

**COMMUNITY SERVICES BLOCK GRANT  
ALLOCATION PLAN**

Federal Fiscal Year 2021  
October 1, 2020 – September 30, 2021

STATE OF CONNECTICUT  
DEPARTMENT OF SOCIAL SERVICES

**NED LAMONT  
GOVERNOR**

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# The Community Services Block Grant Allocation Plan

FFY 2021

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**THE COMMUNITY SERVICES BLOCK GRANT ALLOCATION PLAN**  
**For Federal Fiscal Year 2021**  
**October 1, 2020 – September 30, 2021**

**I. OVERVIEW OF THE COMMUNITY SERVICES BLOCK GRANT**

**A. Purpose**

The Community Services Block Grant (CSBG) is administered by the United States Department of Health and Human Services (HHS) through its administrative agency, the Administration for Children and Families (ACF), Office of Community Services (OCS). The Connecticut Department of Social Services (DSS) is designated as the principal state agency for the allocation and administration of the block grant within the state of Connecticut.

The purposes of the Community Services Block Grant are:

1. To provide assistance to states and local communities, working through a network of Community Action Agencies for the reduction of poverty, the revitalization of low-income communities, and the empowerment of low-income families and individuals to become fully self-sufficient (particularly families who are attempting to transition off a state program carried out under part A of the Social Security Act (42 USC 601, et. Seq.); (the “Act”) and
2. To accomplish the goals described in paragraph (1) through:
  - a) the strengthening of community capabilities for planning and coordinating a broad range of federal, state, local, and private resources related to the elimination of poverty, so that these resources can be used in a manner responsive to local needs and conditions;
  - b) the coordination of a range of services to meet the needs of low-income families and individuals, so that these services have a measurable impact on the reduction of poverty in the community and in helping families and individuals to achieve self-sufficiency;
  - c) the promotion of innovative and effective community-based approaches to addressing the causes and effects of poverty and community breakdown;
  - d) the promotion of participation by the low-income residents and members of the groups served by programs funded through block grants made under this subtitle in planning and coordinating activities and programs designed to respond to the unique problems and needs within their communities; and
  - e) the broadening of the resource base for programs directed at the elimination of poverty so as to secure a more active role in the provision of services for:
    - (1) Private religious, charitable, and neighborhood-based organizations; and
    - (2) Individual citizens, business, labor, and professional groups, who are able to influence the quantity and quality of opportunities and services for the poor.

## **B. Major Use of Funds**

HHS requires that at least ninety percent (90%) of the funds made available to the state are administered through grants to Community Action Agencies (CAAs), Community Action Programs (CAPs), or Limited Purpose Agencies (LPAs) that performed the functions of a Community Action Agency in fiscal year 1981, or their successors. These funds must be used for purposes consistent with the federal goals identified above.

There are currently nine CAAs and one LPA that qualify for CSBG funding in Connecticut. Each CAA has a specified catchment area. In Connecticut, CSBG funds are primarily used by CAAs to provide planning and coordination, organize services, encourage innovative and effective approaches to addressing the causes of poverty, broaden the resource base for programs and services, and for core administrative expenses. With CSBG as base funding and additional assistance through other state agencies, municipalities and private donations, CAAs are able to operate a wide range of programs. These programs include, but are not limited to, Energy Assistance, Housing and Shelter programs, Child Care and Youth Development Services, Holistic Case Management Services, and Nutrition Services.

As required under the Economic Opportunity Act, CAAs also prepare annual Community Action Plans. Each CAA's plan addresses how the goals of the block grant will be furthered by the efforts of the programs administered by the Community Action Agency. Key components of the individual CAA's Plan include the following: community needs assessment; description of service delivery system, linkages, coordination of funds and innovative projects; and performance measurement outcomes and measures. CSBG funds are required to be used to serve or improve the well-being of individuals with incomes at or below 125% of the federal poverty level and may not be used to support political activities or to pay for construction unless a specific waiver is obtained by the state from the federal government.

The state is permitted to retain up to five percent (5%) of the block grant for administration, and may use the remaining five percent (5%) of the funds allocated for a variety of purposes including, but not limited to, training, technical assistance, and capacity building for eligible entities, and innovative programs that further the purposes of the Act. The department utilizes the administration allotment to provide direct program monitoring, training, and oversight functions to ensure programmatic compliance.

## **C. Federal Allotment Process**

CSBG funds are allotted based on the national appropriation and the states' share of funds distributed under Sec. 221 of the Economic Opportunity Act of 1964 for federal fiscal year 1981.

## **D. Estimated Federal Funding**

During FFY 2020, the Community Services Block Grant was funded at a national level of \$740 million, which represents an approximate 5.89% increase from FFY 2019's national funding level. At the time of the development of this plan, federal funding for FFY 2021 has not yet been finalized.

For purposes of the development of this plan, the federal funding allocation for Connecticut for FFY 2021 is estimated to be \$8,878,839, which is equal to the state's final FFY 2020 allocation.

## E. Total Available and Estimated Expenditures

In total, available funding for FFY 2021 is estimated at \$12,417,106, made up of \$8,878,739 in estimated federal block grant funding and \$3,538,367 in estimated carryforward. Of this amount, \$8,878,739 is proposed to be expended, with \$3,538,367 proposed to be carried forward.

Carry forward funding serves as a contingency in case of a decrease of funding in future fiscal years or to support one-time expenditures to address needs identified by the CAA Network.

As an example, each year the Department must complete the Center of Excellence Organizational Standard annual review to ensure compliance with all federal requirements. If the results of this review demonstrate that the CAA Network would benefit from technical and training assistance to maintain and/or improve adherence with federal standards, DSS must provide the necessary training and technical assistance. Utilizing unobligated program carryforward funds from FFY 2019, DSS provided technical assistance to ensure the network's ability to reach and maintain full compliance with Organizational Standards, ROMA Next Generation and the CSBG Annual Report. Maintaining carryforward provides DSS the flexibility to engage in similar activities in the future when needed in support of the CAA Network.

Section 210 of the federal Economic Opportunity Act of 1964 requires that at least ninety percent (90%) of the CSBG funds made available to the state are to be used to make grants to CAAs or LPAs. Accordingly, the Department proposes that ninety percent (90%) or \$7,990,865 of the estimated FFY 2020 block grant funding will be distributed through grants to CAAs and LPAs in Connecticut.

Of the remaining ten percent (10%) of the FFY 2020 block grant funds or \$887,874, the state plans to retain 5% (\$443,937) for administration and 5% (\$443,937) for discretionary projects. The funds retained for administration will be used by the Department to support the necessary quality assurance and programmatic staffing to ensure programmatic and fiscal oversight, training and monitoring activities. The funds retained for discretionary projects will be used by the Department to support training, technical assistance, technology support, statewide projects and innovative programs related to the purposes of the Act.

<b>PROGRAM CATEGORY</b>	<b>FFY 2021 Proposed Expenditure</b>
Grants to Eligible Entities	\$8,070,487
Discretionary Programs	443,937
Administration	443,937
<b>TOTAL</b>	<b>\$8,958,361</b>
Block Grant	<b>\$8,878,739</b>
Carry Forward from Previous Year	3,538,367
<b>TOTAL FUNDS AVAILABLE</b>	<b>\$12,417,106</b>

The above chart delineates FFY 2021 proposed allocations based on estimated expenditures. Table A on page 10 provides program category detail for FFY 2019 (actual expenditures), FFY 2020 (estimated expenditures), and FFY 2021 (proposed allocations).

The distribution of allocated funds is based on a formula referenced in Sec. 17b-888 of the Connecticut General Statutes and further described in Section H below.

**F. Proposed Changes From Last Year**

Overall, the Department’s Personal Services and Fringe Benefits costs are proposed to increase in FFY 2021 from FFY 2020 levels. Proposed increase will fund the hiring of staff for the vacant positions in the Division responsible for the administration of the CSBG program. FFY 2021 Personal Services and Fringe Benefits costs estimate 2.75 FTE staffing composition for a full year. This increase is offset by a reduction in the Other Expenses line item as FFY 2020 expenditures included one-time costs that are not necessary in FFY 2021. This reduction will not negatively impact the program or result in any loss of services.

During FFY 2020 the state community action agency association, the Connecticut Association for Community Action (CAFCA), began planning for the administration of the local Human Capacity & Community Transformation (HCCT) grant. The HCCT grant, through the HHS’ Center of Excellence supports multi-year community transformation efforts to move individuals, families, and communities towards improving human capacity, reducing dependency and sustaining self-sufficiency. The Department participates on the HCCT Steering Committee. In support of these activities the Department proposes to allocate funding for an incentive program designed to promote sustained performance improvement by the CAA as measured through the annual review of the HHS Center of Excellence Organizational Standards.

The performance thresholds and applicable incentives are as follows:

<b>Center of Excellence Organizational Standards range</b>	<b>Benefit Amount</b>
100%	\$7,500
99% - 95%	\$5,000
94% - 90%	\$2,500

The performance incentive shall be categorized as a resource to be used by the CAA to, among other things, support activities to ensure the CAA’s continued excellence and/or to celebrate the staff’s performance. The CAA’s use of the funds shall be discretionary, however, the funds must be used to support the agency as a whole. Since compliance with Organizational Standards is a federal requirement, the Department will align its performance system with HHS’ HCCT criteria. The Department will continue to provide training and technical assistance to assist CAA’s with reaching the performance threshold.

**G. Contingency Plan**

The development of this allocation plan is based on the assumption that the FFY 2021 Community Services Block Grant for Connecticut will be funded at the level of \$8,878,739. In the event that

anticipated funding is either increased or decreased, federal law, as noted above, specifies the proportion of funds allocated to Community Action Agencies, Discretionary Programs, and Administration. Should a significant decrease in federal funding occur as a result of sequestration, the Department, with input from Connecticut Association for Community Action (CAFCA) and the CAAs, will develop a process to determine priorities for applying reductions. If additional funds become available, they may be carried over into FFY 2022 in order to ensure ongoing programmatic coverage and to mitigate the potential for future reductions.

In accordance with section 4-28b of the Connecticut General Statutes, after recommended allocations have been approved or modified, any proposed transfer to or from any specific allocation of a sum or sums of over fifty thousand dollars or ten per cent of any such specific allocation, whichever is less, shall be submitted by the Governor to the speaker and the president pro tempore and approved, modified or rejected by the committees. Notification of all transfers made shall be sent to the joint standing committee of the General Assembly having cognizance of matters relating to appropriations and the budgets of state agencies and to the committee or committees of cognizance, through the Office of Fiscal Analysis.

## **H. State Allocation Planning Process**

Sections 17b-885 through 17b-895 of the Connecticut General Statutes implement the federal requirements governing Community Action Agencies and further stipulate that allocated funds be distributed through an agreement negotiated between the Department and Community Action Agencies. Under the present agreement, negotiated and implemented in 1997, each CAA will receive a base amount that equals one-half of their FFY 1996 allocation. Remaining funds are allocated to CAAs based on the number of individuals in each CAA catchment area (as a proportion of the state total) at or below 125% of the federal poverty guidelines according to census data.

## **I. Grant Provisions**

In order to obtain CSBG funding, Connecticut must submit and obtain federal approval of the state plan that satisfies the requirements of Sec. 676 of the Reauthorization Act. Under these requirements, the state must:

- designate a state agency to serve as lead agency;
- hold a public hearing to provide the public an opportunity to comment on the proposed use of funds;
- conduct monitoring in accordance with Section 678B of the Reauthorization Act;
- hold a legislative hearing at least once every three (3) years in conjunction with the development of the state plan;
- use funds received to support activities which assist low-income families and individuals to:
  1. achieve self-sufficiency;
  2. secure and retain meaningful employment;

3. attain an adequate education;
  4. make better use of available income;
  5. obtain and maintain adequate housing;
  6. obtain emergency assistance; and
  7. achieve greater participation in the affairs of the community;
- address the needs of youth in low-income communities;
  - ensure that CSBG funds are used to coordinate with and make more effective use of other programs with related purposes;
  - describe how it uses its discretionary funds;
  - include information provided by eligible entities on how CSBG funds will be used;
  - ensure that eligible entities provide emergency food, supplies and related services to combat hunger and malnutrition;
  - ensure that the state and eligible entities coordinate with and establish linkages between governmental and other programs to avoid duplication;
  - provide for coordination between programs to ensure that energy crisis intervention programs are conducted in each community;
  - ensure that the state will cooperate with related federal investigations;
  - ensure that funding for eligible entities will not be terminated or reduced below their proportional share without cause;
  - provide opportunities for state and eligible entities to form partnerships with other organizations, including religious, charitable and community organizations;
  - establish mechanisms for low-income individuals or organizations that feel they are under-represented on the board of a CAA to petition for representation;
  - require that each CAA submit a Community Action Plan as a condition of funding;
  - require that all eligible entities participate in the Results Oriented Management and Accountability system; and
  - require that each eligible entity have a Board of Directors composed of equal numbers of low-income persons, local elected officials or their designees, and other individuals or organizations serving low-income people in the community.

Connecticut's most recent federal application for CSBG funding was approved for the period October 1, 2018 to September 30, 2020. Connecticut's next federal application for CSBG funding for the period October 1, 2020 to September 30, 2022 is due to ACF by September 1, 2020.



### Additional Federal Requirements:

Starting in 2015, OCS and the CSBG Network – comprised of CSBG eligible entities, state CSBG Lead Agencies, state Community Action Agency Associations, national partners, and others – have collaborated to create a new Performance Management Framework for CSBG. This Framework includes:

- Organizational Standards for CSBG eligible entities;
- Federal and State Accountability Measures; and
- Results Oriented Management and Accountability (ROMA).

These elements were designed to increase effectiveness and accountability across the CSBG network (federal, state, and local) and generate more robust results for the people and communities we serve.

On October 2, 2015, OCS issued an Information Memorandum Transmittal No. 144 regarding State and Federal Accountability Measures and Data Collection Modernization. Pursuant to the terms of the memorandum, OCS required states, no later than FFY 2016, to collect data and analyze and report on CSBG Accountability Measures through their online State plan and annual reports.

The thirteen (13) State accountability measures address efficiency and effectiveness characteristics such as timeliness, accuracy, standards, and stakeholder satisfaction in the critical activities and functions listed below:

- Development of State plan, including involving the eligible entities
- Implementation of State plan including:
  - Distribution of funds
  - Use of remainder/discretionary funds
  - Grantee monitoring and corrective action
  - Data collection, analysis, and reporting
  - Organizational standards for eligible entities
  - State linkages and communication

The Department began successfully complying with the identified state accountability measures during FFY 2016 and shall continue to comply with these requirements during ongoing years.

In addition to accountability measures for states, on January 26, 2015, OCS issued Information Memorandum Transmittal No. 138 referencing the “State Establishment of Organizational Standards for CSBG Eligible Entities under 678B of the CSBG Act, 42 U.S.C. § 9901 et seq.” The categories associated with the Organization Standards for CAAs are as follows:

1. Maximum Feasible Participation
  - Consumer Input and Involvement
  - Community Engagement
  - Community Assessment
2. Vision and Direction
  - Organizational Leadership
  - Board Governance

- Strategic Planning
3. Operations and Accountability
- Human Resource Management
  - Financial Operations and Oversight
  - Data and Analysis

The Department and the CAA network continue to work collaboratively to ensure compliance with all federal requirements. The Department convened a workgroup comprised of members from the Community Services Block Grant office, as well as representatives from the CAA Network (e.g. senior management, fiscal, human resources, quality assurance, planning, etc.). It is the Department's expectation that through this process of consistent communication, Connecticut CAAs will be informed of compliance requirements for each of the categories associated with the above mentioned organizational standards. The Department will also utilize this process to assist with the identification of training that is above and beyond the standard training.

#### Results Oriented Management and Accountability (ROMA)

The Department and the CAA network are continuing activities related to ROMA Next Generation (ROMA NG). ROMA NG is the next level of ROMA principles, practices and resources that was implemented in FFY 2018. ROMA NG focuses on integrating information on the people served, services and strategies provided, and outcomes achieved by eligible entities on 3 levels: community, individual and family. The final stage of the development of the components of ROMA NG was the convening of a workgroup with representatives from state, local, national, and federal levels. The ROMA NG efforts are reflected in the proposed CSBG Annual Report, which was approved by the federal Office of Management and Budget (OMB) on January 12, 2017. Implementation and reporting in the new format for the NPIs began during FFY 2018. Connecticut successfully submitted its FY 2019 Annual Report as required.

In closing, FFY 2021 holds great promise for the CAA Network as it continues to align and maintain its functionality and organizational capacity with federal requirements to ensure that the national and local CAA discussion covers uniform topics: community level; individual and family level; and agency expenditures, capacity and resources.

## II. Tables

<b>TABLE # and TITLE</b>	<b>PAGE #</b>
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<b>Table B      Summary of Program Expenditures</b>	<b>11</b>
<b>Table C      Summary of Service Objectives and Activities</b>	<b>12</b>
<b>Table D      Proposed Expenditures by Program Category</b>	<b>13 - 14</b>

## Table A

### COMMUNITY SERVICES BLOCK GRANT RECOMMENDED ALLOCATIONS

PROGRAM CATEGORY	FFY 19 Expenditures	FFY 20 Estimated Expenditures	FFY 21 Proposed Expenditures	Percentage Change from FFY 20 to FFY 21
Grants to Eligible Entities <sup>1</sup>	\$7,658,305	\$7,751,423	\$8,070,487	4%
Discretionary Programs	645,080	443,938	443,937	0%
Administration <sup>2</sup>	369,500	443,938	443,937	0%
<b>TOTAL</b>	<b>\$8,672,885</b>	<b>\$8,639,299</b>	<b>\$8,958,361</b>	<b>4%</b>
<b>SOURCE OF FUNDS</b>				
Block Grant	\$8,612,693	\$8,878,739	\$8,878,739	0%
Carry Forward from Previous Year <sup>3</sup>	3,359,119	3,298,927	3,538,367	7%
<b>TOTAL FUNDS AVAILABLE</b>	<b>\$11,971,812</b>	<b>\$12,177,666</b>	<b>\$12,417,106</b>	<b>2%</b>

Notes

<sup>1</sup> FFY 2020 estimated expenditures include costs incurred during FFY 2019 and paid during FFY 2020. FFY 2021 proposed expenditures reflect the base award amount each CAA receives per the current agreement stipulated under §17b-888 of the Connecticut General Statutes as well as the unallocated balance of FFY 2020, which was the difference between proposed and final award. Individual CAA detail is detailed in Table D.

<sup>2</sup> FFY 2021 Personal Services and Fringe costs are associated with 2.75 FTE dedicated to the CSBG program. These staff will provide appropriate oversight of the program consistent with federal requirements. COVID-19 impacted the Department's ability to fully staff the program during FFY 2020; staff vacancies existed during October 2019 – September 30, 2020.

<sup>3</sup> FFY 2020 carryforward amount of \$3,298,927 includes \$159,532 in CSBG programmatic funds to CAAs due to the timing of the FFY 2020 final award notice. The CSBG office continues to work with CAAs to ensure issuance of timely payments.

**Table B**  
**COMMUNITY SERVICES BLOCK GRANT**  
**SUMMARY OF PROGRAM EXPENDITURES**

	<b>FFY 19 Expenditures</b>	<b>FFY 20 Estimated Expenditures</b>	<b>FFY 21 Proposed Expenditures</b>	<b>Percentage change from FFY 20 to FFY 21</b>
Number of Positions (FTE) <sup>1</sup>	2.75	1	2.75	
Personal Services	154,048	89,259	225,389	153%
Fringe Benefits	134,623	70,728	199,389	182%
Other Expenses <sup>2</sup>	80,829	283,951	19,159	-93%
Equipment				
Contracts				
Grants to:				
Local Government				
Other State Agencies				
Private Agencies <sup>3</sup>	8,303,385	8,195,361	8,514,424	4%
<b>TOTAL EXPENDITURES</b>	<b>\$8,672,885</b>	<b>\$8,639,299</b>	<b>\$8,958,361</b>	<b>4%</b>
	<b>Sources of FFY 19 Allocations</b>	<b>Sources of FFY 20 Allocations</b>	<b>Sources of FFY 21 Allocations</b>	<b>Percentage change from FFY 20 to FFY 21</b>
Carry Forward from Previous Year	3,359,119	3,298,927	3,538,367	7%
Federal Block Grant Funds	8,612,693	8,878,739	8,878,739	0%
<b>TOTAL FUNDS AVAILABLE</b>	<b>\$11,971,812</b>	<b>\$12,177,666</b>	<b>\$12,417,106</b>	<b>2%</b>

**Notes:**

- <sup>1</sup> FFY 2021 Personal Services and Fringe costs are associated with 2.75 FTE dedicated to the CSBG program. These staff will provide appropriate oversight of the program consistent with federal requirements. COVID-19 impacted the Department's ability to fully staff the program during FFY 2020; staff vacancies existed during October 2019 – September 30, 2020.
- <sup>2</sup> The increase in other expenses is related to FFY 2020 one-time costs associated with software enhancements to the CSBG contract reporting compliance system, which includes submission of Center of Excellence Organizational Standards documentation; indirect costs and conferences/workshop hosting. This reduction will not negatively impact the program or result in any loss of services. FFY 2021 reflects Personal Services and Fringe Costs for 2.75 staff.
- <sup>3</sup> FFY 2020 estimated expenditures include costs incurred during FFY 2019 and paid during FFY 2020. FFY 2021 proposed expenditures reflect the base award amount each CAA receives per the current agreement stipulated under §17b-888 of the Connecticut General Statutes as well as the unallocated balance of FFY 2020, which was the difference between proposed and final award. Individual CAA detail is detailed in Table D.

## Table C

### COMMUNITY SERVICES BLOCK GRANT

#### SUMMARY OF SERVICE OBJECTIVES AND ACTIVITIES

Service Category	Objective	Service/Activity	Number Served FFY 19*	Performance Measures
Core Funding for Eligible Entities	Provide core administrative support for CAAs to organize services and coordinate funding for programs that serve individuals and families at or below 125% of the federal poverty level.	Provide planning, administrative, and financial management support to Community Action Agencies to coordinate grants and services to low-income residents.	199,463 persons served through 9 CAAs in FFY 2019	See below

\* As reported in Module 4 Section C, Line A of the annual federal CSBG Annual Report for 2019.

#### PERFORMANCE MEASURES

##### AS REPORTED IN THE ANNUAL CT FFY 2019 COMMUNITY SERVICES BLOCK GRANT ANNUAL REPORT

Conditions of poverty reduced or eliminated as measured by the # of clients who, as a result of CSBG assistance:

1. Employment indicators and services collect data on outcomes achieved by low-income participants who are either unemployed and seeking earned income, or employed and seeking increased earned income and/or employment benefits. **[Employment Indicator]**
2. Income and Asset Building indicator collects data on participants' increase in financial assets or improving financial well-being. **[Income and Asset Building Indicator]**
3. Education and Cognitive Development indicators and services collect data based on activities that produce developmental and educational outcomes for children, youth, parents/caregivers, and other adult participants. **[Education and Cognitive Development]**
4. Housing indicator and services collect data on outcomes achieved by participants that access emergency housing, obtain and maintain stable housing, reduce eviction or foreclosure or improve home's safety. **[Housing]**
5. Health and Social/Behavioral Development indicator or services collect data on individuals who achieve outcomes such as: improving physical, mental or behavioral health, increase household member's interaction skills, demonstrate ability to live independently or decrease household member's recidivism rate. **[Health and Social / Behavioral Development]**
6. Civic Engagement and Community Involvement indicators and services collect data on participants who increase leadership skills, social networks and knowledge to improve conditions in the community. **[Civic Engagement and Community Involvement]**

**Table D**  
**COMMUNITY SERVICES BLOCK GRANT**  
**PROPOSED EXPENDITURES BY PROGRAM CATEGORY**

	<b>FFY 2019 Expenditures</b>	<b>FFY 2020 Estimated Expenditures<sup>1</sup></b>	<b>FFY 2021 Proposed Expenditures<sup>1</sup></b>
<b>Major Program Categories</b>	<b>(including carry forward funds)</b>	<b>(including carry forward funds)</b>	<b>(including carry forward funds)</b>
<b>Grants to Eligible Entities</b>			
Alliance for Community Empowerment (ACE) formerly Action for Bridgeport Community Development, Inc. (ABCD)	861,112	1,123,597	1,169,847
ACCESS Agency, Inc.	492,565	467,762	487,016
Community Action Agency of New Haven, Inc. (CAANH)	1,143,915	1,121,604	1,167,772
Community Action Agency of Western Connecticut, Inc. (CAAWC)	451,897	701,274	730,140
Community Renewal Team of Greater Hartford, Inc. (CRT)	1,892,160	1,843,943	1,919,843
Connecticut Association for Community Action (CAFCA)	237,485	235,488	245,178
Human Resources Agency of New Britain, Inc. (HRANB)	558,093	529,990	551,806
New Opportunities, Inc. (NOI)	1,294,506	1,037,781	1,080,499
TEAM, Inc.	239,601	227,534	236,900
Thames Valley Council for Community Action, Inc. (TVCCA)	486,971	462,450	481,486
<b>Formula Allocations – Total</b>	<b>\$7,658,305</b>	<b>\$7,751,423</b>	<b>\$8,070,487</b>
<b>Discretionary Programs<sup>2</sup></b>	645,080	443,938	443,937
<b>State Agency Administration<sup>3,4</sup></b>	369,500	443,938	443,937
<b>TOTAL CSBG</b>	<b>\$8,672,885</b>	<b>\$8,639,299</b>	<b>\$8,958,361</b>

**Notes:**

<sup>1</sup> FFY 2020 estimated expenditures include costs incurred during FFY 2019 and paid during FFY 2020. FFY 2021 proposed expenditures reflect the base award to each CAA pursuant to §17b-888 of the Connecticut General Statutes plus the unallocated balance of FFY 2020. The unallocated balance from FFY 2020 was the difference between proposed and final award. The detail below specifies, by individual CAA, the FFY 2021 proposed allocation and unallocated balance from FFY 2020 (carryforward):

	<b>Difference between proposed and final 90% amount - FFY 2020</b>	<b>FFY 2021 proposed (90% only)</b>	<b>Total FFY 2021 proposed (90% only)</b>
<b>Grants to Eligible Entities</b>	<b>159,532</b>	<b>7,910,955</b>	<b>\$8,070,487</b>
Alliance for Community Empowerment, Inc. (ACE) formerly known as Action for Bridgeport Community Development, Inc. (ABCD)	23,125	1,146,722	1,169,847
ACCESS Agency, Inc.	9,627	477,389	487,016
Community Action Agency of New Haven, Inc. (CAANH)	23,084	1,144,688	1,167,772
Community Action Agency of Western Connecticut, Inc. (CAAWC)	14,433	715,707	730,140
Community Renewal Team of Greater Hartford, Inc. (CRT)	37,950	1,881,893	1,919,843
Connecticut Association for Community Action (CAFCA)	4,845	240,333	245,178
Human Resource Agency of New Britain, Inc. (HRANB)	10,908	540,898	551,806
New Opportunities, Inc. (NOI)	21,359	1,059,140	1,080,499
TEAM, Inc.	4,683	232,217	236,900
Thames Valley Council for Community Action, Inc. (TVCCA)	9,518	471,968	481,486

<sup>2</sup> CSBG discretionary resources will be utilized as follows: 80% capacity building activities; 15% other CSBG purposes; and 5% other purposes to support the Connecticut's compliance with the CSBG Act. Examples of services funded through discretionary resources include: training and technical assistance for ROMA training; Center of Excellence Organizational Standards performance benchmark initiative, CAA Network support; Implementation of Federal client count / service count reports; enhancements for Data Warehouse, Board training; Conferences, Meetings, Annual Needs Assessments, etc.

<sup>3</sup> FFY 2021 Personal Services and Fringe costs are associated with 2.75 FTE dedicated to the CSBG program. These staff will provide appropriate oversight of the program consistent with federal requirements. COVID-19 impacted the Department's ability to fully staff the program during FFY 2020; staff vacancies existed during October 2019 – September 30, 2020.

<sup>4</sup> In FFY 2019, the 5% allowed annual allocation amount for state agency administration was \$369,500. Any expenditures in FFY 2019 exceeding that amount were funded through prior year carryforward funding.