



State of Connecticut
Department of Banking
Securities and Business Investments Division
260 Constitution Plaza, Hartford, CT 06103



Week #4: Stockbrokers

GOV. RELL: I'm Governor Jodi Rell inviting you to listen to today's "Informed Investor," with the Securities Division within the Department of Banking and AARP-Connecticut for the Campaign for Wise and Safe Investing.

ANNOUNCER: Stockbrokers should do more than just buy and sell your stocks. So make sure your broker knows about you -- your finances, needs, goals and your tolerance for risk.

Always get information about any investments you're considering, so you'll know the risks and other factors. And be sure to get a confirmation of your trade as required by law. Then, review it for accuracy.

Before you make any investment with a stockbroker, check out their professional background with the Connecticut Securities Division.

This is brought to you by The Informed Investor -- The Connecticut Securities Division and AARP urge you to learn more about investment fraud.

We're here to help.

Go to our website at ct.gov/dob or call 1-800-831-7225. That's 1-800-831-7225.