**DMHAS CRITICAL INCIDENT SUBMISSION FORM**

* Mental Health PNP Affiliates: Submit this form to designated contact at your LMHA.
* PNP/State Operated LMHAs and Other Mental Health/Addiction Service Providers: Use this form to guide data entry into the online Critical Incident Application.

**The Incident**

**Contact Person:** **Contact Phone:**        **Date of Incident:** **Time of Incident:**

**Provider Reporting:       Program Reporting:**

**Location of Incident (CT Town Name or Out of State):**

**Location Type (Check one):**

[ ]  Client’s Residence

[ ]  Community *(public location, relative’s home, etc.)*

[ ]  IP Unit, DMHAS-Operated

[ ]  IP Unit, DMHAS Funded

[ ]  Jail

[ ]  Nursing Home

[ ]  Program Premises: Non-Inpatient

[ ]  Other Location

 *(please specify)*:

**Incident Category/Subcategory** *(check only one box to indicate a category type for this incident. Description of each subcategory can be found here:* [*https://portal.ct.gov/DMHAS-critical-incidents-definitions*](https://portal.ct.gov/DMHAS-critical-incidents-definitions)*).*

***Client Abuse Alleged***

[ ] Physical Abuse

[ ] Verbal Abuse

[ ] Sexual Abuse

[ ] Neglect

[ ] Exploitation

***Patient Rights***

[ ] Potential violation of patient rights with significant consequences

[ ]  Potential breach of confidentiality with significant consequences

***Death***

[ ] Suicide

[ ] Homicide

[ ] Accident

[ ] Accidental Overdose (resulting in death)

[ ] Medical Error

[ ] Illness, Age, or Medical Reason

[ ] Info Pending / Insufficient Info

***Emergency Evacuation***

[ ] Fire

[ ] Bomb

[ ] Other

***Escape (Forensic only)***

[ ] PSRB

[ ] DOC

[ ] Competency Restoration

***Federal Notification***

[ ] Secret Service

[ ] FBI

[ ] Other Federal Notice

***Medical Event***

[ ] Accidental Injury

[ ] Accidental Overdose (not resulting in death)

[ ] Medication Error/Reaction

[ ] Medical Event – Other

***Missing Client***

[ ] Missing Inpatient, Risk to Self or Others

[ ] Missing Outpatient, Risk to Self or Others

[ ] Missing Person

***Property Damage***

[ ] Property Damage – Safety Issue

[ ] Property Damage

***Serious Crime Alleged***

[ ] Physical Assault

[ ] Sexual Assault

[ ] Risk of Injury to Minor

[ ] Arson

[ ] Drug Sale / Distribution/Possession

[ ] Homicide / Manslaughter

[ ] Theft/Burglary

[ ] Other Serious Crime (specify):

***Serious Suicide Attempt***

[ ] Suicide Attempt while Active in Program

[ ] Suicide Attempt within 30 days of Discharge

***Threats***

[ ] Threats to Agency

[ ] Threats to Person

***Other***

[ ] Other Incident (specify):

**Reporting Guidelines**

* SO Facilities report to Barbara Bugella or Manager on Call
* All YAS Programs report to your assigned OOC YAS Manager
* PNP MH Affiliates of SO LMHAs report to that LMHA
* PNPs not affiliated with a SO LMHA report to their CSD Regional Manager (After normal hours, a verbal report is made by calling the switchboard at **Connecticut Valley Hospital at 860-262-5000** and requesting that the CVH Switchboard contact the Manager who is on-call.)

**Contact Information**

State Operated Facilities and MH Affiliates:

• Capitol Region: Director of Quality, 860-297-0855

• CMHC: Community Services Network of Greater New Haven 203-974-7082

• RVS: Director of Quality, 860-262-5761

• SMHA: Director of Quality Management, 860-859-4500 (weekends call Mobile Outreach 860-886-9302)

• SWCMHS: Director of Quality, 203-551-7464

• WCMHN: *Danbury 8:00-4:30* call 203-448-3200 ask for covering manager

*After hours, holidays, weekends* 203-584-0282

*Torrington* 860-482-1560 ask for onsite or manager on call

*Waterbury* 203-805-5480 ask for onsite or manager on call

Addiction Providers and MH providers who are not affiliates of state operated LMHAs:

• Regions 1, 2, & MCCA: Gina Florenzano, 860-381-9655

• Region 3: Jennifer Singh, 860-836-1198

• Regions 4, 5, & Liberation: Natalie DuMont, 860-604-5704

**State Operated Facilities**

* Staff deaths that are work-related must be reported by the facility CEO, or if an OOC employee, by the Deputy Commissioner or their designee, to CONN-OSHA within 8 hours by calling 1-866-241-4060.
* Inpatient hospitalization of 3 or more staff as a result of a work related incident must be reported by the facility CEO, or if an OOC employee, by the Deputy Commissioner, or their designee to CONN-OSHA within 8 hours by calling 1-866-241-4060.

**Does it appear that one or more of the following substances were a direct cause or contributory cause of the incident?**

 [ ]  Alcohol [ ]  Prescribed Medication [ ]  Illicit Drug [ ]  Over-the-counter Medication [ ]  Unknown

**Is there any evidence that the incident may have been a result of an alcohol/drug/medication overdose?**

 [ ]  Yes [ ]  No

**Does it appear that the incident is related to restraint or seclusion?**  [ ]  Yes [ ]  No

**Is there evidence that this incident involves domestic/relationship violence?** [ ]  Yes [ ]  No

**Media Coverage:** [ ]  Reported [ ]  Possible Report [ ]  Unlikely

**Incident Description:**

     **Is this an Agency incident?** [ ]  Yes [ ]  No If you choose YES, you do not need to fill out the **People** section below

Note: An Agency incident is generally not specific to a particular client, but involves action at the agency level. Example: Evacuation of a residence due to a fire is an Agency level CI (Emergency Evacuation – Fire). If the fire was intentionally set by a client, it would be more appropriate to categorize it as a Client level CI (Serious Crime – Arson), even if it still involved evacuating other clients.

**The People**

**Please fill out a copy of this page for each client/staff/visitor involved in this CI (if more than 2 people please use the Extra Person form)**

**PERSON #1**

**Check one box and follow the instructions**

[ ]  I am reporting on a CLIENT, **complete questions 1-6**

[ ]  I am reporting on a STAFF, **complete questions 3, 5 & 6 only**

[ ]  I am reporting on a VISITOR/OTHER, **complete questions 5-6 only**

**1. Client SSN:**

**2. Client relationship to Agency:** [ ]  client – active [ ]  former client – non active

**3. Name:**  **4. DOB:**

**5. Role:** [ ]  Victim [ ]  Perpetrator [ ]  NA

**6. Injuries:**

[ ]  No injury

[ ]  Death

[ ]  Medical intervention and/or hospitalization required

[ ]  Minor injury

[ ]  Unknown

**PERSON #2 *(skip if incident only involved one person)***

**Check one box and follow the instructions**

[ ]  I am reporting on a CLIENT, **complete questions 1-6**

[ ]  I am reporting on a STAFF, **complete questions 3, 5 & 6 only**

[ ]  I am reporting on a VISITOR/OTHER, **complete questions 5-6 only**

**1. Client SSN:**

**2. Client relationship to Agency:** [ ]  client – active [ ]  former client – non active

**3. Name:** **4. DOB:**

**5. Role:** [ ]  Victim [ ]  Perpetrator [ ]  NA

**6. Injuries:**

[ ]  No injury

[ ]  Death

[ ]  Medical intervention and/or hospitalization required

[ ]  Minor injury

[ ]  Unknown

**The Closure**

**Note: This form may be completed by a person responsible for DDaP reporting in collaboration with program management.**

**Date of Review:**  **Date Closed:**

**Closed by:**

**Primary Review Method:**

[ ]  Administrative Review

[ ]  Case conference

[ ]  Critical Incident Review

[ ]  Human Resources Review

[ ]  Other

[ ]  Root Cause Analysis

**Review Chairperson (if applicable):**

**Review Findings:**

**Corrective Actions (proposed/completed):**

**Did the Incident result in a change of policy?** [ ]  Yes [ ]  No

**Did the Incident result in a change in clinical practice?**  [ ]  Yes [ ]  No

**Did the Review find that the Incident was substantiated (i.e., was there evidence to support that the incident did occur)?**

[ ]  Yes [ ]  No

\* Required only forClient Abuse, Patient Rights, and Serious Crime categories **Final Incident Category/Subcategory:** *(check only one box to indicate a category type for this incident – based on the review findings, it may be the same or different from the category selected when the incident was reported). Description of each subcategory can be found here:* [*https://portal.ct.gov/DMHAS-critical-incidents-definitions*](https://portal.ct.gov/DMHAS-critical-incidents-definitions)*).*

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[ ] *Accidental Overdose (resulting in death)\**

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[ ] Suicide Attempt while Active in Program

[ ] Suicide Attempt within 30 days of Discharge

***Threats***

[ ] Threats to Agency

[ ] Threats to Person

***Other***

[ ] Other Incident (specify):

*\* see last question on next page*

**If the Final Incident Category is DEATH (shaded above), please fill out the following information:**

**Cause of Death:** *(choose one)*

[ ] Accident

[ ] Alzheimer’s Disease

[ ] Blood Poisoning (Septicemia)

[ ] Cancer

[ ] Chronic Lung Disease

[ ] Diabetes

[ ] Drug Overdose

[ ] Heart Disease

[ ] Hypertension/Renal Disease

[ ] Influenza/Pneumonia

[ ] Liver Disease

[ ] Nephritis/Kidney Disease

[ ] Stroke

[ ] Suicide

[ ] Unknown

[ ] Other (specify):

**Source of Information:** *(choose one)*

[ ] Autopsy Report/Medical Examiner

[ ] Death Certificate

[ ] Evident from Circumstances

[ ] Family Report

[ ] Media Report

[ ] Other (specify):

[ ] Physician/Medical Personnel

[ ] Police Report

[ ] Provider Report

**Medical Conditions present at Death:** *(choose all that apply)*

[ ] AIDS/HIV

[ ] Arthritis

[ ] Asthma

[ ] Cancer

[ ] Congestive Heart Failure/Heart Disease/Problems

[ ] Chronic Pain

[ ] Cirrhosis/Liver Disease

[ ] COPD

[ ] Diabetes

[ ] Emphysema/Lung Disease

[ ] GERD

[ ] Hepatitis

[ ] Hyperlipidemia

[ ] Hypertension

[ ] Infectious Disease (eg, COVID)

[ ] Obesity

[ ] Other (specify):

[ ] Pneumonia

[ ] Renal Failure/Kidney Disease/Infection

[ ] Seizure Disorder

[ ] Stroke/Aneurism

[ ] Thyroid Disease

[ ] Traumatic Brain Injury (TBI)

**\*If the Final Subcategory is *Accidental Overdose (resulting in death) OR Accidental Overdose (not resulting in death)*, please fill out the following information:**

**Substances involved in overdose:** *(choose all that apply)*

[ ] Alcohol

[ ] Amphetamines

[ ] Barbiturates

[ ] Benzodiazepines

[ ] Cocaine

[ ] Crack

[ ] Hallucinogens: LSD, DMS, STP

[ ] Heroin

[ ] Inhalants

[ ] Marijuana, Hashish, THC

[ ] Methamphetamines

[ ] Non-prescriptive Methadone

[ ] Other (specify):

[ ] Other Opiates and Synthetics

[ ] Other Sedatives or Hypnotics

[ ] Other Stimulants

[ ] Over-The-Counter

[ ] PCP

[ ] Tranquilizers

[ ] Unknown