

April 30, 2021

To: Bidders participating in the Shared Clean Energy Facilities Program – Year 2 Procurement

RE: Appendix B: Submittal to DEEP

By Final Decision dated April 28, 2021, in Docket No. 19-07-01RE02, Review of Statewide Shared Clean Energy Facility Program Requirements – Year 2 Procurement, the Public Utilities Regulatory Authority (PURA) approved the Modified Program Requirements for the Year 2 procurement under the Shared Clean Energy Facilities (SCEF) Program.

Consistent with those Modified Program Requirements, the Department of Energy and Environmental Protection (DEEP) requires that Bidders submit the following information and affidavits to DEEP, collectively known as the Appendix B Submittal:

- Responses to all questions outlined in Appendix B: Submittal to DEEP in the Modified Program Requirements, attached hereto;
- An affidavit attesting the veracity of statements made to DEEP;
- An affidavit attesting to the Bidder's control of the project site by the Bidder;
- An affidavit attesting to the Bidder's control of the project site by the owner of the project site (required only if the Bidder and the project site owner are not the same person or entity);
- A copy of the notification email the Bidder has received from Eversource Energy (Eversource) or The United Illuminating Company (UI) (together, the electric distribution companies (EDCs) confirming that the Project Bid has been submitted successfully; and
- A copy of the Project Bid in its entirety.

While Bidders are encouraged to use the affidavit forms provided herein, DEEP will accept other formats if such affidavits include all of the required information and affirmations laid out in the Modified Program Requirements.

Bidders must submit the above information for each Project Bid submitted in response to the Year 2 Request for Proposals (RFP) jointly issued by the EDCs under the SCEF program to DEEP.EnergyBureau@ct.gov on or before the bid due date established by the EDCs in the Year 2 RFP. Bidders must also email a copy of their Appendix B Submittal to the relevant EDC at SCEF@Eversource.com or SCEF@uinet.com on or before the same date.

Bidders should take the necessary steps to ensure that their Appendix B Submittal includes all requested documents and information. Pursuant to PURA's Final Decision, DEEP may only allow the late submission of documents explicitly required by Appendix B if such documents were available before the bid deadline and were not provided due to a clerical or other error that can reasonably be demonstrated, at DEEP's sole discretion. Otherwise, DEEP may seek clarifying or additional information from Bidders regarding their Appendix B Submittal at any point in the bid evaluation period.

All information submitted to the DEEP may be subject to disclosure under the Connecticut Freedom of Information Act (FOIA). All information submitted to DEEP will be publicly posted on its Energy Filings page, unless a bidder indicates otherwise. When a Bidder submits confidential information to DEEP, the Bidder acknowledges that the FOIA governs the public's accessibility to that information. If a Bidder believes portions of information submitted in response to Appendix B are exempt from FOIA disclosure, the Bidder must submit:

- One complete, redacted response to Appendix B for public posting, which must be clearly labeled PUBLIC,
- AND
- One complete, unredacted response to Appendix B for DEEP's internal review, which must be clearly labeled CONFIDENTIAL.

The Bidder must also indicate which FOIA exemption may be applicable to the specific information claimed confidential. Examples of FOIA exemptions include, but are not limited to:

- Trade secrets, C.G.S. § 1-210(b)(5)(A);
- Commercial and Financial information given in confidence, not required by statute, C.G.S. § 1-210(b)(5)(B);
- Responses to any request for proposals or bid solicitation issued by a public agency or any record or file made by a public agency in connection with the contract award process, until such contract is executed or negotiations for the award of such contract have ended, whichever occurs earlier, provided the chief executive officer of such public agency certifies that the public interest in the disclosure of such responses, record or file is outweighed by the public interest in the confidentiality of such responses, record or file, C.G.S. § 1-210(b)(24); and
- Public records exempt under federal law or state statute, C.G.S. § 1-210(a).

DEEP will not redact proposals submitted on behalf of Bidders. Only legitimate non-public proprietary or sensitive information may be considered confidential. Bidders may not submit a response to Appendix B that is entirely redacted. If the redaction is challenged in any forum, it is the responsibility of the Bidder to defend the confidentiality of the information.

AFFIDAVIT: "VERACITY OF STATEMENTS"

That _____, Affiant, being duly sworn/affirmed according to law, deposes and says that:

He/she is the _____ (Office of Affiant) of _____ (Name of Applicant);

That he/she is authorized to and does make this affidavit for said Applicant;

That _____, the Applicant herein, certifies under penalty of false statement that all statements submitted in its response to Appendix B of the SCEF Modified Program Requirements, as filed concurrently with a project bid filing in response to a Request for Proposals issued by Eversource or United Illuminating, whichever is applicable, are true and complete and that it will also amend said submission while the project bid filing is pending if any substantial changes occur regarding the information provided in the submission within ten days of any such change.

That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief and that he/she expects said Applicant to be able to prove the same at any hearing hereof.

Signature of Affiant

Date

Printed Name of Affiant

Title (relationship to Applicant)

STATE OF

}

COUNTY OF

}

} ss.

(Town)

The foregoing was subscribed to and sworn to before me this

day of

(day)

(month)

(year)

by

.

(Signature of Notary Public or other official)

(Printed Name of Notary Public or other official)

My commission expires

.

APPENDIX B: SUBMITTAL TO DEEP

In addition to any complete Bid submitted to the EDCs as part of the application Procurement Plan(s), a Bidder is required to respond to each question below in this Appendix. Bidders must submit this information to DEEP at DEEP.EnergyBureau@ct.gov on the Bid due date established by the EDCs in the applicable procurement, though DEEP reserves the right to seek clarifying or additional information and documents at any point in the evaluation process at its sole discretion as described in Section 3.4 of the Modified Program Requirements.

Directions for sections B1-B9 are outlined below. Each section must be provided in its entirety with all of the supporting information requested. If any section is not applicable, this should be stated and a full explanation should be provided.

All information submitted to the Department may be subject to disclosure under the Connecticut Freedom of Information Act (FOIA). All information submitted to DEEP will be publicly posted on its Energy Filings page, unless a bidder indicates otherwise. When a Bidder submits confidential information to DEEP, the Bidder acknowledges that the FOIA governs the public's accessibility to that information. If a Bidder believes portions of information submitted in response to Appendix B are exempt from FOIA disclosure, the Bidder must submit:

One complete, redacted response to Appendix B for public posting, which must be clearly labeled PUBLIC,

AND

One complete, unredacted response to Appendix B for DEEP's internal review, which must be clearly labeled CONFIDENTIAL.

The Bidder must also indicate which FOIA exemption may be applicable to the specific information claimed confidential. Examples of FOIA exemptions include, but are not limited to:

Trade secrets, C.G.S. § 1-210(b)(5)(A);

Commercial and Financial information given in confidence, not required by statute, C.G.S. § 1-210(b)(5)(B);

Responses to any request for proposals or bid solicitation issued by a public agency or any record or file made by a public agency in connection with the contract award process, until such contract is executed or negotiations for the award of such contract have ended, whichever occurs earlier, provided the chief executive officer of such public agency certifies that the public interest in the disclosure of such responses, record or file is outweighed by the public interest in the confidentiality of such responses, record or file, C.G.S. § 1-210(b)(24); and

Public records exempt under federal law or state statute, C.G.S. § 1-210(a).

The Department will not redact proposals submitted on behalf of Bidders. Only legitimate non-public proprietary or sensitive information may be considered confidential. Bidders may not submit a response to Appendix B that is entirely redacted. If the redaction is challenged in any forum, it is the responsibility of the Bidder to defend the confidentiality of the information.

When used in this Appendix B, the term “brownfield” has the same definition as is contained in Conn. Gen. Stat. § 32-760: “any abandoned or underutilized site where redevelopment, reuse or expansion has not occurred due to the presence or potential presence of pollution in the buildings, soil or groundwater that requires investigation or remediation before or in conjunction with the restoration, redevelopment, reuse and expansion of the property.” DEEP maintains a non-exhaustive list of brownfields that meet this definition, which is available at:

https://portal.ct.gov/-/media/DEEP/site_clean_up/brownfields/ConnecticutBrownfieldsInventoryxlsx.xlsx.

When used in this Appendix B, the term “landfill” means any property that is listed on the Close Landfills list, available at: https://portal.ct.gov/-/media/DEEP/site_clean_up/brownfields/closedlandfillsmappdf.pdf, though this list is not intended to be exhaustive or an acknowledgement of ideal properties for renewable energy development.

For projects built on either landfills or brownfields to qualify for the bid preference, the project must be wholly located on either a landfill or brownfield. However, if the size of the landfill or brownfield cannot accommodate the entire project footprint, then the project can still be eligible to receive the qualitative preference, provided at least 75% of the total project footprint is within the landfill or brownfield, and the entire landfill or brownfield land that is legally and technically available for development is utilized.

B1. PROJECT OVERVIEW

B1.1. Provide an overview of the proposed project, including but not limited to:

- i. Resource type
- ii. Project size in kW (AC)
- iii. Project location (town)
- iv. Estimated average annual output
- v. Bid price/kWh
- vi. Whether the proposal is claiming a qualitative preference, and if so, which qualitative preference.

B2. FINANCIAL EXPERIENCE

B2.1. Provide a description of the financing plan for the project, including construction and term financing. The financing plan should address the following:

- i. Who will finance the project and how it will be financed
- ii. Experience successfully financing power generation (or demonstrating the financial means to finance the project on the owner's balance sheet)
- iii. The project's projected financial structure over the term of the Tariff
- iv. Expected sources of debt and equity financing
- v. The projected capital structure over the term of the Tariff
- vi. Describe any agreements entered into with respect to equity ownership in the proposed project and any other financing arrangement.

In addition, the financing plan should address the status of the above activities as well as the financing of development and permitting costs. All Bidders are required to provide this information.

B2.2. Provide all estimated costs for developing and operating the project for the duration of the program, including the following:

- i. Estimated equipment, labor, and other installation costs
- ii. Estimated land and/or site development costs. If the site is located on a brownfield or landfill, provide an estimated premium paid for purchasing and/or developing on the landfill or brownfield, including but not limited to compliance costs
- iii. Estimated interconnection costs
- iv. Estimated financing costs
- v. Estimated permitting costs
- vi. Estimated operations and maintenance costs for the duration of the commercial operation of the facility
- vii. Other estimated expenses associated with development and operation of the project not otherwise reflected above, including an explanation of such other costs.

B2.3. Provide documentation illustrating the experience of the project sponsor in securing financing for projects of similar size and technology. For each project previously financed provide the following information:

- i. Project name and location
- ii. Project type and size
- iii. Date of construction and permanent financing
- iv. Form and amount of debt and equity financing.

- B2.4.** The Bidder should demonstrate its ability (and/or the ability of its credit support provider) to provide the required Security, including its plan for doing so.
- B2.5.** Provide a description of any current or recent credit issues/credit rating downgrade events regarding the Bidder or affiliate entities raised by rating agencies, banks, or accounting firms.
- B2.6.** Describe the role and the amount of the Federal Production Tax Credit or Investment Tax Credit (or other incentives) on the financing of the project.
- B2.7.** Bidders must disclose any pending (currently or in the past three years) or threatened litigation or disputes related to projects developed, owned or managed by Bidder or any of its affiliates in the United States, or related to any energy product sale agreement.
- B2.8.** Description of Bidder and all affiliated entities and joint ventures transacting business in the energy sector.
- B2.9.** Has Bidder, or any affiliate of Bidder, in the last five years: (a) consented to the appointment of, or was taken in possession by, a receiver, trustee, custodian or liquidator of a substantial part of its assets, (b) filed a bankruptcy petition in any bankruptcy court proceeding, (c) answered, consented or sought relief under any bankruptcy or similar law or failed to obtain a dismissal of an involuntary petition, (d) admitted in writing of its inability to pay its debts when due, (e) made a general assignment for the benefit of creditors, (f) was the subject of an involuntary proceeding seeking to adjudicate that Party bankrupt or insolvent, (g) sought reorganization, arrangement, adjustment, or composition of it or its debt under any law relating to bankruptcy, insolvency or reorganization or relief of debtors?
- B2.10.** Describe any litigation, disputes, claims or complaints involving the Bidder or an affiliate of Bidder, against DEEP or the state.
- B2.11.** Describe any litigation, disputes, claims or complaints, or events of default or other failure to satisfy contract obligations, or failure to deliver products, involving Bidder or an affiliate of Bidder, and relating to the purchase or sale of energy, capacity or renewable energy certificates or products.
- B2.12.** Confirm that Bidder, and the directors, employees and agents of Bidder and any affiliate of Bidder are not currently under investigation by any governmental agency and have not in the last four years been convicted or found liable for any act prohibited by State or Federal law in any jurisdiction involving conspiracy, collusion or other impropriety with respect to bidding on any contract, or have been the subject of any debarment action (detail any exceptions).
- B2.13.** Identify all regulatory and other approvals needed by Bidder to execute a binding sale agreement.

B3. PROJECT MANAGEMENT EXPERIENCE

B3.1. The Eligible Bidder and each of the project participants (including, when applicable, partners, EPC contractor and proposed contractors) must demonstrate that they have a sufficient amount of relevant experience and expertise, as applicable, to successfully develop, finance, construct, own, operate and maintain generating or transmission facilities (as applicable). Provide statements regarding other projects of similar type, size, and technology, and any evidence that the project participants have worked jointly on other projects. Development, financing and construction experience can be established by demonstrating that key member(s) of the Bidder's development team have undertaken project management responsibilities, including:

- i. Successful development and construction of a similar type of project; or
- ii. Successful development and construction of one or more projects of similar size or complexity or requiring similar skill sets.

B3.2. Provide a listing of projects the project sponsor has successfully developed or that are currently under construction. Provide the following information as part of the response:

- i. Name of the project
- ii. Location of the project
- iii. Project type, size and technology
- iv. Commercial operation date
- v. Estimated and actual capacity factor of the project for the past three years
- vi. Availability factor of the project for the past three years
- vii. References, including the names and current addresses and telephone numbers of individuals to contact for each reference.

B3.3. With regard to the Bidder's project team, identify and describe the entity responsible for the following, as applicable:

- i. Construction Period Lender, if any
- ii. Operating Period Lender and/or Tax Equity Provider, as applicable
- iii. Financial Advisor
- iv. Environmental Consultant
- v. Facility Operator and Manager
- vi. Owner's Engineer
- vii. EPC Contractor (if selected)
- viii. Transmission, Distribution, Interconnection Consultant
- ix. Legal Counsel.

B4. OPERATIONAL PARAMETERS

B4.1. Maintenance Outage Requirements – Specify partial and complete planned outage requirements in weeks or days. Also, list the number of months required for the cycle to repeat (e.g., list time interval of minor and major overhauls, and the duration of overhauls).

B4.2. Operating Constraints – Specify all the expected operating constraints and operational restrictions for the project (e.g., limits on the number of hours a unit may be operated per year or unit of time, storage capacity, maximum length of time for storage).

B4.3. If the proposed project is an expansion, repowering, environmental investment or other modification of an existing facility, describe the project in detail, the total cost and cost on a \$/kW basis, specifying the existing project and the proposed expansion, repowering or other modification. Indicate any incremental capacity.

B5. ENERGY RESOURCE PLAN

Bidder is required to provide an energy resource or fuel supply plan for its proposed project, including supporting documentation. The fuel supply/energy resource profile information should be consistent with the type of technology/resource option proposed and the term proposed. The information requested is organized according to the type of project or energy resource. Bidders should respond only to relevant questions.

B5.1. Solar

- i. Provide an assessment of the available solar incidence or resource. Describe any trends in generation capability over time (i.e., annual decline rate of expected output).
- ii. Describe the methodology used to generate the projected generation and describe the in-house or consulting expertise used to arrive at the generation estimates.

B5.2. Hydropower

- i. Describe the project characteristics in terms of water flow (on a monthly basis) and head, and state the assumptions regarding seasonal variations, and a conversion of such flow into megawatts and megawatt-hours.
- ii. Provide monthly flow duration curves based upon daily stream flow records.
- iii. Identify if the project is run-of-river or has storage capability.
- iv. Describe the technology used to generate electricity.
- v. Specify if the project is new, or an expansion of an existing facility.
- vi. Specify how the energy qualifies as a Class I renewable energy source defined in Section 16-1 of the General Statutes. If the project already has Class I certification, provide or reference the

documentation providing such qualification. If the project does not have Class I certification, describe the actions proposed to be taken by the Bidder to acquire a Class I qualification.

B5.3. Fuel Cell

- i. Describe how the natural gas for the Fuel Cell will be procured and whether its energy will be delivered on a firm or non-firm basis for the term of the agreement.
- ii. Provide supporting data that illustrates the expected generation from the fuel cell over the term of the contract considering the need for restacking.

B6. OPERATION AND MAINTENANCE

B6.1. Provide an O&M plan for the project that demonstrates the long term operational viability of the proposed project. The plan should include a discussion of the staffing levels proposed for the project, the expected role of the project sponsor or outside contractor, scheduling of major maintenance activity, and the plan for testing equipment.

B6.2. Describe in detail the proposed O&M funding mechanism and funding levels to support planned and unplanned O&M requirements.

B6.3. Describe the status of the project sponsor in securing any O&M agreements or contracts. Include a discussion of the sponsor's plan for securing a medium-term or long-term O&M contract, including the expected provider of O&M services.

B6.4. Provide examples of the Bidder's experience with O&M services for other similar projects.

B7. GENERATION SITE

B7.1. Provide a site plan including a map of the site that clearly identifies the location of the Shared Clean Energy Facility site, the assumed right-of-way width, the total acreage for the Shared Clean Energy Facility, the anticipated interconnection point, and the relationship of the site to other local infrastructure, including transmission and/or distribution facilities, roadways, and water sources. In addition to providing the required map, provide a site layout plan that illustrates the location of all major equipment and facilities on the site.

B7.2. Provide an affidavit by the Bidder that affirms that the Bidder has control of the generation site, or an unconditional right, granted by the property owner, to acquire such control to use the site and interconnection route, including, for the Shared Clean Energy Facility, and any rights of way needed for interconnection (such Bidders are encouraged to use Affidavit 1 below). Where the Bidder is not the owner of the property (i.e. lease, option to lease, etc.), the Bidder must also provide an affidavit

by the owner of the project site that the Bidder (a) has control of the generation site and interconnection route, including, for the Shared Clean Energy Facility and any rights of way needed for interconnection and (b) is authorized to submit the Bid for the project located on the owner of the project site's property (such Bidders are encouraged to use Affidavit 2 below).

- B7.3.** Provide evidence that the Shared Clean Energy Facility site and interconnection route is properly zoned or permitted. If the Shared Clean Energy Facility site is not currently zoned or permitted properly, identify present and required zoning and/or land use designations and permits and provide a permitting plan and timeline to secure the necessary approvals.
- B7.4.** Provide a description of the area surrounding the Shared Clean Energy Facility site, including a description of the local zoning, flood plain information, existing land use and setting (woodlands, grasslands, agriculture, other).
- B7.5.** For a Shared Clean Energy Facility, describe and provide a map of the proposed interconnection that includes the path from the generation site to the ISO-New England pricing node.
- B7.6.** Please describe the status of any planned interconnection to the grid. Has the Bidder made a valid interconnection request to the EDC and/or ISO-NE? Describe the type of interconnection service requested (i.e., Capacity Network Resource Interconnection Service, or Network Resource Interconnection Service).
- B7.7.** Describe the Proposal's electrical system performance and the impact on the reliability of the EDC's Distribution system. Provide the status of any interconnection studies already underway with ISO-NE, the transmission owner and/or the distribution owner. Provide a copy of any studies completed to date. Provide a copy of an interconnection agreement, if any, executed by the Bidder with respect to the proposed project. If an interconnection agreement has not been executed, please provide the steps that need to be completed before an interconnection agreement can be executed and the associated timeline.
- B7.8.** Provide a copy of an electrical one-line diagram showing the interconnection facilities and the relevant facilities of the distribution and/or transmission providers.
- B7.9.** Specify and describe the current or new interconnection facilities (lines, transformers, switching equipment, system control protection, etc.) that Bidder owns or is intending to construct or have constructed in order to deliver the proposed energy.
- B7.10.** Provide the impact the Shared Clean Energy Facility will have on reliability and the local distribution system.

B7.11. Attest that the generation site of the Shared Clean Energy Facility neither impacts, in whole or in part, Core Forest.

B7.12. Provide a detailed explanation of all environmental impacts known or anticipated for the Shared Clean Energy Facility, including but not limited to the impact on any endangered, threatened and special concern species and significant natural communities based on the Natural Diversity Data Base.

B8. PERMIT ACQUISITION PLAN AND ENVIRONMENTAL VIABILITY

B8.1. Provide a viable plan to acquire all permits. Provide a list of all the permits, licenses, and environmental assessments required. If a Bidder has secured any permit or has applied for a permit, please identify in the response.

- i. Provide a list of all federal, state, and local permits, licenses, and environmental assessments required to construct and operate the project.
- ii. Identify the governmental agencies that will issue or approve the required permits, licenses, and environmental assessments.

B8.2. Provide the anticipated timeline for seeking and receiving the required permits, licenses, and environmental assessments and/or environmental impact statements and any documentation supporting such anticipated timeline. Include a project approval assessment that describes, in narrative form, each segment of the process, the required permit or approval, the status of the request or application and the basis for projection of success by the milestone date. All requirements should be included on the project schedule.

B8.3. Provide a preliminary environmental assessment of the site and project, including both construction and operation, as applicable. In addition, identify environmental impacts associated with the proposed project, any potential impediments to development, and the Bidder's plan to mitigate such impacts or impediments. The Bidder should also describe whether the project makes positive re-use of a previously disturbed site, including landfills or brownfields. For projects located within Connecticut, each Bidder should reference DEEP's Forestland Habitat Impact Map in its environmental assessment and submit a copy of the Forestland Habitat Impact Map with the project footprint superimposed on it. This Forestland Impact Map is a useful screening tool for siting purposes.¹¹ The analysis should address each of the major environmental areas presented below, as applicable to the proposed project:

- i. Impacts to water resources – These resources include but are not limited to wetlands and wetland soils, waterbodies, watercourses, groundwater, drinking water and public water supplies, and how those impacts will be avoided, reduced, and mitigated if necessary, consistent with federal policy on no net loss of wetlands. If an impact is likely to occur, plans to reduce and mitigate must be clearly documented. The assessment for wetlands should include a vernal pool assessment, proposed setbacks from wetlands and vernal pools, and avoidance or mitigation measures take to reduce wetland impacts.
- ii. Impacts to ecological and natural resources – These include any impacts to wildlife, including but not limited to endangered, threatened, or special-concern species listed in the DEEP Natural Diversity Database.
- iii. Land use impacts – Describe how the project conforms to applicable state plans directing conservation and development and other natural resource plans. Describe any impacts to prime farmland and agricultural soils, and the plan to mitigate such impacts or impediments. Describe any impacts to forest resources, including acreage and type of forest impacted, and measures taken to avoid or lessen forest resource impacts. Describe any potential detrimental impacts due to reuse of contaminated land.
- iv. Positive reuse of contaminated land – Explain whether the facility will re-use sites with limited development opportunities, like brownfields and landfills.¹² If the project is located on a landfill or a brownfield, indicate the percentage of the total project site that is a landfill or brownfield.
- v. Impacts during site development
- vi. Transportation infrastructure impacts
- vii. Air quality impacts
- viii. Impacts to cultural resources
- ix. Impacts on noise levels
- x. Aesthetic/visual impacts
- xi. Transmission and distribution infrastructure impacts
- xii. Fuel supply access, where applicable.

B8.4. Identify any existing, preliminary or pending claims or litigation, or matters before any federal agency or any state legislature or regulatory agency that might affect the feasibility of the project or the ability to obtain or retain the required permits for the project.

B8.5. Provide a site plan including a scale map of the site that clearly identifies the location of the Eligible Project site, the assumed right-of-way width, the total acreage for the project, the anticipated electric interconnection point, and the relationship of the site to other local infrastructure, including transmission facilities, roadways, and water sources. In addition to providing the required map, provide a site layout plan that illustrates the location of all major equipment and facilities on the site.

B8.6. Provide a description of the Eligible Project site and the surrounding area and interconnection route, including but not limited to a description of the local zoning, flood plain, topography, existing land use, and setting (e.g., woodlands, grasslands, agriculture). For solar photovoltaic projects, the description shall include documentation demonstrating: (a) total and percentage of acreage where the site and interconnection route are on slopes of 15 percent or greater (project sites with slopes of 15 percent or greater are ineligible to participate in the Shared Clean Energy Facility Program); and (b) proximity of the site and interconnection route to ridgelines and ridgeline setback areas as defined in Section 8-1aa of the General Statutes.

B8.7. Indicate how the Bidder intends to satisfy the Connecticut Siting Council requirements. Indicate whether the Bidder intends to go through the certificate process or declaratory ruling process and how the Bidder intends to get representation in writing from the Department of Agriculture and the Department of Energy and Environmental Protection, as applicable.

B8.8. Include a summary of environmental compliance history for the Bidder, including any general and limited partners, officers, directors, managers, members, shareholders, and subsidiaries, using the “Applicant Compliance Form” available at: <https://portal.ct.gov/DEEP/Permits-and-Licenses/Common-Forms>.

B8.9. Include a summary of any disputes relating to the environmental compliance of the Bidder (including any general and limited partners, officers, directors, managers, members, shareholders, and subsidiaries), including the environmental compliance of projects owned or managed by Bidder or any of its affiliates in the United States or related to any energy product sale agreement.

B9. PROJECT VIABILITY

B9.1. Provide a reasonable but preliminary engineering plan that identifies the type of generation technology, if applicable, and the major generation equipment to be used for each identified item of major generation equipment, include the following information:

- i. Manufacturer of the equipment; if the equipment manufacturer has not yet been selected, identify the equipment procurement strategy and the factors under consideration for selecting the preferred equipment;
- ii. Equipment vendor(s) or supplier(s) selected; if the equipment vendor(s) or supplier(s) has not yet been selected, provide a list of the key equipment vendors or suppliers under consideration;
- iii. Status of acquisition of the equipment; indicate if the Bidder has secured the equipment for the project and whether the Bidder has a contract for the equipment; if not, identify the long-lead equipment options, describe the timing for securing the equipment, and provide the status of any pertinent commercial arrangements; and
- iv. History of equipment operations.

B9.2. Provide the following:

- i. Documentation identifying the level of public support for the project including letters from public officials, newspaper articles, etc. Include information on specific localized support and/or opposition to the project of which the Bidder is aware.
- ii. Copies of any agreements with communities and other constituencies impacted by the project.
- iii. A plan for community outreach activities, and discuss the status of that plan.

Affidavit #1: Bidder's Affidavit of Site Control under the Modified Program Requirements of the Shared Clean Energy Facility Program

NOTE: Affidavit #1 must be completed and submitted by all Bidders. If the Bidder and Owner of the Project Site are the same individual or entity, only Affidavit #1 is required. If the Bidder and the Owner of the Project Site are not the same individual or entity, the Bidder must also submit Affidavit #2, as completed by the Owner of the Project Site.

For the purposes of this affidavit, "Bidder" is defined as the individual or business submitting a proposal ("Bid") to be considered for selection in the annual Shared Clean Energy Facilities ("SCEF") program solicitation and "Owner of the Project Site" is defined as the legal owner of the SCEF project site.

As a duly authorized representative of the Bidder of the SCEF project, I hereby attest that the Bidder has control of the generation site, or an unconditional right, granted by the Owner of the Project Site, to acquire such control to use the site for the SCEF in the SCEF Bid, and any rights-of-way needed for interconnection, and is authorized to submit the Bid to locate the SCEF on the Owner of the Project Site's property. Site control and property rights include all leases, easements, or development rights necessary to develop and/or operate the SCEF project, including any necessary leases from an applicable government authority.

The Bidder understands that if it is later determined that the Bidder does not have control of the generation site, or an unconditional right, granted by the Owner of the Project Site, to acquire such control prior to the bid deadline set forth by the relevant Request for Proposal, the Bidder, and the SCEF project, may be removed from the SCEF Program and forfeit eligibility for future participation pursuant to Section 10 of the Modified Program Requirements.

The Bidder represents that it understands the requirements for site control as outlined in the Modified Program Requirements.

As a duly authorized representative of the Bidder, I have reviewed the statements and certifications provided above and certify that such statements and certifications as applicable to the SCEF Bid are true and accurate to the best of my knowledge.

The Bidder, or its representative, shall fill out and sign the following:

NOTE: Electronic signatures are not acceptable. The Bidder's signature, or that of its representative, is required to be witnessed by a Notary Public and documented as such.¹

Bidder: _____

(Exact legal name of the Bidder, as defined above)

Signature of the Bidder

(or its Representative): _____

Print or Type Name of Bidder

(or its Representative): _____ (duly authorized)

Title: _____

Date Signed: _____

The Notary Public shall fill out and sign the following:

Subscribed and sworn before me, this ____ day of _____ 20____

Notary Public: _____

Commission expires: _____

¹ Please see Section 3 of the March 30, 2020 CT Executive Order 7Q regarding Remote Notarizations here:
<https://portal.ct.gov/-/media/Office-of-the-Governor/Executive-Orders/Lamont-Executive-Orders/Executive-Order-No-7Q.pdf>.

**Affidavit #2: Owner of the Project Site's Affidavit of Site Control under the Modified Program Requirements
of the Shared Clean Energy Facility Program**

NOTE: The completion and submission of Affidavit #2 is only required if the Bidder and Owner of the Project Site are not the same individual or entity.

For the purposes of this affidavit, "Bidder" is defined as the individual or business submitting a proposal ("Bid") to be considered for selection in the annual Shared Clean Energy Facilities ("SCEF") program solicitation and "Owner of the Project Site" is defined as the legal owner of the SCEF project site.

As a duly authorized representative of the Owner of the Project Site, I hereby attest that the Bidder has control of the generation site, and any rights-of-way needed for interconnection, or an unconditional right, granted by the Owner of the Project Site, to acquire such control. Site control and property rights include all leases, easements, or development rights necessary to develop and/or operate the SCEF project, including any necessary leases from an applicable government authority.

The Owner of the Project Site understands that if it is later determined that the Bidder does not have control of the generation site, or an unconditional right, granted by the Owner of the Project Site, to acquire such control prior to the bid deadline set forth by the relevant Request for Proposal, the Bidder, and the SCEF project, may be removed from the SCEF Program and forfeit eligibility for future participation pursuant to Section 10 of the Modified Program Requirements.

The Owner of the Project Site represents that it understands the requirements for site control as outlined in the Modified Program Requirements.

The Owner of the Project Site further attests that the Bidder is authorized by the Owner of the Project Site to submit the Bid to locate a SCEF project on the Owner of the Project Site's property.

As a duly authorized representative of the Owner of the Project Site, I have reviewed the statements and certifications provided above and certify that such statements and certifications as applicable to the Bid are true and accurate to the best of my knowledge.

The Owner of the Project Site, or its representative, shall fill out and sign the following:

NOTE: Electronic signatures are not acceptable. The Owner of the Project Site's signature, or that of its representative, is required to be witnessed by a Notary Public and documented as such.²

Owner of the Project Site: _____

(Exact legal name of Owner of the Project Site, as defined above)

Signature of Owner of Project Site

(or its Representative): _____

Print or Type Name of Owner of Project Site

(or its Representative): _____ (duly authorized)

Title: _____

Date Signed: _____

The Notary Public shall fill out and sign the following:

Subscribed and sworn before me, this ____ day of _____ 20____

Notary Public: _____

Commission expires: _____

² Please see Section 3 of the March 30, 2020 CT Executive Order 7Q regarding Remote Notarizations here: <https://portal.ct.gov/-/media/Office-of-the-Governor/Executive-Orders/Lamont-Executive-Orders/Executive-Order-No-7Q.pdf>.