

WARNING TO INVESTMENT-ADVISERS

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Investment Adviser Renewal Fee Scam E-mail

The Department has received reports that some investment advisers have received an email notifying them that their investment adviser renewal fees have increased.

The email impersonates Banking Department Manager Kevin Maher.

If you receive this e-mail, do not click on the link it contains, and delete it immediately.

The email may contain malware.

Fee increases are announced through formal channels such as the Department of Banking website and the agency's Securities Bulletin.

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