ASSERIVE COMMUNITY TREATMENT (ACT)

A. The Contractor shall provide Assertive Community Treatment (ACT) services to individuals age eighteen (18) or older who have serious and persistent psychiatric disorders, or co-occurring serious and persistent psychiatric and substance use disorders who are determined by a Department approved assessment tool to be clinically appropriate to receive such services; and who have been unsuccessful in completing treatment or participating in lower levels of care, or who have been discharged from multiple or extended stays in hospitals; and who are medically indigent. The Contractor shall have a written plan of care or initial assessment by a physician or licensed practitioner of the healing arts that documents the individual's need for ACT services. Medically indigent is defined as having no private or public health care coverage that will pay for the services to be provided by the Contractor and no access to, or eligibility for, such coverage.

ACT services are a set of evidence based practices provided by mobile, community based staff operating as multidisciplinary teams of professionals, paraprofessionals and recovery support specialists, who have been specifically trained to provide ACT services. ACT services shall be recovery oriented, and include intensive engagement, skill building, community support, crisis services and treatment interventions. ACT services shall include clinical and psychosocial services. The Contractor shall maintain compliance with the National Program Standards for ACT Teams (www.ct.gov/dmhas/LIB/dmhas/MRO/ACTstandards.pdf).

B. Specifically, the Contractor shall:
   1. Cultivate and maintain positive and productive relationships that assist individuals to attend and participate in services and activities that support their recovery;
   2. Conduct assessments at least annually or more frequently as warranted by changes in the individual’s clinical presentation or life situation. The provider shall conduct, at minimum, the Level of Care Utilization System (LOCUS) Assessment and a functional assessment. An individual should have a LOCUS score of at least twenty (20) to be considered for CSP services. Providers may use any Department-approved functional assessment tool that includes the following domains:
      a. Independent Living Skills,
      b. Personal Care,
      c. Safety,
      d. Money Management,
      e. Transportation,
      f. Interpersonal Communication Skills,
      g. Health Awareness,
      h. Coping, Stress & Impulse Control Skills,
      i. Cognitive Functioning,
      j. Employment & Education,
      k. Leisure, and
      l. Rights & Advocacy;
   3. Assist individuals to identify and use personal strengths to develop skills, and identify recovery resources and supports needed for independence and successful community living;
   4. Develop, with each individual, a recovery plan that addresses identified needs in areas such as employment, education, self management skills, relapse prevention, and social skills training. Such plans shall contain goals identified by the individual and shall provide detailed information on goals, objectives, tasks, and interventions, and shall identify the individual responsible and time frames for accomplishment;
   5. Provide skill building instruction and other rehabilitative activities to increase the individual’s independence in accordance with their rehabilitation plans. Such instruction and activity shall include at minimum, but are not limited to the following:
      a. Teaching, coaching and assisting with daily living activities such as personal grooming, meal planning and preparation, shopping, medication compliance, the use of transportation, management of financial resources, use of leisure time, and interpersonal communication,
      b. Assistance with the development of coping strategies, self-management alternatives, response strategies for substance use triggers, and problem-solving skills,
      c. Supportive counseling directed at resolving problems related to community living and interpersonal relationships,
d. Individualized and group instructions pertaining to the alleviation and management of psychiatric symptoms and substance use disorders. ACT services shall be provided primarily as an individual service; groups should have no more than six individuals.

e. Orientation to community resources and recovery supports including mentors, self help and advocacy groups, and facilitation of access to such resources, and

f. Assistance in gaining access to other necessary rehabilitative services, medical services, general entitlement benefits, or other community services and recovery supports through service coordination activities;

6. Provide needed clinical services and supports, including, but not limited to:
   a. Prescribing, administering, and monitoring medications; and education about prescribed medications and their side effects,
   b. Counseling and psychotherapy, including integrated treatment for substance use disorders as appropriate, and
   c. Crisis assessment, interventions and support;

7. Assist individuals to gain successful competitive employment or enroll in educational programs;

8. Involve family members, significant others, and authorized advocates in the development of the rehabilitation plan and the delivery of services, as desired by the individual and as appropriate.

9. Provide education, support and consultation to family members of individuals enrolled in ACT;

10. Monitor the individual’s rehabilitation plan on an on-going basis;

11. Complete a review of the rehabilitation plan and determine the appropriateness of the placement every ninety (90) days;

12. Provide a minimum of three (3) hours per month of supervision to all ACT staff through weekly meetings with a master’s level clinician and document such supervision in the personnel record; and

13. Maintain successful collaboration with the Local Mental Health Authority (LMHA), and successful relationships with other community providers of services and supports.

C. The Contractor shall provide ACT services that are:

1. Provided by mobile, community based, multidisciplinary teams of professionals and para-professionals, including peer Recovery Support Specialists, that have been trained to provide ACT services. Recovery Support Specialists must be certified through a Department approved process;

2. Delivered by ACT teams that maintain a staffing ratio of not less than 1 staff to 10 individuals;

3. Available through scheduled services for a minimum fourteen (14) hours a day Monday through Fridays, and for eight (8) hours on weekends and holidays, including evenings. The Contractor shall have ACT staff available to respond to urgent needs of individuals twenty-four (24) hours, seven (7) days a week when staff are not scheduled.

4. Provided in community settings at times and locations that reasonably accommodate the individual’s needs, and that do not interfere with the individual’s work, education and other scheduled activities.

5. Delivered by ACT staff through face to face contacts in the community at least 55% of their time.

6. Delivered face to face, individually or in groups, a minimum of four (4) times per week. Each contact shall be a minimum of fifteen (15) minutes, and shall be documented in the individual’s record.

D. The services shall be provided at the following location, with the capacity and hours of operation described below:

<table>
<thead>
<tr>
<th>Location</th>
<th>Capacity</th>
<th>Hours of Operation</th>
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E. The Contractor shall implement the services described herein to result in the following outcomes. Such outcomes shall be measured in the manner described herein. Outcome results achieved pursuant to these terms and conditions shall be monitored by the Department through data reported by the Contractor to the Department’s information systems, in observations through site visits and/or in any other required reports. The Department’s outcome indicators for the Contractor’s funded services are as follows:
PERFORMANCE OUTCOME MEASURES

ASSERITIVE COMMUNITY TREATMENT

<table>
<thead>
<tr>
<th>Outcomes</th>
<th>Measures</th>
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<tbody>
<tr>
<td>1. Contractor will meet reporting requirements in a timely manner.</td>
<td>Department required data will be submitted to the Department’s data collection system no later than the 15th day of each month.</td>
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<td>2. Contractor will meet the expected utilization rate or annual projection of individuals to be served for this level of care.</td>
<td>A utilization rate of at least 90% will be achieved.</td>
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<tr>
<td>3. Contractor will meet the expected services or contacts volume for this level of care.</td>
<td>At least 90% of projected services or contacts will be achieved.</td>
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<td>4. Individuals will report satisfaction with their services.</td>
<td>At least 80% of respondents to the Department consumer satisfaction survey will rate services positively in each of the domains of access to services, quality of services, outcomes, participation in treatment planning, respect, recovery and general satisfaction with services.</td>
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<td>5. Individuals will improve or maintain their overall functioning.</td>
<td>At least 85% of individuals served annually will maintain or increase their level of functioning as measured by the Global Assessment of Functioning Scale (GAF), or Modified Global Assessment of Functioning Scale (MGAF).</td>
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<td>6. Individuals will successfully complete treatment.</td>
<td>At least 65% of individuals discharged will have substantially completed the objectives identified on their recovery plans.</td>
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<td>7. Individuals will receive follow-up care promptly.</td>
<td>At least 90% of individuals who have successfully completed treatment will have at least one (1) residential admission or two (2) outpatient services within thirty (30) days of discharge.</td>
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<td>8. Individuals will avoid readmission to the same or higher level of care.</td>
<td>No more than 15% of individuals who have been discharged will be readmitted to the same or higher level of care within thirty (30) days.</td>
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<td>9. Individuals will improve or maintain their living situation.</td>
<td>At least 15% of individuals served annually will improve or maintain their living situation.</td>
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<td>10. Individuals will maintain or improve their employment status.</td>
<td>At least 60% of individuals served annually will maintain or increase their amount of competitive employment.</td>
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<tr>
<td>11. Individuals will improve or maintain their social supports.</td>
<td>At least 60% of individuals served annually will have increased or maintained the number of social supports.</td>
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