.7	237.7	-
Inheritance and Estate	165.8	159.5	(6.3)
Insurance Companies	203.3	228.4	25.1
Cigarettes	344.7	344.7	-
Real Estate Conveyance	217.4	167.4	(50.0)
Alcoholic Beverages	68.9	68.9	-
Admissions and Dues	41.9	39.9	(2.0)
Health Provider Tax	1,050.1	1,040.1	(10.0)
Miscellaneous	48.4	18.3	(30.1)
TOTAL - TAXES	\$ 18,445.1	\$ 17,798.4	\$ (646.7)
Less: Refunds of Taxes	(1,309.3)	(1,394.1)	(84.8)
Earned Income Tax Credit	(97.3)	(97.3)	-
R & D Credit Exchange	(5.1)	(8.6)	(3.5)
TOTAL - TAXES - NET	\$ 17,033.4	\$ 16,298.4	\$ (735.0)
OTHER REVENUE			
Transfers - Special Revenue	\$ 368.0	\$ 340.1	\$ (27.9)
Indian Gaming Payments	226.0	164.1	(61.9)
Licenses, Permits, Fees	341.2	308.3	(32.9)
Sales of Commodities and Services	30.2	26.1	(4.1)
Rents, Fines, Escheats	158.5	154.0	(4.5)
Investment Income	52.6	44.6	(8.0)
Miscellaneous	178.1	255.0	76.9
Refunds of Payments	(66.4)	(69.3)	(2.9)
TOTAL - OTHER REVENUE	\$ 1,288.2	\$ 1,222.9	\$ (65.3)
OTHER SOURCES			
Federal Grants	\$ 1,526.0	\$ 1,798.7	\$ 272.7
Transfer from Tobacco Settlement Fund	136.0	136.0	-
Transfers From/(To) Other Funds	(205.1)	(132.9)	72.2
Transfers to BRF - Volatility Adjustment ^{2.}	(318.3)	(318.3)	-
TOTAL - OTHER SOURCES	\$ 1,138.6	\$ 1,483.5	\$ 344.9
TOTAL - GENERAL FUND REVENUE	\$ 19,460.2	\$ 19,004.8	\$ (455.4)

1. Sec. 386 of P.A. 19-117.

2. The volatility cap for FY 2020 is \$3,294.2 million. Total revenue from the Estimates and Finals portion of the Personal Income Tax and the Pass-through Entity Tax that exceeds the volatility cap will be deposited into the Budget Reserve Fund.

State of Connecticut - General Fund
Appropriation Adjustments - Net Additional Requirements
Projected to June 30, 2020
As of June 30, 2020

Department of Correction	\$ 21,479,920
Total	<u>\$ 21,479,920</u>

State of Connecticut
General Fund
Estimated Lapses
Projected to June 30, 2020
As of June 30, 2020

Legislative Management	\$ 9,026,799
Auditors of Public Accounts	437,731
Commission on Women, Children, Seniors, Equity and Opportunity	219,151
Governor's Office	125,474
Secretary of the State	255,296
Lieutenant Governor's Office	38,902
Elections Enforcement Commission	252,423
Office of State Ethics	140,915
Freedom of Information Commission	179,652
State Treasurer	149,074
State Comptroller	1,179,098
Department of Revenue Services	5,315,353
Office of Governmental Accountability	146,425
Office of Policy and Management	4,022,783
Department of Veterans Affairs	1,433,970
Department of Administrative Services	5,210,992
Attorney General	1,832,770
Division of Criminal Justice	1,280,863
Department of Emergency Services and Public Protection	1,777,676
Military Department	399,780
Department of Consumer Protection	1,069,537
Labor Department	2,708,439
Commission on Human Rights and Opportunities	116,833
Department of Agriculture	830,183
Department of Energy and Environmental Protection	878,351
Department of Economic and Community Development	504,459
Department of Housing	1,613,038
Agricultural Experiment Station	16,841
Department of Public Health	1,047,752
Office of Health Strategy	88,505
Office of the Chief Medical Examiner	131,934
Department of Developmental Services	37,607,221
Department of Mental Health and Addiction Services	3,317,777
Psychiatric Security Review Board	12,606
Department of Social Services	192,499,824
Department of Aging and Disability Services	2,160,441
Department of Education	31,016,662
Office of Early Childhood	6,638,072
State Library	514,034
Office of Higher Education	432,436
University of Connecticut	446,881

State of Connecticut
General Fund
Estimated Lapses
Projected to June 30, 2020
As of June 30, 2020

University of Connecticut Health Center	652,452
Teachers' Retirement Board	2,157,102
Connecticut State Colleges and Universities	1,398,940
Department of Children and Families	13,156,838
Judicial Department	22,145,888
Public Defender Services Commission	1,231,371
Debt Service - State Treasurer	24,300,000
State Comptroller - Fringe Benefits	161,279,386
DAS - Workers' Compensation Claims	729,571
Total	<u>\$ 544,128,502</u>

State of Connecticut
FY 2020 General Fund
Monthly Summary of Operations
(In Millions)

	Budget Plan ¹	July 2019	August 2019	September 2019	October 2019	November 2019	December 2019	January 2020	February 2020	Revised March 2020	April 2020	May 2020	June 2020
REVENUE	\$19,460.2	\$ 19,460.2	\$19,460.2	\$ 19,460.2	\$ 19,375.7	\$ 19,459.2	\$ 19,427.2	\$ 19,427.2	\$19,390.6	\$18,485.1	\$ 18,734.7	\$ 18,818.3	\$19,004.8
Appropriations ²	19,528.3	19,528.3	19,528.3	19,528.3	19,528.3	19,632.5	19,632.5	19,632.5	19,632.5	19,632.5	19,632.5	19,632.5	19,632.5
Additional Requirements	0.0	0.0	37.8	37.8	51.5	53.5	56.4	60.8	44.7	55.5	29.7	21.1	21.5
Less: Estimated Lapses	<u>(209.2)</u>	<u>(209.2)</u>	<u>(210.2)</u>	<u>(210.0)</u>	<u>(214.5)</u>	<u>(233.8)</u>	<u>(242.9)</u>	<u>(251.2)</u>	<u>(278.0)</u>	<u>(318.8)</u>	<u>(357.6)</u>	<u>(440.6)</u>	<u>(544.1)</u>
TOTAL - Estimated Expenditures	19,319.1	19,319.1	19,355.9	19,356.1	19,365.3	19,452.1	19,446.0	19,442.0	19,399.2	19,369.1	19,304.6	19,213.0	19,109.8
Operating Balance	141.1	141.1	104.3	104.1	10.4	7.1	(18.8)	(14.8)	(8.6)	(884.0)	(569.9)	(394.7)	(105.0)
Misc. Adjustments/Rounding	<u>0.0</u>	<u>(15.0)</u>	<u>(20.0)</u>	<u>(25.0)</u>	<u>(30.0)</u>	<u>(30.0)</u>	<u>(40.0)</u>	<u>(40.0)</u>	<u>(50.0)</u>	<u>(50.0)</u>	<u>(50.0)</u>	<u>(50.0)</u>	<u>(48.1)</u>
Est. Operating Balance - 6/30/20	\$141.1	\$126.1	\$84.3	\$79.1	(\$19.6)	(\$22.9)	(\$58.8)	(\$54.8)	(\$58.6)	(\$934.0)	(\$619.9)	(\$444.7)	(\$153.1)

1. P.A. 19-117.

2. Reflects gross General Fund appropriations based on PA 19-117 through October. November based on PA 19-1 of the December Special Session.

State of Connecticut
Special Transportation Fund
Analysis of Budget Plan
Projected to June 30, 2020
As of June 30, 2020
(In Millions)

	General Assembly <u>Budget Plan</u> ^{1.}	Revised Estimates <u>OPM</u>	Over/ <u>(Under)</u>
Fund Balance as of June 30, 2019	\$ 315.1	\$ 320.1	\$ 5.0
REVENUE			
Taxes	\$ 1,330.1	\$ 1,178.3	\$ (151.8)
Less: Refunds of Taxes	<u>(14.3)</u>	<u>(30.4)</u>	<u>(16.1)</u>
Taxes - Net	1,315.8	1,147.9	(167.9)
Other Revenue	<u>433.3</u>	<u>370.9</u>	<u>(62.4)</u>
TOTAL - Revenue	\$ 1,749.1	\$ 1,518.8	\$ (230.3)
EXPENDITURES			
Appropriations	\$ 1,740.6	\$ 1,740.6	\$ -
Prior Year Appropriations Continued to FY 2020 ^{2.}		33.3	33.3
TOTAL Initial and Continued Appropriations	\$ 1,740.6	\$ 1,773.9	\$ 33.3
Appropriation Adjustments	<u>-</u>	<u>-</u>	<u>-</u>
TOTAL Adjusted Appropriations	\$ 1,740.6	\$ 1,773.9	\$ 33.3
Net Additional Expenditure Requirements		-	-
Estimated Appropriations Lapsed	(30.3)	(72.5)	(42.2)
Estimated Appropriations to be Continued to FY 2021 ^{2.}		<u>(31.8)</u>	<u>(31.8)</u>
TOTAL Estimated Expenditures	\$ 1,710.3	\$ 1,669.6	\$ (40.7)
Net Change in Fund Balance - Continuing Appropriations		(1.5)	(1.5)
Miscellaneous Adjustments/Rounding		-	-
Net Change in Unassigned Fund Balance - FY 2020	\$ 38.8	\$ (149.3)	\$ (188.1)
Estimated Fund Balance - June 30, 2020	<u>\$ 353.9</u>	<u>\$ 170.9</u>	<u>\$ (183.1)</u>

1. P.A. 19-117. Note that CGS Sec. 2-33c limits appropriations in FY 2020 to 99.5% of Special Transportation Fund revenue. As a result, the \$38.8 million budgeted surplus is comprised of \$8.7 million due to this 99.5% limitation, plus a \$30.1 million operating surplus.

2. CGS Sec. 4-89 and other statutory provisions.

State of Connecticut
Special Transportation Fund
Revenue Estimates
Projected to June 30, 2020
As of June 30, 2020
(In Millions)

	General Assembly Budget Plan ¹ .	Revised Estimates OPM	Over/ (Under)
TAXES			
Motor Fuels	\$ 507.2	\$ 472.5	\$ (34.7)
Oil Companies	322.9	245.5	(77.4)
Sales & Use Tax	414.3	387.2	(27.1)
Sales Tax DMV	85.7	73.1	(12.6)
TOTAL - TAXES	<u>1,330.1</u>	<u>1,178.3</u>	<u>(151.8)</u>
Less: Refunds of Taxes	(14.3)	(30.4)	(16.1)
TOTAL - TAXES - NET	<u>\$ 1,315.8</u>	<u>\$ 1,147.9</u>	<u>\$ (167.9)</u>
OTHER REVENUE			
Motor Vehicle Receipts	\$ 280.1	\$ 241.6	\$ (38.5)
Licenses, Permits, Fees	145.5	135.3	(10.2)
Interest Income	36.1	21.7	(14.4)
Federal Grants	12.1	12.3	0.2
Transfers (To)/From Other Funds	(35.5)	(35.5)	-
Refunds of Payments	(5.0)	(4.5)	0.5
TOTAL - OTHER REVENUE	<u>\$ 433.3</u>	<u>\$ 370.9</u>	<u>\$ (62.4)</u>
TOTAL - SPECIAL TRANSPORTATION FUND REVENUE	<u>\$ 1,749.1</u>	<u>\$ 1,518.8</u>	<u>\$ (230.3)</u>

1. Sec. 387 of P.A. 19-117, as adjusted by provisions of P.A. 19-165.

State of Connecticut
Special Transportation Fund
Appropriation Adjustments - Net Additional Requirements
Projected to June 30, 2020
As of June 30, 2020

No additional Requirements	\$	-
Total	<u>\$</u>	<u>-</u>

Statement 4T
July 20, 2020

State of Connecticut
Special Transportation Fund
Estimated Lapses
Projected to June 30, 2020
As of June 30, 2020

Department of Administrative Services	\$	197
Department of Motor Vehicles		5,670,970
Department of Energy and Environmental Protection		48,895
Department of Transportation		2,668,511
Debt Service - State Treasurer		45,500,000
State Comptroller - Fringe Benefits		16,891,696
DAS - Workers' Compensation Claims		1,723,205
Total	\$	<u>72,503,474</u>

State of Connecticut
FY 2020 Special Transportation Fund
Monthly Summary of Operations
(In Millions)

	Budget Plan ¹	July 2019	August 2019	September 2019	October 2019	November 2019	December 2019	January 2020	February 2020	Revised March 2020	April 2020	May 2020	June 2020
Beginning Balance ²	\$ 315.1	\$ 315.1	\$ 320.1	\$ 320.1	\$ 320.1	\$ 320.1	\$ 320.1	\$ 320.1	\$ 320.1	\$ 320.1	\$ 320.1	\$ 320.1	\$ 320.1
Revenue	<u>1,749.1</u>	<u>1,749.1</u>	<u>1,749.1</u>	<u>1,749.1</u>	<u>1,732.7</u>	<u>1,732.7</u>	<u>1,730.3</u>	<u>1,730.3</u>	<u>1,683.9</u>	<u>1,565.9</u>	<u>1,565.9</u>	<u>1,512.3</u>	<u>1,518.8</u>
Total Available	2,064.2	2,064.2	2,069.2	2,069.2	2,052.8	2,052.8	2,050.4	2,050.4	2,004.0	1,886.0	1,886.0	1,832.4	1,838.9
Appropriations	1,740.6	1,740.6	1,740.6	1,740.6	1,740.6	1,740.6	1,740.6	1,740.6	1,740.6	1,740.6	1,740.6	1,740.6	1,740.6
Additional Requirements	0.0	0.0	0.0	0.0	7.0	18.3	20.2	20.8	15.4	10.3	5.8	0.0	0.0
Less: Estimated Lapses	<u>(30.3)</u>	<u>(30.3)</u>	<u>(30.3)</u>	<u>(30.3)</u>	<u>(41.7)</u>	<u>(30.2)</u>	<u>(31.5)</u>	<u>(32.3)</u>	<u>(32.8)</u>	<u>(33.2)</u>	<u>(62.2)</u>	<u>(65.5)</u>	<u>(72.5)</u>
TOTAL - Estimated Expenditures	1,710.3	1,710.3	1,710.3	1,710.3	1,705.9	1,728.7	1,729.3	1,729.1	1,723.2	1,717.6	1,684.2	1,675.1	1,668.1
Operating Balance	38.8	38.8	38.8	38.8	26.8	4.0	1.0	1.2	(39.3)	(151.7)	(118.3)	(162.8)	(149.3)
Misc. Adjustments/Rounding	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
Estimated Operating Balance 6/30/20	\$353.9	\$353.9	\$358.9	\$359.0	\$347.0	\$324.2	\$321.2	\$321.4	\$280.9	\$168.4	\$201.8	\$157.4	\$170.9

1. P.A. 19-117.

2. Budget plan and July as estimated by the Office of Policy and Management based on the Comptroller's August 1, 2019 letter. August based on OSC preliminary closing balance for FY 2019. September and thereafter per the Comptroller's September 30, 2019 letter.