



STATE OF CONNECTICUT  
Department of Mental Health & Addiction Services



**Commissioner's Policy Statement and Implementing Procedures**

<b>SUBJECT:</b>	Compliance Program Expectations and Goals
<b>P &amp; P NUMBER:</b>	Chapter 3.2
<b>APPROVED:</b>	Miriam Delphin-Rittmon , Commissioner Date: 10/15/2015
<b>EFFECTIVE DATE:</b>	October 15, 2015 <i>Miriam Delphin-Rittmon</i>
<b>REVISED:</b>	11/01/2009, 10/01/2009
<b>REFERENCES:</b>	Agency Compliance Plan
<b>FORMS AND ATTACHMENTS:</b>	

**STATEMENT OF PURPOSE:** The purpose of this policy is to establish the Department of Mental Health and Addictions Services standard practices and procedures pertaining to an agency wide Compliance Program. The Agency Compliance and Integrity Officer, (ACIO) has the responsibility and full authority to administer, direct and enforce the DMHAS Compliance Program. The ACIO reports directly to the Commissioner.

**POLICY:** Compliance Programs are mandated programs that have established guidelines to assist health care providers adhere to federal and state regulations and laws. The Department of Mental Health and Addictions Services' (DMHAS) Compliance Program is in place to ensure that our agency meets the highest possible standards for all relevant state, federal and local regulations, laws and guidelines. As employees of the DMHAS we have a responsibility to conduct ourselves with the highest ethical standards, integrity and compassion. The DMHAS Compliance Plan and Polices support these values.

**PROCEDURE:** The DMHAS' compliance policies shall be developed under the direction of the Agency's Compliance and Integrity Officer and reviewed by the Executive Compliance Committee, subject to approval by the Commissioner of the DMHAS

The principal objective of this Agency Compliance Program is to establish a culture within The Department of Mental Health and Addiction Services that promotes prevention, detection, and resolution of any activities that do not conform to federal, state and local laws as well as the DMHAS' own business and ethic policies. A Compliance Program provides a framework for disseminating information and establishes mechanisms for investigating potential noncompliance. By supporting an environment of compliance, employees are encouraged to further the DMHAS' mission to provide quality care and to promote health and recovery to their clients.