

OSE NEWS

May 2020

Statements of Financial Interests – Proposed Notification Schedule

On May 1, 2020 an electronic notification was sent to all State Employees and Public Officials who were identified by their agency as being required to file an annual Statement of Financial Interests.

The Office of State Ethics, in response to the ongoing public health emergency involving COVID-19, and pursuant to **Executive Order 7M issued by Governor Lamont on March 25, 2020**, has extended the filing deadline for a period of 60 days to July 1, 2020.

We plan to send the following notifications only to SFI filers who have not yet filed:

June 1, 2020: 30 Day Notice
June 15, 2020: 2 Week Notice
June 29, 2020: 3 Day Notice
July 1, 2020: Filing Deadline Notice

Ethics Liaisons – SFI Tracking Report Instructions

If possible, please take a moment to check in on your filers and remind them of their requirement to file.

- Log-in to the Statement of Financial Interest System select “Liaison” as role.
- From the Dashboard screen, click on the Total Required Filers number in **red**.

Clicking on the number will generate an Excel report that lists your required filers. The last column labeled “Filing Type” indicates who has filed electronically, or via paper. Filers who have not yet filed will be blank.

The screenshot shows the Office of State Ethics dashboard. On the left, there is a table titled "2019 Filing Statistics" with columns for Agency, Total Required Filers, Filed Filers, and Not Filed Filers. A red arrow points to the "Total Required Filers" column. Below the table is a "Prior Filing Statistics" section with a "Select Filing Year" dropdown and a "View" button. On the right, there is a navigation diagram with a central "Liaison" icon and arrows pointing to "Dashboard", "Change Filer Agency", "Maintain Filers", and "Notify Filers".

Agency	Total Required Filers	Filed Filers	Not Filed Filers
Elections Enforcement Commission	4	3	1
Freedom of Information Commission	2	0	2
Office of State Ethics	17	13	4
Total	23	16	7

Thank you to our Ethics Liaisons for updating your lists and for also reminding your agency filers of their requirement to file – we appreciate your role in ensuring compliance.

Our Number One Rule: Always Get Advice!

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Ethics Matters!



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