2	SANDY HOOK ADVISORY COMMISSION
3	MARCH 8, 2013
4	9:30 AM
5	Legislative Office Building
6	Hartford, CT
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10	SCOTT JACKSON, Committee Chair
11	ADDRIENNE BENTMAN RON CHIVINSKI
12	ROBERT DUCIBELLA TERRY EDELSTEIN
L3	KATHLEEN FLAHERTY
4	ALICE FORRESTER EZRA GRIFFITH
L5	CHRIS LYDDY PATRICIA KEAVNEY-MARUCA
16	DENIS McCARTHY BARBARA O'CONNOR
	WAYNE SANDFORD
L7	HAROLD SCHWARTZ
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1		AGENDA
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3	ı.	Call to Order
4	II.	School Emergency Planning
5		Presentation by Gregg Champlin, New Hampshire School Emergency Planning & Natural Hazards Program
		Specialist
7 8	III.	Emergency Management Infrastructure in Connecticut (Division of Emergency Management and Homeland
9		Security)
10	IV.	Other Business
11	V.	Discussion
12	VI.	Adjournment
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1 (The proceedings commenced at 9:30 a.m.) 2 CHAIRMAN JACKSON: Thanks for coming in and 3 coming in safely everyone. Why don't we call to order this meeting of the 4 Sandy Hook Advisory Commission for March 8th, 2013. We have 5 6 a session on school emergency planning with some of our 7 friends from the great state of New Hampshire as well as a discussion on emergency management infrastructure prepared 9 by Connecticut's Department of Emergency Management and 10 Homeland Security. We'll start with school emergency 11 planning and ask Gregg Champlin from New Hampshire to join 12 us. Thank you for coming in on a day with some 13 14 inclement weather, Mr. Champlin. 15 MR. CHAMPLIN: (Inaudible.) 16 CHAIRMAN JACKSON: There is a button to turn the 17 microphone on. 18 MR. CHAMPLIN: Okay. How's that? 19 CHAIRMAN JACKSON: All right. So we'd ask you to 20 just give a brief introduction of yourself and a 21 presentation of what you've been able to accomplish in New 22 Hampshire, and then we'll open it up to questions and 23 answers from the panel. 2.4 (Audio skip.) 25 MR. CHAMPLIN: -- to be here with you all.

You'll hear a y'all every now and then. I'm from southern New England. But I want to say it's nice for the interstate sharing of information, especially with Connecticut. My father -- I grew up in Ashaway, Rhode Island right on the Connecticut boarder, and even in '50s, '60s, we had mutual aid across with Connecticut and because we could respond quicker to North Stonington than they could, and in fact, my father was the president of the New London County Fire Chief's Association. So it's nice to see that mutual aid and that help, and I'm more than happy to help y'all where I can with this.

So first of all, I gave you handouts. There's two in front of you. One is the RSA, the law from New Hampshire, and the other is just an overview of some of the response actions and so forth. So if you don't mind, we'll go through those. I'll start with the -- we're going to go to the law first, but how did we get to where we are?

I started with the earthquake program back in 1989 following the Loma Prieta earthquake in California. One of the goals for the National Earthquake Program was to get earthquake preparedness into schools. In working with schools, I noticed that schools had no emergency plans whatsoever, and in fact I'll bring it right down to the basics. They weren't doing evacuations that were required properly.

What do I mean by that? We are New Hampshire, and there were no thoughts given to if they actually had a fire in subzero weather, what would they do with the munchkins? Again, the little ones, five minutes out in subzero, you're dealing with a hyperthermia situation. So we pushed ahead and through working with the Federal Emergency Management Agency, the Emergency Management Institute developing planning, training sessions. Of course, I bring a lot of that back to New Hampshire customized for the state, and again, that basically is what started the program and where we are today.

So getting into the law, the law in New Hampshire -- when we put this together, we wanted it to be as simple as possible. I've seen laws that I have -- I can't figure out what exactly they're expecting schools to do. So I gave you this copy. You can read it at your discretion, but if we can turn to this at this point, there's a bulleted section on the law that I think is easier to follow than trying to read through that.

The requirements for K through 12 public and nonpublic schools, and we felt that it was very, very important to include public and nonpublic. We want schools coming off the same sheet of music though one is of course — the difference with private and public, fire, police, emergency management, they want to — there's no difference

there. They want to respond and have the same plans in place. So both are required to have site-specific emergency plans. The plans are to be based on and conform to the Incident Command System and the National Incident Management System. Two of the required fire evacuation drills are to be used to practice other response actions.

Now, I'm very, very, I'll say it honestly, proud of the state, with a small state without the support of state law enforcement, but in this case, the direct support from the state fire marshal. He was insistent, you know, people are not doing fire evacuation in February or January when it's freezing cold. So this opens the door for them to take just a bit off the plate of the schools and allows them to do a lockdown or other drill.

They must cover multiple hazards. If you wish to see those, you can look at the law itself. It must be coordinated with local emergency officials and local emergency operation plans. Now, again, I think it's common sense that these plans must be coordinated with the personnel that are going to respond to an event. With some of us small towns, what I'm very, again, proud of is if they border a larger community, and some of our towns do not have police departments, the neighboring police department are walking through the schools and so forth because they know that they will be the first responders on

scene.

As far as coordinating with the local emergency operations plan, I'll put that very simply. If a school or a school facility is being used for an alternate use such as a shelter or a place of distribution or any other non-educational function, that school will have more in the local emergency operation plan than a school that is not. Does that make sense? What does the town expect from the school, and vice versa, what does the school expect from the town? You know, do we expect the custodian to be there full time? Do we expect the food services to be cooking for the shelter, et cetera, et cetera, et cetera. So that is an example of that coordination we're looking for. Keep it simple. Keep it to the point. No one is going to read anything that is huge and thick.

It must be reviewed and updated at least annually, and it puts the agency that I work for responsible for assisting the schools.

Childcare programs. I know we're talking about schools, but it's an area that I don't think, personally, enough attention has been put on it. My personal attitude and the way that the rest of us think in New Hampshire involved in this is that, in a sense, we don't want to wait for a national tragic event to happen in a childcare program. These little munchkins are probably the most

vulnerable of all, and their caregivers, I've got to tip my hat to them. Those, for the most part, ladies or a few guys, but not many I've run into, have an awful lot on their hands. There's a big difference for evacuating a middle school and evacuating a two and three-year-old. So again, they have an awful lot on their hands and should not be ignored.

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All I'm going to say about the childcare rule is that in this case when we wrote it, rather than following strictly what we did for K through 12 law, is we went directly to the Incident Command System in that they have to have guidelines for the critical task, somebody in command, a method of taking care of the kiddos that are okay, being able to treat the children if they're injured with a medical team, and with any emergency you're going to have reunification. And we wrote out, again, they're required to do six of the response actions, and I can get into the response actions as we move along.

In essence, that's the key as far as I'm concerned, with any emergency response plan. ICS is the management structure. The daily structure of schools do not work in an emergency. It's too cumbersome, and being able to respond by using one or more of the response actions to a given situation should -- as long as the response actions are practiced, should get a school, or for

that matter any facility through the situation the best they can.

Are there any questions at this time? And then I'm going to go through the response actions. Okay.

The next page, compliance. Again, these courses that are listed, IS700, 100, 200 are your basic Incident Command courses. They are online. They are free, and just for instance, working with childcare licensing, they all take 100, the introduction to Incident Command, and childcare licensing gives the staff member who -- staff members that give the certificate three hours staff development time. So the carrot and the stick. You know, you've got to do it, but again I'm very proud of the way we work together closely with emergency management, Department of Education, fire marshals, and in this case, the Department of Health Childcare licensing.

We are almost to the point that we work as one agency on this. We coordinate it very, very tightly, and again, these people have an awful lot on their plate, and we try to make it as painless, if you will, as possible.

I recommend that all school staff take basic

Incident Command. Now, we have schools -- one district in

particular where the superintendent has actually put it in

the teachers' contract that you will take the basic

Incident Command course. Again, that's on the local level.

I'd like to see things like that. We don't mandate it, but again, I point that out to all my other superintendents.

Sometimes it's better to look at a situation where a person is being proactive, and that tends to bring other people along.

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Getting into the response actions, drop, cover, hold. Well, when I was a kid growing up in north of Westerly, we had the nuke sub base on one side, the destroyer fleet on the other. So we were doing drop, cover, hold in our classrooms. Look for the flash and drop. They didn't tell us that if you saw the flash, it was too late, but anyway.

The purpose of that is again, it's the earthquake, if you will, but also in New Hampshire whenever we've had a severe wind event, a tornado, there's never been a warning. Whenever there's been a tornado warning, there's never been a tornado. I'm not picking on the National Weather Service. I work closely with these men and women, but it's the nature of the beast, and I think you know it also particularly in the western parts of the state.

So when it's practice, and actually it could be used for teachers see somebody walking by out the window with a weapon. The first thing they do is get the kids down, notify everyone else of the situation, but that

initial motion, get the kids down out of sight.

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Again, if it were a tornadic event, and they had no warning, the teacher looks out the window, sees the wind coming, drop, face away from windows, get the kids down.

Is it the best? No. You know, basement and so forth, but at least it's a tool we have to minimize losses.

As far as educational time, that is a drill that teachers can do at their convenience in their classrooms, and I don't -- we found that it doesn't take up that much academic time. Whenever you approach this, any educators in here, you know, very sensitive to academic time, and that's a big battle. How do you become proficient without severely impacting the academic process.

Secure campus. Secure campus, you'll hear this across the country called several things. I don't -- we don't like codes. We've gotten rid of any codes in schools. They're confusing, extremely confusing, and you'll things like lock down red, lock down yellow, lock down green, lock down 1, 2, 3. Again, in a critical situation or a critical incident, all people are going to hear is lockdown.

So secure campus is basically, we've had a -- we have a -- well, I'll give you an actual event. Keene, New Hampshire, we had a domestic incident. It turned into the fellow running armed and near some of the schools. Police

notified the schools and childcare programs to go into secure campus. They brought anybody in from the outside. They activated their Incident Command. This is the middle school in Keene I'm going to focus on, activated their Incident Command System, activated their school security team. These are not law enforcement. These are school personnel, and what they're doing is monitoring the doors, just making sure a kid doesn't open the door or something of that sort. But normal classroom, normal education processes going on. They're not hiding. It's not a direct threat to the school.

So why did we come up with this? And why do I say that? Because we witnessed one of our schools go into a lockdown, the kids hiding on the floor in a darkened classroom with a police chase doing on half a mile away or more from the school for three and a half, four hours, absolutely no need for that. If it's that much as happened in Keene as backup law enforcement came in, they stationed an officer at each of the schools and child care programs just as a precaution, but the schools were able to continue their activities.

I will mention one other thing. Keene Police

Captain Brian Costa at the debrief we did of that event

said, you know, and I hadn't thought of this, with the term

secure campus, it may help to lower the anxiety of the

community because people -- whenever anything happens at a school, the immediate sense is to say lockdown. Well, it's gotten out enough that lockdown is not a good thing, and by saying the school is secure, if we can get that through, it may help a bit to lower the anxiety.

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And I should mention, with the events we've had, we've never had a problem with parents. We keep them informed before, during and after an event. So that's secure campus, nuts and bolts of it.

Shelter in place procedure is for nothing but hazardous chemical events, and that's where you're shutting down your ventilation. If you need to use duct tape and plastic, go for it. I'm not going to get into the details because every facility is different, and it's going to have to be looked at and see where the best place is. If you don't think it happened since I've been doing this, five schools in New Hampshire have had to shelter in place for actual external hazmat events. And that's in New Hampshire, never mind some of the major transportation areas and railway and so forth that you have in Connecticut.

Lockdown, again, lockdown is lockdown.

Basically, I teach time distance shielding. There's a lot of stuff going on out there about fighting, about teaching the kids to fight. Again, you can make your own judgment.

Basically, we've come down on the side that that's not a decision that we want children to make.

Time, distance and shielding. Time is we're buying as much time as possible for law enforcement to get there. That's another reason why it's critically important for schools to coordinate this with the emergency response officials. The difference in response time, for instance in Hartford, it's probably going to be a lot different than response time in Ledyard. So, again, it has to be coordinated in that sense.

The distance and shielding. Shielding is, again, getting into rooms, getting into closets, locking down, minimizing exposure as much as possible, and the distance is simply put, and you can see a note there. Staff may have to evacuate if they think it's the best. You don't want to micromanage, this is our approach, to these people. They're going to be on the scene. No two violent events, or for that matter any events, are exactly the same. You can't script this. Somebody that has nothing, you know, will take a shooting event, an intruder. Is it somebody that has something to do with the school? Is it some drunk -- somebody on drugs that just pulled off the highway? Is it a staff member who was fired or is going to be fired? Is it a student? And we can't forget about that. I know where we're focused now, but again, we have to look at

Columbine and all of these together.

So what I'm getting at is a teacher may have to make the decision on their own. I cannot get to a secure area. I've got these kids in the corridor. I am going out that exit. Okay? So I'm not going to beat this to death, but if they're outside, they're not going to come back inside the building. So you better have an area predesignated where these people go. We do not want them hesitating and going yes, I'm not going in, but where am I going? All right?

One other thing about lockdown I'll just mention. I believe we were in -- we were the first in the country, but in New Hampshire you ignore the fire alarm during a lock down. That didn't come from us geniuses with fire and police. It was 1997. We had a full-scale exercise in a middle school, and the teacher to get them after a lockdown, law enforcement pulled the fire alarm. The feedback, which is critical from all the staff, this is a school of 1,500, about a quarter of them said, I was not going to evacuate. I thought the intruder pulled the fire alarm. Again, a small state working together. I showed that to the state fire marshal, and in about a week it came down, as much as firefighters hate it, the intruder is the priority, but in their procedures they have to have, you know, keep your quard up. Do you smell smoke and so forth.

But I think that's critical to the lockdown situation, and I think it's a wonderful example of the way New Hampshire, at least, worked together on the state level.

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Evacuation I'm not going to beat to death. I think we all know how to evacuate. We've been doing it since we were in kindergarten. Again, probably the one point that you might want to concentrate on is the room-by-room evacuation. This could be a hazmat, hazardous material incident in a science lab. You may not want to pull the fire alarm because that would bring people through the hazard area. So it may be a room-to-room evacuation away, a verbal, away from that hot zone.

Reverse evacuation is probably the one response action that's been used the most since its introduction. It's simply a method to bring the munchkins in quickly. It's been used for everything from rabid animals, bear, moose, you name it, a stranger on the playground, thunder and hearing shots fired.

It is practiced at the end of recess or at the end of physical education training outside. So again, we're not impacting academic time, and schools can become proficient in this in a very short time.

Scan is the last one. To be honest with you, we got tired in many cases of seeing bomb threats in schools. They evacuate. Law enforcement shows up and says, could

the teachers go in and check their areas? Now, the response from some teachers were, you can get your mother to go back in there. You just brought me outside. This way before or as you're doing that, they are scanning their rooms. Scan high, scan medium, scan low. Now, when they go out, they can report to the command post, in room 125, there is a backpack that I don't know where it came from or a doll or a box or a, you know, I can guarantee you one thing. A bomb doesn't look like red tubes, wires and an alarm clock. So it can look like just about anything else.

So that works well. Again, training for that can be a staff development. In fact, I will tell you right now, I recommend for our schools that they spend five minutes of every staff meeting, no more, no less, on something out of the emergency response plan to keep it fresh. The hardest thing with this stuff is to keep it fresh, and again, I'm very sensitive to academic time, but I think it's not too much to ask for five minutes. We're going to discuss secure campus today. Boom, boom, boom, done. Or just, we haven't got a subject. Anybody have a question on anything with the emergency response plan?

So basically if you have the Incident Command

System, our approach is you have the Incident Command

System in place for the management system during an

emergency and have the seven response actions in place and

1	practiced that a school or childcare program should be able
2	to respond to any situation in a fairly organized manner,
3	in a safe manner, and coordinate, which is just as
4	important, with emergency responders. Okay?
5	CHAIRMAN JACKSON: Thank you, very much, Mr.
6	Champlin. I realized at the beginning of the meeting, I
7	neglected to have the panel introduce themselves to you.
8	You deserve to know who you're talking to.
9	MR. CHAMPLIN: Well, I can see all your names.
10	CHAIRMAN JACKSON: So why don't we start with
1	Chief O'Connor.
L2	COMMISSIONER O'CONNOR: I'm Barbara O'Connor.
L3	I'm the chief at the University of Connecticut.
L4	COMMISSIONER GRIFFITH: I'm Ezra Griffith from
L5	the Department of Psychiatry at Yale.
L6	COMMISSIONER CHIVINSKI: Ron Chivinski, teacher,
L7	Newtown Middle School.
L8	COMMISSIONER SULLIVAN: Bernie Sullivan, former
L9	Chief of Police in Hartford and former Commissioner of
20	Public Safety for the State of Connecticut.
21	CHAIRMAN JACKSON: Scott Jackson, mayor, Town of
22	Hamden.
23	COMMISSIONER EDELSTEIN: I'm Terry Edelstein,
24	Governor Malloy's nonprofit liaison.

COMMISSIONER LYDDY: Good morning. My name is

1 Christopher Lyddy. I'm the former state representative for 2 the community of Newtown and a clinical social worker here in the state. 3 4 COMMISSIONER SANDFORD: Good morning, Gregg. Wayne Sandford, University of New Haven professor and 5 6 retired ex-commissioner of Homeland Security -- or deputy 7 commissioner of Homeland Security, State of Connecticut. 8 COMMISSIONER FLAHERTY: Kathy Flaherty, staff 9 attorney Statewide Legal Services and mental health 10 advocate. 11 COMMISSIONER DUCIBELLA: I'm Bob Ducibella. 12 a structural engineer and an architect practicing as a 13 security consultant for safe spaces. 14 COMMISSIONER McCARTHY: Denis McCarthy, fire 15 chief, emergency management director in Norwalk. 16 COMMISSIONER FORRESTER: Hi I'm --17 CHAIRMAN JACKSON: Thank you. Oh --18 COMMISSION FORRESTER: -- Alice Forrester from 19 Clifford Beers Clinic. I'm the director there. 20 CHAIRMAN JACKSON: Thank you. Questions for Mr. 21 Champlin. 22 COMMISSIONER LYDDY: Thank you and good morning 23 I just have two quick questions. In your 24 presentation, there was no mention of any type of 25 technology that the state is using in the emergency

1 response. Can you comment on if the state has thought 2 about the use of technology in these responses, and if so, 3 in what ways? 4 (Audio skip.) 5 MR. CHAMPLIN: -- blackboards, some of these 6 programs they used for counting students. Again, they're 7 all over the place, the larger districts. I mean, I just 8 did a security assessment of a one-room school house in New 9 Hampshire. So now, if you could be a little more 10 definitive there I guess? 11 COMMISSIONER LYDDY: Sure. Have the schools 12 employed any type of technology in the communication in 13 that emergency response? If there were such an emergency, 14 are they using technology, whether it be social media or 15 other types of --16 MR. CHAMPLIN: Oh, okay. 17 COMMISSIONER LYDDY: -- tools to communicate --18 MR. CHAMPLIN: Okay. 19 COMMISSIONER LYDDY: -- during incidents? 20 MR. CHAMPLIN: Okay, okay, okay. Technology 21 starting I guess with security and it's too bad it took this situation in Newtown, but again, we -- it helps us 22 23 move forward, but panic buttons directly to the police 24 department, some of those in response, alert now. Now, I

don't know how versed you all are with the Incident Command

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System, but one of the hardest things, and I'll loop this together with the answer. One of the critical parts during any incident is public information, and that gets into Twitter, social media and so forth.

We just had a situation, a tragic situation a year ago with a middle school student tried to commit suicide in front of all of his classmates in the cafeteria. The superintendent formed an area command. In other words, she did not go to the school. She stayed in the office to coordinate actions-wide. What was good about it is that she listened and got public information, personnel to support. What I see in a lot of academia is the fact that only the principal, only the superintendent, and they miss the point that they need support people.

A part of that was social media, having personnel observing social media, putting out -- keeping up, looking for rumors, putting out factual information with their Twitter account, their Facebook account as well as using reverse calling systems. As tragic as that was, they had zero problems with parents self-responding to the school because we tell parents that you could endanger your own child's safety by blocking roads and so forth.

So yeah, but it's not on the state level, and this is where I was trying to -- you know, we really urge the school district superintendents and so forth to

incorporate what you mentioned with Twitter into the public information segment. Even at that reverse calling message, you have to remember once it's out there it's in the public domain. So therefore it should be part of public information and coordinated within that, and it works very, very well, and that's why it's important I think for Incident Command. The daily organization just doesn't work.

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COMMISSIONER LYDDY: Great, thank you. And my second question is do you track or does the state or local governments track the completion rate of that online training?

MR. CHAMPLIN: You know, I've asked FEMA because it goes through the FEMA training center, and it's really difficult, and I think some of us here in emergency management know that. It's really, you know, it's the same with fire (inaudible) in. It's really hard to track that. I will say I'm rather pleased with when I go to a school or a childcare program, it draws a -- it gets a smile on my face when I see them all sitting there with the certificate because I tell them, you know, I really want you to take this because I don't want to explain it to them. I want to explain to them how you apply it.

So not a direct answer, but I am pleased with the number that take it.

MR. LYDDY: Great, thank you so much.

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COMMISSIONER DUCIBELLA: Gregg, I love the document.

MR. CHAMPLIN: Which one? Ah.

there is a law that you've passed out, and that is an overarching paragraph about what expectations are, and you've come today and provided us with some really clear insight in the form of what I call the major chapters of what the expectational response might be, you know, in these various sections. Is this a subset of a much larger document, or is this what you carry around in that noodle of yours and you pass on to people who are information-hungry? This is the big picture, the law. This is sort of the subset of what you have put together as information. Is there something larger than this?

MR. CHAMPLIN: Yes, this is my attempt at my marketing, you know, handing this out to schools and anyway, it's, you know, the opening. It gives enough information to them.

COMMISSIONER DUCIBELLA: Yup.

MR. CHAMPLIN: The bigger document, if you will, and there's several ways of approaching this, and I think -- and correct me if I'm wrong, if I'm going off in the wrong direction, but I think what you're talking about is

job aids. What are they actually using when the emergency hits.

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interest on behalf of the commission and then therefore for the state is you've enacted a law in order to create a legislative mandate. Then in order to help interpret what that might mean, you've put together a series of -- I use this word carefully -- sales points so that people say, oh, here's some really granular examples of what you might do to comply with the law. But I think at some point while this is extraordinarily easy to understand and makes good sense, knowing every school is different, there are probably some other documents that have been created that are, as you say, the more daily use advisories. Could you talk a little bit about that for us?

MR. CHAMPLIN: Sure.

COMMISSIONER DUCIBELLA: Thank you.

MR. CHAMPLIN: The basic plan -- let's start there. And even in our program, that was a missing component and again, you know, understanding incident -- emergency management, the basic plan, school plan I use is only 23 pages, and that's the scope, the purpose, again, I know some of you don't have emergency management background, but the scope, the purpose, the communications is including, the Incident Command. Basically, it's this.

It's 23 pages of the foundation. This is what we're planning for. This is how we're going to approach it.

This is what we expect generally speaking from each person in the school, and that's by title. The principal or his or her designee will be the Incident Commander. It's not stating who. It's not stating -- it's just laying that out, and that has to be customized. You know, if I've got a one-room schoolhouse, that's going to be a pretty short document.

From there, you build on -- it's also policy. So for instance, in the exercise training segment, each school will do X amount of lockdowns a year, you know, will follow the life-safety code, do fire drills. Each staff member will take the Incident Command. So anyway, laying out the policy.

After that, we get into functional annexes, annexes and appendices. Those are, to keep it short, the working documents. This is the big book on the shelf, but those -- I'm trying to put this as simple as possible. The basic plan is not used in an emergency. The annexes are. That's where your Incident Command guidelines, your flip charts, your job aids, whatever you're using are stored, and anytime anything is updated, I advise have it on a disc, put the updated disc in the back so it's always updated.

So any guidelines for the school Incident Commander, the working copy is laminated in the Incident Commander's kit. We're actually -- and I let schools do what they want. I'm not going to micromanage them to such a point because what works in one doesn't quite work. have to stay true to this. We're getting sort of away from those flip charts with all the stuff in it. developed a wall chart that has the response action so they can put it on the wall. It's always in sight. As people go by, they glance at it. They can read it. So it's more in their face because what I've found through the years, nobody uses the flip charts. They look great, but in an emergency they better know what the heck to do, not open a They're good for a substitute, but as proven flip chart. in my school when they went into a lockdown with a substitute in the kindergarten class, she never got to it. The five-year-old said shut the door, lock the door, pull the shades and two of them grabbed her hands and said, we'll show you where to hide. So that shows the -- you know, they've got a drill because it empowers everybody from the munchkins up to through the thing.

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So does that make sense? That's how --

COMMISSIONER DUCIBELLA: Yeah, you've answered my question, which is you put this 23-page straw man together which then each school then looks at and has a much better

understanding of what's expected in the law.

MR. CHAMPLIN: Yeah, and --

COMMISSIONER DUCIBELLA: And it's a higher fidelity document than the sort of primer that you gave us?

MR. CHAMPLIN: But even that's simple.

COMMISSIONER DUCIBELLA: Yeah.

MR. CHAMPLIN: And I just want to mention what I do with that document. As -- it's in Word format, and I've got a lot of this going on right now. Give it to fire chief, police chief, everybody in the district. Have them mark it up. Then we go in and I will facilitate if necessary. We'll put it up on the screen, get somebody that types and say, okay, the scope of the plan. Are you satisfied with that? Do you want to make changes? So they are taking that -- and I don't like to call it a template. I don't believe in templates. Templates fill in the blank. There's no planning involved. What I like about this is they're customizing it, throwing out, adding what they want. It becomes their plan.

COMMISSIONER DUCIBELLA: Thank you. Basically what they're in, they're in a position of doing is modifying as opposed to creating, and the modifications are site-specific. So the straw man provides a much easier transition to go from nothing to something.

MR. CHAMPLIN: Exactly.

COMMISSIONER DUCIBELLA: And it provides some unification and uniformity throughout. Is that document something that you could make available to the commission?

MR. CHAMPLIN: Everything that I have is in the public domain.

COMMISSIONER DUCIBELLA: Thank you very much for your time.

MR. CHAMPLIN: So I'll send it along.

COMMISSIONER DUCIBELLA: I appreciate that.

CHAIRMAN JACKSON: Thank you. I think having access to the 23-page document might help inform us as we move forward.

Other questions for Mr. Champlin?

COMMISSIONER SANFORD: I think, Gregg, the point I want to make is that you seem to be a focal point for educators in the State of New Hampshire. If I'm a new superintendent of schools, and I know that I need to do something in my school for emergency planning, how do I get connected up to you? And it sounds like you actually provide personal services with that school district to go out and actually help them do this. So how would I find you if I was a new superintendent in New Hampshire, and can you kind of go over some of the things that you do when a new superintendent calls you up and says, I think I need help, but I don't know what it is.

MR. CHAMPLIN: I'll go visit them. I like faceto-face, you know. We'll have conversation. We'll go through the law and rule, and as I think probably all y'all know is that, you know, you get superintendents coming from out of state and so forth, not familiar. And I'll literally sit down with them.

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Now, an important part of this is, A, it -- and Wayne, I'm going to just deviate a little bit. I think it amazes me how many states don't have a person doing what I do as sort of that point of contact. You know, I find many times this stuff is there in states, but it's sort of scattered all over the place, and so getting back to the, you know, I -- yeah. I'm the point of contact. It's not all me. I couldn't do it without everybody else in the agency, but working so closely with the Department of Education, you know. I've got to go back to that. I couldn't do what I do if it wasn't for the fire marshal, if it wasn't for the commissioner of education, if it wasn't for the commissioner -- or the head of the SWAT team.

You know, there are no experts in this. I do not like the term expert. You know, an expert is if I'm talking to you and I know one more thing about the subject we're talking about than you, I guess I'm the expert.

Because it takes everybody to develop the plan. It takes

everybody to put together a program, and the only people that are experts are those people in that school, in that community, and all we can be is guides on the side and facilitate and try to help them where we can.

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So to answer your question, I know about these because of the Department of Education and that connectivity we have there. We couldn't get by without it, Wayne. Does that answer your question?

COMMISSIONER SANDFORD: You know, I feel for the commissioners. You know, Gregg has raised some good points that, you know, trying to get educators to take courses, and I think that scares everyone, you know, oh, my God, now I've got to be out of a classroom for another, you know, classroom for a couple of hours to complete a class. But Gregg's point is very valid. It's that in some school systems they say to the professional people that are in the school, you need to complete these courses. For those in the emergency response business, we know that they're not that difficult to do. They are online. They are free, and a 100 level course, ICS 100, probably takes three hours to finish. And I'm thinking somebody that doesn't know anything about ICS will probably take three hours.

MR. CHAMPLIN: Right.

COMMISSIONER SANDFORD: If you have any experience at all, you can probably do it in an hour and a

half. So we're not talking about a large commitment here. And at the end of the class, you actually print a button when you pass the exam, and it gives you a certificate you can print out, and now you can turn this certificate in -- as Gregg said when he goes someplace, they hold it up and they say, look, I've got it.

So it's -- we're not -- I think the point is, this is not an inordinate about of time we would be expecting or asking for people to do. We're looking at a three-hour online program. You don't have to do all three hours at once. You could start it and stop it, go back in and finish, and at the end, you get a certificate that says I now at least have a basic understanding of the Incident Command System. So now when law enforcement arrives or fire arrive, the emergency responders, they understand where they fit in the system, and it does make things go a little bit better, and I think that's important to know that, A, it's free. There's no cost for the training other than maybe the time that a teacher, a superintendent or principal needs to take the course, and at the end of it they get a certificate.

I just want to make sure that everyone -- I know that Denis knows this. I know this. I'm sure the chief knows this, and I'm sure Bernie, you know this as well, but for other people, this is a foreign subject, and it is

relatively easy to do, and there's more than one course you could take but ICS 100 is probably the basic that we ask for. So I just wanted to make that more for our information than --

MR. CHAMPLIN: I take it a step further, Wayne.

Anybody here who hasn't taken it probably should take it to get a better feel for, you know, what we're talking about.

Just a suggestion.

I will take it one step further though. You know, then you come in once they take that course, and you boil it down. Because you can only get so much out of an online course, but then what we do is boil it down to the working parts, and I'll tell you ladies and gentlemen, it warms my heart when you see a childcare program instituting, you know, here's my command post, and this is my medical team, and this is the reunification team, and doing a reunification drill with all these little munchkins and making sure that the parents — this is on a daily — just a drill, just using it. So —

COMMISSIONER CHIVINSKI: And it sounds like even though it might be a small point, that even substitute teachers or before you go into the classroom to be a substitute should take that type of training, the ICS 100, correct?

MR. CHAMPLIN: You know, I just think it helps.

Even if you're at the bottom of the totem pole in the command structure, at least you know what the command structure is because it does differ slightly to the day-to-day. So I recommend it for everybody, yeah, yeah.

COMMISSIONER CHIVINSKI: Okay. Gregg, just one quick question, when this law, the RSA18964 was established in New Hampshire, and there had to be coordination between the local emergency officials and the schools, et cetera, that 23-page basic document, did they all sit down in a room and start looking at these things collectively together on what needed to be improved in any existing emergency plans at the schools? Is that the level of detail we're talking here?

MR. CHAMPLIN: Though I feel that we're ahead of many states, we still have long way to go. You know, emergency management directors and so forth in New Hampshire are volunteers. They're not paid for the most part. So what I'm getting at is I feel that I can put most of my schools up against any school, but in the same sense there's still a lot of work to do.

And yes, the ones that work, they sit down. They work together, and I'll be very frank with you, to work with emergency planning -- any emergency planning, but specifically school, is keeping it simple. I have seen plans, and it just seems like college plans in particular.

They love to write stuff but nobody ever reads it. You know? And it's -- you know, I tell them if your plan's this thick, the best thing to do with an intruder is throw it at him because nobody's read it. And so yes, getting together, and that's the work. That's the work to it. Sitting down together and saying, all right. This is what we need for intruders. So yeah, there's a lot of that going on.

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I want to mention one thing though about the law. I think one of you mentioned mandate. I try not to use the word mandate in New Hampshire. One of the questions when we were going through and one of the committees asked it does not have a fiscal note attached to it, and said, shouldn't there be a fiscal note? Because the goal of the law was not to mandate plans. I have never been to a school anywhere that doesn't have, quote/unquote, a plan. Now whether it's a workable plan, that's something altogether different, but they've all had plans. The purpose of the law was to get all the schools in New Hampshire on the same page. Does that make sense to everybody? I mean, they all have them, but they're all over the place, and this -- the purpose is to try to drive them all to be in the same place. Okay?

COMMISSIONER O'CONNOR: Thank you. I found your presentation very informative, and I was just testifying

yesterday on the same issue at college and university levels, and I'm wondering if you have a specific state law -- you mentioned them, colleges and universities. What have you done in that area?

MR. CHAMPLIN: We don't have a law, and as a matter of fact, have you ever pushed legislation through? I was asked that because unfortunately one thing that helped us get ours through is it was slightly after Virginia Tech. So I think it makes things easier to push through when -- and I was asked that. You know, do we want to stop here or include colleges and universities, but knowing how -- what happens when you get a law that close to stop then, maybe I'd take a chance at losing it all. So we did not include colleges and universities.

With that said, a lot that applies to -- well, let me back up. Any emergency planning has commonalities as it should, Incident Command. Some of the basics should be all the same. Working with a lot of the non-public schools you're facing some of the same issues, multi-building campuses, you know, I'm talking about the K through 12 non-public schools, have some of the same issues as far as multi-building. The difference is the age of the students. They have a local parentus duty of care to the younger kids whereas college really doesn't have that.

Some of the -- what I've done primarily with them

1 has been they're all interested in it, and what we've done in the past is more of training. So Incident Command 3 training. If they want that, we do it. We try to support them where we can. Keene State College stands out. Plymouth State College stands out. University of New 5 6 Hampshire has a full-size police force, and the police 7 chief is excellent. So he's been driving that himself. 8 It's some of the smaller colleges that are sort 9 of a concern of mine, and they have been calling me lately. 10 So hopefully we'll get some of them on board. But they do 11 have some quirks, if you will, as far as the multi -- you 12 know, how do you coordinate that? 13 Personally, my view is if one building goes into lockdown because of an intruder, I think I'm going to fall 14 15 back on the response actions. The other could go into 16 secure campus, in other words, locking all their doors so 17 you could coordinate those response actions. Does that 18 help? Does that sort of -- it's difficult. Colleges, 19 depending on size --20 COMMISSIONER O'CONNOR: Yeah. 21 MR. CHAMPLIN: -- can be very, very difficult. 22 COMMISSIONER O'CONNOR: Yeah. Well, and there's 23 a federal law that mandates colleges and universities to do

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1 COMMISSIONER O'CONNOR: -- this test drill, and 2 Connecticut has a -- and was very progressive in 2007 in 3 passing that law. But I was just wondering if you have something similar that we might look at to review ours and 5 modify. 6 MR. CHAMPLIN: No, no. Take law and just add. 7 COMMISSIONER O'CONNOR: Yeah, okay. 8 COMMISSIONER DUCIBELLA: I have the same 9 information hunger that Wayne has. You're obviously kind 10 of a quarterback for the emergency management planning 11 focused on schools and childcare programs. The incident 12 management system works for a wide range of events --13 MR. CHAMPLIN: Absolutely. 14 COMMISSIONER DUCIBELLA: -- for all different --15 you could have it for a nuclear power plant. You could

you could have it for a nuclear power plant. You could have it for a military base. You could have it for a college campus, but you have really focused, if I'm not incorrect on this school piece, and the question that Wayne asked, I'm not quite clear about the answer for. Are you a government -- are you part of the government system? Are you a consultant to the government? If I --

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MR. CHAMPLIN: No, no. I'm an employee of the State of New Hampshire.

COMMISSIONER DUCIBELLA: So you have an official -- what is your official title?

1 MR. CHAMPLIN: School emergency planning 2 specialist and natural hazards specialist. So a small 3 state I handle everything from hurricanes, earthquakes to 4 school emergency planning. COMMISSIONER DUCIBELLA: I think the answer is 5 6 obvious, but it's helpful since we're on public record. 7 you weren't doing this in your position, and I assume 8 you're the only person doing this, no one else would be 9 filling this in except on an ad hoc basis; is that right? 10 MR. CHAMPLIN: Yes, that's correct. 11 COMMISSIONER DUCIBELLA: And I'm assuming that 12 the program has advanced significantly and has at least a 13 commonality and understanding in process even though as you 14 say we have this 23 page document, which is the straw man? 15 MR. CHAMPLIN: Yeah, and again, some of the stuff 16 -- nobody's ever there. Like I said, no experts. 17 COMMISSIONER DUCIBELLA: Right. 18 MR. CHAMPLIN: And so we're really pushing ahead 19 now with some other supporting documents, and it's always 20 fluid. It's always changing, but yeah, yeah. 21 COMMISSIONER DUCIBELLA: How long has this 22 position existed, Gregg? 23 MR. CHAMPLIN: How long have I been doing this? 2.4 COMMISSIONER DUCIBELLA: Yeah. 25 MR. CHAMPLIN: About eighteen years.

COMMISSIONER DUCIBELLA: And has this position existed in the New Hampshire --

MR. CHAMPLIN: Well, it's a natural hazards program specialist.

COMMISSIONER DUCIBELLA: Yeah.

MR. CHAMPLIN: And it just sort of morphed into the schools when I noticed that they didn't have plans, to be very frank with you.

COMMISSIONER DUCIBELLA: Thanks very much.

COMMISSIONER FORRESTER: I just have a quick question. Is there an appendix to this on the recovery plan? Is there a recovery plan attached to what you're talking about?

MR. CHAMPLIN: Probably the most important -now, right now, the quick answer is yeah, sort of. And I
know that's rather vague. Right now, I'm concentrating on
response, you know, and I know recovery is a huge issue,
but if you can't respond it just makes recovery that much
more difficult. There is a piece in the FEMA document on
continuity of operations for schools. I think, again, I
believe in keeping it simple. And that's what you're
talking about? Like continuity of operations and for
everybody else being able to continue your educational
process, for instance, if the school burns down. I like to
keep it simple. You know, they push and say, where's your

alternate space to do -- to carry on school if you can't use the building? I think they also should get square footage, number of bathrooms because a building may not be available, you know.

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And another piece that again, I'll applaud New Hampshire, post-9/11 funding went into behavioral health, psychological response, and the fellow that put it together did an excellent job. We have about 800 volunteers, psychologists, psychiatrists, counselors from private practice, National Guard, clergy that have all taken the same post-vention training, and it's New Hampshire Disaster Behavioral Health Response Team, and they're available for, well, anyone, but schools obviously because of -- it doesn't have to be, as you know, a school incident. It could be a death of children in a fire in the community. They've responded to more, and to me that's a big component. Doing that post-vention in an organized fashion, and what I'm proud of New Hampshire is we have the If it was a big response and if the counselors have to be counseled, we've got it together coming off the same page.

So they have developed a mental health response, psychological response component, which again would be part of one of the annexes to the overall plan. Okay? Did I answer that well enough for you? Okay.

1 COMMISSIONER McCARTHY: Gregg, a couple of 2 questions. 3 MR. CHAMPLIN: Sure, Chief. COMMISSIONER McCARTHY: First, how many school 4 districts in the state of New Hampshire? And second, and I 5 6 agree with the focus on training and exercise as the 7 primary activity, but how have districts dealt with 8 infrastructure and hardening of the infrastructure and 9 making structural changes within their facilities? Now 10 that they're focused on school safety, has that translated 11 to some construction elements that they've dealt with? 12 MR. CHAMPLIN: Sure. I'll answer the last part. 13 I can't remember what the first part was. Oh, number of 14 school districts. We have 234 towns and each town is 15 considered a school district. Now, they can be divided 16 into supervisory unions, and that's where the 17 superintendent's in charge, and they can range from nine 18 towns under that to one town with one small school. 19 always say if you can do emergency planning in New 20 Hampshire, you can do it anywhere in the world, you know. 21 They're all over the place. Okay. 22 All right. I should have answered the last 23 question first. What was that again? I'm sorry. 2.4 COMMISSIONER McCARTHY: Hardening --

MR. CHAMPLIN: Oh, hardening. You know, I've

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been at this so long. I had the -- and I'll start this way, Chief. I had the honor of having the folks from Jonesborough, Arkansas as students in a FEMA course slightly after that event, and just to remind you all that's where the kids pulled the fire alarm and then shot everybody as they started coming out the doors, and nobody wanted to do fire drills anymore. So what I'm saying is you have to watch out for knee-jerk reactions. You know, we also get hyper-focused.

Obviously after the tragic event in Newtown everybody's concentrated on hardening the structures, everything from ballistic glass to major renovations. I am glad that a lot of our schools already have that and have incorporated them over the years. This has driven some of the schools -- the one-room school house that I was at the other day actually put a buzz-in system, which absolutely amazed me. They finally did that, you know, a town of 300 put up 4,200 bucks to build a whole, you know, which I found amazing. I couldn't believe that they actually would ever do that. So yeah, they're making those points.

But I want to tell you, and again, we have an engineer. One of the things that I think is critically important, and I'm going to talk about new construction or major renovations, is I believe architects, engineers, should have CPTED training or something of that sort. It's

Crime Prevention Through Environmental Design, and it sort of incorporates -- and I'm not an architect, you know, an engineer. So I mean, you probably know more. But I know that the National Association of School Resource Officers has a course in it, and it's just basically when you're doing major renovations, when you're doing new construction, you're building a lot of these factors into the building. And it's more than just a secured door. It's bathrooms so you can stand outside and listen so you can hear the kids talking and some of that. I think that's critically important.

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So I will be honest with you, I think everything that happened there, you know, take a look at your security, but again, people no matter how -- where do you stop? I guess that's what I'm saying. Do you stop at the alligators in the moat and the drawbridges, you know, especially when you've got the human element in there. You know, I have quite a reputation in some of my schools of yelling or reprimanding parents for letting me in after they've been buzzed in. You know, I ask them with this face, you know, look at them and say, do you know who I am? You know, that's there for the -- I have a finger. I can push that button too.

So no matter what you put in I think it also is climate, culture is probably just as important, education,

nothing's 100 percent. Am I going off too much or --

MR. McCARTHY: No, no.

MR. CHAMPLIN: -- focusing, yeah. You have to put the basics in place, but again, arrival, dismissal? My God. You've got no control on the kids or little. So those areas have to be looked at too.

I hate to say it, every state fire marshal in New Hampshire -- so I'm going to say it to you since you asked the question -- has said, Gregg, your biggest problem in state government is you try to use common sense, and I just think sometimes you have to use common sense. What is financially feasible and going to work within -- work for us, you know, but really try to keep it common sense.

MR. McCARTHY: Thank you.

MR. CHAMPLIN: You're welcome.

CHAIRMAN JACKSON: I think I'll save the final question for myself. You've given us a lot of great and accessible recommendations here. We heard in a previous panel that the federal response documents were a massive tomb and were unable to respond to a natural disaster, I think it was Katrina, and after that they were dramatically reduced to allow for a little bit more nimble activity.

Looking at what we're trying to do and approach this in an all-hazards way, I don't know if you've had a chance to review any of the sort of State of Connecticut

foundation documents, but if you have, do you have any recommendations for changes to the legislation?

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MR. CHAMPLIN: Can I be honest? I couldn't figure it out. You know, you have a document requiring schools, camps. It's been a while since I've looked at it. Schools, camps, I think childcare centers also and preparing for terrorism. I mean can we really prepare the schools for parachuting Al Qaeda ninja terrorists? You know, I mean I really wonder how realistic that is.

I want to prepare them for the noncustodial parent, for the person with the restraining order, hopefully on the federal level and state level and local level law enforcement, we're getting communication down that there may be a terrorist and therefore, close the schools. I find it very, you know, this is two paragraphs. You know, we tried to make it as direct and as easy as possible, you know. The law that I read, and there may be another one, there were so many who, of, therefore, ladidadi-dadi and terror -- I just couldn't figure out what you were really expecting people to do to be very frank with you.

CHAIRMAN JACKSON: And that's very interesting. You've provided seven response actions, and a combination of those actions may be the right response to parachuting Al Qaeda ninja terrorists, but by focusing on what are the

1 universe of potential responses it gets away from trying to 2 get into the brains of the next person who's going to try 3 to make an assault. So I think it's a --4 MR. CHAMPLIN: Yeah, and just, you know, if I can leave you with one thing, and it's the hardest -- it's hard 5 6 when you're putting the stuff together, and it's the most 7 difficult thing when you're doing the on-the-ground 8 planning is try to keep it simple. If it's so complicated 9 -- I basically do it this way. If I don't understand it, 10 then they're not going to understand it. And just try to 11 keep it simple, as simple as possible. And that's the 12 work. You're going to find out. That is the work behind 13 it. 14 CHAIRMAN JACKSON: Well, thank you very much. 15 That was a very informative session, and we really 16 appreciate you coming down from New Hampshire to join us 17 today. 18 MR. CHAMPLIN: Absolutely no problem. 19 hope I helped in some small way. 20 And Wayne, I'll send that document to you. 21 CHAIRMAN JACKSON: Thank you very much. 22 MR. CHAMPLIN: You're welcome. 23 CHAIRMAN JACKSON: We'll take a brief five minute 24 break before the next panel. We'll reconvene at five of

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11:00.

(Recess.)

CHAIRMAN JACKSON: All right. Why don't we reconvene.

We are fortunate enough to have with us our Emergency Management and Homeland Security experts here from the State of Connecticut.

I'll ask you to introduce yourselves and your teams, please.

MR. SHEA: Will do. Thank you, and good morning, ladies and gentleman.

I'm William Shea, the Deputy Commissioner of the Department of Emergency Services and Public Protection, better known as DESPP, which has jurisdiction over the division of Emergency Management and Homeland Security or known as DEMHS. With me today is William Hackett. He is the state emergency management director at DEMHS as well as Thomas Vannini (phonetic), who is our Region 5 coordinator for DEMHS.

We are here today to provide the Sandy Hook
Advisory Commission with information regarding the role of
DEMHS and the function of the State Emergency Operations
Center in response to the shooting at Sandy Hook Elementary
School in Newtown. We will also describe some of the
statewide emergency planning initiatives that are relevant
to this incident.

The State of Connecticut operates under the National Incident Management System, better known as NIMS, as proscribed by the National Response Framework established by the president and further delineated by the Federal Emergency Management Agency or FEMA. It is clear that the implementation of NIMS in Connecticut along with the creation of a state response framework has made all-hazard response a more flexible and coordinated activity.

On December 14th, the governor's office directed DEMHS to take the lead in convening the governor's unified command and to partially activate the state Emergency Operation Center, EOC, and the Governor William A. O'Neill Armory here in Hartford. The location of the unified command away from the scene is important. The Incident Commander at the site leads the response, and the unified command at the state EOC provides offsite multi-agency coordination of regional, state and federal resources and personnel.

NIMS also provides a flexible unified command concept. When the state EOC is activated, our usual partners in response to a natural disaster include the National Guard and the Department of Transportation.

During the partial activation in response to the Sandy Hook incident, these agencies were not present in the EOC.

Utilizing NIMS and tailoring the needs and requirements for

the response, the lead agencies included the Department of Mental Health and Addiction Services, the Department of Health and the Department of Education along with the Red Cross and United Way 211.

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The unified command met at the EOC by conference call twice daily over the week immediately following the shooting at Sandy Hook and regularly thereafter as needed addressing a variety of issues and challenges as they arose. These included maintaining databases of offers for assistance and coordinating with our EOC partners regarding, among other things, crisis counseling to the community, first responders, educators and the public at large, establishment of a phone bank in Newtown, donations management, and public information and messaging.

DEMHS also coordinated daily briefings for the congressional delegation and their staff. In addition, the DEMHS Connecticut Intelligence Center or CTIC developed background information on the incident as well as risk assessments and situational awareness of potential threats to funerals and vigils.

State Emergency Management Director Hackett will now summarize some of the operational coordination that was performed by DEMHS and the state EOC.

Director Hackett?

MR. HACKETT: Thank you, Deputy Commissioner.

Good morning everyone. In accordance with the state response framework, the DEMHS Region 5 coordinator, Tom Vannini, sitting to my right, was on scene within minutes of the event. He worked closely in the days that followed with the Sandy Hook fire chief, who also served as the Incident Commander and is also the Newtown emergency management director.

The Region 5 coordinator also worked with other Newtown officials including the first selectwoman, the public health director, the superintendent of schools and others to provide operational logistics and planning assistance as requested.

Through the course of the incident, the DEMHS
Region 1 and Region 2 coordinators provided reinforcement
and mutual assistance to the Region 5 coordinator for
response and relief. The state urban search and rescue
team was deployed to assist with staging aerial logistics
and other equipment as needed. Mobile communication
vehicles and other communications assets were deployed to
provide independent voice and wireless communications, onscene Interpol communications and an isolated workspace.

We approved the activation of the DEMHS Region 3 incident management team planning section from the greater Hartford area to assist on-scene Incident Command. We also approved the activation of the state's behavior health

crisis response teams coordinated by the Department of Mental Health and Addiction Services as well as various volunteer community emergency response teams, which provided scene management and administrative support.

DEMHS coordinated mutual aid assistance from various state agencies, the fire services and regional assets for resources such as radios, light towers, variable messaging signs, and even bales of hay for a family's horses.

I will now turn the testimony back to Deputy

Commissioner Shea for some of the DEMHS planning

initiatives relative to this event.

MR. SHEA: Thank you, Director Hackett.

Our DEMHS stator mission is to provide and maintain an integrated and coordinated program of Emergency Management and Homeland Security for the State of Connecticut. This means bringing all partners to the table. In support of this mission, in 2005, DEMHS rejuvenated a child emergency preparedness initiative that was originally created by the Office of Policy and Management after September 11th, 2001. DEMHS continues to engage in this Child Emergency Preparedness Committee, which includes educators, administrators and a number of state agencies.

In 2012, as in other years, the group supported

training to the public safety community, school community and daycare providers. The emergency planning for schools curriculum, which was presented by Gregg Champlin of New Hampshire, whom you heard from earlier this morning, included among other things NIMS training for school personnel and information on the development and testing of an emergency response plan.

This year two DEMHS trainers completed school emergency preparedness training at the Emergency Management Institute in Emmetsburg, Maryland and will be able to present training in this area. Since Sandy Hook the Child Emergency Preparedness Committee is working to revise and modify and disseminate a standardized emergency response plan template.

The Child Emergency Preparedness Committee also participated in the 2012 Governor's Emergency Planning and Preparedness Initiative or EPPI. Committee members participated in the Mass Care Working Group to ensure that children and their unique needs were incorporated into the Mass Care standards and guidance documents for municipalities. In addition, on the Committee's recommendation, DEMHS purchased 250 play yards with crib sheets to provide safe sleeping accommodations for infants up to 12 months of age in an emergency shelter environment. Committee members also participated in the EPPI statewide

hurricane exercise.

In 2009, DEMHS successfully proposed legislation that resulted in the requirement that each local or regional school board must substitute a crisis response drill for a fire drill every three months and develop the format of such crisis response drill in consultation with the appropriate law enforcement agency.

Finally, Connecticut General Statutes 10-55(a)(C) require that each higher education institution and private occupational school have an emergency response plan that is annually submitted to DESPP and local first responders.

DEMHS is working with the Office of Higher Education to increase awareness of this requirement and to provide some basic guidance to the schools.

We appreciate this opportunity to present testimony before you today. Pending your questions, that concludes our testimony.

CHAIRMAN JACKSON: Thank you very much. First, thank you for your quick response to the tragedy at Sandy Hook Elementary.

Questions? Terry.

COMMISSIONER EDELSTEIN: Can you take a little time to describe exactly now the state's Behavioral Health Crisis Response Team is organized, and what its roles and functions are?

MR. HACKETT: They're made of experts in behaviorable emergencies. They're activated through our emergency operations center and coordinated through there. They go to the scene and provide support to families and first responders and other persons involved with an incident and stay with that family and first responders and continue that care.

COMMISSIONER EDELSTEIN: And this is coordinated through Department of Mental Health and Addiction Services in Connecticut or maybe just describe some of the participants in the effort.

MR. HACKETT: Sure, they have counselors and subject matter experts in the field of the elderly or young people in school and also critical incident stress debriefing for first responders.

COMMISSIONER McCARTHY: Thank you for your testimony and thank you for joining us today. Can you describe what outreach the two DEMHS trainers will provide to the local school districts as they start to really get into some more in-depth emergency response training and planning for their districts?

MR. VANNINI: Like Gregg explained earlier, the program that he runs, this is the program that the state is going to be pushing out to the school districts. So they're going to go in, and my understanding is they will

help them with the NIMS, the ICS and in their school plans to help them formulate or give them an idea of how it all meshes together.

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CHAIRMAN DUCIBELLA: Thank you very much for coming today. This is a difficult question to ask, but you know, as practicing design professionals, whenever we think we do something perfectly, we always find out when we're done something is -- there's an opportunity to do it better.

You gentleman and your resources responded extraordinarily on that day. What did you learn about -- after that response, what did you learn that we might do better or more of so that we as commission members can take advantage of those insights?

MR. SHEA: Well, let me try to answer that first and then I'll ask the two gentlemen to my right and left for any additional comments.

Any time there is a disaster, any time there is an incident that you have to respond to, you always learn something. There isn't -- I mean, we can go over the last year and talk about, you know, the hurricanes, the snowstorms. Every time you go through that, there's something that you learn. I will say that the coordination, the inter-agency coordination, the teamwork that we saw at one of the most tragic events not only for

our state, but for our nation, we saw state agencies and resources from all over imaginable come together and work in collaboration to resolve and respond to any requirement that's out there.

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So if there was one big takeaway that we got from this, it's that we can all work together in order to achieve and answer any challenge or any issue that's brought up to us. Director?

MR. HACKETT: I would like to add that we exercised, under the governor's orders last year prior to the hurricane, and it worked out very well because we exercised unified command in our 169 towns and two tribal nations, and the most important thing for us to support an incident at the local level is to have a solid unified command basis at the local level, and they had that at Newtown, and more and more people are coming to this concept and working that concept. When you have your local emergency operations center open, the fire chief is in there. The police chief is in there. The superintendent of schools, the health department, the town planner, everybody working together at the local level, helps us coordinate the response from the state, and we learned we need to focus on that a lot more.

COMMISSIONER DUCIBELLA: I appreciate that observation, and of course, Commissioner, I know you know

this wasn't a question that was meant to be a trick question. It was really one -- what did you find that worked. What did you find that didn't work, and what I heard was you found some exceptional things that worked well, but they might not work well elsewhere because maybe there isn't that ground work that's been done. And how do you think we advance that ground work, Commissioner Hackett, that you just mentioned which is, hey, we found something that was a sterling example of how things can work well together, but we're not going to assume that that same sterling foundation exists everywhere. What would you recommend so that what you found at Sandy Hook we could expect to find at other schools so that God forbid we have another event, we take what we've learned and applied it there. How can we help -- how can we do that?

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MR. HACKETT: I would maintain the planning as an all-hazards approach. As the Commissioner said earlier, our EOC was set up differently than a hurricane, differently than a tornado or any other kind of event, and we're on the way to establishing that unified command in every single town. It has worked out very well, and I think towns could strengthen that and build upon that for a successful incidence.

COMMISSIONER DUCIBELLA: Is there something that we can do to facilitate that? People always want to do the

1 right thing. I mean, I work with law enforcement and intel folks all the time, and there's nothing more bonding than having an event and having all those folks come together. It's a fabulous experience. Planning for that in advance is more difficult because you don't have the lightening rod 5 6 that creates that sort of energy and that sort of synergy. 7 Is there something you think the commission can recommend 8 that would if not stimulate, motivate the individual 9 districts or schools to be more participatory? Maybe not. 10 I don't know. I'm searching.

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MR. HACKETT: The earlier speaker made a statement about superintendents of schools and teachers and substitute teachers and even janitors or people working in the school to take ICS 100. ICS 100 and the Incident Command System classes are very important, and I would like to see town leaders also take that training. That would strengthen the unified command in each town, a mayor or a first select person, a public works person, the fire chief, of course, the police chief, the EMS person, and make that state-wide that the ICS 100 course is taken by our leadership.

COMMISSIONER DUCIBELLA: Perfect, thank you.

COMMISSIONER SULLIVAN: I'm just curious. person from New Hampshire mentioned that his behavioral response team is volunteers. Is Connecticut volunteers or do we pay them?

MR. HACKETT: It's a mixture. There are subject matter experts that are volunteers that come out to help, and some are paid by the state and have other positions and paid positions that they respond to.

COMMISSIONER FORRESTER: Thank you. Can you tell me what the role of the FBI was in your response or if there is one?

MR. SHEA: Well, the FBI was involved with the Incident Command down in Newtown. I think that the best thing I know that Tom was on site. He can probably just briefly describe their role. Tom, if you would?

MR. VANNINI: Their role down there was to kind of help us coordinate the law enforcement response and to look at the initial incident, which is still under investigation, and try to determine if it was, you know, a -- I don't want to say -- try to determine if it was just one person or more. So they were there as a federal role to help establish and to help law enforcement in our role here in Connecticut.

COMMISSIONER FORRESTER: Do you decide on the role of the Red Cross, like at the level that they come in? Do you call that or is that on a local level? I'm not -- I'm just wondering who's making that unified. Who makes that decision?

MR. SHEA: We work very close with the Red Cross, both them and United Way. They're both very, very great partners for the State of Connecticut, and they respond based on requirements and need. So they have different levels that they can bring in, and they've always been very helpful across the board and very tailorable to the needs at the time of an incident.

COMMISSIONER CHIVINSKI: Good morning. A couple of things. The presenter before, his title seemed to be -- he seemed to be in charge of, as a facilitator, of all school emergency response plans for the State of New Hampshire. Correct me if I'm wrong. We do not have one of those positions?

MR. SHEA: That is correct. We do not have a specific assigned position.

COMMISSIONER CHIVINSKI: Okay. My first question is, would we benefit as a state from having someone 100 percent committed to that position?

A second question I have is, you stated in your presentation that the child emergency preparedness committee is working to revise, modify and disseminate a standard emergency response plan template, something that Gregg also referred to. How close is that work to being completed?

And my last question is, on the last page of the

presentation, it states that Connecticut statutes deem that certain types of learning institutions have to submit their emergency response plans, but I do not notice public schools there and a lot of other types of schools. Is that the case, number one, and number two, can and should that be expanded?

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MR. SHEA: Okay. Let me, if I may, just answer them in the order you asked them. The first one was to have somebody 100 percent committed to a specific job. Everybody within our agency is multi-hatted. It's just the nature of the business. We have folks that will, for example, that will handle our grants for us, but when we activate the emergency operations center, they pick up a different role. We'll have folks that will do hazardous mitigation planning, but when we're in an activation role, they have an additional role, if you will.

We work within the manning that we have that's available for us. If we had additional manning that we could dedicate someone primarily to tracking and working with school safety, that would be great, but we don't have that asset at this time. We do have a lot of our team from DEMHS that are involved with it, with emergency planning in all hazards and all phases to include the Child Emergency Preparedness Committee as well as the training piece. So there are folks that do have pieces and parts across the

board.

To answer your second question about the Child Emergency Preparedness Committee, Tom Vannini to my right is our lead on that committee, and I'll have him answer your second question.

MR. VANNINI: And if I'm correct, the second question was how far along are we on the coming up with that template to pass out? We are in the -- right now in the collection phase, getting best practices from communities and towns and looking at other state's plans. We will be meeting shortly in the next couple of weeks to go over what we've collected, and this subcommittee will then take and look at what we feel is generic enough and works for most communities and bring it to our main body of the Child Preparedness and ask them to endorse us to push it out as a best practice as a guide for folks to use when they write their plans. So that should happen probably within the next month or two we should be looking at that to get something to look at.

MR. SHEA: And to answer your last question about the response plans from the higher institutions or higher education institutions and private occupational schools, we do not require, based on the law, it is not required for public schools grade 12 and below to submit those to DEMHS at this time.

COMMISSIONER CHIVINSKI: Follow up. Would you recommend adding to that statute, adding other schools?

MR. SHEA: It could be added with a requirement to do that. With that, there becomes a review process that goes with it. As the gentleman from New Hampshire mentioned some of these plans that we get from the colleges, some of them are four, five inches thick. Some of them are a half inch thick, and are very, very specific. So with that comes the ability to be able to review them, and to store them, and to be able to access them. So there's some second and third order effects with that.

To have them isn't a bad thing but again, how much do we have to dedicate reviewing each and every one of those plans?

COMMISSIONER DUCIBELLA: I have probably a simple question to ask, but a difficult one to answer. We heard some testimony from some mental health professionals and to some extent individuals with incident management experience when they had circumstances in Los Angeles, which has a giant school system, and one of the problems they had was managing the thousands of parents who showed up to find out what happened to my child. Was my child involved in this event or not?

In the current management strategy, the NIMS system, and if I'm a parent and I'm watching this on CTN,

who has the responsibility for managing what parent,
parental responses occurring in a situation like Sandy
Hook? Parents see something on television. They hear
something on the radio. Their cell phone rings. They come
to the facility. Who has that responsibility to manage the
parental response aspect of an event like this in the
current template?

MR. SHEA: When an event occurs, and you stand up, the Incident Command under NIMS, that Incident Commander is in charge. He's responsible for everything that occurs in that area, and he's got the ball. He or she.

commissioner ducibella: So was it your experience in the Sandy Hook event that that particular individual had adequate resources and was able to manage that extraordinarily well or if we have an event like this again where obviously parents have an interest in their kids, something about the current standard strategy for incident management, is there something additional that should be done?

I can appreciate, you know, I live in a very small town. We have a volunteer fire chief. Had that happened there, and had he or the school superintendent been involved in that, I ignorantly would expect that it would be extraordinarily difficult to inform all 2,000 or

3,000 parents in our town what was going on and how that should happen based on a previously-applied template of response.

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I'm not criticizing the system. I'm really asking when you have an incident that involves such incredible community outreach because 90 percent of the people in town have kids in school, is there something about the current strategy for incident response that would be better or more sensitive toward that particular parental involvement? That's my question.

MR. SHEA: Well, let me answer first, and then again I'll defer to the gentlemen on my left and right. You had brought up earlier about what is a lesson that we learned, and I think this might be a good point is that as schools develop their plans, as towns and cities and municipalities develop their local emergency operations plan based on what they saw happen at Sandy Hook, part of that plan is if there's -- an incident happens, how are you going to handle a massive influx of parents that come to a school. Now, an elementary school might be small, but you get into a large high school that has 2,000 students, you need to start thinking about crowd control, entry and exitway from what's going on in a parking lot, basically your entry and exit, if you will. Those kind of things need to be taken into consideration.

So like I said earlier, you had asked that question of a lesson learned, that is something going forward that schools systems, principals, superintendents, emergency management directors and municipalities should consider putting into their plans.

Director, anything to add?

MR. HACKETT: I agree with the Deputy

Commissioner. The only thing I would add when there is a large incident just like 9/11 in New York City, there are a lot of people that self-dispatch and come to the scene.

It's the same thing. A lot of press comes to the scene.

It's the same thing, but not at the level of a parent coming for their child. In that plan, that local emergency plan, or that local response plan, or the response plan for the facility, a staging area or an area to work with people and reunify should be part of that plan.

COMMISSIONER DUCIBELLA: Thank you.

COMMISSIONER McCARTHY: Commissioner, we mandate that school systems conduct fire drills or other drills nine times a year, and that's a pretty straight-forward process of the alarm goes off; you evacuate to a predetermined location. Most of the variables have been worked out in advance. Shouldn't we require school systems to give at least the same amount of effort to the management of those larger incidents that we're referring

to that are in some of these plans, the adoption of a template where some school systems, some communities, fill in the blank. There is no process to really internalize the plan in operation and make it operational. Should we mandate a certain degree of training and planning at least to the same level that we do for fire drills?

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MR. SHEA: I think there's no doubt that if you have a plan if you don't exercise it, it's just a piece of paper, and whether it's hanging on the wall or a teacher has it in their desk, it doesn't do any good. You have to take that plan out, and you have to walk through each piece, whether it's a tabletop-type exercise or an actual exercise, and each person who has a role in it needs to understand what their role is.

I don't think that right now that it is -- it is not mandated to do that part of it, but I think there's definitely benefit for being able to have gone through each part of that, and each person knows their role. So for example, if -- and I know you were -- I was looking at a plan you had earlier. If something happens, and you have not been through that plan, you don't know where your role is, you don't know what page contains your actions. The document isn't really worth anything.

So there's no doubt that there is benefit and value for having done either a tabletop exercise, a rock

drill that we call in the military and walking through each piece and part of it and being able to do after-action with it. What went well? What didn't go well? What do we need to improve as a team regardless of what level that team is?

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COMMISSIONER FLAHERTY: You had mentioned that the statute that requires the higher education facilities and the private occupational schools to submit the plans doesn't apply to K through 12, but you also said that there's a review process involved when the plans get submitted to your department. Once you review the plans, what happens? If there's any deficiency found in the plan, do the schools have to change the plans in any way, and can you comment on that?

MR. HACKETT: Our regional coordinators work very closely with town officials. We have established five regions in the state, population-based, and we have five regional coordinators. Tom Vannini is the Region 5 coordinator, which is basically from Waterbury up towards Litchfield, and they work very closely with those plans. Those plans come into their offices, and they're required to do a local emergency operation plan for all hazards, and if there's information that's missing or things that have to be updated, it's checked at the regional office, and it's rechecked at our headquarters, and then they're distributed back to the regional office and the state

emergency operations center so we can access those plans if there is an emergency, and also the town would hold onto that plan.

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COMMISSIONER SANDFORD: But I think we missed a point though. The plans that come from the colleges currently and universities in the State of Connecticut, there's no requirement or no authorization for DEMHS to review those plans currently; is that accurate?

MR. HACKETT: That is accurate.

review are the local community plan, but like the City of West Haven will turn in a plan that gets reviewed by the area coordinator in that region, but the University of New Haven's plan will come to DEMHS and because the statute does not allow a review of that plan, there is no review of that plan? I think, Commissioner, you said some universities are this thick, and some of them are this thick, and there's really nothing that you can do about that. So some recommendation authorizing a review of those plans might be worthwhile to include.

MR. HACKETT: That would be a very good idea.

COMMISSIONER SANDFORD: Yeah. Can I ask -- just a couple of questions. Don't get mad at me. The two trainers that you sent to school, are they federally-funded or state-funded?

MR. SHEA: They're a combination of both.

COMMISSIONER SANDFORD: So if the federal funds

were cut, it's possible that we could lose the resources to

assist schools in the future if Homeland Security funds are

reduced or EMPG funds were reduced, it's possible that we

6 could lose that resource to be able to help local schools?

MR. SHEA: With any state agency -- with any agency that relies on federal funding and basically what we're going through in sequestration --

COMMISSIONER SANDFORD: Right.

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MR. SHEA: -- there's always the jeopardy going forward in the future of having that money dry up.

COMMISSIONER SANDFORD: Okay. And then my thought is that we have trainers that are trained, but Gregg really -- from New Hampshire -- really spoke about not only doing training with the schools, but helping them, sitting down with them one-on-one and working with them within a unified command structure to develop a plan, to exercise that plan and to normalize it within their organization.

Are all of those functions that are -- are they allowable to be done by a trainer or do you need a planner to be involved in the process as well?

MR. SHEA: When we get involved in some of these processes, it include folks from our critical

infrastructure unit. It involves planners. It involves a regional coordinator. It involves or should involve the local emergency management director, and I'm assuming if you get into a school, it should involve principal, superintendent, the leadership of that is appropriate.

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COMMISSIONER LYDDY: Good afternoon or morning.

I first want to just say a quick thank you. Having served in Newtown as a state representative during this, it's great to see the coordination that the state did provide not only during that day but also in the days after, which were just as scary for many people in the community.

With that said, I do understand that there was several communications from the outside into your department and into the EOC from people across the state and across the country for services that they would like to provide. Once you've collected that information, how did you disseminate that and how did you vet it?

MR. SHEA: Well, the information that we received for any donations, we ended up working with United Way, and they worked with the municipality in Newtown.

COMMISSIONER LYDDY: In regards to the behavioral health and the counselors that called, how was that vetted, and how did you collect that information?

MR. SHEA: Well, any information that we received we pushed to the behavior health experts for DEMHS. That's

their lane.

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MR. LYDDY: And what role outside of the Red Cross did the private provider community or what relationship does the department have with the private provider community throughout, you know, this emergency or any other emergency?

MR. SHEA: Are you talking specifically about mental health or just in general?

COMMISSIONER LYDDY: Mental health or in general.

MR. SHEA: Okay. First of all, we work -- we have to work very closely with our NGOs or nongovernment organizations. Obviously, some of them are more prevalent than others. An example, the Red Cross, which is tied into our all-hazards response, and any time that we activate the EOC, Red Cross is there.

We also work very closely with United Way, and they run the 211, that information line for us in the State of Connecticut, and we work really, really close with them. If we need to get information out we -- and also keeping them informed as to what's going on because they'll get the questions from our constituents in the state, our citizens will call, what about this? What about that? And they really have that lead for helping getting that information and pointing the people into the right direction. So those are two right up front that work very closely with that.

And the third one that we work with is InfraGard, and that involves with some of the private sector businesses in order to get information out through them.

So anything that we do, we work very, very closely with the nongovernmental sector.

COMMISSIONER LYDDY: Great, and one last question on the child emergency preparedness. Is that more of a policy committee? And what role was there -- what was their role during the emergency, and will they have any role in assessing the department's response, not only collecting information and doing a template, but really taking a step back and looking at the response of the department.

MR. SHEA: Okay. To answer that in a couple of phases, if you will. During the incident response, there was not necessarily an immediate role other than as a committee because we were functioning in direct response to the incident. So at that point the thing that helped is knowing who all the points of contact within each of the various agencies were. So having those pre-established relationships really impacted our ability to be able to respond.

With a little bit more specifics on that, I'm going to turn this over to Tom Vannini for a minute because Tom -- as I said earlier, Tom is our lead from the DEMHS

agency in the Child Preparedness Safety Committee.

MR. VANNINI: The Child Preparedness Committee is more of a policy guidance task force really to look at best practices across the country, across the state in communities and use that as a collection point for all that information and disseminate it to the folks out in the field to let them choose and decide which works for them.

As Mr. Champlin said earlier today, each community is different. Each school system is different so that they have their own little quirks for their own little individual communities. We're more of a policy guidance committee.

COMMISSIONER LYDDY: Great, and will that committee have any role in looking at specifically the practices that were used in Sandy Hook? If they're disseminating it, will there be a feedback loop there about how that was implemented and the best practices that were used?

MR. SHEA: Absolutely. I mean, that committee reports to DEMHS and our DEMHS advisory council so that we're always keeping a focus with what they have, and there's no doubt that any information that's out there -- and we have that responsibility to ensure that anything that happened from Sandy Hook that can be shared with the other 169 towns within Connecticut, we have that

responsibility. And that goes back to the comment that was made by Mr. Ducibella earlier is that you always have to take those lessons learned and pass them on.

COMMISSIONER LYDDY: And is that a report that could be forwarded to this commission?

MR. SHEA: In the future we can send our committee minutes to you if desired.

COMMISSIONER LYDDY: Okay. All right, great.
Thank you.

COMMISSIONER DUCIBELLA: I address this to all of you because I don't know who's best to respond. We're moving toward an environment with your help, and I think with some commission recommendations, to have these emergency response plans implemented in each school, and as you have so aptly stated along with many other folks who have provided testimony, the plan efficacy is in large part dependent upon either a number of tabletop exercises or actual real world simulations.

Who is currently responsible for ensuring that that happens? So if a school or a school district has an ERP, who has the ultimate responsibility of making sure that the fidelity of that is in fact more viable than not because tabletops or live simulations take place? Is that something that comes under the purview of the five different regional participants from DEMHS or is that left

up to the individual community? Could you give us some insight into how we can make sure we go about invoking an environment where the testimony that we've heard, which so clearly identifies that these exercises are important so paper turns into real world experience, that those happen, those happen on a schedule that's appropriate, and they happen with oversight to ensure that they are performed well.

MR. SHEA: The simple answer is that that's a local community responsibility, bottom line.

COMMISSIONER DUCIBELLA: That's it? Local community responsibility? Thanks very much.

MR. VANNINI: Could I just add the Child

Preparedness Committee also by statute has to forward a

yearly report to the legislative body. We have just

completed that. We can make that available to you if you

would like that.

COMMISSIONER DUCIBELLA: Okay, thanks.

COMMISSIONER SULLIVAN: Basically, you have two people that have been trained in this school of emergency response thing that obviously are partially federally-funded so there's always a concern you could lose the money. Who are they going to train? Are you going to use them to train people like local organized police and fire departments, the train the trainer concept, so that in the

event you lose these folks, there are people out there in the State of Connecticut located in diverse geographical areas that can pick up some of this training at a local level?

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MR. SHEA: I'm going to ask Tom Vannini respond to just mention that.

MR. VANNINI: Can you repeat that, please?

COMMISSIONER SULLIVAN: Yeah, using the concept of train the trainer, you have two people that are training on school preparedness. You mentioned they're partially federally-funded so there's a possibility you lose the funding. Who are you going to have them train? Have you considered having them go out to organize police and fire department and using the train the trainer concept training people in those organizations so that should you lose your funding, the program doesn't have to fall apart? There will be people located geographically in different parts of the state that will have that same training and could train others?

MR. VANNINI: I think that's a great idea, and I think the original concept was to have our trainers go out to assist schools systems in there for preparation of dealing with ICS and school plans, but I think it enables us if we were able to do train the trainer to get more folks out there, and in case, again, we lose federal

funding, we have folks that have already been trained. So that's something that I would bring back to our committee and make that as a recommendation to do forward and see if the body would pass that with their approval.

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MR. SHEA: Just to continue on with that. We'll also look at if that type of training is something that could be brought in additional folks to be able to go through it. You know, there's obviously costs with it to go down to Emmetsburg, Maryland, but it's definitely something that we can look at.

MR. VANNINI: I want to just add also that we are pushing out into the field training for children in emergencies. There's been ongoing training across the state in the last four to five months, especially after the storms, how we should deal with children during emergencies psychologically, sheltering, taking care of their needs. So that training is being offered to communities. In normal training events we'll get forty to fifty people to attend these. So those classes are ongoing, and that's something that we look to continue and to probably enhance further.

CHAIRMAN JACKSON: If we could go back to that morning for a moment. As information developed, it quickly went from a school incident to a law enforcement incident to a state emergency requiring the onsite and remote

presence of your agency. Can you tell me how you were notified, and the analysis you used to determine that you were also going to call not only the Region 5 team, but the Region 3 team as well?

MR. SHEA: We heard about the shooting incident shortly after it occurred, and at that point one of the things that Director Hackett and I discussed was getting —do we need to get someone into the area of operations as necessary, and at that point, he contacted Tom Vannini.

Tom Vannini forward deployed, if you will, into Newtown.

For the remainder of the day, we continued to monitor the situation as it was occurring. Direct contact to Tom with feedback as the incident was unfolding. We were obviously in contact with the governor's office.

State police had the primary response in responding to the incident. As the day went on, we had some conversations with Mark Ojakian, the governor's chief of staff, and after a consultation around 2:00 in the afternoon, a decision was made to stand up the emergency operations center, and a partial activation with select agencies that were able to best respond to the incident.

Through the course of the incident we did over the week following send additional people and assets into Newtown to include mobile communications vehicles that were -- that gave us an additional resource to have in there and

available not only for us, but for other state agencies to use. Being that Tom was down there 20-plus hours a day, we pulled in two of our, excuse me, additional regional coordinators to provide him back up and relief so that we maintained continuity in the response throughout the week, and then we obviously continued to monitor the situation from the emergency operations center to be able to coordinate state resources as needed to be able to respond.

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CHAIRMAN JACKSON: Thank you, but going back to that initial moment you say, wow, this is something big, and we are going to need to be there, was a request made through the Incident Commander or did you just look at the scope of it and say, no, this is going to be something that we're going to need to involve ourselves in.

MR. SHEA: Initially, as we heard it, there was a shooting. We didn't know if that was -- what that constituted at that point, and then after a brief discussion with Director Hackett and myself, let's get -- because he -- because as the regional coordinator, he is imminently familiar with the town, emergency management director, the chief executive officer of that, in this case Newtown. So that became our eyes and ears on the ground. So that if we needed to respond, we had somebody not to insert himself into what's going on but to be able to be a resource that was available. So it was early in the fight,

if you will, we got him in there.

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Throughout the day, we continued to monitor it, and then make decisions based on the requirements that were needed. Director?

MR. HACKETT: We have a very robust system set up for notification. Our regional coordinators are the ears to the towns that they serve. At the same time, we're getting the information at headquarters, Tom is getting notified by the local officials. That's the way that a normal incident works for an all-hazards incident. Every incident happens locally. It starts locally and ends locally. When local first responders need help, they go for mutual aid, ask for mutual aid into their towns. If they need state assistance, they contact the regional coordinator. The regional coordinator pushes that information up to the state, and we're there to assist, and the information gets back to the local first responders. That same role happened during that morning, and the system worked as it normally does on a regular basis.

COMMISSIONER McCARTHY: This question is for Tom.

Many times it's difficult from one agency to push a

priority into another and make that a priority, and I think

that we are particularly concerned about the level of

preparedness in each school district and their engagement.

What is the involvement of the State Department of

Education in your initiatives for child readiness or school planning because I think that they are a key element in this and making it a priority in school systems? Can you speak to that, please?

MR. VANNINI: The state education system sits at the table with us. They help us kind of define the guidelines. They're a very willing partner to assist us in any way they see feasible to them. If there's training issues, guidance on schools, how schools operate, they're there to help us with that. So they're a very willing partner to us, and their effort to help us is greatly appreciated.

I mean at the Newtown event they were there, and they supplied information that was needed by us on how to deal with some situations so they were a great team player with us, yes.

COMMISSIONER McCARTHY: I'm more concerned about what happens after and going forward, and we talked about having resources to facilitate planning in each school district. Do you have a sense that the state Department of Education has those resources to assist in the facilitation of the development and exercise of school emergency plans?

MR. VANNINI: I think we saw right after Newtown when they put together their symposium on school security how quickly they came to the table with their resources. I

think they have the capability to assist us with whatever that we ask them to help us with. So I think they're, again, I think they're a great partner with us, and they're willing to work with us to enhance the safety of our schools.

COMMISSIONER FORRESTER: I'm sorry if this question doesn't come out correctly, but I just want to repeat what I heard. So local is local, and command stays on a local level. If asked, mutual -- other towns can come in and help, and that the role of the state sort of is a monitor or available for resources in terms of direction and the Incident Command; is that correct?

MR. SHEA: The command at the incident remained with the local folks. As additional resources were needed, one of the things that specifically as a regional coordinator that Tom Vannini helped do was to be able to help coordinate mutual aid. So for example, at one point Newtown was looking for public messaging boards, you know, the generator-type boards that are on the side of the highway that have a message on them, he was able to coordinate that from additional assets. When some of the funerals were going on, the fire fighters in the town and the police had been expended doing so much, other tasks that mutual fire fighters and police from other towns were brought in to provide backup coverage. So that mutual aid

piece is a big part of it, and I know Tom did have some involvement with that.

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And then as resources were asked or requested from the state, we were able to coordinate that from the emergency operation center as part of the unified command.

COMMISSIONER FORRESTER: Thank you. And we heard from the Chiefs Association that I forget how many police they usually deploy is ten or four a day, and that at some point they needed 128, and I appreciate that.

I guess, you know, I'm coming from the mental health perspective, and I know that Connecticut -- so the police chiefs have certain standardized trainings that occur, fire departments, things like that. I just wonder on a local level, is there really a capacity to harness all of the energy that it needs to take to be able to move in, you know, from the immediate response into a recovery model, and do you find yourselves taking a more -- larger advisory position at that point, and could you do that?

MR. SHEA: Let me try to answer your question.

I'll do my best to try that. The first thing is that with regards to Newtown, there were a lot of assets that have been made available from the state, federal, local communities, surrounding communities to be able to provide it, and specifically, in the mental health area. It's not our area of expertise. I'll be right up front with you.

1 With that said, on the federal side, they 2 recently published a recovery response framework at the 3 federal side. We are now taking that framework from the fed side and are in the process of developing a state recovery framework along the lines of the federal side, 5 6 all-hazards again, as we mentioned earlier, not specific 7 for mental health, but able to address those going forward. 8 COMMISSIONER FORRESTER: So I understand that, 9 that isn't in place yet but that's what you're working on 10 designing, and I imagine there will be a child division. We were told that the office -- I think it's the Office of 11 12 Safe and Drug-free Schools was closed on the federal level, 13 and that might have been an office that could have come in 14 and helped from a federal level in terms of school response 15 and trauma? 16 MR. SHEA: I'm not specifically familiar with 17 that. 18 COMMISSIONER FORRESTER: Yeah, it was like three 19 years ago, I guess it was closed. 20 MR. SHEA: Okay. 21 COMMISSIONER FORRESTER: Okay.

Champlin's presentation to us and the laws enacted in the

State of New Hampshire back in July of 2007, you know, it

states right here on his one-page document, the director of

Going back to Gregg

COMMISSIONER CHIVINSKI:

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Homeland Security and Emergency Management Department of Safety shall assist school districts in conducting training for and providing support to school districts in the development, implementation and review of an emergency response plan as may be needed.

We talked about the possibility of your department reviewing and possibly approving all types of schools' plans and the extra burden that would be. If this group recommended and it was acted upon that your organization had to assist the local districts, public, private, et cetera, in something like this, do you currently have enough resources allocated to meet that need?

MR. SHEA: Well, to answer it in two parts, the first piece is that we do and have worked with the towns, the schools, local police, and this past year, we did conduct two iterations of emergency planning for schools. We conducted one in Norwalk and the other one in Hartford. So that is a partnership and piece that continues.

The second piece with that is we're a very small agency. We do not have an infinite amount of resources. In order to take that task on not only to review the plans from 169 separate towns, but I don't know the exact number of schools that are in the State of Connecticut, but when you add up the public, and if there's a requirement to do

parochial and private schools, that's a significant amount of heavy lifting. There's no doubt that we would need additional resources to do that.

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COMMISSIONER CHIVINSKI: Just to circle the wagons one more time. Again, we're going to be looking at, you know, recommendations and preliminary recommendations as a commission. Would you all support assigning a full-time emergency planner to school facility emergency planning?

MR. SHEA: If we have the resources to do it. I mean it would make sense to have someone who is primarily dedicated to that.

COMMISSIONER CHIVINSKI: Like Gregg in New Hampshire?

MR. SHEA: I do not know if that is his sole job to be honest with you. I think he is multi-hatted like a lot of -- or almost our entire agency is multi-hatted. So I have a feeling that he is also multi-hatted also.

COMMISSIONER CHIVINSKI: Thank you.

CHAIRMAN DUCIBELLA: That's correct. I had a little brief chat with him afterwards. He happened to bring that particular expertise, which of course, we're focused on, but he said that he's very much focused on it when it's necessary and as he can afford it, but that is part of an overall management responsibility. Just a

little bit of clarification.

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CHAIRMAN JACKSON: One of the recommendations of the Two Storm Panel was a mandatory session including all communities in the State of Connecticut, which we undertook this last July, I believe. I don't know if it can be quantified, but I have a high degree of confidence that the state's response to Super Storm Sandy was enhanced by that session. Would you support a mandatory training around a school-based incident?

MR. SHEA: We continue to do -- and continue with the governor's EPPI. There's no doubt that what we went through last year prepared our state for Super Storm Sandy, and I know that under the governor's initiative with that emergency planning preparedness initiative, the impacts were felt out in the local community, and one of the things that Governor Malloy did mention that when he was out visiting the towns and cities after Super Storm Sandy that he had CEOs and EMDs coming up to him and saying thanks for making us do that because that gave us the ability to be able to respond to that storm.

With that said, we are continuing on with various EPPIs if you will. This year, we're focusing on a specific region within Connecticut, one of our five regions and then the remainder of the state picks up a supporting role, if you will. So the direct impact will be in this one

specific region, and then everybody else is going to have a different or a supporting role. Some of it's very simple.

It could be your unified command, test your communications.

You've had total power communications tests, you know,

radio tests, those kinds of things.

Going forward in the future, we're looking for different venues to do. One of them we're looking at is cyber security and obviously, you know, a school-type response could always be incorporated into something like that.

CHAIRMAN JACKSON: Thank you very much for your time and for your presentation. We appreciate it.

MR. SHEA: Thank you, ladies and gentlemen, for the opportunity.

CHAIRMAN JACKSON: It's noon now. Why don't we break for lunch and reconvene at 1:15 at which point we will talk about -- we'll have general discussion and additional discussion over scheduling. Thank you.

(Recess.)

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CHAIRMAN JACKSON: Why don't we reconvene. We have, I think, our full complement back at the table. You have on your desk something that I put together, which is a simplified listing of specific recommendations, action items. Not the whys. Not the whats. Not the whos. Just action items that have come before the panel thus far.

I want to take a little bit of time to go through them and start to get a sense from the members what they think makes sense, what directions make sense and also, I mean there are some things here that are contradictory. So this is no means a comprehensive or a first list of what we are going to send off. Just to really get some sense from folks as to what they're thinking so far. We've really been in information-gathering mode, and we're going to have to start to go on two tracks in terms of information gathering as well as synthesizing of information and preparation of recommendations. So that's what this afternoon's session is for.

So the document you have before you is titled SHAC Draft Items for Consideration. It incorporates some 60 recommendations, some of which were sent by members of the public, some of which were identified in testimony, some of which came from members of the commission, and I do thank those folks who put some thought into it and came up with larger documents that you fed me over the last week.

We'll start with guns and ammunition, and my goal here is to make sure that the flavor of these things is appropriate for the panel and that you understand what the action item is and then determine whether or not it's something that we think merits further discussion either by us or further legislative action.

Item number one is a mandatory background check on the sale or transfer of any weapon including long guns and private sales. Is there any comment on this one?

Wayne?

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licensed dealer.

COMMISSIONER SANDFORD: I did do a little bit of research because I had the -- I think this is something that we need to look at, and it's the private sales that I think that are hard to regulate because right now, I mean I could sell a gun to you Commissioner, if I had one, but I don't. So in talking to some -- and doing a little bit of research, there are some people that have a federal firearms license that serve as -- that can serve as an agent. They're basically, I guess, businesses. So if I wanted to sell a gun to somebody else, in order to track all that I could actually go through a gun company, I quess, or a dealer, I quess, is the word I'm using, and then that dealer would make sure that you had a license before this gun that I wanted to sell you got transferred to you. Eventually, if we looked at something like that and required all gun sales to go through a federal firearms, I don't know -- Chief, am I saying that right? COMMISSIONER SULLIVAN: A federal firearms

COMMISSIONER SANDFORD: Licensed dealer. That way there eventually all firearms in the state, I guess the

legal ones, would then be identified, and eventually it would force everyone to have a license on that gun regardless of it was a private sale or a sale to a business. So that was one additional thought that I had in talking to a few people after we talked about this about making sure that all background checks are done for all sales. One way to make sure that happens is maybe to look at that FFL requirement or as an agent in between the two people selling the gun. I don't know if I'm clear on that or not. Again, I don't really own a gun. So I apologize if I don't have the right information.

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CHAIRMAN JACKSON: Well, one of the things that we'll have to do is we'll have to, (a) check to make sure that our recommendations are not covered somewhere else under statute or regulation, and vet the mechanism for making it happen. So you've identified a mechanism, but I think that there are probably others as well. So it's certainly something to take note of, and we will endeavor should it be a recommendation to flesh -- at that point flesh it out with a little bit more detail.

Any other comments?

COMMISSIONER CHIVINSKI: Where it says transfer of any weapons, you know, for instance, let's just assume somebody has a family member out of state and they want to gift them a weapon or there's been a -- there's an

inheritance issue, you know, for instance, I have a weapon at home. I've had it since I'm a kid. I come from Pennsylvania, you know, say there was another one of those situations to develop. How would that fall under that standard?

COMMISSIONER SULLIVAN: It would be the same thing. The purpose is to make sure that any transfer of weapons is registered whether you give it to a family member as a gift or you sell it to a third party to make sure there is a registration and a background check so that that person who is receiving the weapon is known to be suitable and is properly documented. So it doesn't make any difference if it's a gift or a sale.

CHAIRMAN JACKSON: Next question?

mandatory background checks, I would kind of caution us to -- or urge us rather, to identify what exactly that background check is. Is that a criminal background check? Is it a mental health background check? And really operationalize that. To say and leave in the hands of somebody else to determine what background check is could be dangerous. So if we recommend that background checks are going to be mandatory then I would be more comfortable making that recommendation if we say what that background check actually means.

COMMISSIONER SULLIVAN: Yeah, I mean, the purpose would be standardized background check that the Department of Public Safety uses right now.

CHAIRMAN JACKSON: And then to add onto that, there are also recommendations regarding the look-back period for certain types of behavioral issues. So it filters in in a couple of different ways, but if this is something that the commission wants to move forward on, we would then take the next step of fleshing out specifics as to what that means.

Is there any dissent that this should be something that the panel should take on?

COMMISSIONER FORRESTER: I just want to point out or ask that we wait on the mental health discussion until we've sort of explored that more in terms of recommendations or adding on. I don't know. Thank you.

CHAIRMAN JACKSON: Absolutely, and what you'll see here -- and I should be clear. We got some additional documents today, which are -- there's an article on classroom locks which is included in the document. We got some items from the General Assembly, which I've labeled one and two. One begins with universal background checks. It relates to guns and ammunition. Two is the one that identifies itself as being from the Democratic Legislators additional gun and ammunition material. Additional

information from the Connecticut Police Chiefs Association

-- Thank you Chief Reed (phonetic) -- fleshing out some

things in their proposal, and I've taken some handwritten

notes on these items, but I did not do so for another item

we have regarding mental health issues because we have not

yet examined that in any significant way. So I left that

one off to the side.

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COMMISSIONER DUCIBELLA: Anything that has to do with guns and ammo, I think one of the things we want to be careful we do is we don't enact legislation that in some way, shape or form disadvantages the law enforcement community. Sometimes when these legislative actions get put in place, they become uniform across the board, and I think there's some things we need to keep our law enforcement agents equipped with. So I think we just want to be careful that they continue to have the benefit of whatever firepower they need, but other people who do not, don't have that opportunity, and we don't legislate against it.

CHAIRMAN JACKSON: Chief?

COMMISSIONER O'CONNOR: Just sort of following up on that since Bob's brought it up. Eighteen, I know we're jumping ahead here. We can talk more when we get there, but talking to a lot of my law enforcement colleagues, many cities and towns, UConn as well, allows purchases of

rifles, individual rifles because departments can't afford to issue every officer a rifle, and officers like to cite them specifically to them, so they go out and buy their own, and you know, they meet certain conditions. And with the legislation that's sort of preceding now, there's a concern about, well, if I spend money on a rifle, will I be able to own it in retirement. So I think that is sort of following up to Bob's point as well.

CHAIRMAN JACKSON: Thank you. That's certainly a circumstance I was not aware of.

Under the broad category of background checks and the sale or transfer of any weapon, is there any dissent that we might want to move forward with fleshing that out a little bit more?

Okay. I will keep that one.

Number two, mandatory registration including a certificate of registration for any weapon to be issued subsequent to the completion of a background check. This is essentially the registration of weapons. Any dissent?

Item 3, limit the purchase of firearms to one per month.

Wayne?

COMMISSIONER SANDFORD: I think the statement is too broad. I think about dealers that may be someone, you know, has a collection that they want to get rid of, and so

I go to a dealership, and I say, gee, I've got 20 or 30 guns that I've collected over the years. I want to get rid of them, and then we say that if we can only purchase one per month, that may affect a dealer in that way. So I'm a little bit nervous that while I think the concept is good, I think it needs to -- as we talked about earlier, it may need to be fleshed out a little bit more to ensure that the people that need to deal with more than one purchase of a gun a month are able to do that.

Does that make sense what I'm trying to say because, you know, like if I had a -- I'm even thinking personally, if I had, let's say, collected guns over the years and now I want to give them or sell them to a friend, and typically they may say, gee, I really like that gun, that gun and that gun, I would -- you know, they might want to purchase all three at once as a collector. So I don't know how that would impact that as well. So I just -- when I saw this, I understand the concept, but I think it needs a little bit more work because I do think this can happen in a harmless way, not in a malicious way, to need to purchase more than a gun a month.

CHAIRMAN JACKSON: Other thoughts?

I actually agree with Wayne. I believe that the thought is that this could be an effort to reduce the amount of trafficking of handguns in particular and the

potential for loss. I'm not sure if it is the most efficient way to do that.

Can we have a quick show of hands? Who wants to move forward with this one, and -- who wants to move forward with this one? We'll do it that way? And who would prefer not to or to flesh it out in a different way? I think the consensus is to limit -- is to take that one off the table for right now, but potentially come back to it under a trafficking discussion.

A ban on any magazine or ammunition-feeding device in excess of ten rounds. Sale, use, possession of such magazines shall be for military and police use only. There's some of that language, Bob, that you -- the protective language that you referenced a little bit earlier. What are our thoughts? Does anyone think that we should not move forward on this one?

COMMISSIONER SANDFORD: I just --

Wayne?

COMMISSIONER SANDFORD: For a guy that doesn't own any guns, I guess I have a lot of comments.

COMMISSIONER SULLIVAN: We'll send you to the range.

COMMISSIONER SANDFORD: Yeah, I think I need to do that. My concern is here when we heard the testimony last week from the state police, it was very evident to me

that you could take a magazine, am I using the right word?

And tape a couple of them together, at least two, not more than two. It would look kind of funny with three, but two of them together and you could change them very quickly.

So I saw that, and I'm saying, gee, if I could have ten and I could tape them together, then I could have twenty, and I could make that change very quickly.

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So I'm wondering is there like another option, and then what do we do for the individuals that as the officer mentioned, that if they're in a competition, and they know they need to use thirty shots in a competition, and we turn around and say, no, that, you know, you can't have those at all. I'm just wondering -- I'm not -- I guess I'm just playing the devil's advocate saying, you know, how does that impact that? That's all.

COMMISSIONER FLAHERTY: I would say change the competition. I mean they started doing the competitions for thirty because they came up with the thirty round magazines because I always thought that thing too, to be perfectly honest, because I don't -- I'm not a gun owner. My husband is. But no, seriously because I first was like, I don't get it, but I watched a CPTV documentary where they had two, and I think it was two Connecticut police officers. And one had the ten and one, you know, had a thirty round thing, and one had three ten round things, and

1 he blew through the thirty bullets so fast, and the guy 2 that had to change things, it took him like ten extra 3 seconds, and we always say it's time. And that was what 4 did it for me. So I think limiting the size, it's time. 5 So --6 COMMISSIONER SULLIVAN: This is just briefly. We 7 know that people can bracket two magazines together, but 8 even that, unless you're a real pro, takes more than a 9 couple of seconds to do the change, and the whole issue of 10 limiting the number of rounds that could be fired without a 11 reload is to allow people the opportunity to escape if they become under fire. It's a very simple premise, and if it 12 13 inconveniences somebody in the field for target shooting 14 versus one or two more people getting killed or escaping 15 getting killed while they're switching the magazines, I'd 16 go for switching the magazines. 17 COMMISSIONER O'CONNOR: Is there a law 18 enforcement section there though? 19 CHAIRMAN JACKSON: We will do that as a 20 boilerplate. 21 COMMISSIONER SULLIVAN: Yeah, I think we can do that blankedly probably for all the stuff we're doing. 22 23

COMMISSIONER FORRESTER: I think Chief of Police in Newtown's comment around any barrier that we could put

CHAIRMAN JACKSON: Alice?

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1 in is every fifteen seconds. So I would support this. 2 CHAIRMAN JACKSON: Chief? 3 COMMISSIONER McCARTHY: I think the way that this 4 is written makes it illegal to possess higher capacity magazines, and I think that we will need some advice on how 5 6 to deal with those that are already out in the field. 7 CHAIRMAN JACKSON: Does anyone think -- Patricia? 8 COMMISSIONER KEAVNEY-MARUCA: This is just 9 probably a stupid question, but once you use the magazine, 10 you can't refill it? You have to buy a new magazine? 11 COMMISSIONER SULLIVAN: No, it's refillable. 12 COMMISSIONER O'CONNOR: You can refill it. 13 COMMISSIONER KEAVNEY-MARUCA: So the ones that are in the field remain a problem because they can be 14 reused? Thank you. 15 16 COMMISSIONER SULLIVAN: In some states what they're doing is passing a law that gives them a period of 17 18 time to sell those magazines that are being outlawed. They 19 give them like three or six-month grace periods to sell 20 them to a federally licensed dealer or sell them out of 21 state where they're allowed. 22 COMMISSIONER O'CONNOR: Mr. Mayor, though, do we 23 have to ask the lawyers, you know, in terms of implementing

a law, and Bernie, you may have done some research on this,

you know, can you only go forward, or how do you go back?

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1 You know, I bought my magazine legally, you know, and now 2 you're changing the law. You know, what are the 3 constitutional implications of that and that sort of thing? 4 You know, I don't know the answer to that, but --5 CHAIRMAN JACKSON: Aren't you an attorney? 6 COMMISSIONER O'CONNOR: Yeah, but I've never 7 driven. I always say, I never drove on my license. 8 COMMISSIONER SULLIVAN: The lawyers can tell me 9 if I'm wrong, but I believe the ex post facto, which only 10 pertains to criminal law? 11 COMMISSIONER O'CONNOR: Yeah, that's probably 12 true. 13 COMMISSIONER SULLIVAN: So civilly, you could 14 pass a law that says what you have is no longer legal? 15 MS. WAGNER: Yeah, yeah. 16 COMMISSIONER O'CONNOR: I just think that one's 17 going to generate a lot of controversy. 18 COMMISSIONER SULLIVAN: Let's see what Natalie 19 says. 20 MS. WAGNER: No, I mean, it's not considered a 21 takings issue because it's for a public safety purpose. So 22 if you think of somebody who for instance owned a drug that 23 was considered legal, and then, you know, it was considered 24 criminal to possess the next day, it's the same sort of 2.5

thing. I mean you can criminalize possession of something

that the day before was legal to possess. You can't say that it was illegal to possess it yesterday, right, but you can say that it's illegal to possess it tomorrow, and that can go into effect then even though you could own it.

COMMISSIONER O'CONNOR: (Inaudible) grace period.

MS. WAGNER: Yes.

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COMMISSIONER O'CONNOR: (Inaudible) you know, it will be effective on January 1 of 2014?

MS. WAGNER: Yes, they can -- I mean, you know, if it was put into effect, the legislature could decide to have it go into effect at a time in the future, but they could also decide that it could go into effect immediately. Who knows what they'll do, but you could recommend a grace period if you wanted.

COMMISSIONER O'CONNOR: Thank you.

MS. WAGNER: Sure.

COMMISSIONER SANDFORD: I'm going the other way.

I think if we're going to do this, I would prefer us to basically ban those clips or the magazines and not say render them not be able to hold more than -- do you know what I'm trying to -- does that make sense what I'm trying to say? Because I think there's some way you can -- they can fix them so they can't hold anymore, but I would rather just ban them rather than having them be -- the thirty be adjusted down to ten. I don't know if I'm explaining that

correctly.

COMMISSIONER SULLIVAN: There are more than one ways to feed ammunition. There's a do-gooder feed, and there's clips and magazines. So we wrote this as any device so we don't get into things where they change how something looks but it still works the same way. And if they can fix a magazine that won't hold more than ten, then that solves the problem if they could do a permanent fix, but the law just says you can't have any device.

COMMISSIONER McCARTHY: As this issue gets massaged by others after we make our recommendations, I would hope that there would be a buy-back initiative that could be included in the legislation that would make it easier. We can make a -- the state can pass legislation making it illegal to possess, but unless we make it easy for those who have invested, and we heard a lot of folks talk about investing in firearms and investing in high-capacity magazines, investing in assault-type weapons.

I think to be effective, we're going to have to create a mechanism that makes it easy for them to sell them through some process, and I think that we will be more effective in the long run. So I would hope that as this gets passed on that some of those initiatives might be considered as well.

COMMISSIONER SULLIVAN: Just for the record, the

easiest way to kill legislation is to put a fiscal note with it, and if you're suggesting the government buy it back, that's money. The bill could die just because it's money. Whereas if they give them an exemption that says they can sell it on their own to recover money to a licensed dealer or out of state, I think that covers that without the government getting involved in the buyback. I think that if you put money on it, it's the biggest way to kill a bill up here is to say it costs money.

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CHAIRMAN JACKSON: Wayne, you've made your feelings known. Does anyone else dissent that we should move forward on this?

COMMISSIONER O'CONNOR: I just have one quick question.

CHAIRMAN JACKSON: Chief?

COMMISSIONER O'CONNOR: You know, given that

Connecticut is so small and we're bordered by so many other

states, do we know -- I don't think we asked this question,

but what are the states -- the laws in similar states. I'm

curious in terms of if we're going to be on an island, and

you know how easy it is, you know, we implement a lot that

says you can't have it in Connecticut, and that may be

reasonable by some people's standards. Clearly, lots of

people are going to think it's unreasonable, but if I'm

living in Long Meadow, which borders Enfield, you know, how

1 easy it is for me to have it and purchase it somewhere 2 else. I don't know the answer to that, but it would be kind of nice to know that. 3 CHAIRMAN JACKSON: I believe somewhere in the 4 5 packages we've gotten information on New York as well as 6 Massachusetts. I don't know that we've seen Rhode Island 7 though. 8 It's my understanding COMMISSIONER SANDFORD: 9 that there's a manufacturer in the State of Connecticut 10 that produces these clips. 11 COMMISSIONER O'CONNOR: Magazines, Wayne. 12 COMMISSIONER SANDFORD: What? 13 COMMISSIONER O'CONNOR: They're magazines. 14 COMMISSIONER SANDFORD: Magazines. Okay, I 15 thought magazines -- never mind. 16 A COMMISSIONER: (Inaudible.) 17 COMMISSIONER SANDFORD: Okay. I apologize. 18 it's my understanding there's a manufacturer in the State 19 of Connecticut that produces these that obviously employs 20 people. Is there a way that if we propose legislation that 21 it would exempt them as a company from having these and 22 then selling them to whoever else they sell them to? You 23 know, is that a reasonable accommodation or is that -- am I

CHAIRMAN JACKSON: I think the answer is

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asking too much?

everything is available, and on that point though there are always multiple sides to every story. So in terms of the fleshing out of these actual recommendations, I think it is going to be extremely valuable to talk to people different than the people that we've talked to thus far. This -- what we're doing right now is identifying directions. This sounds logical. This sounds common sense. But in order to get to a package that actually does make sense in reality, we have to talk to some more people.

So, you know, those -- that manufacturer might be a perfect entity to comment and say, well, you can do it, and I understand why you're doing it, but if you could do this as well, it would certainly from an economic development standpoint be beneficial to me.

Ron?

COMMISSIONER CHIVINSKI: After listening to that testimony last week from the sportsmen, and I heard Kathy loud and clear, and I agree. It is time. I would not be opposed for example if at a sportsman club if they had the clips or the type of weaponry there for someone to go and engage in that for recreation. That does not sound unreasonable to me as we work to massage this. It's not what I personally find recreational, but it sounded like there's many that might engage in that activity. That's just something to think about.

CHAIRMAN JACKSON: It seems like there is consensus to move forward on fleshing this one out.

Item number 5 is a ban on the sale of armor piercing and incendiary ammunition. Any comments?

COMMISSIONER O'CONNOR: That's a no-brainer.

CHAIRMAN JACKSON: Okay. Check.

Number 6. Ammunition sales limited to permit holders and only for registered weapons. This is -- this would require certain action in previous items, that is the registration of any weapon. The intent is to make sure that people are buying ammunition for legal weapons and are legally allowed to possess and use those weapons.

Discussion on this item? Any dissent? We'll move forward on that one.

Number 7. Prohibit the sale of any firearm capable of firing more than ten rounds of ammunition without reloading. Slightly different than item number 4. Thoughts?

COMMISSIONER SULLIVAN: That should have language included with that because the purpose of that is to come up with a definition of what an assault weapon is by how it works not by how it looks. Historically an assault weapon has been defined by the manner it looks so the manufacturers change the way it looks. It's still the same gun firing the same amount rounds in the same amount of

time. So I think it behooves us to come up with a definition of assault weapon as being any weapon that can fire more than ten rounds whether it's a long gun or a handgun without reloading. Because if you -- Newtown is one thing. If you look at the chronic problem in our inner cities, the weapons of choice are semiautomatic pistols that carry sixteen, seventeen, eighteen rounds of ammunition.

So I believe we should -- if we include this, it should be included as a new definition of an assault weapon by the way it works, not by the way it looks.

CHAIRMAN JACKSON: Kathy?

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COMMISSIONER FLAHERTY: I think that actually makes a lot of sense because it always was troubling me the definition of an assault weapon because the -- getting around the definition kind of like the menu ordering of a gun when it seemed like functionally, they all did the same thing whether it was A plus B minus C plus D. Talking with other folks about it, that never made any sense. So I think it's a much simpler definition of a gun too. So I think that's a great idea.

COMMISSIONER CHIVINSKI: Just for clarification purposes, are we saying that, for instance, at last week's presentation there was a Glock on the counter, and it could take a ten magazine clip, a fifteen magazine clip, thirty

and there might even have been a forty in there. Would the intentionality be to eventually ban a weapon like that?

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COMMISSIONER SULLIVAN: Yeah, any weapon that can fire more than ten rounds without reloading. Any weapon. Because that's the plague of our society. You know, Newtown is one thing where somebody went in there with a rifle, but every day in the streets of America kids are getting blown away, some accidentally because they're innocent bystanders just because of the fact somebody can get up there and spray ammunition all over the place, and all this does is the same thing as with the assault rifle, as they used to call it, is to eliminate the number of rounds that could be fired and hopefully give people a chance not to get caught up in the action. And again, all of these things would probably have a police and military exemption because it's intended to take them out of the hands of the civilian population that should not have a need for this kind of weapon.

CHAIRMAN JACKSON: But if I can restate Ron's question. You have a series of weapons that are currently in existence, handguns, and depending on the will of the manufacturers of magazines, they can provide extended magazines. So in one fell swoop, are we taking away a lot?

COMMISSIONER SULLIVAN: It may be so, but I look at it more simplified. In one fell swoop, we've eliminated

the nonsense about how the dress looks. We're looking at the nonsense about how you wear it. We've always looked at assault -- I mean, I went through it as police chief. I used to laugh. They say, you know, what they just said that they're going to no longer have the bayonet attachment on an AR15. So that's good. No more drive-by stabbings in Hartford this week, but we're still going to have the shootings.

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So the issue is how do you lower the amount of shootings and the level of rounds fired from a weapon, and if the manufacturers who are geniuses at making weapons skirt the law can't figure out how to do that by putting some kind of block in there so that it won't hold other than a certain magazine, then that's something for them to figure out, but we need to remove those guns from our streets.

COMMISSIONER O'CONNOR: Yeah, I just think that as I'm sort of thinking this through, and oddly enough, I'm not a gun expert. I mean, it's a tool of the trade and, you know, I can shoot it and know the workings of it, but I just don't know how they do that, Bernie. I mean, you know, any gun now, you take, you know, the gun I carry. It is a single stack, so I, you know, have eight or nine rounds in it if I reload, but you know we have lots of police officers who have the double stack, you know, Glocks

or Sigs or whatever they may be, and that shoot as you say, twelve, thirteen, fourteen, fifteen, sixteen rounds out of it. How do you then say to all these people who own all these guns that are just like any other gun any law enforcement officer has now that you had it, it's now illegal. I just don't know how you do that.

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COMMISSIONER SULLIVAN: Well, police and military have these weapons for a reason. The reason you fire a lot of rounds of ammunition is for what we call suppressive fire. If we're in a situation -- in the army, you want to make sure the enemy keeps his head down, you shoot the hell out of him, and you're in a free fire zone. If you're in a police department, and you're storming the castle so to speak, which occasionally happens, you may want to have the ability to fire a lot of ammunition for the same purpose.

To say that a civilian is entitled to have that same kind of capability, there is no point of us even talking about the term assault weapon then because it is an assault. Who has the right to assault? The police or the military. Those are bona fide assaults.

COMMISSIONER O'CONNOR: I get that. That's not my question though. The question is by that definition -- I guess I'm having trouble -- I'm struggling with that definition, you know, as I think it through a little bit more because it's going to make so many guns that people

possess lawfully now illegal. It's just such a broad definition. I think it may go too far.

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CHAIRMAN JACKSON: If I may, it seems as if taken to its conclusion only revolvers would be legal.

COMMISSIONER O'CONNOR: Yeah, exactly.

COMMISSIONER SULLIVAN: No, no. There's all kinds of semi-automatic pistols that hold less than ten rounds. I have a six shot one, and it can't hold more than six because that's the way it's made. I had a -- I don't have it anymore. I had a Beretta that could only hold eight because that's the way it was made. Now, there are other weapons that are made to hold more ammunition.

The question in my mind is are we really serious in our society about dealing with the amount of firepower that's on our streets, and are we once and for all ready to truly define what an assault weapon is? And I don't see how you can define it any other way than a weapon that has a capacity to fire a whole lot of bullets before they have to reload.

COMMISSIONER DUCIBELLA: I've been silent on the gun issues because it's an area where I think there are a lot of other people who should be opining, and I kind of have another part of the agenda that I'm more involved in, but I think that Bernie's point, at least from my perspective, is really well-supported. We're at the point

of a culture change, and there are some fundamental issues that we need to turn over to the gunsmithing and gun community. I don't know whether you load a different round and at the end of the ninth round the weapon is set up so that that round identifies to the gun that you're not going to fire anything more. There are lot of people who have older vehicles who can't run on the new unleaded gasolines, and guess what? There are hundreds of thousands of them and they cost an awful lot of money, and you can't drive them anymore.

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I would ask the commission to consider, although I completely respect Barbara's position on feasibility, I'd ask the commission to look into your heart and ask yourself are we ready to institute a culture change and let the manufacturing community determine whether they can address it or not, because at the end of the day it becomes a financial issue as opposed to a life safety issue, and we're really here to address a life safety issue.

So my personal opinion on behalf of the commission is yeah, it's a big bite, but I think we've heard so much testimony that suggests that we heard a pediatric surgeon say, I got a lot more people with bullet holes. So if we could ask ourselves whether we can make the conscientious decision to worry a little bit less about feasibility and practicality on this one issue, as much as

I respect Barbara's insight. I think this is time to lay down your conscience on the line and say we're going to ask some people to make some real fundamental changes. And thank you for listening.

COMMISSIONER McCARTHY: I think the issue for me is one of lethality, and an assault weapon as was displayed last week, it's very difficult to conceal to do bad things—to carry it somewhere to do bad things. If a handgun provides the same lethality, and I can conceal it and carry it into a place of assembly, I think that that's a much more dangerous weapon than an assault rifle because of the stealth that is capable in bringing that to do bad things. And I agree that now is the time particularly because of what's happening in our urban communities. The availability and the frequency of indiscriminate shooting that is costing lives, and I think it is the opportunity for a culture shift, and it's a cliché, but if not us, who? If not now, when?

COMMISSIONER CHIVINSKI: Just to clarify, number 7, that's what we're talking about here, correct? And it's only talking about prohibiting the sale of a weapon that could fire more than ten rounds at a time, correct?

COMMISSIONER SULLIVAN: There's going to be opposition.

COMMISSIONER CHIVINSKI: Therefore, the way this

1 is written, if there was an owner who possessed a Glock, 2 possessed one of the guns that was in front of us, they --3 if that was recommended and put into law, they would still 4 be able to own that, correct? 5 COMMISSIONER SULLIVAN: Yeah, I mean, this is an 6 issue. Clearly, we have to include possession like we do 7 in everything else. This was just the first cut that you shouldn't be allowed to sell it or possess it or transfer 9 it or whatever. 10 CHAIRMAN JACKSON: But, if I'm hearing you, part 11 of the question is, you know, prohibit the sale. It does 12 not say sale and possession. Are we talking about sale and 13 possession? 14 COMMISSIONER CHIVINSKI: That's what I was 15 seeking clarification on. 16 COMMISSIONER SULLIVAN: That's my intent. Sale 17 and possession. CHAIRMAN JACKSON: Sale and possession. Unless 18 19 there's opposition so that we are discussing apples to 20 apples, I'll reword number 7 to be prohibit the sale and 21 possession. 22 Chief? 23 If you think that we should move forward on this 24 item, please raise your hand. We have --

(Inaudible discussion.)

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What's on the table is the sale and possession of any firearm capable of firing more than ten rounds of ammunition without reloading. While it is specifically getting to the issue of assault weapon function over form, it also has the effect of addressing handguns that are in common circulation, and we need to understand that that is the case.

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Does that provide the clarification you need?

COMMISSIONER SANDFORD: Not owning a gun, I'd really like to hear what Chief O'Connor has to say.

There's something going on here that I'm missing. So I need more information. I would feel more comfortable if I had more information if I had to cast a vote. At this point, I guess I would be neutral.

CHAIRMAN JACKSON: What do you think you're missing?

understand what Chief Sullivan is saying, but Chief O'Connor's got some comments. So I really want to hear what the other -- and no deference to Chief Sullivan, but I'd really like to hear what Barbara has to say because maybe I'm missing something or maybe we're missing something. I don't mean to put you on the spot, Chief.

COMMISSIONER O'CONNOR: No, I just, again, I'm struggling with the definition. Everyone is struggling

with the definition of what an assault weapon is, and I agree with you, Wayne. I also respect Bernie's position as well as many others. Taking that logic to the extreme though, you know, if our position were to become, you know, let's make a statement then, you know, taking that statement, at least in my mind, to a logical conclusion would mean banning all guns, and my concern with this particular definition as written is I think it is going to be, you know, sort of the unintended consequence is it's going to be so broad that folks here are making a decision without truly understanding how many guns are we talking about that fit that definition.

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Now, granted, we're assuming there's a law enforcement exemption, right? We're going to assume that with all this stuff that there's a law enforcement exemption, but you know, my concern is the number is so significant, you know, that we're so narrowing the definition. And at the end of the day, if that's what the commission wants to do, you know, I'm just not comfortable that I fully grasp as someone who knows and understands at least this much about guns that we're making a decision fully understanding what that is. That's all I'm saying.

Because I think it bans so many guns.

CHAIRMAN JACKSON: And that being said, this is such a very specific topic of such great importance that

what we're talking about now is general direction. The specifics are something that we're going to have to work very hard on. Now, there have been definitions of assault weapon that are different than this. The Connecticut Conference of Municipality's recommendations that we sent out last week had the definition essentially it supported the federal ban that was in place for ten years. It used that definition. Connecticut's definition is slightly different.

While this was written about assault weapons, our discussion here has transformed into a discussion of issues of gun violence, which if you recall to our first meeting was part of the charge issued to us by the governor. So the conversation is appropriate, but the specifics are going to need quite a bit of wrangling, and essentially, review from a constitutional standpoint and from a practical standpoint.

But it seems that the consensus of the body is to move forward and have that discussion. So we will leave it on the table.

Item number 8. All firearms in the home shall be stored in a locked container featuring a tamper-resistant mechanical lock or other safety device. Dissent? Comment? All right. We will move forward with that one.

COMMISSIONER DUCIBELLA: Chair?

CHAIRMAN JACKSON: Yes?

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COMMISSIONER DUCIBELLA: There are routinely available biometric devices that really require that the signator for the weapon be used as the means to access it. So I don't want to take this off the table, but I think there's some additional fidelity that could go into the definition.

We had the experience at Sandy Hook where someone who passed all the requirements, had the right to have the gun, and someone who did not ended up with it, and I think there are very clear means today with technology to ensure that if it's your gun, only you get to it, and I think adding the biometric signature piece creates that level of fidelity and access, and I would suggest that as we move forward with that if others agree to keep it on the table that we add that additional criteria.

COMMISSIONER SULLIVAN: Just one quick thing. I did a little research on that. The only biometric stuff that's available right now, I believe, is for handguns. I haven't seen anything for long guns, but biometrics or a combination safe would serve the purpose with long guns.

COMMISSIONER O'CONNOR: And I don't know what the cost -- Bob, do you know what the cost of --

COMMISSIONER DUCIBELLA: It's not significant. I mean --

1 COMMISSIONER O'CONNOR: Okay. 2 COMMISSIONER DUCIBELLA: It's less than the cost 3 of the weapon itself, and it would seem that that should be 4 at least a metric that makes sense. CHAIRMAN JACKSON: I don't recall if that was 5 6 specifically identified in the document that was sent to 7 I would have -- if it were, I would have categorized it under that other safety devices, but I will certainly 9 make a specific note about the biometrics. 10 Item number 9. 11 COMMISSIONER KEAVNEY-MARUCA: Scott? 12 CHAIRMAN JACKSON: Yes? 13 COMMISSIONER FLAHERTY: Someplace in my reading, 14 I read that they wanted -- or that it was recommended that 15 even if the firearm was unloaded it should be kept locked. 16 So should we put that in, whether loaded or unloaded? Or does it matter? 17 COMMISSIONER O'CONNOR: I don't know that you can 18 19 (inaudible). I mean, you have (inaudible.) 20 COMMISSIONER SULLIVAN: You've got to include the 21 (inaudible). 22 COMMISSIONER O'CONNOR: Well, your trigger guard. 23 COMMISSIONER SULLIVAN: Yeah. 2.4 COMMISSIONER O'CONNOR: Yeah, that's true. 25 COMMISSIONER SULLIVAN: You can put it in a box

1 without the trigger guard. 2 COMMISSIONER O'CONNOR: Right, right, right. 3 COMMISSIONER SULLIVAN: Because the box meets the 4 level of the law for security. 5 COMMISSIONER O'CONNOR: Right. No, I'm just б thinking how I always unlock mine. (Inaudible.) 7 COMMISISONER SULLIVAN: Yeah, I always do too. 8 COMMISSIONER O'CONNOR: Yeah. 9 COMMISSIONER SULLIVAN: That becomes a question 10 though -- legitimately, we're dealing with an area that's a 11 little grey because people have a right to carry guns, and 12 if they're using it in defense of their homes, if it's in a 13 locked box that only they can open in times of emergency, do we want to make them take the extra time to load it, or 14 15 should we leave that alone. I could be of two minds on 16 that, but I think the more important thing is that it has 17 to be in a secure locked box whether it's loaded or not. 18 COMMISSIONER KEAVNEY-MARUCA: Okay. 19 COMMISSION SULLIVAN: But if you want to add 20 that, I have no problem with that either. 21 COMMISSIONER KEAVNEY-MARUCA: No, I'm good. 22 CHAIRMAN JACKSON: We'll make a note of that, 23 Pat. 2.4 Item number 9. Nonresidents seeking to purchase

a firearm or ammunition in Connecticut must obtain a

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certificate of eligibility.

COMMISSIONER EDELSTEIN: So we probably need some definition of certificate of eligibility, from which type of entity, kinds of costs, timing. I support the concept.

COMMISSIONER SULLIVAN: I mean, it would be -like the State Police have a certificate of eligibility for
a different reason, but this would be for somebody out of
state where they would do a back -- you apply for the
certificate of eligibility, they do a background check
before you can buy a gun in Connecticut while you're
traveling through or something. That's all.

CHAIRMAN JACKSON: Item 10, the governor's office through the state congressional delegation shall seek an end to the federal ban on research into gun violence and apply appropriate funding to research with appropriate stakeholders. Dissent? Okay.

Item 11. Obligate gun clubs to report inappropriate behavior with a firearm to DESPP and local law enforcement.

A COMMISSIONER: What does inappropriate mean, seriously?

CHAIRMAN JACKSON: I believe -- I don't remember who mentioned -- Chief, was it you who mentioned about the Virginia Tech shooting?

COMMISSIONER O'CONNOR: I did, yeah. In Virginia

Tech he was placing targets on the ground and walking down the range and shooting them on the ground. I do agree we need to elaborate on what that would be if we're going to pose, you know, a reporting requirement with some specifics, you know, and I think that would be challenging, but you know, you think about if someone had taken the step to report that, Virginia Tech might have been prevented.

COMMISSIONER McCARTHY: And I think the gun clubs have very responsible bylaws that has been presented, and I think in those bylaws we may find that there is certain behaviors that they have already identified as inappropriate that would subject them to some action by the club themselves, and we can use that as the basis for this regulation.

CHAIRMAN JACKSON: Item 13. Prohibit the presence of firearms in any household where any individual has been deemed ineligible to possess firearms.

COMMISSIONER FLAHERTY: I don't think we can constitutionally do that. I think we're going to have a problem because that's going to interfere with the rights of the person who's eligible to have it. I mean, I see the concept, but I don't think we can do it.

COMMISSIONER McCARTHY: With the gun locks that we talked about, and if I own a gun, and it's secured as we defined in a previous item that would prevent anybody else

in my home accessing it, we may solve that problem.

A COMMISSIONER: Yeah.

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A COMMISSIONER: Yeah.

COMMISSIONER O'CONNOR: Well, I think we -- just to elaborate, as I recall, Chief Reed testified, it sort of rolled into the suitability requirement, and I know that they're working -- there is some work going on on defining suitability, but I think his intent, as I recall his testimony was that should be a factor that they should consider, they being the local chief, as to whether or not, you know, a permit should be issued in that home, but not necessarily -- I didn't take his testimony to be this broad, but I may be off-base.

COMMISSIONER SULLIVAN: I agree. I don't see how you could apply it because somebody could have an old, quote, felony conviction from ten years ago that would prohibit them from owning a weapon. They get married later in life. They're good people now and all that, why should you prevent their partner from having a firearm as long as it's properly secured. So I don't think that would work.

CHAIRMAN JACKSON: Who thinks we should move forward on this item show of hands? Item is removed.

Item 14. Require promoters of gun shows to receive a permit from chief of police or chief elected official. Any dissent?

We have a few items relating to the Board of Firearms Permit Examiners. One is to eliminate, and two are to reconstitute in one way or multiple ways including the inclusion of at least one mental health professional and also enhancing the proportion of law enforcement on the panel. What are our thoughts about the Board of Firearm Permits?

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COMMISSIONER SULLIVAN: Just briefly. I think you can combine something. I don't think it should be eliminated. I think people have a right to due process and appeal government actions. Adding somebody with a mental health background on the board, I think is good. I went back and looked at 18 months of minutes for the board, and you know, you don't really see a pattern where they're favoring one side or the other, but decisions were made. If either side doesn't show up, they default, whether it's the police or the appellant, but the board never explains why it overrode a decision by the local authority.

So I think that a big step in the right direction would be to require the board to put in their minutes the reason for overriding the local decision so at least we know what their decision-making process is, but I don't think you should eliminate it, and from what I saw just looking at a quick eighteen months of minutes, there's nothing to show me is prejudice toward either side, and I

know the police chiefs do have a member of Connecticut police chiefs on there, Barb, right? There's a one member

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COMMISSIONER O'CONNOR: You know, I don't know the answer to that.

COMMISSIONER SULLIVAN: Yeah, I think one member -- we can check the law, but I think one member is appointed by the Connecticut Police Chiefs Association. So I would be against eliminating it without a lot more information, but I think it could be changed a little bit to make them explain what -- when they make a decision, why. All they say is they voted 5 to 3 to overturn a decision, but it says nothing else, and it would be interesting to see what they would have to say.

COMMISSIONER KEAVNEY-MARUCA: I thought I heard them say that they don't always have the time to go to those meetings, and so if someone from the police office doesn't show up because of time constraints, they do default and they grant the permit. So that seems to me like it needs a little tweaking.

COMMISSIONER SULLIVAN: The questionnaire -- the minutes I read I noticed some departments, the chief himself wasn't there. He sent a delegate. So it wasn't the case in every department that the chief himself was too busy. In one case, I think, a sergeant was listed in one

of the minutes. I mean I never went to a hearing when I was a chief, but I always sent somebody if it was something I'm concerned about. I mean I don't see how you could take away due process, and that's part of your job. We have to go to labor hearings. We have to go to grievance hearings. It kills us the amount of time that non-police work is put into our day, but that's part of our administration.

COMMISSIONER FLAHERTY: And the bottom line, because Bernie and I were talking about this beforehand, if it's your appeal and you don't show up, you're going to lose, and that's, you know, if they -- and so, you know, the ones where the police didn't show up because I'm assuming the person got granted, and then the police department didn't like it. They appealed. They don't show up, you lose. I mean, if the person didn't show up, and they appealed, not getting it. You always lose when it's your appeal and you don't show up.

COMMISSIONER KEAVNEY-MARUCA: The police department has an opportunity to appeal if this board overrides them and grants the permit? The police department can then appeal that?

COMMISSIONER SULLIVAN: Not past the board I don't think unless they decided to go to Court, but that would be tough.

COMMISSIONER KEAVNEY-MARUCA: I'm just thinking

of police departments that may be far away from wherever this board is located and very small. They don't have the staff to devote to -- they can't afford to send a trooper or a person who's on duty at that point or pay somebody who's off-duty to go.

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COMMISSIONER SULLIVAN: Well, the analogy I would give you is this. Most police departments have a collective bargaining agent, a union, and if the union files a grievance and you deny it, the union can take you to mediation or an arbitration, and that takes place in Wethersfield, Connecticut, and they don't care what town you're in. You have to go or send a representative. So I don't see where for a specific administrative duty you can say I'm too busy, but at others you make sure you attend. It goes with the territory. I don't -- I never liked when I was a chief to have to attend stupid hearings when I could be doing something more important, but hey, that's the job.

COMMISSIONER FORRESTER: Chief, in our unions usually it's 9 to 5, but I think that the complaint on the police chief was that it could go way into the evening, and I just wondered if there could be some reasonableness around that.

COMMISSIONER SULLIVAN: You could go to court to testify on a case that could be held into the evening if

the judge allows the testimony to go. You can go to an arbitration hearing to be held beyond business hours if that's the way it goes. So I just don't see where this is that different from any of these other administrative hearings we have to attend.

COMMISSIONER GRIFFITH: Bernie, can I ask you though, I thought in listening to the testimony that there was also some pointed commentary about the chiefs themselves who sometimes very casually dealt with the applications and so on, and I wonder if you only -- the recommendation here is only dealing with one part of the problem.

COMMISSIONER SULLIVAN: Well, there are chiefs -the mayor and I were talking about this before. I won't
name them, but there are chiefs who historically have said,
I will never issue a pistol permit.

COMMISSIONER GRIFFITH: Right.

COMMISSIONER SULLIVAN: I'm not going to do it.

Let them do it, and if they take that thing, and they refuse to go to the board, the way they're dealt with is the board rules against them by default. So that problem is dealt with by the board if you have a chief that adamantly refuses to give any permits at all, if that answers your question.

COMMISSIONER GRIFFITH: Well, I'm not sure if it

answers my question, although I'm not sure if I want to push it anymore either. I'm just trying to figure out whether there's a broader problem and really what's the function of these permit examiners. I didn't quite grasp it when it was presented the first time. Is this over -- are we trying to just deal with the issue of appeals or are we trying to deal also with the issue of how the board actually -- well, not only the board, but the system actually functions because the first level of trial is at the police chief's level.

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COMMISSIONER SULLIVAN: Yeah, I mean, the only thing I can think of is I think the chiefs did mention an issue about suitability or redefining it maybe if I'm correct.

COMMISSIONER GRIFFITH: Yeah.

COMMISSIONER SULLIVAN: So there are criteria used for suitability, and perhaps we can recommend that the chiefs and the board get together and try to come up with new criteria for suitability, but that's the only other thing I can think of.

COMMISSIONER LYDDY: So on the topic of the examiners and including a mental health person on that, I'm very cautious about pursuing that especially at this time because it's not clear what the authority of that mental health person would be, and what the scope of that person's

responsibility would be, and since we haven't looked at the mental health piece to this, I would suggest that we do not move forward with that particular piece of the recommendation.

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COMMISSIONER SULLIVAN: That's fine. I mean, my only thing with it would be that -- I'm talking about it now because I didn't write this up, but my only thing with that would be it would just be another voting member of the board with a different area of expertise to look at these issues when they come before the board, not to have a separate and apart role.

COMMISSIONER LYDDY: Sure, and you know, if the mental health piece is a place where we want to pursue, maybe that person is better suited on the upfront -- the initial admit of the permit as opposed to this place, but

COMMISSIONER SULLIVAN: Yeah, we --

COMMISSIONER LYDDY: -- again, I'm not sure what the association is and what the appropriateness of that is.

COMMISSIONER SULLIVAN: Yeah, we may want to defer that piece until we have real discussions on the mental health side, but at some point we probably need to work that in somehow.

COMMISSIONER LYDDY: I'd recommend that. Thank you.

CHAIRMAN JACKSON: Chief?

COMMISSIONER McCARTHY: If I could recommend, I think that this issue needs to move forward, maybe not in this format. I think that depending on your perspective, the system is broke at different levels in different ways from the police chief who feels that his denial of a permit is meaningless because it's going to get overturned, to the police chief who's, as we've heard, the number of permits that they're being flooded with makes it unmanageable to some law enforcement that are not approving any, to a very long process that there's not a window of hearing. As I understood the testimony, I could show up at 8:00 o'clock, and my case not come up until very late in the day, and I'm committing resources from my community to participate in that hearing.

So I think that there are a number of issues in the permit process that need to be evaluated more deeply than I think that we're capable of doing, and I would recommend that we modify our position to have a thorough review and overhaul of the permitting process and let others who can delve into some of these issues more deeply deal with them.

COMMISSIONER SULLIVAN: Maybe just suggest review and not overhaul. If you can't overhaul (audio fading out) review, you may not find a reason to overhaul. I have no

problem with that. I mean, it's not my -- I have no dog in the fight.

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COMMISSIONER SANDFORD: When I heard all the testimony and what was said, I heard very clearly that it came down to relationships. We had one police chief that said he had a wonderful relationship with the board, did not have any issues and another chief that said he did have issues with the board. I hear the agendas are very long. I think as Denis has said very appropriately that the meetings are very long, obviously this takes a lot of time, and I also think that people have a due right of process. I mean if the police chief of my town doesn't like me or at least I perceive that he or she doesn't like me, then I should have some process to, you know, to apply to.

One thought I had is that maybe we need more than one review panel in the State of Connecticut. Maybe we need to have smaller panels, maybe by congressional district that will foster the personal relationship between the police chief and that panel because it would be closer to him or her, they would work with them more, and maybe it would end up with a better product still providing due process. But yet the meetings would be shorter because they would be broken up into the congressional districts or whatever regions we have in the state and still meet all the needs but yet allow us to, you know, to -- the people

having due process, and the police chiefs to have their input into the process as well.

So I don't know. There's -- I think -- I like the idea of having someone review it other -- maybe someone that knows more about it review it, and maybe they would come up with a better solution.

COMMISSIONER FORRESTER: Just thinking if everyone needs a permit than the agenda would get that much longer. So that's not bad advice.

CHAIRMAN JACKSON: It seems like there is a lot of will at this point to move forward with specific recommendation on the Board of Firearm Permits Examiners. So we'll not move those on.

Item 17, the State of Connecticut shall determine a maximum amount of ammunition that may be purchased at a single time. Dissent? Seeing none, we'll keep that one.

Firearms permits shall be subject to renewal and a test of firearms handling capacity and knowledge of applicable regulations. We'll keep that one.

COMMISSIONER O'CONNOR: Just one comment there, and of course, it's a law enforcement comment, but I think we would want to have some sort of language that police officers they maintain a post-qualification, and they would be exempt from this standard.

CHAIRMAN JACKSON: Okay.

COMMISSIONER O'CONNOR: We have to train all the time with our firearms so --

CHAIRMAN JACKSON: Wayne?

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COMMISSIONER SANDFORD: I'd like to see us somehow, although it doesn't appear that there is one, I'd like to see some type of training program included in this process developed by somebody other than the NRA. That was pretty clear that -- and maybe they are the most knowledgeable source at this time, but I do think there needs to be a standard or a training program developed. I go to one gun shop and take training, and I fail, I could go to another gun shop and take training over there and I could pass and everything's okay. So I think that, you know, there is not a standard for training when it comes to this and maybe this is the place where it belongs. do think that we need to look at having a standard that's applied in the State of Connecticut for training for anyone to be able to have a license to have a gun.

COMMISSIONER McCARTHY: Maybe I missed it in the testimony, and I agree with Wayne. Was there any discussion about taxation on weapons and ammunition that could help support some of these initiatives, specifically training and recertification? I don't know if I heard that during the testimony, although it was an idea that was floated previously.

CHAIRMAN JACKSON: I do not recollect hearing that idea in testimony, and Wayne, the chief of police documents that was submitted this morning did include language regarding minimum standards for those trainings. So it's an interesting notion, Chief, regarding the fees or the taxation as a funding mechanism.

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COMMISSIONER McCARTHY: If I could expand just a bit? If, and I think that we're all trying to be very respectful of Second Amendment rights, but with that comes a cost, a cost to the society and the community, and I think that as I implied in the gun buy-back proposal, I think that there is an opportunity for the state to take some leadership and promoting safe gun use or gun ownership and there needs to be a mechanism to pay for that, and I would like us to explore that.

COMMISSIONER SULLIVAN: And it doesn't necessarily have to be a tax. It could be a permit fee with a surcharge on it so that nobody gets excited about that dirty word "tax."

COMMISSIONER EDESTEIN: I just had a process question on the Board of Firearms Permit Examiners. We took it off the table. I thought we also talked about reviewing the process, or did we abandon that idea?

CHAIRMAN JACKSON: No, we set to the side a review of the process, or as a Chief McCarthy said, a

thorough review of the entire permitting process. So it's sort of off to the side, but not separating out the board specifically from that process.

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Item 19 is require the provision of trigger locks with the sale of any firearm. Seeing no dissent.

We'll move into safe school environment. Bob, you'll have to correct me if I condensed any of your items too far down.

Item 20. Establish -- and I'm going to add the words, establish a site-specific, all-hazards threat and risk assessment security recommendations tool to be able to be applied to all schools statewide. Such tool shall include a definitive analysis of having an SRO and address afterschool access activities as well. This is essentially the risk assessment module that we discussed with the architects and with FEMA.

Item 21 is require school districts to perform a TRSAR within one year of its availability and provide a calendar of implementation review, require review slash update of this tool every three to five years at minimum.

Moving forward. Wayne?

COMMISSION SANDFORD: I'm going to steal somebody else's line. So Bernie, don't get mad at me. We have a lot of school safety stuff that we're talking about here. We have yet to hear from a school official. I really think

that the -- and I think this is right the things that we're talking about, at least in my heart, I know that these are things that should be considered, but I really think we need to have someone from the Superintendents of Schools Association or the state Board of Education Association or the principals associations, and there is a plethora of associations of within the school system.

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But I think before we start saying the word require, mandate or anything like that, we really need to hear from those officials to find out what this really means to them. I just think that would be fair to ask them to come and hear testimony. Maybe we give them this, you know, what we're thinking of before they arrive, and then let them speak and tell us what it means for them. I just think that would be fair.

And Bernie -- I know that was Bernie's idea this morning, and I didn't mean to --

COMMISSIONER SULLIVAN: (Inaudible.) My concern is that I know the school representatives got together and came up with something. Bob may have even been involved in it. But I know the Connecticut Association of Public School Superintendents got together and came up with some plans, programs, whatever you want to call them, and I just think it would be nice to hear from them so we can meld whatever we're thinking about here with their thoughts even

if we disagree with them and decide to push something forward, I just think it would be good to hear from them before we make recommendations. They may already have some of these things incorporated in their plans, and they had a big all day session out at Aqua Turf.

CHAIRMAN JACKSON: Absolutely, and the goal here is to create this list of things that we're thinking about and talking about so that we can share it with the other parties, and say, you know, this is what we said. What do you think about that? As opposed to having them respond to everything in the known universe. Really just focus into the things that we see as having or meriting additional discussion. So be it the sportsmen's clubs, be it the teachers and superintendents, there's always another side to the dialogue. We just want to be able to have kind of a targeted conversation.

Bob?

COMMISSIONER DUCIBELLA: You know, Wayne, I'm very respectful of that because having been one of the people who put that symposium together and having made some of these same presentations, I think it's unfair in an audience of 900 to expect some particular school superintendent to get up and say, I object. So I think it was extraordinarily well-received, but taking into consideration the circumstances under which one or two or

three of them might have had some very salient comments, but decided to refrain because of the overburdening size of the audience, I think you engage in that conversation.

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That particular point of a risk assessment, it's so well-agreed to and actually in some places in place, but I think part of the process that we're engaged in is making a recommendation and getting empowerment by getting the people who have to be essentially the consensual users of what we're talking about. So I think it has intellectual value, and it has implementation value. I would agree with you.

COMMISSIONER LYDDY: Just also on that point, once we want to hear from people, it might also be in our best interest to hear from the unions who can speak on behalf of their membership, you know, who may be fearful of coming forward and disagreeing with, you know, their leaders. So that might be an important point.

COMMISSIONER KEAVNEY-MARUCA: Is it implied that when they do this risk assessment that local law enforcement will be part of the team that does that with the school personnel?

CHAIRMAN JACKSON: The way that these recommendations are developed, they kind of cascade, and the requirement of participation through emergency responders does come in.

Alice?

COMMISSIONER FORRESTER: Just a clarification, some of these assessments are under the Board of Education, and then I know later on there's some recommended as part of I don't want to say DEMHS, D-E-M-H-S, the Department of Emergency Homeland. So is that correct that the first -- the TRSAR would be under the Board of Ed auspices and then the school planning or the -- I don't know what the other recommendations are, but under the Homeland?

COMMISSIONER SANDFORD: Alice, if I could, there's two different things. One is I think what Bob is suggesting here is what we've talked about before is that every school has to do an assessment of their facility.

Okay. When we get involved a little bit further down, we start talking about DEMHS. We're talking about their emergency plans. So one is an assessment of their facility, and the other document we're going to see further down is their emergency plan to manage an emergency at their facility. So there are actually two different documents that would be included. I don't know if that helps at all.

COMMISSIONER FORRESTER: But the gentleman who presented this morning was from the DEMHS ground of New Hampshire.

COMMISSIONER SANDFORD: Correct.

COMMISSIONER FORRESTER: And he was coming up with individualized school safety plans for the one-room school house.

COMMISSIONER SANDFORD: He was helping them develop their plan, correct.

COMMISSIONER FORRESTER: All right. I guess I just want to make sure that there's clarification because putting it under two different departments then allows different criteria and policies and procedures and just being clearer around maybe recommending it all going under DEMHS or the Board of Ed so that there's just a flow of information.

CHAIRMAN JACKSON: And I think that flow exists.

I think the way that it's -- the way this program is designed is that the individual school under the auspices of the Board of Education perform this analysis. It then creates a response plan. That plan is then transmitted to the State of Connecticut for review and comment.

And frankly, the creation of some level of uniformity. From a mutual aide standpoint, my police are responding to a different town, I'd like them to have an understanding of how things operate. Instead of trying to figure out how the book works, they should look for the data in it. So creating some level of uniformity in it I think is the goal here. The role of the state in this

model is really as a reviewing agent and as a repository for information.

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Bob, do you want to correct me if I'm wrong? COMMISSIONER DUCIBELLA: No, not wrong. Alice, I think the opportunity to provide the assessment is the basic thrust of the principle. Exactly who is engaged in that is a subject that I think can be fleshed out like some of the other conversations. What we heard was -- and we've heard it multiple times. Provide an assessment of the facility and find out what the particular weak spots are, what we call vulnerabilities, are. I think that's a multistakeholder team that's likely to be involved in it. I had broader definitions that I gave to the Chair, but in an effort not to have the Dead Sea Scrolls presented to all of you -- which I tend to write -- he boiled it down in a little bit more concise fashion. So I think your point is well-taken. Who are the people who perform it? But there is an assessment done first.

And then to Wayne's point, any assessment comes up with recommendations. Well, what were the recommendations? That's a second document, which is a plan, and that's the emergency response plan. That then would go to in this case DEMHS who would attempt to provide some kind of overarching uniformity so that one institution isn't coming up with a response plan that's all-hazards and

someone else is coming up for the active shooter, and somebody else is worried about something entirely different.

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So we have these two basis tasks. One, perform the assessment, and further down the road you can identify who's in it, although the implications in what I put together were public health officials could be involved in that. School folks are involved in that. Law enforcement and EMS, fire. Second, come up with an emergency response plan. That's then created unique to each site, and then that's then submitted up through DEMHS.

But we could get into the details. The basic point is do we all agree that an individual site-specific assessment should be performed, and as part of that assessment, there should be a definitive statement about whether an SRO -- the issue of whether an SRO is appropriate or not should be one of the considerations, and one of the considerations should also clearly be who has access to the school and who does not. That list could probably be expanded enormously, but these were some of the high points in the assessment process that we thought should be picked out.

And then the second issue, if you're doing the assessment, you have to come up with a response plan as a means to mitigate what you found was missing as part of the

assessment process. Thank you.

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COMMISSIONER FORRESTER: I'll keep asking as we go forward. I understand Bob's point, I just think it's good that it's overseen by one group.

CHAIRMAN JACKSON: Okay. I think I see where you're going with this and that is the role of Mr. Champlin. You're saying, okay, what's Mr. Champlin's role in the threat assessment, and that is not here. Or it's not here yet. It will actually be here a little bit later. We understand that different schools and different districts have different capacities, and in some places, the hands-on support of someone like Mr. Champlin would be helpful, and in some places it would not be required. think by the creation of a uniform tool whether or not a school district requires the services of the state in preparing the threat assessment, the end of the process still has a level of uniformity. So he wouldn't have control of it. He would essentially serve in sort of a consulting capacity to the board as they worked on it. Okay.

Item number 22 is require the development and implementation of an emergency response plan that includes input from relevant stakeholders. I don't know if we're going to disagree on many of these.

23. Require schools to exercise and quantify the

efficacy of the emergency response plan within specific time frames. The goal of all of these is to compel action along timelines and to make sure those timelines are frequent enough so that the information remains fresh and relevant.

Item 24. Require all higher learning centers to submit an ERP to DEMHS and require DEMHS approval of those ERPs. This gets to the point that we heard this morning where the plans are very different and they are only submitted. They are not reviewed or commented for specific — to highlight certain good areas or highlight areas of deficiency.

Kathy?

COMMISSIONER FLAHERTY: And this was a question that I had raised too, and I don't know if we want to change this recommendation because there's everything else with schools. This was higher learning centers because that's what's in the existing statute. Do we want to change our recommendation to include K to 12 or just keep it with the higher learning centers, which I guess are the colleges, universities and what is it, the private technical schools?

CHAIRMAN JACKSON: I feel like it's in here somewhere, but I can't pick it up right now.

COMMISSIONER FLAHERTY: (Inaudible.)

CHAIRMAN JACKSON: Yeah, or I may have inadvertently left it out.

Bob, do you want to comment on whether or not the intention was --

COMMISSIONER DUCIBELLA: (Inaudible.) I apologize. I think what we heard especially today and in previous testimony is that if it's a school, it should be submitted to the process. So I think they use higher learning as -- it needs modification. It should be broadened.

CHAIRMAN JACKSON: I agree. I'll take the blame for that. The intention was for all schools, all schools public and private. All right.

COMMISSIONER SANDFORD: So we're talking all schools public and private K/12 or are we also including daycare centers?

CHAIRMAN JACKSON: Thoughts?

COMMISSIONER SANDFORD: I would highly recommend that if we're going to expand it to schools that we include daycare centers. You know, those are our children as well, and the State of Connecticut -- right now the requirements for a daycare center for emergency preparedness include an exit sign on the wall, an extinguisher and pretty much -- we did give them kits a number of years ago to help them have a plan, but that's it. And I don't know about you,

but I don't know any one-year-old, two-year-olds that know how to read an exit sign on a wall or how to get out of a building.

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So I think having that plan -- I know it really expands what we're talking about, but it could happen in a daycare center just as well as it could happen anyplace else.

COMMISSIONER EDELSTEIN: I think because we haven't spoken to people from daycare centers, we might want to review the licensing standards to see if the licensing itself suffices or if there might be some recommendation within licensing that might meet the need.

A COMMISSIONER: (Inaudible.)

COMMISSIONER EDELSTEIN: So that may be one of our open topics for that specific recommendation.

COMMISSIONER McCARTHY: There is a requirement for planning as part of the licensing for the larger centers, and I think that we'll find that. I think what we're -- I think what the goal of this is to have some uniformity from the very first experience through institutions of higher learning, and certainly there are issues in daycare providers that they're dealing with every day that present threats, noncustodial parent issues and the like, evacuations, which is far more difficult in that environment.

So this tool and other tools require plans that are equally applicable, and in many cases are already being conducted in preschool settings. So I think that this is very appropriate to expand for all of these settings from

CHAIRMAN JACKSON: One thing that we need to keep in mind, and we heard it this morning, is that this direction dramatically increases the workload at the agency, and in order for it to work in any cohesive fashion, resources will need to be applied.

Alice?

preschool through higher learning.

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COMMISSIONER FORRESTER: I just also want to say that there's training kits or tool kits for in-home daycare providers, and it doesn't mean that they have to get certified or submit their plan, but I think educating the providers who have home daycares around safety, and you know, thinking about all-hazards is -- would also be considered.

COMMISSIONER CHIVINSKI: Just going back to that conversation we had this morning with D-E-H-M-S (sic) they had how large some of these emergency response plans can be. I would hope that moving forward, Scott, you had mentioned uniformity, that assuming all the players eventually would do a TRSAR and eventually get a template where the emergency response plans would look, although

very different depending on the schools themselves, similar

-- that it would cut down reviewing of plans that might not

-- that might be all over the place.

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COMMISSIONER SANDFORD: In reality, they really don't have a template. What they have is a checklist for reviewing because it's hard to tell someone this is what your plan has to look like. The way we'd rather do it is it has to have this component, this component and this component so when the DEMHS regional coordinator typically reviews a plan, he has a checklist, and it goes down and says, you know, these things are all in here. That's okay. If the plan is ten pages, and it works for the organization, that's fine. If it's 150 pages if it works for that organization, it's fine, but they do actually have a checklist where they say, you know, these are the key components that we want to have in a plan. And maybe that's something that could be used rather than quote -- I think we heard that loud and clear this morning from Gregg, no template, but we have some ideas of what we want included in the plan, but we don't tell them how to do it.

CHAIRMAN JACKSON: We will amend 24 to require all schools, daycare centers and higher learning centers to submit an ERP.

Item 25. Require school districts to perform a TRSAR within one year of its availability. We already did

that one, didn't we? Is that a duplicate?

A COMMISSIONER: Yeah, it is.

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CHAIRMAN JACKSON: Okay. Yup. 26. Require the creation of a broad-base safe school planning committee for every school and require that the committee meet at least three times per year. This also included stakeholders from inside and outside the school, but it's a --

COMMISSIONER DUCIBELLA: Chair, may I?

CHAIRMAN JACKSON: Bob?

COMMISSIONER DUCIBELLA: Alice, it's for you.

I'm going to -- I have a little bit -- again, there was some redaction here for purposes of clarity, but just because you asked before, and I want to make sure that I show or we show some sensitivity. The school safety plan -- the planning committee represented by -- and this got, you know, just shrunk down, pupils, families, teachers, administrators, staff, social and mental health professionals, local law enforcement, fire and other emergency personnel, school board members, parents, local hospitals and the business community.

So that safe planning committee was envisioned in the text that was sent over to the Chair to be a very, very broad-based group. Obviously, those individual constituents could vary by community based upon whether those resources are available or not, but it included the

complete gamut, and I know you're waiting to say, I want to make sure that all those people have representation in that safe school planning committee. The answer is yes, but for purposes of redaction, it's been, you know, just boiled down a little bit. Okay.

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COMMISSIONER FORRESTER: I appreciate your consideration. What I really meant was that New Haven, for example, has thirty-two schools, I think, I'm not sure, maybe more. And you know, something like that would have to be unified in regional, you know, to the city I would assume. I think that they have a safety school committee.

What I would prefer is that New Haven's plan look somewhat similar to the Sherman's plan or New Milford's plan that there was a uniformity expectation, not an exact plan to each school, you know, I know it's individualized for the plan.

So I think we're talking -- it's fine. I think that we just need to make recommendations that it's not going to be up to every school district to decide if the plan is six inches thick or, you know, a board on the wall, that we should have some direction on a state level.

COMMISSIONER DUCIBELLA: Thanks.

CHAIRMAN JACKSON: Item 27. Require the creation of a safe school plan that incorporates the TRSAR, ERP, security policies, building design elements, staff

responsibilities during emergencies and other critical pieces of information. The safe school plan shall be submitted and reviewed by the office of emergency management. Updates to the SSP must respond to OEM comments regarding hazards or oversights.

We've kind of gone to OEM. We go to DEMHS, OEM.
We're kind of in a couple of different places here, but I
think the thought is a uniform -- capture your information
and submit it to a singular location.

Item 28. Require the submission of up-to-date floor plans, schematics and site elements to emergency responders.

Item 29. Require establishment of MOUs between emergency responders, schools and other relevant parties highlighting information-sharing protocols during emergencies.

Wayne?

COMMISSIONER SANDFORD: There actually is a statewide memorandum of MOU in place that allows New London to respond to Goshen, Connecticut and be able to work together, and that was done about 2008 or 2009 by DEMHS. That was put into, you know, proposed as a bill, and it was passed by the legislature and signed into law. So I don't think we need to -- we need to think about -- we need to maybe change the wording of that a little bit because I

1 think the law is already there so that the requirement is I 2 think met at least legally. I think what we need to do is 3 maybe somehow motivate them to talk about it or have meetings or discuss it and make sure that they have 5 everything ready. 6 And that's really what the DEMHS regional 7 coordinator does. I mean they have meetings with all their towns in their districts between police and fire and the 9 local emergency management directors and try to get them to 10 do exercising together. So maybe it comes in that way, but 11 there is a -- I don't know. We need to think about that a 12 little bit more because there are some things already in 13 place that cover that. 14 COMMISSIONER SULLIVAN: Maybe Bob can clarify. 15 I'm reading this to mean you're talking MOU at the local 16 level among the local players? 17 COMMISSIONER DUCIBELLA: Correct. 18 COMMISSIONER SULLIVAN: Not at the state level 19 where --20 COMMISSIONER DUCIBELLA: Correct. 21 COMMISIONER SULLIVAN: -- you were talking about 22 inter-town regional-type assistance. He's talking about 23 something different that's in the town. 2.4 COMMISSIONER DUCIBELLA: Within the town,

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correct.

1 COMMISSIONER SULLIVAN: Yeah, okay. 2 COMMISSIONER DUCIBELLA: And that wasn't clear in 3 the way that I wrote it, but the --4 COMMISSIONER SULLIVAN: No, I got it. 5 COMMISSIONER DUCIBELLA: -- that's my fault for б not being clear. 7 CHAIRMAN JACKSON: Bob, do you want to read what 8 you've got? 9 COMMISSIONER DUCIBELLA: Go onto the next one. 10 I'll find it. 11 CHAIRMAN JACKSON: Item 30. Require the 12 establishment of a remote location for parents and students 13 in the event of emergency that requires evacuation and a 14 protocol for a parental notification that the remote 15 location is in use. This is the reunification we discussed 16 earlier today essentially demanding that the schools have a reunification plan. 17 18 COMMISSIONER McCARTHY: Both items 29 and 30 19 would be included in a comprehensive emergency response 20 plan, and I think that they may be redundant. If we have a 21 local plan that has full participation of all the 22 appropriate players, they will have covered those two items 23 in that ERP. 2.4 CHAIRMAN JACKSON: Do we agree? 25 COMMISSIONER DUCIBELLA: And to further that,

because I believe that the chief is correct, I'll go back and read the more expanded version of what we just discussed, and I think it falls right under the umbrella of what Bernie, Wayne and the chief have identified. This was for -- implement a program, which requires that each school and each school district have written agreements or memoranda of understanding with local law enforcement agencies, local fire and other emergency response personnel, local hospitals and any county prosecutor setting forth protocols and procedures to be followed in the event of natural catastrophes, a terrorist attack, criminal or other violent incidents occurring in or around the school.

So I think that was -- and I'm sorry it wasn't clear, Wayne, but that was the intent, and I think that being a part of a comprehensive emergency response plan is appropriate. I took some of those larger subjects like ERPs and broke them up into more individual items so that people could have a higher fidelity understanding of what a more mature ERP would be.

CHAIRMAN JACKSON: And that reflects essentially what I did, which was try to take every single item you shall and separate it out, and then we can reconstitute some of these things as necessary.

School facilities -- number 31. Schools

facilities shall evaluate cell phone coverage and plan to address deficiencies.

32. Enhanced wifi --

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A COMMISSIONER: Oh, that was my question.

CHAIRMAN JACKSON: Oh, enhanced wifi should be deployed in schools and the usage of IP enabled cameras to support response capacity should be similarly enhanced. Special attention should be given to perimeter surveillance and areas of assembly.

COMMISSIONER SULLIVAN: Can we just ask something. If they're going to look at this, they should also look at the usage because some schools may have a problem with kids using cell phones, and they may not want to enhance cell phone coverage for whatever reason. You know (inaudible). Here it says to see if it works, but I think we ought to also allow them to look at whether or not they want to use it if they can make it work better, you know.

CHAIRMAN JACKSON: And in conformance with school policies on cell phone usage.

COMMISSIONER SULLIVAN: Yes, same with the wifi.

CHAIRMAN JACKSON: 33. Create a blue ribbon panel of design and security experts to establish within 12 months the tool box of recommendations for safe design and retrofit of schools to be included in the states ed-spec,

the educational specifications. I think this includes not only specific design elements, but also a tool box or various types of other devices that may be deployed in a school to assist in response.

We have received supportive comments from a number of people and a number of industries who say, you know what, I have a tool that we might be able to deploy in a school that will then deflect an intruder or something along those lines rather than parade a series of potential vendors identifying who those vendors are and what they may be able to provide and allowing schools to make that assessment on their own I think is valuable.

Bob?

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COMMISSIONER DUCIBELLA: There was one other piece that again for purposes of redactions. The standards should address retrofit applications to existing schools and school expansion projects as well as new constructions. I know a lot of folks who said gee, it's wonderful to think about a school I'm not going to get for ten years, but what about my preexisting environment. So the recommendation would include a requirement for this blue ribbon panel to look at both existing schools as they are, schools that are looking at expansion, and new schools to be built so we have a uniform policy of looking at preexisting physical plant and new physical plant with the same level of

fidelity.

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CHAIRMAN JACKSON: 34. Require school projects to directly reference this tool box in expansion, renovation or new construction projects as Bob just said.

35. Require school building committees to seek input and approval from emergency responders. This is part of the in order to receive state funding for construction of renovation project, you need a school building committee to approve items. This would demand emergency responders participate in that.

Upon implementation of any new security measure, all relevant staff shall be trained in its operation and instructed as to their role during any emergency.

37. Require that --

COMMISSIONER CHIVINSKI: Can you stop one second?
CHAIRMAN JACKSON: Oh, yes, Ron.

COMMISSIONER CHIVINSKI: Regarding that one, when it says all relevant staff, I just got to ask it, would that include substitute teachers, et cetera?

CHAIRMAN JACKSON: Yeah, I think, particularly based on what we heard this morning, I think what we need to do is we need to identify substitute teachers as a critical component to the safety of the schools and sort of highlight that on its own and filter them back in through it, but I do agree that on any given day you have

substitutes in any building, and they're going to play a role. So we need to make sure that they do have the appropriate training and the appropriate understanding of how the school buildings work.

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Item 37. Require that the school facilities survey, the ED050, incorporates security criteria.

Item 38. Modification of the state construction grant application to include a new type of project that is security upgrades.

- 39. Require the safe department of education to establish a training course for educators specifically designed to increase awareness of security policies and programs. I think this is intended to, again, highlight security and have people understand why policies are in place, therefore they will be less-likely to violate them for their own convenience.
- 40. Require safe school planning committees to confirm through legal counsel that the plan conforms to all relevant statutes including suspension and expulsion policies.

I have a question about this one. Do we -- is that a required step to run the plans through legal counsel? Or should it be a mandated step to run the plans through legal counsel prior to submission to the State of Connecticut? Thoughts?

1 COMMISSIONER LYDDY: If the plan is being 2 submitted and reviewed, why would that step be necessary to 3 have it reviewed by a legal -- I mean, it seems redundant 4 and costly. So I would --5 COMMISSIONER SULLIVAN: It may be reviewed by 6 legal counsel at the agency you submit it to as part of 7 their review process. 8 CHAIRMAN JACKSON: It's just -- there's a lot of 9 review here --10 COMMISSIONER LYDDY: I would suggest it's 11 unnecessary. 12 CHAIRMAN JACKSON: -- and so my thought was that 13 the items regarding legal counsel -- I'm not sure that that 14 level is something that we need to require at our level 15 even though your smart committee is going to do that. It's 16 going to be part of the review team, but to mandate it 17 seems like an extra step. 18 All right. Well, we seem to have some consensus 19 on those items regarding legal counsel. 20 42. Require a quality assurance program be 21 enacted at each school to ensure appropriate matters are 22 referred to local law enforcement. This is the distinction

43. Require background checks and drug screening for all staff and volunteers in schools.

between schools policies and legal action.

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COMMISSIONER FLAHERTY: Is that state law
already? I'm asking only because I don't know. Or don't
schools do this as a matter of course, and I'm just -- I
don't know if it's a requirement or not. I just don't

CHAIRMAN JACKSON: And, you know, it's an -- I don't know. You know, there are regular volunteers and there are also irregular volunteers. I have volunteered in classrooms, and I have not gone through any screening. So it does -- I think it becomes a little bit burdensome. Thoughts?

Ron?

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know.

by myself of course, approximately 400 eighth graders to Washington, D.C. and Gettysburg for multiple days and nights the last fourteen years, you know, there's been changes along the way, and I think part of that recently in the last two years was precipitated by changes in law, maybe federal law. We now require, as an example, all parent chaperones to go through a criminal background check, which has to be submitted to the district by a certain date. It wasn't there when we started the trip. It wasn't there seven years ago, but it's now implemented. I believe that's beyond just a district level decision. So that's something we could look more closely at, you know,

1 where those laws are. 2 CHAIRMAN JACKSON: We'll leave that one on the 3 table but run it through an analysis to see what the 4 current law of the land really is. 5 Require that every school create and make 6 available to students, staff and guardians, a code of 7 conduct, and I'll tie that to 45, which is a requirement 8 that anti-bullying and response to bullying be clearly 9 incorporated in that code of conduct. 10 Kathy? 11 COMMISSIONER FLAHERTY: And I'm pretty sure, and I mean maybe the teachers folks know this, there is an 12 13 anti-bullying law. I was pretty sure, right? 14 CHAIRMAN JACKSON: This creates a requirement. To 15 your knowledge, is it a requirement? 16 COMMISSIONER KEAVNEY-MARUCA: I know in the state (inaudible). 17 18 COMMISSIONER FORRESTER: I think when the law was 19 passed it was required. 20 CHAIRMAN JACKSON: Okay. 21 COMMISSIONER FORRESTER: You have to have an 22 anti-bullying. 23 CHAIRMAN JACKSON: Okay. We will check that for 24 duplication and existing regulation.

46. Require the creation of a statewide toll-

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free number where students may report bullying and threats.

process.

COMMISSIONER LYDDY: I think that's a good idea. I would just be cautious of undermining any local district's work that they're already doing. I mean I don't see any harm in that, but I don't want to overreach. of the school districts are doing a fantastic job at creating a process that their kids can count on and look to regarding bullying. So I just want to make sure that we don't go too far in the direction of taking away that local control over those policies and making it a state-run

CHAIRMAN JACKSON: Fair enough.

COMMISSIONER GRIFFITH: I think I agree with that. Where do you really intend that the reporting be done. I mean, I'm not -- is this law enforcement? Is this going to lead to law enforcement involvement or --

CHAIRMAN JACKSON: I think the intention is to take a situation like Columbine to provide a secure and reliable channel that if a student feels well, something's going on here, and I don't know if I trust someone in the school to talk to, but I just want to make sure that somebody knows, you know, if you see something, say something. I think this is the creation of a see something say something channel for school bullying or that is the intention.

COMMISSIONER GRIFFITH: Well, it only -- I mean, you would think that the average school would be taking care of this internally, but maybe I'm missing the point. I always get queasy when law enforcement is going to get involved in these things that should be settled after all among young people without going that far.

A COMMISSIONER: (Inaudible.)

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COMMISSIONER GRIFFITH: I'm sorry?

COMMISSIONER CHIVINSKI: I would just caution us that there's currently on the books significant laws regarding bullying, which have been, from my understanding, in the last few years recently reworked, rewritten that require reporting by anyone that comes in contact with children. We should probably look and review those existing protocols.

COMMISSIONER SULLIVAN: And I agree it probably wouldn't be appropriate for the police to man that line anyway. It's not a law enforcement (inaudible). That line should go into an educational system and let them decide what goes to law enforcement and should not go directly to law enforcement because there may be issues involving kids that have nothing to do with the police.

COMMISSIONER KEAVNEY-MARUCA: I remember back, it was probably one of the first presentations or maybe the second where they talked about using the model of Tools for

Schools, which was the clean air framework and using that to develop in the schools a culture of safety. That was one of my recommendations that we look at that in terms of creating in the schools a culture of safety. They talked about, you know, wedging the door open and things like that. So I'd like to see a recommendation headed in that direction. You could formalize that you use framework of Tools for Schools and create a framework for culture of safety in the schools.

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COMMISSIONER LYDDY: Just a comment on that. The Tools for Schools issue is so important, and I just don't want to lose the Tools for Schools and the ED050 and the intention of that. That's really important. So making it really a cultural change and inclusive of all school safety, an all-hazards kind of approach would be really, really important, but also the ED050 is a self-report. So really making sure that school districts are well-versed on what we're asking them to do and that they feel comfortable enough, you know, rating themselves a one or a two, and then having the resources to follow up to bump their own reported school up to a four or five, right.

So that's just a really important -
COMMISSIONER KEAVNEY-MARUCA: (Inaudible.)

COMMISSIONER LYDDY: Yeah, I think it's great as long as we don't water it down.

1 CHAIRMAN JACKSON: I might then propose 2 essentially a consolidation of items 46 and 47, which would 3 require SDE to develop guidelines for clearer communication of information regarding threats to be securely transmitted to school officials and law enforcement. I would combine 5 6 those into a singular item utilizing the Tools for Schools 7 model to create this model program that assists schools in 8 managing these issues even if they don't currently today 9 have that capacity. How does that sound? 10 A COMMISSIONER: It makes sense. 11 A COMMISSIONER: I think that's good. 12 CHAIRMAN JACKSON: All right. We'll do that. 13 COMMISSIONER KEAVNEY-MARUCA: Because I think if we're going to require some reporting, we need to have some 14 15 pre-service and in-service training of teachers and related 16 staff on what it is you should be looking for to report.

CHAIRMAN JACKSON: Thank you.

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Item 48. Require that all classroom doors be able to be locked by teachers from the inside while remaining compliant with building code, fire safety code and other regulations.

COMMISSIONER O'CONNOR: Are we specifically talking K through 12 or preschool through 12? I mean, when you start mandating something like this at a, you know, large public institution of higher learning, I mean the

1 cost is just going to be astronomical. So I, you know, I 2 think we just need to narrow that a little bit, and then, 3 you know, we're talking about things we just want to move forward. We're not necessarily coming to decision, correct? Because I do want to be mindful about imposing 5 6 unfunded mandates. 7 CHAIRMAN JACKSON: Thoughts? Okay. Well, there 8 is a cost associated with it, but we will move it forward. 9 COMMISSIONER O'CONNOR: Are we going to clarify 10 that, Mr. Mayor? Are we going to clarify? 11 CHAIRMAN JACKSON: What are your thoughts? 12 COMMISSIONER DUCIBELLA: Barbara, are you looking 13 for a K through 12? Is that what you're looking for? 14 Yeah. Yeah, I can appreciate going back to the UConn 15 campus and retrofitting would be an interesting funding 16 campaign. 17 COMMISSIONER O'CONNOR: (Inaudible.) 18 COMMISSIONER DUCIBELLA: Well, I think there's 19 from what I have heard from educators as we do designs for 20 schools, there's a notion that as you get beyond the K 21 through 12 level that you have adults in the classroom as 22 opposed to people who are unfit for making decisions. So I 23 am all for changing this for K through 12 based on our

CHAIRMAN JACKSON: I think that's a reasonable

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experience.

point that you are dealing with adults. So why don't we specify that as K through 12.

Item 49. Require that all -Oh, Wayne?

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COMMISSIONER SANDFORD: I'm probably going to get in trouble here. In most of the other recommendations that we're making, they're very broad in scope and this is one of the few that we're very specifically honing in on a particular issue. I guess, my thought is, and Bob, wouldn't this -- if we did a survey of a school, wouldn't this then be identified in that survey and it would be something that they would work on locally rather than to include it as a recommendation? You know, do you understand where I'm -- in other words, we're not -- I don't see anywhere in here that we're going to mandate bulletproof glass, although we had a long presentation on glazing, and while we -- I think we all agree that this would be helpful having the locks on the doors, would it really be up to the school district to determine this was the highest priority that they need to do and for them to make that change?

CHAIRMAN JACKSON: You are right. It is a highlighted option, and we should all be very cognizant of that. Following the tragedy, there was some thought that door locks would have been a simple element, but it's not

without cost. So the question is, should it be mandated, and it's here because that's what this says.

Ron?

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meeting held in my school, you know, it was aptly pointed out by our school administrator that when the teachers responded back what their security concerns were, by far, not even close, it was locks on school doors. The article I sent you via email weeks ago highlighted what could have been, you know, right after Columbine is the time. The article I passed out today in front of you all, you know, from the USA Today in '07 right after Virginia Tech, simple safety solution, classroom locks.

No, we can't mandate the hardening of the target for everything, Wayne, but I do believe we could do it for something. And as you know, I've been very passionate on this issue, and I do believe it's a cultural shift in a lot of ways, and I think it's time that we consider making this a centerpiece and a fundamental difference from the Columbine report, which was written beautifully, that's fine. It led to a lot of great changes, but there wasn't a single ounce of hardening the target, and perhaps this can be a centerpiece one day of school building codes that could be used, call me naïve, but throughout the country to say you need something.

You need a bare minimum. If precious seconds save precious lives, I can think of no other way to give somebody a fighting chance, and I'm not just talking for where I teach. I'm talking about for where my kids go to school. I'm talking for where everybody's kids go to school. I'm talking for future kids who aren't even with us yet. I think we could -- and I heard the questions come out on this commission over the past few weeks, where's the defined bare minimum? And I think this is it. And you know what, it's a recommendation. If it goes forward, I hope I have everyone's -- I hope everyone would get behind it.

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I mean it's not like I just invented it. The Connecticut State Police Association came in here last week, bam, it was right on their paper. And I have a concern, as I expressed last week, I'm not sure -- you know, we know school wasn't in session a lot today, I'm not sure every teacher in the State of Connecticut, i.e. substitutes, has the ability to lock their classroom today a couple months after the tragedy. I think it's special enough to now really, really look just at that one thing. And that's my argument.

CHAIRMAN JACKSON: Thank you.

Item 49. Also doors. Require that all school exterior doors be equipped with electrified locking

hardware capable of initiating a full perimeter lockdown from a remote location. Again, another specific item of cost.

Chief?

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COMMISSIONER McCARTHY: I'm not sure that electrified door locks or some automatic system is necessary. We may be building in a degree of complexity that's not there. As long as they're lockable when they're closed. Many doors don't -- aren't operable, and I think that's part of the -- I mean, not openable from the outside without a key. I think that that's part of the school security analysis to make sure that they are locked or can be locked. Part of that analysis is during the school day how many points of entry are available and are they supervised.

So I think that we get to a level of specificity in this where they are -- it's a very complex system to be able to at a push of a button lock all the exterior doors on some very large campuses. The amount of work that's necessary both from building construction and from electrical contractors is probably pretty significant. I think having them locked and regulating through policy what doors are open during the school day and which aren't, which ones are locked and which ones aren't, that's probably more appropriate than a mechanism like this

because this only will come into play during an emergency when someone determines there is a need to lock all the doors. What we want to do is prevent inappropriate entry during the school day and I think that's the larger issue here.

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CHAIRMAN JACKSON: I agree. I believe that part of this program, again, going back to Ron's statement, is that there should be bare minimums, and the bare minimum is that the door is always locked. The exterior door is always locked. In order to get in, you have to go through a single point of entry that is monitored by staff. So I actually agree that adding this, based upon my vision of what a safe school looks like in 2013, it seems unnecessary.

COMMISSIONER DUCIBELLA: Chair? So in response to the chief's recommendation, I just quickly rewrote this as follows. Require all school exterior schools be equipped with locking hardware capable of initiating a full perimeter lockdown. Is that more along the intent of what you were looking for?

COMMISSIONER McCARTHY: And a full perimeter lockdown may be in existence for all day during the school day. I mean, the perimeter is locked, and the only way into a school is through the main entrance where you get a visitor's badge, and someone looks at you and decides, yes,

I'm going to allow you into the building. I think that that's the philosophy that we're trying to promote here, and I think it's part of the school security analysis being done by law enforcement in the facilities present from the school system and how they're going to regulate entry, and I think that that is a -- we may agree with the philosophy, but the implementation, I think, needs to be at the local level because there's so many variations in all of our school buildings and districts.

CHAIRMAN JACKSON: So do we want to move forward and say that every school must be able to initiate a perimeter lockdown not through any specific means?

COMMISSIONER McCARTHY: Yes.

CHAIRMAN JACKSON: Okay.

50. This one is going to -- I'm going to need a little bit of explanation on this one. Require a trusted access program to be enforced at all schools, which allows through visible display of credentials the identification of staff, contractors, parents and other authorized to be on school grounds. The tap should be considered a reference source to provide a rapid visual access to a simplified security alert condition status.

COMMISSIONER DUCIBELLA: The background behind that was I think we heard, and again, although -- I've attempted to capture everything I thought I heard from

testimony, written documentation and one of the things that we heard from the superintendent of schools in Colorado was they have badges, which they've issued to everyone who is legitimately onsite in the school (a), and (b) they use that identity authentication and entry authorization credential as a convenient means so that should they have different levels of security alert, I think they referenced 5, it's used to provide that immediate visual access as well.

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This was the codification of that superintendent, the two star I think we referred to him as. This was his proposition for a trusted access program using credentials and using the credentials to also provide a rapid visual means of what to do under condition 1, 2, 3, 4 and 5.

COMMISSIONER FORRESTER: I think it's always good to know -- I thought that the architect presentation -- who's in the building, recognizing and when someone is unusual in the building to step in. I'm just trying to struggle with, you know, the real -- what's real. And you know, when I go and visit my kids, I sign in, and I could get a visitor pass. That would -- I would write in, but the idea of, you know, in every school that happening, it just feels complicated. We have a young clinician in the new Sandy Hook school, and a parent called into the front desk to ask for her, and the staff didn't know who she was,

which is a communication problem still existing, and I don't know. It just seems like we have very simple communication problems to solve let alone, you know, official badges and things like that.

CHAIRMAN JACKSON: Is this any different than the visitor's badge I get when I go to my son's school?

COMMISSIONER SULLIVAN: No.

CHAIRMAN JACKSON: Chief?

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COMMISSIONER McCARTHY: What I heard in the testimony was at the beginning of the school day, we're going to supervise the entry of students through multiple entrances in the school building, and that's appropriate, and I think that sends a signal to everyone who is approaching the school. Once that process is done and the bell rings, we're going to limit access through some specific locations. We're going to verify everybody else who comes in through building. I think that that is an appropriate approach.

I'll go back to a recommendation from Wayne earlier. I think that this is the practical application of this we need to vet through the superintendents, and if we are going to have a presentation, I think that their -- how they would approach some of these because again our schools systems are very, very different from the one-room schoolhouse that heard about in New Hampshire to some

sprawling campuses, and we want to be practical in this, but I do think that controlled entry or supervised entry and then controlled entry is the goal. And I think that that should be part of our recommendations.

I think we should hear from some superintendents on how that will be practically applied, and in particular what we talked about previously is the afterschool. What happens during 3:00 to 5:00 and then the nighttime access into school facilities although the population load is much less and may be less of a threat environment than there is during the school day, but those are concerns as well.

COMMISSIONER CHIVINSKI: Just to add, I know we had previously invited Ken Trump (phonetic) in, and I believe it was because of weather, he wasn't able to make it. I did see Ken Trump on the TV during a television special highlighting school security where I believe the opening to his appearance was, you know, he'd grade schools around a -- you know, the average school in America around a C minus in security, significantly better than where it's been, but continuously improving, and it really went through a feature on how he got into a school, and he was assessing the school in the process with how he was granted access. It would be great if we could still have him in as well.

CHAIRMAN JACKSON: Do we feel like this should be

1 a specific recommendation or does it belong in sort of just 2 that general threat the --3 A COMMISSIONER: I think it should be a specific recommendation. 4 5 CHAIRMAN JACKSON: Okay. Is that the consensus? б All right. It is in. 7 The State of Connecticut should require 51. 8 training of appropriate school officials in any legislative 9 or regulatory changes affecting school security. 10 to keep school staffs updated as to the current state of 11 law as it pertains to school security. 12 DEMHS should assign a full-time emergency 52. 13 planner to school facility emergency planning. This is 14 what we saw this morning or what we started discussing this 15 morning. 16 53. Require that schools have referral channels 17 available for students with health, behavioral and gang 18 drug issues. 19 Now, I don't know if schools are throwing up 20 their hands anywhere saying I can't deal with it, but 21 identifying it or these as threats to schools I think is 22 important. 23 54. Seek --2.4 Oh, sure.

COMMISSIONER LYDDY: Can I just -- You know, I

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know that we don't like reporting, but the requirement to have schools have a referral channel is good, but it's been my experience that once they refer, the follow up or the access to those services is not always appropriate. And so it would -- just the referral is not enough in my mind to know that that child was not connected to an appropriate service would be more fruitful to informing the process of identifying gaps in services and making sure kids are getting connected to what they need.

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So I'll just throw it out there. I think the recommendation is fine, but I think we really need to vet this issue of access to care much more and include the private provider community in that.

COMMISSIONER DUCIBELLA: Chair? Again, Mayor

Jackson has done an admirable job of taking six pages of my

stuff and making it an awful lot more digestible. Let me

just read this because I think, Chris, it addresses your

points.

Implement a policy which requires that school provide or refer pupils to counseling services for psychological and emotional needs, grief, depression, anger management, social academic and vocational placement, prenatal, reproductive and parental counseling and counsel training regarding anti-drug and anti programs.

||Furthermore, that people have access to conflict resolution

programs and that pupils may seek help without loss of confidentiality with the exception of reported circumstances or conditions which could threaten the safety of others.

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So that introduces into that program access to resolution programs and yet maintains the -- and advocates for the confidentiality of whatever dialogue it engages as long as someone doesn't introduce something that law enforcement really does need to know about because someone is threatening others. So I think the Chair got the first sentence, which was that piece, but the follow-up piece is also a recommendation. I think it just got lost in redaction.

COMMISSIONER EDELSTEIN: I think if we take your language as we develop our mental health panel presentations we can take into account some of what you're recommending and see how we address it rather than addressing it today specifically or put it on hold for now.

CHAIRMAN JACKSON: I -- oh, Alice?

COMMISSIONER FORRESTER: I would agree to put it on hold. I just want to clarify that in private providers and in community providers, parents are the people who make the referrals for services. We would not accept a referral from the school. Within the school perhaps you could make a school referral to a guidance counselor or a social

1 worker, but then pretty soon after that, you're required to 2 get a parental consent. So even though a school may refer, 3 the parent may disagree to not have involved. But I do 4 think this is worth the further conversation. 5 CHAIRMAN JACKSON: So is the thought to leave 6 this one out for right now and then reconnect with it as we 7 do the mental health panels? Okay. 8 Seek through Connecticut's Federal 54. 9 Delegation refunding of the safe and drug-free schools at 10 U.S. Department of Education. 11 55. Seek through Connecticut's Federal 12 Delegation refunding of the readiness emergency management 13 for schools. 14 56. Seek through Connecticut's Federal 15 Delegation funding for unified command structure training. 16 57. Require training of school administrators in 17 integrated rapid visual screening techniques. 18 Terry? 19 COMMISIONER EDELSTEIN: Can you define those? Ιt 20 looks like a specific product? 21 CHAIRMAN JACKSON: I'm not sure where that one 22 came from. I'll have to go back through my notes and find

COMMISSIONER DUCIBELLA: I know it's a hot topic, and I'd like to answer it, but it's not one of mine. So

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the source of that one.

I'm ducking for cover. It's not one that I submitted.

CHAIRMAN JACKSON: Why don't we set that one aside for now and come back to it with a little bit more data.

Require training of appropriate school personnel in national incident management systems standards and ICS. We also heard that this morning. And I think that appropriate school personnel includes substitute teachers.

59. The State of Connecticut shall establish an age-appropriate curriculum for safety security and incorporate first responders in the implementation of that curriculum. This is exercising the drills in a way that doesn't, or to the extent possible, does not detract from the educational process.

Chris?

COMMISSIONER LYDDY: I was just going to say or developmental-appropriate curriculum just to operationalize that a little bit more for special ed students and whatnot.

CHAIRMAN JACKSON: I will amend it to incorporate that.

And item 60. In the category of other. State of Connecticut should establish best practices information for management of donated supplies and materials. We heard about the database created by DEMHS for Sandy Hook, but should there be a specific --

Wayne?

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COMMISSIONER SANDFORD: The state DEMHS actually has a contract that they have awarded, and they bring them in as needed to handle donated goods. So we might do a little bit of research on that, but I really think that they've already put out like an RFP. They've selected a company, and there may already be a contract in place to do this through the Department of Emergency Management and Homeland Security.

CHAIRMAN JACKSON: Chief?

COMMISSIONER McCARTHY: And I agree that there has to be a state plan, but as I envision best practices for donations management is as was testified earlier, every emergency starts and ends local, and unless donations are managed from before the incident occurs until after it ends, it gets out of hand pretty quickly, and we've seen it time and time again. Hurricanes in Florida where people pack up their trucks with unwanted winter clothes and send it to Florida. It ends up in a landfill. Someone says I need socks at 9/11 and truck loads of socks end up in New York City, and teddy bears in Newtown that far exceeded the population of Newtown and all of the surrounding communities. It's an information and donations management challenge that becomes an emergency unto itself that after — weeks after Newtown, there was a request for volunteers

to work eight hours a day for the next forty-five days to deal with donations.

Yes, there needs to be state oversight, but there needs to be best practices that are adopted at the local level as part of our own emergency plans so that we don't become overwhelmed by some misstatements of need that then create another emergency.

COMMISSIONER FORRESTER: I want to add also if there could be a concern or a consideration of communications, management of communications. I know that that also if you see the line of letters, you know, in the school now and emails and just phone calls that were coming in, and there should be a plan to assist in particular in a school district, you know, if the superintendent gets 10,000 emails in a day or in a few days, you know, it's impossible to respond. So I would just add a communications protocol in there.

CHAIRMAN JACKSON: So these would be incorporated in the local EOP, emergency operations plan? Okay. I'll amend that language.

Okay. So that's what we had before today. If you go through the information that we received, there are another 22, I think, specific recommendations to be discussed at a later date.

Chief?

COMMISSIONER McCARTHY: I want to go back to something that Ron said earlier, and I think that it may be worthy of our consideration over the next couple of weeks. There's a lot of recommendations. I have some that I think are more important than others, and I think that we probably can all agree that there are some that should rise to the top, school locking hardware, school plans, training of teachers would be on my short list of everything that

was mentioned today regarding school.

Do we want to make some recommendations as a higher priority to really stand out as a result of this, not to diminish the others, but I think that we've spent a lot of time considering these, and I would hate for an important recommendation like the trusted access, which I think is high on that list, to get lost in some of the other maybe beyond the scope of -- or the ability of the State of Connecticut to deal with, and should we or could we make some of those a highlight of our work so that it does get the appropriate attention?

CHAIRMAN JACKSON: We can absolutely identify priorities. What are the priorities thus far? You mentioned the trusted access program. I know that locks are of critical importance to Ron. What else do we say if we get nothing else?

COMMISSIONER McCARTHY: And emergency plan and an

action guide so everybody in that school knows what their role is during an emergency, and I don't care who does it, whether there is a state oversight board to do it or it's done by the chief of police, the fire chief and the school superintendent that it gets done. So I think that an emergency plan for every school setting is important.

CHAIRMAN JACKSON: What I will do, and I know it took a while to go through all of the recommendations, but what I will do is I will re-aggregate those into a process and a product so that it becomes really a singular entity I think. This is what we think it looks like. Now, we may end up modifying some of the details of it, but we think this is the right process. We think this is the right product. And then we'll add in other priority items.

Yes?

COMMISSIONER McCARTHY: May I suggest that over the next week that we all take five of the recommendations in each section. So five under the gun and ammunition section and five under the school security and make our recommendation of our top five and then we can see what kind of overlap there is amongst the board or the commission.

CHAIRMAN JACKSON: I would be happy to receive that information and interested to see what has risen to the top among the members around the table.

Ron?

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COMMISSIONER CHIVINSKI: Clarification. Would that be after you rework these, Scott?

CHAIRMAN JACKSON: I don't think it's necessary.

I think that if you take a little bit of time over the weekend and put together the five that it may instruct how to re-aggregate some of the safe school items. For example, if, you know, maybe the program -- the safe schools program is one piece, but locking doors is its own item. That's what it falls outside of the program. So it may end up being developed that way.

COMMISSIONER DUCIBELLA: I hate and love the chief's idea. I hate it because it's the hardest work that you have to do, but I love it because it focuses your individual attention and makes you make some executive decisions. I think it's a great idea.

COMMISSIONER CHIVINSKI: I'll do the reflection on the way home. Hopefully, there's less snow. I can just give you a gist of where I'm at now with the school safety stuff. When I really think about it, and I've thought about this driving back and forth quite a bit, and I tried to hint at it with Gregg today. Maybe I was more than hinting. How do you get the stakeholders all together to really go through these plans to see where the gaps are to where, you know, what could be improved where there's no

territorial boundaries. To see that work is important. To roll up their sleeves to get on the same page. Maybe I guess you could summarize and call that number 22. I don't know. But that's what I keep coming away with as I'm driving home, going to Cub Scouts. How do you get everyone working together, collaborating? That's where I am today.

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CHAIRMAN JACKSON: We can't afford turf issues. We can't afford to be overly defensive about things that we've done in the past or said in the past or implemented in the past. We have a new day, and I think you're absolutely right, and I think that all of us, and I'm not talking about the people at this table or the people involved in that response or the people involved in any specific response, but it's not -- we have to take this opportunity to let the past be the past and move ahead with the knowledge that we've gained in the interim. But ultimately getting people on the same page is what's required because a response to a disaster is an all-hands exercise, and they have to be, they have to be on the same page. You're absolutely right. The "how" very difficult. The necessity, very clear.

Anything else on these topics? Kathy?

COMMISSIONER FLAHERTY: I'm just wondering, the testimony that people have been submitting online, do we have access to that and how can we get it?

CHAIRMAN JACKSON: April has stepped out of the room. I will shoot -- in the next couple of days, I'll shoot instructions to the members of the commission.

People all over the world are interested in what we're doing, and there's a lot of stuff in there.

I do want to say that some members of the group have been working hard on putting together some panels regarding mental and behavioral health. They're very close to finishing those up. Why don't we keep next Friday open again for finalization of some items for consideration, and we'll start to discuss some of the issues around mental health delivery and strategically how we're going to approach this very complicated topic.

Anything else to come before the panel? Chief.

COMMISSIONER SULLIVAN: I'd just like to briefly say, we have an opportunity that we may not see again in our lifetime to affect the gun culture in this country, and if we don't take that opportunity, shame on us.

CHARIMAN JACKSON: Alice?

COMMISSIONER FORRESTER: I've also been paying close attention to all of the recommendations that are coming out from the governor's office and the legislation and wondering is it our task to echo if we are making those recommendations that, you know, may be something similar that legislation has or will someone else be compiling all

of that to impress how important it is?

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CHAIRMAN JACKSON: What you'll have from me before the next meeting is a supplemental list of items from the three documents that I referenced earlier that if it is the will -- I mean, some of it is duplicated and some of it is not. If it is the will of the panel to act on any one of these independent suggestions, I think it is an available opportunity to us.

COMMISSIONER McCARTHY: I know that we all know that people are watching outside this room, and we get phone calls and emails from them. I think what we heard from emergency management today demonstrated that there's a tremendous capacity in the State of Connecticut to respond to emergency, and the system works very, very well, and I have to commend them. And I don't want to miss the opportunity to recognize them again for the work -- and Wayne was involved in developing that system when he was in DEMHS, but that system works very well. And I think that we have -- and at the local level, there's similar effort right now being paid towards school security, and this is not a new thing. I think that this -- the incident in Newtown has focused us on it in a more urgent way, but I know that at the local level police chiefs are sending their employees, and before December 14th to do school security assessments, and they are participating. They had already started that process prior to December 14th. Chief elected officials and superintends of school are dealing with emergency planning on a regular basis, and that effort is ongoing. And I want to recognize that they're not waiting for us, but I think that, you know, we can support some of that work that they're doing and give them some additional support and make sure that it continues over time.

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I just wanted to take a second to recognize the work that's being done both at the state level and at the local level because I think there is a lot of work and support out there for, in particular, the school initiatives that we're talking about and there's a very receptive audience and committed audience to that initiative.

CHAIRMAN JACKSON: Thank you. I do want to highlight on that note that Mr. Vannini was on sight within minutes. That's exceptional and extraordinary. Now, I've been mayor for three years now, and have had five federal disasters. So unfortunately, we're getting far too good at this, but we have from a municipal level gotten very close to the State of Connecticut when it comes to Emergency Management and Homeland Security.

Anything else? Friends, thanks for braving the snow. Thanks for going through the day, and we will see

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1	<u>CERTIFICATE</u>
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3	I hereby certify that the foregoing 193 pages are
4	a complete and accurate transcription to the best of my
5	ability of the electronic sound recording of the meeting of
6	the Sandy Hook Advisory Commission (SHAC) held on March 8,
7	2013 at 9:30 a.m. at the Legislative Office Building,
8	Hartford, Connecticut.
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13	Suzanne Benoit, Transcriber Date
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