

**Grantee: Connecticut Department of Housing**

**Grant: B-13-DS-09-0001**

**October 1, 2013 thru December 31, 2013 Performance Report**

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**Grant Number:**

B-13-DS-09-0001

**Obligation Date:****Award Date:****Grantee Name:**

Connecticut Department of Housing

**Contract End Date:****Review by HUD:**

Reviewed and Approved

**LOCCS Authorized Amount:**

\$15,000,000.00

**Grant Status:**

Active

**QPR Contact:**

Hermia Delaire

**Estimated P/RL Funds:****Total Budget:**

\$15,000,000.00

**Disasters:****Declaration Number**

FEMA-4087-CT

**Narratives****Disaster Damage:**

Hurricane Sandy resulted in extraordinary destruction all along the Connecticut coastline, both as a result of high winds, storm surge in excess of 11 feet in some locations due to the astronomical high tide, as well as 6 to 10 foot waves on top of the surge. According to the United States Army Corp of Engineers, water levels at the Stamford Hurricane Barrier exceeded all recorded storms, which date back to 1893. Preliminary data indicates Hurricane Sandy resulted in flooding close to, or at, the one hundred year storm level from East Haven to Greenwich. A review of state records indicates that in a significant portion of the State's coastal area, Hurricane Sandy exceeded the 1938 Hurricane, becoming the most severe storm in Connecticut history.

Connecticut sustained severe coastal flooding and damaging winds. Along the coast, tropical storm force winds combined with high tides and a full moon, resulting in tidal stacking and significant wave heights. Entire neighborhoods became inundated with storm waters. Residents who did not heed evacuation orders were trapped in their homes and had to be evacuated. Local fire departments performed a total of 144 rescues, while the Connecticut National Guard supported 73 missions, including 6 life-saving rescue efforts.

There were six fatalities in Connecticut as a result of the storm, including an Easton Fire Lieutenant, killed by a falling tree at the height of the storm while performing response operations. Telecommunications across the State were crippled by the storm. Cellular transmission sites were disabled or damaged and communications and cable companies brought in hundreds of generators in order to address critical issues such as the loss of 911 dispatch networks. Flooding and power outages caused raw sewage discharges at treatment plants and pumping stations in seven cities, contaminating flood waters. Bridgeport officials said 15 to 20 million gallons of partially treated sewage from two plants were discharged into the Long Island Sound.

Many essential services were affected by this storm. Airports were either closed entirely or were reduced to limited service. The Metro North New Haven Line, Amtrak Intercity and Shore Line East commuter rails were all shut down. On October 31, when New York Harbor was closed to all shipping traffic, fuel barges could not supply fuel terminals in New Haven and Bridgeport. Fuel supply was also impeded as hundreds of gas stations were closed due to the power outages. The State's Department of Consumer Protection reported that at the peak of Hurricane Sandy's impact on the fuel distribution system, 866 out of 1,493 gas stations (i.e. over 50%) were without power and residents were unable to obtain gas, or waited in long lines at the few open stations. Residents not only from Connecticut but from heavily affected communities in bordering New York State, such as Port Chester, Rye and White Plains, came to Connecticut in search of fuel, placing a heavy demand on an already low fuel supply.

**Recovery Needs:**

Owner Occupied Housing (Rehabilitation and Mitigation) - Data from FEMA, SBA, DOI, and the surveys submitted to the State by municipalities and public housing authorities indicate that approximately 38,200 homes were damaged by Hurricane Sandy in the Fairfield, New Haven, Middlesex and New London Counties. The total value of losses exceeded \$288 million. After calculating insured losses, FEMA and SBA financial assistance and other benefits, the remaining unmet need is estimated to be between \$47 and \$57 million representing approximately 7,400 units. This range of estimated unmet housing needs anticipates that as the recovery process proceeds, additional unmet needs may present themselves, including, for example, damages not previously known or estimated, increased mitigation needs, and the consideration of code compliance.

Multifamily Housing (Rehabilitation, New Construction & Mitigation) - Approximately 1,298 multifamily LMI units were directly affected by Hurricane Sandy. Of these 1,298 units, 483 units are not in a flood plain and only require rehabilitation at an estimated aggregate cost of \$1.63 million (all of which is an unmet need). The remaining 815 units are in the flood plain and require either rehabilitation and mitigation or demolition and new construction at one or more other sites, at an estimated aggregate cost of \$240 million, and an unmet need of \$148 million. The unmet need estimate in this housing sector does not include construction of over 100 units of new affordable multifamily units that are needed in the CDBG-DR Program eligible areas. New affordable units, including net new units affordable to households at low and very



low-income levels is critical to minimize the impact of Hurricane Sandy on individuals and families that are homeless or at risk of becoming homeless and a key component to the long term recovery of the State.

Fair Housing - Affirmatively furthering fair housing and Equal Opportunity compliance will be achieved by a Fair Housing Action Plan that complies with DOH's guidelines/policies, including but not limited to:

>1. Affirmatively furthering fair housing through established affirmative marketing policies.

>a. Affirmative marketing efforts for the CDBG-DR funding include the following: An Affirmative Marketing Plan, based on the U.S. Department of Housing and Urban Development (HUD) regulations that outline the policies and procedures for housing activities to affirmatively market units financed through the Multifamily and Owner Occupied (Rehabilitation/Reconstruction) Programs including dissemination of information, technical assistance to applicants, project management, reporting requirements, and project review.

>2. Ensuring that eligible persons from all racial, ethnic, national origin, religious, familial status, the disabled, "special needs," gender groups, and/or other populations least likely to apply, are:

>a. Fully informed of vacant units available for rent.

>b. Encouraged to apply for rehabilitation and/or rent.

>c. Given the opportunity to rent the unit of their choice.

>d. Given the opportunity to rehabilitate their primary residence, which sustained damages due to disasters and/or its after-effects.

>3. Inform

### Recovery Needs:

ram participants about available opportunities and supporting requirements via counselors, printed and electronic materials, publications, direct contact, workshops/seminars, and through the placement of flyers/posters in public facilities.

>4. The prioritization of housing and economic development activities and other planning activities that affect racial, ethnic and low-income concentrations to promote the availability of affordable housing in low-poverty, non-minority areas.

Infrastructure and Public Facilities - In response to the State's request for information regarding unmet infrastructure needs, local governments identified at least thirty-four infrastructure projects with unmet needs totaling approximately \$21.4 million. There are a number of infrastructure projects that have the potential to affect the health, safety and welfare of the local community and are therefore urgent priorities.

The allocation of \$4 million of the funding for infrastructure projects will focus on unmet needs that are urgent in nature and can be immediately addressed, including water and sewer repairs (\$1 million); municipal-owned utility repairs (\$1.1 million); and critical road repairs (\$1 million). The balance of the allocation (\$900,000) will be used to initiate architectural, environmental and other preconstruction activities for infrastructure projects to be undertaken with future allocations of funds. For public facilities, the State requested unmet needs data; local governments identified at least eighty-eight projects with unmet needs totaling approximately \$34.5 million. Based on a preliminary review of the public building repair projects identified by local governments, many are ready to be undertaken immediately at a total cost of approximately \$1.5 million. Additional funds (\$700,000) will be used to initiate architectural, engineering, environmental and other preconstruction activities for the public facility projects to be undertaken with future allocations of funds.

Economic Revitalization - In response to the State's request for unmet needs data, local governments identified at least twelve structural repair projects for businesses totaling \$5.3 million and an additional \$5 million of repair or replacement of equipment. In total, the unmet need for economic revitalization identified by local governments is currently estimated at \$10.3 million. A wide range of businesses were impacted with the greatest concentration of monetary damages in Other Amusement and Recreational Facilities (\$1.3 million); Hotels of various types (\$1 million) and Restaurants of various types (\$1 million). Many of the impacted businesses were either on or close to the shoreline, including a high percentage in the 100-year flood plain. A range of businesses were impacted, with the greatest concentration of costs in Plumbing, Heating, and Air-Conditioning Contractors (\$400,000); and Restaurants of various types (\$310,000).

Planning - The State recognizes that future storms may severely damage homes, businesses, infrastructure and public facilities in municipalities throughout Connecticut. It is essential that our infrastructure and public facilities be hardened in anticipation of these events. In addition, a significant and necessary investment has been made in preparation for the receipt and distribution of the Funding. Accordingly, the State will utilize a portion of these PI

### Recovery Needs:

anning funds to offset the costs incurred to develop the proposed programs and activities through which the Funding will be administered.

Overall	This Report Period	To Date
<b>Total Projected Budget from All Sources</b>	N/A	\$15,000,000.00
<b>Total Budget</b>	\$1,500,000.00	\$15,000,000.00
<b>Total Obligated</b>	\$226,038.00	\$226,038.00
<b>Total Funds Drawdown</b>	\$226,037.18	\$226,037.18
<b>Program Funds Drawdown</b>	\$226,037.18	\$226,037.18
<b>Program Income Drawdown</b>	\$0.00	\$0.00
<b>Program Income Received</b>	\$0.00	\$0.00
<b>Total Funds Expended</b>	\$565,191.80	\$565,191.80
<b>Match Contributed</b>	\$0.00	\$0.00



## Progress Toward Required Numeric Targets

Requirement	Required	To Date
Overall Benefit Percentage (Projected)		59.26%
Overall Benefit Percentage (Actual)		0.00%
Minimum Non-Federal Match	\$0.00	\$0.00
Limit on Public Services	\$2,250,000.00	\$0.00
Limit on Admin/Planning	\$3,000,000.00	\$226,037.18
Limit on State Admin	\$750,000.00	\$0.00

## Progress Toward Activity Type Targets

## Progress Toward National Objective Targets

National Objective	Target	Actual
Low/Mod	\$7,500,000.00	\$8,000,000.00

## Overall Progress Narrative:

The State of Connecticut Department of Housing (DOH) is making progress on the disaster recovery programs outlined in its approved Action Plan. Several efforts have been made to implement a program that is in accordance with all federal regulations. Written program guidelines were developed, prepared and posted on the DOH web portal dedicated to Storm Sandy Recovery.

Considerable outreach was conducted in order to advertise the program and provide potential applicants with information regarding all program aspects including but not limited to program objectives, eligibility requirements, program priorities, forms of assistance, allowable costs, policies and procedures, and application information. A multi-media campaign targeted residents of the areas most impacted by Superstorm Sandy (i.e. Fairfield, New Haven, New London and Middlesex Counties and the Mashantucket Pequot Indian Reservation). DOH marketed the availability of OORR assistance through newspapers and broadcast media. Community meetings were held in the Town of Milford on September 9, 2013, in the Town of Branford on November 19, 2013 and in the City of Bridgeport on December 12, 2013. Question and answer sessions followed Power Point program presentations. Homeowners with properties damaged as a result of Superstorm Sandy were solicited directly through information from FEMA, SBA and the affected municipalities. Program policies and procedures along with application forms and instructions were posted on the CT Recovers and DOH websites.

Through a Request for Proposals (RFP) solicitation process, DOH contracted with a professional firm for application intake consulting. Five (5) Intake Centers within the impacted areas as well as a mobile intake unit were opened and are located in Fairfield, Norwalk, Milford, East Haven and Groton. The application intake consultant worked with the State to convert its application to an online version with a supporting database. A toll-free telephone number was established and is maintained for information on how, where, and when people may apply for financial assistance. Intake counselors provide assistance to affected homeowners by helping them with completing applications and submitting supporting documentation. The Intake Centers have proven a great resource for these homeowners. Since opening the centers there have been 1,080 past appointments.

The Intake Centers have proven to be a great resource for homeowners who are seeking assistance in completing their applications for the CDBG & DR Owner Occupied Rehabilitation and Reconstruction program. As of December 31, 2013 there were 945 applications in our database, of which 329 were in progress (the applicant has not hit the submit button), and 616 have been submitted. Of the 616 submitted applications, 325 are under quality assurance review, and 253 are undergoing CDBG-DR eligibility and national objective review.

Architectural, Engineering & Construction Management firms were solicited through an RFP process for services to



include provision of evaluation of damaged properties, environmental reviews, plans, specifications, estimates and construction management oversight. Seven (7) firms were selected after the bid evaluation process and as of December 31, 2013, all contracts have been executed.

Two (2) Requests for Qualifications (RFQ) processes were conducted this quarter (submissions due October 4, 2013 and November 8, 2013) to solicit General Contractors to be included in the list of prequalified General Contractors for the housing programs. Through these processes twenty (20) General Contractors were deemed prequalified. Contractors who were not initially aware of these two solicitations are not excluded from submitting a bid on a housing program; these contractors will be required to submit qualification documents along with their respective bid submissions.

DOH staff has conducted environmental review activities specific to the OORR program. The 8-Step Decision Making Process for compliance with determinations on floodplain management and wetlands protection [24 CFR 55.20] is near completion and includes proposed OORR activities in the entire targeted area. A Tier One environmental review for OORR activities is near completion and is being conducted in accordance with 24 CFR 58.15. An Environmental Review Statement/Determination for OORR program activities shall be completed in the next quarter as well as the Request for Release of Funds. Environmental review procedures have been developed and written for the entire State of Connecticut Disaster Recovery Program. These procedures contain specific instructions for OORR A/E/CM firms regarding the completion of site-specific Statutory Checklists and corresponding laws and regulations.

## Project Summary

Project #, Project Title	This Report Period	To Date	
	Program Funds Drawdown	Project Funds Budgeted	Program Funds Drawdown
R1-A1-DS-13-ADMN, R1-A1-ADMINISTRATION	\$0.00	\$500,000.00	\$0.00
R1-A1-DS-13-OORR, R1-A1-OWNER-OCCUPIED/SINGLE	\$0.00	\$6,500,000.00	\$0.00
R1-A1-DS-13-PLAN, R1-A1-PLANNING	\$226,037.18	\$1,000,000.00	\$226,037.18
R1-A1-MOST IMPACT-DS-13-ECON, R1-A1-MOST IMPACT-	\$0.00	\$2,000,000.00	\$0.00
R1-A1-MOST IMPACT-DS-13-MF, R1-A1-MOST IMPACT-MULTI-	\$0.00	\$5,000,000.00	\$0.00
R1-A1-MOST IMPACT-DS-13-OORR, R1-A1-MOST IMPACT-	\$0.00	\$0.00	\$0.00



## Activities

<b>Grantee Activity Number:</b>	<b>R1-A1-DS-13-ADMN</b>
<b>Activity Title:</b>	<b>R1-A1-ADMINISTRATION</b>

**Activity Category:**

Administration

**Project Number:**

R1-A1-DS-13-ADMN

**Projected Start Date:**

08/22/2013

**Benefit Type:**

( )

**National Objective:**

N/A

**Activity Status:**

Under Way

**Project Title:**

R1-A1-ADMINISTRATION

**Projected End Date:**

08/22/2015

**Completed Activity Actual End Date:**

**Responsible Organization:**

STATE OF CONNECTICUT

Overall	Oct 1 thru Dec 31, 2013	To Date
<b>Total Projected Budget from All Sources</b>	N/A	\$500,000.00
<b>Total Budget</b>	\$500,000.00	\$500,000.00
<b>Total Obligated</b>	\$0.00	\$0.00
<b>Total Funds Drawdown</b>	\$0.00	\$0.00
<b>Program Funds Drawdown</b>	\$0.00	\$0.00
<b>Program Income Drawdown</b>	\$0.00	\$0.00
<b>Program Income Received</b>	\$0.00	\$0.00
<b>Total Funds Expended</b>	\$30,833.00	\$30,833.00
STATE OF CONNECTICUT	\$30,833.00	\$30,833.00
<b>Match Contributed</b>	\$0.00	\$0.00

**Activity Description:**

Grant Administration activities to ensure that assistance will be provided to as many residents affected by Superstorm Sandy as soon as possible in a consistent and coordinated maner, to assist them in repairing their homes, small buisnesses, infastructure and public facilities, so that they can get their lives back in order and get the local economy back in buisness.

**Location Description:**

Grant Administration activities to implement the CGBG-DR program in areas impacted by Superstorm Sandy located in Fairfield County, New Haven County, Middlesex County, New London County and Mashantucket Pequot Indian Reservation.

**Activity Progress Narrative:**

Administrative salaries and program development.

**Accomplishments Performance Measures**

**No Accomplishments Performance Measures found.**



## Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

## Activity Locations

No Activity Locations found.

## Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

### Other Funding Sources

Amount

No Other Funding Sources Found

Total Other Funding Sources

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<b>Grantee Activity Number:</b>	<b>R1-A1-DS-13-HSG-LM</b>
<b>Activity Title:</b>	<b>R1-A1- HSG-REHAB/RECONSTRUCT</b>

**Activity Category:**

Rehabilitation/reconstruction of residential structures

**Activity Status:**

Under Way

**Project Number:**

R1-A1-DS-13-OORR

**Project Title:**

R1-A1-OWNER-OCCUPIED/SINGLE FAMILY

**Projected Start Date:**

08/22/2013

**Projected End Date:**

08/22/2015

**Benefit Type:**

Direct ( HouseHold )

**Completed Activity Actual End Date:**

**National Objective:**

Low/Mod

**Responsible Organization:**

STATE OF CONNECTICUT

<b>Overall</b>	<b>Oct 1 thru Dec 31, 2013</b>	<b>To Date</b>
<b>Total Projected Budget from All Sources</b>	N/A	\$500,000.00
<b>Total Budget</b>	\$0.00	\$500,000.00
<b>Total Obligated</b>	\$0.00	\$0.00
<b>Total Funds Drawdown</b>	\$0.00	\$0.00
<b>Program Funds Drawdown</b>	\$0.00	\$0.00
<b>Program Income Drawdown</b>	\$0.00	\$0.00
<b>Program Income Received</b>	\$0.00	\$0.00
<b>Total Funds Expended</b>	\$13,500.66	\$13,500.66
STATE OF CONNECTICUT	\$13,500.66	\$13,500.66
<b>Match Contributed</b>	\$0.00	\$0.00

**Activity Description:**

The State's Housing Recovery programs was established to meet the unmet housing needs of communities most impacted by Superstorm Sandy including the costs of repairs, reconstruction and new construction that insurance, FEMA and any other sources of funding does not cover. The general objectives of the State's housing programs include assisting people by replacing and rehabilitating homes, including identifying opportunities for mitigation enhancement measures and improving the resilience of their homes while restoring their buildings/residences.

**Location Description:**

Low to Moderate income owner occupied properties impacted by Superstorm Sandy located in Middlesex County, New London County and the Mashantucket Pequot Indian Reservation.

**Activity Progress Narrative:**

The State is making progress on the disaster recovery programs outlined in its approved Action Plan. Several efforts have been made to promote the program and to provide technical assistance to potential applicants. Efforts include press releases issued by the Governor, multi-media news campaign targeted to property owners in the areas most impacted by Superstorm Sandy and community meetings with presentations from program staff. These sessions were designed to review the program guidelines, the application process, as well as address any issues of concerns and answer questions that the property owners may have regarding the program. Information is also available on the Department of Housing's web portal dedicated to Superstorm Sandy Recovery. Outreach efforts remain ongoing.

>  
>This quarter, a total of 222 applications were submitted from residents of the Mashantucket Pequot Indian Reservation, Middlesex and New London Counties for assistance under the CDBG-DR Owner Occupied Rehabilitation and Reconstruction program. Applications were reviewed and prioritized in accordance with program guidelines and were classified as follows: 7 were low to moderate income and 20 were urgent need national objectives. Of the 7 low to moderate income



applications, 6 were reviewed and issued program eligibility letters and 1 is presently under review.

### Accomplishments Performance Measures

No Accomplishments Performance Measures found.

### Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

### Activity Locations

No Activity Locations found.

### Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

#### Other Funding Sources

Amount

No Other Funding Sources Found

Total Other Funding Sources

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<b>Grantee Activity Number:</b>	<b>R1-A1-DS-13-HSG-UN</b>
<b>Activity Title:</b>	<b>R1-A1-HSG- REHAB/RECONSTRUCT</b>

**Activity Category:**  
Rehabilitation/reconstruction of residential structures

**Activity Status:**  
Under Way

**Project Number:**  
R1-A1-DS-13-OORR

**Project Title:**  
R1-A1-OWNER-OCCUPIED/SINGLE FAMILY

**Projected Start Date:**  
08/22/2013

**Projected End Date:**  
08/22/2015

**Benefit Type:**  
Direct ( HouseHold )

**Completed Activity Actual End Date:**

**National Objective:**  
Urgent Need

**Responsible Organization:**  
STATE OF CONNECTICUT

<b>Overall</b>	<b>Oct 1 thru Dec 31, 2013</b>	<b>To Date</b>
<b>Total Projected Budget from All Sources</b>	N/A	\$1,000,000.00
<b>Total Budget</b>	\$0.00	\$1,000,000.00
<b>Total Obligated</b>	\$0.00	\$0.00
<b>Total Funds Drawdown</b>	\$0.00	\$0.00
<b>Program Funds Drawdown</b>	\$0.00	\$0.00
<b>Program Income Drawdown</b>	\$0.00	\$0.00
<b>Program Income Received</b>	\$0.00	\$0.00
<b>Total Funds Expended</b>	\$45,002.00	\$45,002.00
STATE OF CONNECTICUT	\$45,002.00	\$45,002.00
<b>Match Contributed</b>	\$0.00	\$0.00

**Activity Description:**

The State's Housing Recovery programs was established to meet the unmet housing needs of communities most impacted by Superstorm Sandy including the costs of repairs, reconstruction and new construction that insurance, FEMA and any other sources of funding does not cover. The general objectives of the State's housing programs include assisting people by replacing and rehabilitating homes, including identifying opportunities for mitigation enhancement measures and improving the resilience of their homes while restoring their buildings/residences.

**Location Description:**

Owner occupied properties with an unmet need impacted by Superstorm Sandy located in Middlesex County, New London County and the Mashantucket Pequot Indian Reservation.

>



### Activity Progress Narrative:

The State is making progress on the disaster recovery programs outlined in its approved Action Plan. Several efforts have been made to promote the program and to provide technical assistance to potential applicants. Efforts include press releases issued by the Governor, multi-media news campaign targeted to property owners in the areas most impacted by Superstorm Sandy and community meetings with presentations from program staff. These sessions were designed to review the program guidelines, the application process, as well as address any issues of concerns and answer questions that the property owners may have regarding the program. Information is also available on the Department of Housing's web portal dedicated to Superstorm Sandy Recovery. Outreach efforts remain ongoing.

>  
>This quarter, a total of 222 applications were submitted from residents of the Mashantucket Pequot Indian Reservation, Middlesex and New London Counties for assistance under the CDBG-DR Owner Occupied Rehabilitation and Reconstruction program. Applications were reviewed and prioritized in accordance with program guidelines and were classified as follows: 7 were low to moderate income and 20 were urgent need national objectives. Of the 20 urgent need applications 15 were reviewed and issued program determination letters. 7 were issued program eligibility letters, 2 were issued mitigation only letters which is an activity that CT is not funding under this tranche of funds, 6 were found ineligible for program assistance and 5 are presently under review.

### Accomplishments Performance Measures

**No Accomplishments Performance Measures found.**

### Beneficiaries Performance Measures

**No Beneficiaries Performance Measures found.**

### Activity Locations

**No Activity Locations found.**

### Other Funding Sources Budgeted - Detail

**No Other Match Funding Sources Found**

#### Other Funding Sources

**Amount**

No Other Funding Sources Found

Total Other Funding Sources

**Grantee Activity Number:** R1-A1-DS-13-PLAN

**Activity Title:** R1-A1-PLANNING

**Activity Category:**

Planning

**Activity Status:**

Under Way

**Project Number:**

R1-A1-DS-13-PLAN

**Project Title:**

R1-A1-PLANNING

**Projected Start Date:**

08/22/2013

**Projected End Date:**

08/22/2015

**Benefit Type:**

Area ( )

**Completed Activity Actual End Date:**

**National Objective:**

N/A

**Responsible Organization:**

STATE OF CONNECTICUT

**Overall**

	<b>Oct 1 thru Dec 31, 2013</b>	<b>To Date</b>
<b>Total Projected Budget from All Sources</b>	N/A	\$1,000,000.00
<b>Total Budget</b>	\$1,000,000.00	\$1,000,000.00
<b>Total Obligated</b>	\$226,038.00	\$226,038.00
<b>Total Funds Drawdown</b>	\$226,037.18	\$226,037.18
<b>Program Funds Drawdown</b>	\$226,037.18	\$226,037.18
<b>Program Income Drawdown</b>	\$0.00	\$0.00
<b>Program Income Received</b>	\$0.00	\$0.00
<b>Total Funds Expended</b>	\$84,337.00	\$84,337.00
STATE OF CONNECTICUT	\$84,337.00	\$84,337.00
<b>Match Contributed</b>	\$0.00	\$0.00

**Activity Description:**

Program Planning activities associated with improving the resiliency of infrastructure, public buildings and providing mitigation activities. These activities might include: Developing CDBG-DR program and activities; The formulation of local long-term recovery plans; Plans to address foreseeable mitigation needs at the state, regional or local level; Research to develop strategies to address the health and safety of homeless individuals and families and other vulnerable populations; Plans to avoid fuel shortages during future disasters; and Scoping of mitigation and resiliency projects, particularly as they relate to critical infrastructure.

**Location Description:**

Areas most impacted by superstorm Sandy located in Fairfield County, New Haven County, Middlesex County, New London County and the Mashantucket Pequot Indian Reservation

**Activity Progress Narrative:**

Significant strides have been made in developing program policies and procedures. Planning funds were used for program development and administrative salaries prior to the approval of the Action Plan.

**Accomplishments Performance Measures**

**No Accomplishments Performance Measures found.**



## Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

## Activity Locations

No Activity Locations found.

## Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

### Other Funding Sources

Amount

No Other Funding Sources Found

Total Other Funding Sources

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<b>Grantee Activity Number:</b>	<b>R1-A1-MOST IMPACT-DS-13-ECDEV-MI</b>
<b>Activity Title:</b>	<b>R1-A1-MOST IMPACT-ECON REVITALIZATION MOST IMPACT</b>

**Activity Category:**

Econ. development or recovery activity that creates/retains jobs

**Project Number:**

R1-A1-MOST IMPACT-DS-13-ECON

**Projected Start Date:**

08/22/2013

**Benefit Type:**

Direct ( Person )

**National Objective:**

Urgent Need

**Activity Status:**

Under Way

**Project Title:**

R1-A1-MOST IMPACT-ECONOMIC REVITALIZATION

**Projected End Date:**

08/22/2015

**Completed Activity Actual End Date:**

**Responsible Organization:**

STATE OF CONNECTICUT

<b>Overall</b>	<b>Oct 1 thru Dec 31, 2013</b>	<b>To Date</b>
<b>Total Projected Budget from All Sources</b>	N/A	\$2,000,000.00
<b>Total Budget</b>	\$0.00	\$2,000,000.00
<b>Total Obligated</b>	\$0.00	\$0.00
<b>Total Funds Drawdown</b>	\$0.00	\$0.00
<b>Program Funds Drawdown</b>	\$0.00	\$0.00
<b>Program Income Drawdown</b>	\$0.00	\$0.00
<b>Program Income Received</b>	\$0.00	\$0.00
<b>Total Funds Expended</b>	\$0.00	\$0.00
STATE OF CONNECTICUT	\$0.00	\$0.00
<b>Match Contributed</b>	\$0.00	\$0.00

**Activity Description:**

The Economic Revitalization Program was established to provide assistance to eligible small businesses that suffered direct physical damage as a result of Superstorm Sandy with the financial resources needed to continue the rebuilding process. Grants may be provided to small businesses, including non-profit organizations for capital expenditures to repair or replace needed equipment, lost inventory, rehabilitation, reconstruction of facilities that were damaged/destroyed or to provide working capital needed as a direct result of the storm while promoting job retention and job creation.

**Location Description:**

The Economic Revitalization Program is designed to meet the needs of small businesses in the communities most impacted by Superstorm Sandy located in Fairfield County, New Haven County, Middlesex County, New London County and the Mashantucket Pequot Indian Reservation. Priority has been given to the small business located in the Fairfield and New Haven Counties.

**Activity Progress Narrative:**

The State is making progress on the disaster recovery programs outlined in its approved Action Plan. Several efforts have been made to promote the program and to provide technical assistance to potential applicants. Efforts include press releases issued by the Governor, multi-media news campaign targeted to business owners in the areas most impacted by Superstorm Sandy and community meetings with presentations from program staff. These sessions were designed to review the program guidelines, the application process, as well as address any issues of concerns and answer questions that the small business/property owners may have regarding the program. Information is also available on the Department of Housing's web portal dedicated to Storm Sandy Recovery. Outreach efforts remain ongoing. During this quarter, six (6) applications have been submitted for the Economic Revitalization - Small Business Express program. One (1) of which has been approved and forwarded to the attorney for closing, one (1) is under financial review, one (1) has



been withdrawn by the applicant and three (3) are incomplete. DECD staff is presently working with the applicants with incomplete applications to submit the missing documentation in order to review these applications.

### Accomplishments Performance Measures

No Accomplishments Performance Measures found.

### Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

### Activity Locations

No Activity Locations found.

### Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

#### Other Funding Sources

Amount

No Other Funding Sources Found

Total Other Funding Sources

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<b>Grantee Activity Number:</b>	<b>R1-A1-MOST IMPACT-DS-13-HSG-LM</b>
<b>Activity Title:</b>	<b>R1-A1-MOST IMPACT-LOW-MOD REHAB/RECONSTRUCT</b>

**Activity Category:**

Rehabilitation/reconstruction of residential structures

**Project Number:**

R1-A1-MOST IMPACT-DS-13-OORR

**Projected Start Date:**

08/22/2013

**Benefit Type:**

Direct ( HouseHold )

**National Objective:**

Low/Mod

**Activity Status:**

Under Way

**Project Title:**

R1-A1-MOST IMPACT-OWNER OCCUPIED/SINGLE

**Projected End Date:**

08/22/2015

**Completed Activity Actual End Date:**

**Responsible Organization:**

STATE OF CONNECTICUT

<b>Overall</b>	<b>Oct 1 thru Dec 31, 2013</b>	<b>To Date</b>
<b>Total Projected Budget from All Sources</b>	N/A	\$2,500,000.00
<b>Total Budget</b>	\$0.00	\$2,500,000.00
<b>Total Obligated</b>	\$0.00	\$0.00
<b>Total Funds Drawdown</b>	\$0.00	\$0.00
<b>Program Funds Drawdown</b>	\$0.00	\$0.00
<b>Program Income Drawdown</b>	\$0.00	\$0.00
<b>Program Income Received</b>	\$0.00	\$0.00
<b>Total Funds Expended</b>	\$112,505.50	\$112,505.50
STATE OF CONNECTICUT	\$112,505.50	\$112,505.50
<b>Match Contributed</b>	\$0.00	\$0.00

**Activity Description:**

The State's Housing Recovery programs was established to meet the unmet housing needs of communities most impacted by Superstorm Sandy including the costs of repairs, reconstruction and new construction that insurance, FEMA and any other sources of funding does not cover. The general objectives of the State's housing programs include assisting people by replacing and rehabilitating homes, including identifying opportunities for mitigation enhancement measures and improving the resilience of their homes while restoring their buildings/residences.

**Location Description:**

Low to moderate income owner occupied properties impacted by Superstorm Sandy located in Fairfield County and New Haven County.

**Activity Progress Narrative:**

The State is making progress on the disaster recovery programs outlined in its approved Action Plan. Several efforts have been made to promote the program and to provide technical assistance to potential applicants. Efforts include press releases issued by the Governor, multi-media news campaign targeted to property owners in the areas most impacted by Superstorm Sandy and community meetings with presentations from program staff. These sessions were designed to review the program guidelines, the application process, as well as address any issues of concerns and answer questions that the property owners may have regarding the program. Information is also available on the Department of Housing's web portal dedicated to Superstorm Sandy Recovery. Outreach efforts remain ongoing.

This quarter, a total of 222 applications were submitted from residents of Fairfield and New Haven Counties for assistance under the CDBG-DR Owner Occupied Rehabilitation and Reconstruction program. Applications were reviewed and prioritized in accordance with program guidelines and were classified as follows: 67 low to moderate income and 155 urgent need national objectives. Of the 67 low to moderate income applications, 59 were issued program eligibility letters and 8 are presently under



review.

### Accomplishments Performance Measures

No Accomplishments Performance Measures found.

### Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

### Activity Locations

No Activity Locations found.

### Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

#### Other Funding Sources

Amount

No Other Funding Sources Found

Total Other Funding Sources

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<b>Grantee Activity Number:</b>	<b>R1-A1-MOST IMPACT-DS-13-HSG-MI</b>
<b>Activity Title:</b>	<b>R1-A1-MOST IMPACT REHAB/RECONSTRUCT</b>

**Activity Category:**

Rehabilitation/reconstruction of residential structures

**Activity Status:**

Under Way

**Project Number:**

R1-A1-MOST IMPACT-DS-13-OORR

**Project Title:**

R1-A1-MOST IMPACT-OWNER OCCUPIED/SINGLE

**Projected Start Date:**

08/22/2013

**Projected End Date:**

08/22/2015

**Benefit Type:**

Direct ( HouseHold )

**Completed Activity Actual End Date:**

**National Objective:**

Urgent Need

**Responsible Organization:**

STATE OF CONNECTICUT

<b>Overall</b>	<b>Oct 1 thru Dec 31, 2013</b>	<b>To Date</b>
<b>Total Projected Budget from All Sources</b>	N/A	\$2,500,000.00
<b>Total Budget</b>	\$0.00	\$2,500,000.00
<b>Total Obligated</b>	\$0.00	\$0.00
<b>Total Funds Drawdown</b>	\$0.00	\$0.00
<b>Program Funds Drawdown</b>	\$0.00	\$0.00
<b>Program Income Drawdown</b>	\$0.00	\$0.00
<b>Program Income Received</b>	\$0.00	\$0.00
<b>Total Funds Expended</b>	\$279,013.64	\$279,013.64
STATE OF CONNECTICUT	\$279,013.64	\$279,013.64
<b>Match Contributed</b>	\$0.00	\$0.00

**Activity Description:**

The State's Housing Recovery programs was established to meet the unmet housing needs of communities most impacted by Superstorm Sandy including the costs of repairs, reconstruction and new construction that insurance, FEMA and any other sources of funding does not cover. The general objectives of the State's housing programs include assisting people by replacing and rehabilitating homes, including identifying opportunities for mitigation enhancement measures and improving the resilience of their homes while restoring their buildings/residences.

**Location Description:**

Owner occupied properties with an unmet need impacted by Superstorm Sandy located in Fairfield County and New Haven County.

**Activity Progress Narrative:**

The State is making progress on the disaster recovery programs outlined in its approved Action Plan. Several efforts have been made to promote the program and to provide technical assistance to potential applicants. Efforts include press releases issued by the Governor, multi-media news campaign targeted to property owners in the areas most impacted by Superstorm Sandy and community meetings with presentations from program staff. These sessions were designed to review the program guidelines, the application process, as well as address any issues of concerns and answer questions that the property owners may have regarding the program. Information is also available on the Department of Housing's web portal dedicated to Superstorm Sandy Recovery. Outreach efforts remain ongoing. This quarter, a total of 222 applications were submitted from residents of Fairfield and New Haven Counties for assistance under the CDBG-DR Owner Occupied Rehabilitation and Reconstruction program. Applications were reviewed and prioritized in accordance with program guidelines and were classified as follows: 67 low to moderate income and 155 urgent need national objectives. Of the 155 urgent need applications 135 were reviewed and issued program determination letters. 96 were issued program eligibility letters, 21 were issued mitigation only letters which is an activity that CT is not funding under this tranche of



funds, 25 were found ineligible for program assistance and 20 are presently under review.

### Accomplishments Performance Measures

No Accomplishments Performance Measures found.

### Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

### Activity Locations

No Activity Locations found.

### Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

#### Other Funding Sources

Amount

No Other Funding Sources Found

Total Other Funding Sources

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<b>Grantee Activity Number:</b>	<b>R1-A1-MOST IMPACT-DS-13-MFRO-LM</b>
<b>Activity Title:</b>	<b>R1-A1-MOST IMPACT-MULTI-FAMILY OUTSIDE FLOOD PLAIN</b>

**Activity Category:**

Affordable Rental Housing

**Project Number:**

R1-A1-MOST IMPACT-DS-13-MF

**Projected Start Date:**

08/22/2013

**Benefit Type:**

Direct ( HouseHold )

**National Objective:**

Low/Mod

**Activity Status:**

Under Way

**Project Title:**

R1-A1-MOST IMPACT-MULTI-FAMILY/RENTAL

**Projected End Date:**

08/22/2015

**Completed Activity Actual End Date:**

**Responsible Organization:**

STATE OF CONNECTICUT

**Overall**

	<b>Oct 1 thru Dec 31, 2013</b>	<b>To Date</b>
<b>Total Projected Budget from All Sources</b>	N/A	\$500,000.00
<b>Total Budget</b>	\$0.00	\$500,000.00
<b>Total Obligated</b>	\$0.00	\$0.00
<b>Total Funds Drawdown</b>	\$0.00	\$0.00
<b>Program Funds Drawdown</b>	\$0.00	\$0.00
<b>Program Income Drawdown</b>	\$0.00	\$0.00
<b>Program Income Received</b>	\$0.00	\$0.00
<b>Total Funds Expended</b>	\$0.00	\$0.00
STATE OF CONNECTICUT	\$0.00	\$0.00
<b>Match Contributed</b>	\$0.00	\$0.00

**Activity Description:**

Multifamily Rehabilitation, Rebuilding and Mitigation outside the flood plain.

**Location Description:**

Multifamily properties including public housing, HUD-assisted housing, McKinney-Vento funded shelters and housing for the homeless, which were impacted by Superstorm Sandy and located in any community in Fairfield and New Haven counties.

**Activity Progress Narrative:**

**Accomplishments Performance Measures**

No Accomplishments Performance Measures found.

**Beneficiaries Performance Measures**

No Beneficiaries Performance Measures found.



## Activity Locations

No Activity Locations found.

## Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

### Other Funding Sources

Amount

No Other Funding Sources Found

Total Other Funding Sources

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<b>Grantee Activity Number:</b>	<b>R1-A1-MOST IMPACT-DS-13-RRMI-LM</b>
<b>Activity Title:</b>	<b>R1-A1-MOST IMPACT-MULTI-FAMILY INSIDE FLOOD PLAIN</b>

**Activity Category:**

Affordable Rental Housing

**Project Number:**

R1-A1-MOST IMPACT-DS-13-MF

**Projected Start Date:**

08/22/2013

**Benefit Type:**

Direct ( HouseHold )

**National Objective:**

Low/Mod

**Activity Status:**

Under Way

**Project Title:**

R1-A1-MOST IMPACT-MULTI-FAMILY/RENTAL

**Projected End Date:**

08/22/2015

**Completed Activity Actual End Date:**

**Responsible Organization:**

STATE OF CONNECTICUT

<b>Overall</b>	<b>Oct 1 thru Dec 31, 2013</b>	<b>To Date</b>
<b>Total Projected Budget from All Sources</b>	N/A	\$3,500,000.00
<b>Total Budget</b>	\$0.00	\$3,500,000.00
<b>Total Obligated</b>	\$0.00	\$0.00
<b>Total Funds Drawdown</b>	\$0.00	\$0.00
<b>Program Funds Drawdown</b>	\$0.00	\$0.00
<b>Program Income Drawdown</b>	\$0.00	\$0.00
<b>Program Income Received</b>	\$0.00	\$0.00
<b>Total Funds Expended</b>	\$0.00	\$0.00
STATE OF CONNECTICUT	\$0.00	\$0.00
<b>Match Contributed</b>	\$0.00	\$0.00

**Activity Description:**

Multifamily Low to Moderate Rehabilitation, Rebuilding and Mitigation inside the flood plain.

**Location Description:**

Multifamily properties including public housing, HUD-assisted housing, McKinney-Vento funded shelters and housing for the homeless, which were impacted by Superstorm Sandy and located in any community in Fairfield and New Haven counties.

**Activity Progress Narrative:**

The State has made great progress in the Multifamily Assistance Program this quarter. Two large multifamily projects that were impacted by Superstorm Sandy were submitted and reviewed for financial assistance. Washington Village - Washington Village Phase One, one will reclaim two vacant and city owned brownfield sites for the construction 80 residential units in the South Norwalk Neighborhood of Norwalk, CT. The project is the initial phase of a larger multiphase redevelopment effort that will replace the oldest public housing in the State of Connecticut, the 136unit Washington Village property, with a new 273unit, mixed income community. The original Washington Village was built in 1941; is one of the oldest public housing developments in the State of Connecticut; is outdated and has a negative impact on the surrounding neighborhood according to a Common Council Member. The new plan, they believe, will transform the area and provide an opportunity for former residents of the old public housing development to move back into Washington Village in the new development and to live in a diverse neighborhood which will include a broader range of income levels. The total cost of Phase I is \$32,600,000. On December 19 2013, DOH issued a soft letter of support to the developer, Trinity Financial Village Phase One Limited Partnership, stating an interest in the project to fill a financial gap of \$9,855,590 with CDBG-DR funds.



>Broad Street- Broad Street is the first phase of a multi-phase project to redevelop Marina Village, which was damaged by Superstorm Sandy. The project comprises the construction of 70 new affordable housing new units contained in 7 buildings on a vacant 2.58 acre lot in the south end of Bridgeport. The developer plans to create a mixed-income and mixed-use development with the goal of creating a neighborhood that is physically and socially integrated. Located close to transportation nodes and to Seaside Park, and close to historic resources, it is anticipated that this new development will stimulate additional economic development in the neighborhood. The total cost of Phase 1 is \$ 32,094,775. On December 19, 2013, DOH issued a soft letter of support to the developer, JHM Financial Group LLC, stating an interest in the project to fill a financial gap of \$6,000,000 with CDBG-DR funds.

## Accomplishments Performance Measures

**No Accomplishments Performance Measures found.**

## Beneficiaries Performance Measures

**No Beneficiaries Performance Measures found.**

## Activity Locations

**No Activity Locations found.**

## Other Funding Sources Budgeted - Detail

**No Other Match Funding Sources Found**

### Other Funding Sources

Amount

No Other Funding Sources Found

Total Other Funding Sources

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<b>Grantee Activity Number:</b>	<b>R1-A1-MOST IMPACT-DS-13-SSRR-LM</b>
<b>Activity Title:</b>	<b>R1-A1-MOST IMPACT-SCAT SITE MF LOW/MOD REHAB/RECON</b>

**Activity Category:**

Rehabilitation/reconstruction of residential structures

**Project Number:**

R1-A1-MOST IMPACT-DS-13-MF

**Projected Start Date:**

08/22/2013

**Benefit Type:**

Direct ( HouseHold )

**National Objective:**

Low/Mod

**Activity Status:**

Under Way

**Project Title:**

R1-A1-MOST IMPACT-MULTI-FAMILY/RENTAL

**Projected End Date:**

08/22/2015

**Completed Activity Actual End Date:**

**Responsible Organization:**

STATE OF CONNECTICUT

<b>Overall</b>	<b>Oct 1 thru Dec 31, 2013</b>	<b>To Date</b>
<b>Total Projected Budget from All Sources</b>	N/A	\$1,000,000.00
<b>Total Budget</b>	\$0.00	\$1,000,000.00
<b>Total Obligated</b>	\$0.00	\$0.00
<b>Total Funds Drawdown</b>	\$0.00	\$0.00
<b>Program Funds Drawdown</b>	\$0.00	\$0.00
<b>Program Income Drawdown</b>	\$0.00	\$0.00
<b>Program Income Received</b>	\$0.00	\$0.00
<b>Total Funds Expended</b>	\$0.00	\$0.00
STATE OF CONNECTICUT	\$0.00	\$0.00
<b>Match Contributed</b>	\$0.00	\$0.00

**Activity Description:**

The State's Housing Recovery programs was established to meet the unmet housing needs of communities most impacted by Superstorm Sandy including the costs of repairs, reconstruction and new construction that insurance, FEMA and any other sources of funding does not cover. The general objectives of the State's housing programs include assisting people by replacing and rehabilitating homes, including identifying opportunities for mitigation enhancement measures and improving the resilience of their homes while restoring their buildings/residences.

**Location Description:**

One-four unit low to moderate income rental occupied properties which were damaged by Superstorm Sandy and located in any community in Fairfield and New Haven counties.

**Activity Progress Narrative:**

The State has made great progress in the Multifamily Assistance Program this quarter. Considerable outreach was conducted in order to advertise the program and provide potential applicants with information regarding all program aspects including but not limited to program objectives, eligibility requirements, program priorities, forms of assistance, allowable costs, policies and procedures, and application information. Program policies, procedures and guidelines were developed and posted of the department's website for the seven day federally mandated comment period. On October 28th the state began accepting applications for the Scattered Site rehabilitation and prebuilding program. As of December 31, 2013, 22 applications were submitted and there were an additional 32 applications in the database in progress. DOH staff conducted preliminary review of these applications and homeowners received initial review letters regarding the status of their applications.



### Accomplishments Performance Measures

No Accomplishments Performance Measures found.

### Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

### Activity Locations

No Activity Locations found.

### Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

#### Other Funding Sources

Amount

No Other Funding Sources Found

Total Other Funding Sources

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