



Department of Public Health Drinking Water State Revolving Fund Small Loan Program



IMPORTANT INFORMATION FOR CONTRACTOR! PREPARING PRICE QUOTES

This project is being financed through Drinking Water State Revolving Fund (DWSRF). Certain state and federal requirements therefore apply to this project and it is essential that all contractors understand these requirements prior to preparing their price quotes.

Please be aware that when contractors prepare price quotes, they must provide to the client the lump sum price and also include the **itemized cost** of all materials and labor associated with the proposed project.

American Iron and Steel (AIS) Requirements

All iron and steel products used in the project must comply with the federal Use of American Iron and Steel (AIS) requirement. Please refer to EPA's [American Iron and Steel guidance document](#) for further details regarding products covered by this requirement. EPA's guidance provides clarity on specific iron and steel products that are required to comply with AIS requirements and other products containing steel and iron that do not have to comply. For AIS compliance, "iron and steel products" means the following products made primarily of iron or steel: lined or unlined pipes and fittings, manhole covers and other municipal castings, hydrants, tanks, flanges, pipe clamps and restraints, valves, structural steel, reinforced precast concrete, and construction materials.

Contractors are required to obtain a manufacturer's certification of compliance letter for each iron and steel product permanently installed as part of this project. Each certification letter must be on manufacturer's letterhead, be dated and should, at a minimum, contain the following five elements:

1. Identification of the iron or steel product specific to the project
2. Identification of the project the product is being delivered to
3. Location of the manufacturing facility (city and state) – ***not the headquarters***
4. Statement indicating that "*project is in full compliance with the American Iron and Steel requirement as mandated in EPA's State Revolving Fund Programs*"
5. Manufacturer's representative signature

The EPA has issued a [national public interest waiver for "de minimis" incidental components](#). This waiver allows a project to include a small amount of incidental iron or steel components that do not meet the AIS requirement, or their provenience cannot be determined. The total amount of these products cannot exceed 5% of the total materials cost for the project and an individual item cannot exceed 1% of the total cost of materials. The EPA guidance also includes a process for requesting project-specific waivers, should one be needed. Please contact us immediately if a project specific waiver may be necessary for your project. Our staff will work with you to address your client's project compliance with AIS requirements.

More detailed information on AIS requirements can be found on EPA's [AIS website](#).

It is essential that contractors understand what products are covered under AIS and how compliance must be documented. Contractors must provide to the client, upon completion of the project, with their invoice, the following information:

1. Certification of Compliance – Use of American Iron and Steel

2. Manufacturer's certifications of compliance with AIS requirements for each different iron or steel product used in the project. Refer to examples of manufacturer's certifications contained in the EPA's [American Iron and Steel Requirements Guidance](#) on EPA's dedicated AIS website at the following website address: <https://www.epa.gov/cwsrf/state-revolving-fund-american-iron-and-steel-ais-requirement>. Contractors should contact manufacturers and request these certifications with the product when it is delivered.

If you have any questions regarding any of these requirements please contact an engineer in the DWSRF Unit at (860) 509-7333.