

OSE NEWS

February 2021

State Agencies - Maintaining Ethics

During this time when we are faced with many disruptions caused by the COVID-19 pandemic, it is important to ensure that employees can access ethics resources. It is also critical that agencies evaluate operational changes that may increase agency risk.

Communicate with all employees, provide them with your contact information, agency policy and encourage them to seek advice. Provide proactive reminders about ethics issues, such as the gift rules, use of state position and outside employment. Attached is our *Top Ten Ethics Rules for Public Officials and State Employees* – an excellent resource to distribute!

Schedule your 2021 ethics training. We offer virtual ethics training via Zoom, MS Teams or your preferred platform to meet annual training requirements for existing employees, new employees and board members.

Contact: Nancy Nicolescu at 860-263-2397. Email: Nancy.Nicolescu@ct.gov

Ethics Liaison Action Items

REMINDER: Review Ethics Liaison List for Accuracy

Designation of Filers of Statements of Financial Interests

As we continue to prepare for the May 1, 2021 Statements of Financial Interests filing deadline. Recent retirements, and employee transfers may require changes to your list of required SFI filers.

Please take some time during the upcoming weeks to update the list of SFI filers using the online filing system. Please complete updates by **Monday, March 1, 2021**.

The Office of State Ethics will send the first Notice of Filing to required filers on March 15, 2021.

Governor's Standard and Designation Guidelines (Rev. 1/2020)

The current standard requires “filing of Annual Statements of Financial Interests by all persons in the Executive Branch and Quasi-Public Agencies who exercise (i) significant policy-making, regulatory or contractual authority; (ii) significant decision-making and/or supervisory responsibility for the review and/or award of State contracts; or (iii) significant decision-making and/or supervisory responsibility over staff that monitor State contracts.”

The designation list for each agency must be reviewed and updated by the Ethics Liaison.

Current employees who are designated filers must file annually by May 1.

Our Number One Rule: Always Get Advice!

Nancy S. Nicolescu
Director of Education & Communications

Ethics Matters!



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