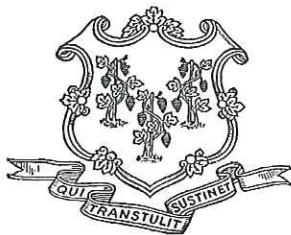


STATE OF CONNECTICUT  
DEPARTMENT OF SOCIAL SERVICES



CONTRACT AMENDMENT

Contractor: NEW OPPORTUNITIES, INC.  
Contractor Address: 232 NORTH ELM STREET, WATERBURY, CT 06702  
Contract Number: 151C-CBG-40 / 13DSS1501TO  
Amendment Number: A1  
Amount as Amended: \$4,725,657  
Contract Term as Amended: 10/01/13 - 09/30/16

---

The contract between **New Opportunities, Inc.** (the Contractor) and the Department of Social Services (the Department), which was last executed by the parties and approved the Commissioner on 10/28/2013 is hereby further amended as follows:

1. The total maximum amount payable under this contract is increased by **\$141,726.00** from \$4,583,931.00 to **\$4,725,657.00**. This increase is due to a federal increase to the annual allocation for each budget year.
2. The budget on pages 27 and 28 of the original contract shall be deleted and replaced in its entirety by the budget on pages 2 - 3 of this amendment.
3. The Agency address on Page 1 of the original contract shall be changed from 25 Sigourney Street, Hartford, CT 06106 to 55 Farmington Avenue, Hartford, CT 06105.
4. National Performance Indicator 1.1A on page 14 of 56 of the original contract, which states "unemployed and obtained a job" is hereby revised for Budget Year 2 and Budget Year 3 to change the target from 1,050 out of 3,600 to 1,110 out of 3,850.
5. National Performance Indicator 1.2A on page 14 of 56 of the original contract, which states "obtained skills/competencies required for employment" is hereby revised for Budget Year 2 and Budget Year 3 to change the target from 6,900 out of 6,900 to 7,200 out of 7,200.

**All terms and conditions of the original contract, and any subsequent amendments thereto, which were not modified by this Amendment remain in full force and effect.**

for Name: **New Opportunities, Inc.**  
 n/Contract Number: **13DSS1501TO / 151C-CBG-40**  
 n Name: **CSBG & HSI Composite**

**RMULA CALCULATIONS**

**CSBG & HSI Budget**

Item	2013-2014	(+ or -)	2013-2014	2014-2015	(+ or -)	2014-2015	2015-2016	(+ or -)	2015-2016	2013-2016	(+ or -)	2013-2016
	Year 1	Year 1 Adj.	Year 1 Rev.	Year 2	Year 2 Adj.	Year 2 Rev.	Year 3	Year 3 Adj.	Year 3 Rev.	3 Year Total	3 Year Total Adj.	3 Year Total Rev.
<b>CONTRACTUAL SERVICES</b>												
1a. Accounting	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
1b. Legal	\$ 15,000.00	\$ -	\$ 15,000.00	\$ 15,000.00	\$ -	\$ 15,000.00	\$ 15,000.00	\$ -	\$ 15,000.00	\$ 45,000.00	\$ -	\$ 45,000.00
1c. Independent Audit	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
1d. Other Contractual Services	\$ 38,038.00	\$ -	\$ 38,038.00	\$ 38,038.00	\$ -	\$ 38,038.00	\$ 38,038.00	\$ -	\$ 38,038.00	\$ 114,114.00	\$ -	\$ 114,114.00
<b>TOTAL CONTRACTUAL SERVICES</b>	<b>\$ 53,038.00</b>	<b>\$ -</b>	<b>\$ 53,038.00</b>	<b>\$ 53,038.00</b>	<b>\$ -</b>	<b>\$ 53,038.00</b>	<b>\$ 53,038.00</b>	<b>\$ -</b>	<b>\$ 53,038.00</b>	<b>\$ 159,114.00</b>	<b>\$ -</b>	<b>\$ 159,114.00</b>
<b>ADMINISTRATION</b>												
2a. Admin. Salaries	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
2b. Admin. Fringe Benefits	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
2c. Admin. Overhead	\$ 224,797.00	\$ -	\$ 224,797.00	\$ 224,797.00	\$ -	\$ 224,797.00	\$ 224,797.00	\$ -	\$ 224,797.00	\$ 674,391.00	\$ -	\$ 674,391.00
<b>TOTAL ADMINISTRATION</b>	<b>\$ 224,797.00</b>	<b>\$ -</b>	<b>\$ 224,797.00</b>	<b>\$ 224,797.00</b>	<b>\$ -</b>	<b>\$ 224,797.00</b>	<b>\$ 224,797.00</b>	<b>\$ -</b>	<b>\$ 224,797.00</b>	<b>\$ 674,391.00</b>	<b>\$ -</b>	<b>\$ 674,391.00</b>
<b>DIRECT PROGRAM STAFF</b>												
3a. Program Salaries	\$ 835,002.00	\$ -	\$ 835,002.00	\$ 866,498.00	\$ -	\$ 866,498.00	\$ 868,785.00	\$ -	\$ 868,785.00	\$ 2,570,285.00	\$ -	\$ 2,570,285.00
3b. Program Fringe Benefits	\$ 258,266.00	\$ -	\$ 258,266.00	\$ 264,479.00	\$ -	\$ 264,479.00	\$ 263,330.00	\$ -	\$ 263,330.00	\$ 786,075.00	\$ -	\$ 786,075.00
<b>TOTAL DIRECT PROGRAM</b>	<b>\$ 1,093,268.00</b>	<b>\$ -</b>	<b>\$ 1,093,268.00</b>	<b>\$ 1,130,977.00</b>	<b>\$ -</b>	<b>\$ 1,130,977.00</b>	<b>\$ 1,132,115.00</b>	<b>\$ -</b>	<b>\$ 1,132,115.00</b>	<b>\$ 3,356,360.00</b>	<b>\$ -</b>	<b>\$ 3,356,360.00</b>
<b>OTHER COSTS</b>												
4a. Program Rent	\$ 24,348.00	\$ -	\$ 24,348.00	\$ 24,348.00	\$ -	\$ 24,348.00	\$ 24,348.00	\$ -	\$ 24,348.00	\$ 73,044.00	\$ -	\$ 73,044.00
4b. Consumable Supplies	\$ 76,449.00	\$ -	\$ 76,449.00	\$ 49,740.00	\$ -	\$ 49,740.00	\$ 48,602.00	\$ -	\$ 48,602.00	\$ 174,791.00	\$ -	\$ 174,791.00
4c. Travel & Transportation	\$ 31,706.00	\$ -	\$ 31,706.00	\$ 27,706.00	\$ -	\$ 27,706.00	\$ 27,706.00	\$ -	\$ 27,706.00	\$ 87,118.00	\$ -	\$ 87,118.00
4d. Utilities	\$ 16,853.00	\$ -	\$ 16,853.00	\$ 16,853.00	\$ -	\$ 16,853.00	\$ 16,853.00	\$ -	\$ 16,853.00	\$ 50,559.00	\$ -	\$ 50,559.00
4e. Repairs & Maintenance	\$ 11,750.00	\$ -	\$ 11,750.00	\$ 4,750.00	\$ -	\$ 4,750.00	\$ 4,750.00	\$ -	\$ 4,750.00	\$ 21,250.00	\$ -	\$ 21,250.00
4f. Insurance	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
4g. Food & Related Costs	\$ 9,400.00	\$ -	\$ 9,400.00	\$ 9,400.00	\$ -	\$ 9,400.00	\$ 9,400.00	\$ -	\$ 9,400.00	\$ 28,200.00	\$ -	\$ 28,200.00
4h. Training & Conference Cost	\$ 28,950.00	\$ -	\$ 28,950.00	\$ 28,950.00	\$ -	\$ 28,950.00	\$ 28,950.00	\$ -	\$ 28,950.00	\$ 86,850.00	\$ -	\$ 86,850.00
4i. Other Project Expenses	\$ 4,660.00	\$ -	\$ 4,660.00	\$ 4,660.00	\$ -	\$ 4,660.00	\$ 4,660.00	\$ -	\$ 4,660.00	\$ 13,980.00	\$ -	\$ 13,980.00
<b>TOTAL OTHER COSTS</b>	<b>\$ 204,116.00</b>	<b>\$ -</b>	<b>\$ 204,116.00</b>	<b>\$ 166,407.00</b>	<b>\$ -</b>	<b>\$ 166,407.00</b>	<b>\$ 165,269.00</b>	<b>\$ -</b>	<b>\$ 165,269.00</b>	<b>\$ 535,792.00</b>	<b>\$ -</b>	<b>\$ 535,792.00</b>
<b>EQUIPMENT</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>
<b>PROGRAM INCOME</b>												
6a. Fees	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
6b. Other Income	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
<b>TOTAL PROGRAM INCOME</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>
<b>TOTAL NET PROGRAM COST</b>	<b>\$ 1,575,219.00</b>	<b>\$ -</b>	<b>\$ 1,575,219.00</b>	<b>\$ 1,575,219.00</b>	<b>\$ -</b>	<b>\$ 1,575,219.00</b>	<b>\$ 1,575,219.00</b>	<b>\$ -</b>	<b>\$ 1,575,219.00</b>	<b>\$ 4,725,657.00</b>	<b>\$ -</b>	<b>\$ 4,725,657.00</b>

(Sum of 1 through 5, minus Line 6)

Note: amendment amounts identified on CSBG component page.

Contractor Name: **New Opportunities, Inc.**  
 Program/Contract Number: **13DSS1601TO/151C-CBG-40**  
 Program Name: **CSBG**

Line#	Item	2013-2014 Year 1	(+ or -) Year 1 Adj.	2013-2014 Year 1 Rev.	2014-2015 Year 2	(+ or -) Year 2 Adj.	2014-2015 Year 2 Rev.	2015-2016 Year 3	(+ or -) Year 3 Adj.	2015-2016 Year 3 Rev.	2013-2016 3 Year Total	(+ or -) 3 Year Total Adj.	2013-2016 3 Year Total Rev.
<b>1</b>	<b>CONTRACTUAL SERVICES</b>												
1a.	Accounting	\$ -		\$ -	\$ -		\$ -	\$ -		\$ -	\$ -		\$ -
1b.	Legal	\$ 15,000.00		\$ 15,000.00	\$ 15,000.00		\$ 15,000.00	\$ 15,000.00		\$ 15,000.00	\$ 45,000.00	\$ -	\$ 45,000.00
1c.	Independent Audit	\$ -		\$ -	\$ -		\$ -	\$ -		\$ -	\$ -		\$ -
1d.	Other Contractual Services	\$ 30,000.00		\$ 30,000.00	\$ 30,000.00		\$ 30,000.00	\$ 30,000.00		\$ 30,000.00	\$ 90,000.00	\$ -	\$ 90,000.00
	<b>TOTAL CONTRACTUAL SERVICES</b>	\$ 45,000.00	\$ -	\$ 45,000.00	\$ 45,000.00	\$ -	\$ 45,000.00	\$ 45,000.00	\$ -	\$ 45,000.00	\$ 135,000.00	\$ -	\$ 135,000.00
<b>2</b>	<b>ADMINISTRATION</b>												
2a.	Admin. Salaries	\$ -		\$ -	\$ -		\$ -	\$ -		\$ -	\$ -		\$ -
2b.	Admin. Fringe Benefits	\$ -		\$ -	\$ -		\$ -	\$ -		\$ -	\$ -		\$ -
2c.	Admin. Overhead	\$ 152,023.00	\$ 8,395.00	\$ 160,418.00	\$ 152,023.00	\$ 8,395.00	\$ 160,418.00	\$ 152,023.00	\$ 8,395.00	\$ 160,418.00	\$ 456,069.00	\$ 25,185.00	\$ 481,254.00
	<b>TOTAL ADMINISTRATION</b>	\$ 152,023.00	\$ 8,395.00	\$ 160,418.00	\$ 152,023.00	\$ 8,395.00	\$ 160,418.00	\$ 152,023.00	\$ 8,395.00	\$ 160,418.00	\$ 456,069.00	\$ 25,185.00	\$ 481,254.00
<b>3</b>	<b>DIRECT PROGRAM STAFF</b>												
3a.	Program Salaries	\$ 500,921.00		\$ 500,921.00	\$ 500,921.00	\$ 31,496.00	\$ 532,417.00	\$ 500,921.00	\$ 33,783.00	\$ 534,704.00	\$ 1,502,763.00	\$ 65,279.00	\$ 1,568,042.00
3b.	Program Fringe Benefits	\$ 144,011.00		\$ 144,011.00	\$ 144,011.00	\$ 6,213.00	\$ 150,224.00	\$ 144,011.00	\$ 5,064.00	\$ 149,075.00	\$ 432,033.00	\$ 11,277.00	\$ 443,310.00
	<b>TOTAL DIRECT PROGRAM</b>	\$ 644,932.00	\$ -	\$ 644,932.00	\$ 644,932.00	\$ 37,709.00	\$ 682,641.00	\$ 644,932.00	\$ 38,847.00	\$ 683,779.00	\$ 1,934,796.00	\$ 76,556.00	\$ 2,011,352.00
<b>4</b>	<b>OTHER COSTS</b>												
4a.	Program Rent	\$ 16,733.00		\$ 16,733.00	\$ 16,733.00		\$ 16,733.00	\$ 16,733.00		\$ 16,733.00	\$ 50,199.00		\$ 50,199.00
4b.	Consumable Supplies	\$ 18,152.00	\$ 27,847.00	\$ 45,999.00	\$ 18,152.00	\$ 1,138.00	\$ 19,290.00	\$ 18,152.00		\$ 18,152.00	\$ 54,456.00		\$ 54,456.00
4c.	Travel & Transportation	\$ 14,281.00	\$ 4,000.00	\$ 18,281.00	\$ 14,281.00		\$ 14,281.00	\$ 14,281.00		\$ 14,281.00	\$ 42,843.00		\$ 42,843.00
4d.	Utilities	\$ 10,651.00		\$ 10,651.00	\$ 10,651.00		\$ 10,651.00	\$ 10,651.00		\$ 10,651.00	\$ 31,953.00		\$ 31,953.00
4e.	Repairs & Maintenance	\$ 3,626.00	\$ 7,000.00	\$ 10,626.00	\$ 3,626.00		\$ 3,626.00	\$ 3,626.00		\$ 3,626.00	\$ 10,878.00		\$ 10,878.00
4f.	Insurance	\$ -		\$ -	\$ -		\$ -	\$ -		\$ -	\$ -		\$ -
4g.	Food & Related Costs	\$ 9,400.00		\$ 9,400.00	\$ 9,400.00		\$ 9,400.00	\$ 9,400.00		\$ 9,400.00	\$ 28,200.00		\$ 28,200.00
4h.	Training & Conference Cost	\$ 22,450.00		\$ 22,450.00	\$ 22,450.00		\$ 22,450.00	\$ 22,450.00		\$ 22,450.00	\$ 67,350.00		\$ 67,350.00
4i.	Other Project Expenses	\$ 2,368.00		\$ 2,368.00	\$ 2,368.00		\$ 2,368.00	\$ 2,368.00		\$ 2,368.00	\$ 7,104.00		\$ 7,104.00
	<b>TOTAL OTHER COSTS</b>	\$ 97,661.00	\$ 38,847.00	\$ 136,508.00	\$ 97,661.00	\$ 1,138.00	\$ 98,799.00	\$ 97,661.00	\$ -	\$ 97,661.00	\$ 292,983.00	\$ -	\$ 292,983.00
<b>5</b>	<b>EQUIPMENT</b>	\$ -		\$ -	\$ -		\$ -	\$ -		\$ -	\$ -		\$ -
<b>6</b>	<b>PROGRAM INCOME</b>												
6a.	Fees	\$ -		\$ -	\$ -		\$ -	\$ -		\$ -	\$ -		\$ -
6b.	Other Income	\$ -		\$ -	\$ -		\$ -	\$ -		\$ -	\$ -		\$ -
	<b>TOTAL PROGRAM INCOME</b>	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
<b>7</b>	<b>TOTAL NET PROGRAM COST</b>	\$ 939,616.00	\$ 47,242.00	\$ 986,858.00	\$ 939,616.00	\$ 47,242.00	\$ 986,858.00	\$ 939,616.00	\$ 47,242.00	\$ 986,858.00	\$ 2,818,848.00	\$ 141,726.00	\$ 2,960,574.00
	(Sum of 1 through 5, minus Line 6)												

**SIGNATURES AND APPROVALS**

**151C-CBG-40 / 13DSS1501TO A1**

The Contractor IS a Business Associate under the Health Insurance Portability and Accountability Act of 1996 as amended.

Documentation necessary to demonstrate the authorization to sign must be attached.

**CONTRACTOR - NEW OPPORTUNITIES, INC.**

  
\_\_\_\_\_  
James H. Gatling, Ph. D. *President/Chief Executive Officer*

11/13/14  
\_\_\_\_\_  
Date

**DEPARTMENT OF SOCIAL SERVICES**

  
\_\_\_\_\_  
Roderick L. Bremby, *Commissioner*

11/24/14  
\_\_\_\_\_  
Date

This Contract Amendment template having been reviewed and approved by the OAG, it is exempt from review pursuant a Memorandum of Agreement between the Agency and the OAG dated March 19, 2009. as amended on November 15, 2011.