

	U.S. ENVIRONMENTAL PROTECTION AGENCY Assistance Amendment	GRANT NUMBER (FAIN): 00A00154 MODIFICATION NUMBER: 7 PROGRAM CODE: DS	DATE OF AWARD 09/24/2020
		TYPE OF ACTION No Cost Amendment	MAILING DATE 09/24/2020
		PAYMENT METHOD: ASAP	ACH# 10109
RECIPIENT TYPE: State		Send Payment Request to: U.S. EPA RTP-Finance Center 4930 Page Road Mail Code AA216-01 Durham, NC 27703 rtpfc-grants@epa.gov	
RECIPIENT: Connecticut DEEP 79 Elm Street Hartford, CT 06106-5127 EIN: 86-1154163		PAYEE: Connecticut DEEP 79 Elm Street Hartford, CT 06106-5127	
PROJECT MANAGER Richard Pirolli 79 Elm Street Hartford, CT 06106-5127 E-Mail: Ric.Pirolli@ct.gov Phone: 860-424-3450		EPA PROJECT OFFICER Elizabeth Townsend 5 Post Office Square, Suite 100, 05-2 Boston, MA 02109-3912 E-Mail: Townsend.Elizabeth@epa.gov Phone: 617-918-1614	
EPA GRANT SPECIALIST Julie Ross Grants Management Branch, 05-5 E-Mail: Ross.Julie@epa.gov Phone: 617-918-1317			
PROJECT TITLE AND EXPLANATION OF CHANGES Fiscal Year 2018 State Clean Diesel Grant Program Amendment #7 approves a Time Extension through 03/30/2021 for the Connecticut Department of Energy and Environmental Protection. As well, this amendment approves changes to the Administrative Terms and Conditions in order to implement the regulatory revisions at 2 CFR 200.216 and 200.340(a)(2). All other Terms and Conditions remain unchanged, and in full force and effect.			
BUDGET PERIOD 10/01/2017 - 03/30/2021	PROJECT PERIOD 10/01/2017 - 03/30/2021	TOTAL BUDGET PERIOD COST \$1,393,618.00	TOTAL PROJECT PERIOD COST \$1,393,618.00
NOTICE OF AWARD			
Based on your Application dated 02/19/2019 including all modifications and amendments, the United States acting by and through the US Environmental Protection Agency (EPA) hereby awards \$0. EPA agrees to cost-share <u>55.02%</u> of all approved budget period costs incurred, up to and not exceeding total federal funding of \$766,728. Recipient's signature is not required on this agreement. The recipient demonstrates its commitment to carry out this award by either: 1) drawing down funds within 21 days after the EPA award or amendment mailing date; or 2) not filing a notice of disagreement with the award terms and conditions within 21 days after the EPA award or amendment mailing date. If the recipient disagrees with the terms and conditions specified in this award, the authorized representative of the recipient must furnish a notice of disagreement to the EPA Award Official within 21 days after the EPA award or amendment mailing date. In case of disagreement, and until the disagreement is resolved, the recipient should not draw down on the funds provided by this award/amendment, and any costs incurred by the recipient are at its own risk. This agreement is subject to applicable EPA regulatory and statutory provisions, all terms and conditions of this agreement and any attachments.			
ISSUING OFFICE (GRANTS MANAGEMENT OFFICE)		AWARD APPROVAL OFFICE	
ORGANIZATION / ADDRESS EPA New England 5 Post Office Square, Suite 100 Boston, MA 02109-3912		ORGANIZATION / ADDRESS U.S. EPA, Region 1 5 Post Office Square, Suite 100 Boston, MA 02109-3912	
THE UNITED STATES OF AMERICA BY THE U.S. ENVIRONMENTAL PROTECTION AGENCY			
Digital signature applied by EPA Award Official Julie Ross - Grants Management Specialist			DATE 09/24/2020

Budget Summary Page

Table A - Object Class Category (Non-construction)	Total Approved Allowable Budget Period Cost
1. Personnel	\$56,454
2. Fringe Benefits	\$44,889
3. Travel	\$0
4. Equipment	\$0
5. Supplies	\$0
6. Contractual	\$0
7. Construction	\$0
8. Other	\$1,278,609
9. Total Direct Charges	\$1,379,952
10. Indirect Costs: % Base	\$13,666
11. Total (Share: Recipient <u>44.98</u> % Federal <u>55.02</u> %.)	\$1,393,618
12. Total Approved Assistance Amount	\$766,728
13. Program Income	\$0
14. Total EPA Amount Awarded This Action	\$0
15. Total EPA Amount Awarded To Date	\$766,728

Administrative Conditions

The following Administrative Terms and Conditions have been added in order to implement the regulatory revisions at 2 CFR 200.216 and 200.340(a)(2). All other Administrative Terms and Conditions remain unchanged, and in full force and effect.

5. Termination (Effective 8/13/2020)

Consistent with 2 CFR 200.340, EPA may unilaterally terminate this award in whole or in part:

- a. if a recipient fails to comply with the terms and conditions of the award including statutory or regulatory requirements; or
- b. if the award no longer effectuates the program goals or agency priorities. Situations in which EPA may terminate an award under this provision include when:
 - (i) EPA obtains evidence that was not considered in making the award that reveals that specific award objective(s) are ineffective at achieving program goals and EPA determines that it is in the government's interest to terminate the award;
 - (ii) EPA obtains evidence that was not considered in making the award that causes EPA to significantly question the feasibility of the intended objective(s) of the award and EPA determines that it is in the government's interest to terminate the award;
 - (iii) EPA determines that the objectives of the award are no longer consistent with funding priorities for achieving program goals.

6. Prohibition on Certain Telecommunications and Video Surveillance Services or Equipment (Effective 8/13/2020)

This term and condition implements 2 CFR 200.216 and is effective for obligations and expenditures of EPA financial assistance funding on or after 8/13/2020.

As required by 2 CFR 200.216, EPA recipients and subrecipients, including borrowers under EPA funded revolving loan fund programs, are prohibited from obligating or expending loan or grant funds to procure or obtain; extend or renew a contract to procure or obtain; or enter into a contract (or extend or renew a contract) to procure or obtain equipment, services, or systems that use covered telecommunications equipment or services as a substantial or essential component of any system, or as critical technology as part of any system. As described in [Public Law 115-232](#), section 889, covered telecommunications equipment is telecommunications equipment produced by Huawei Technologies Company or ZTE Corporation (or any subsidiary or affiliate of such entities). Recipients, subrecipients, and borrowers also may not use EPA funds to purchase:

- a. For the purpose of public safety, security of government facilities, physical security surveillance of critical infrastructure, and other national security purposes, video surveillance and telecommunications equipment produced by Hytera Communications Corporation, Hangzhou Hikvision Digital Technology Company, or Dahua Technology Company (or any subsidiary or affiliate of such entities).
- b. Telecommunications or video surveillance services provided by such entities or using such equipment.
- c. Telecommunications or video surveillance equipment or services produced or provided by an entity that the Secretary of Defense, in consultation with the Director of the National Intelligence or the Director of the Federal Bureau of Investigation, reasonably believes to be an entity owned or controlled by, or otherwise connected to, the government of a covered foreign country.

Consistent with 2 CFR 200.471, costs incurred for telecommunications and video surveillance services or equipment such as phones, internet, video surveillance, and cloud servers are allowable except for the following circumstances:

- a. Obligating or expending EPA funds for covered telecommunications and video surveillance services or equipment or services as described in 2 CFR 200.216 to:
 - (1) Procure or obtain, extend or renew a contract to procure or obtain;

- (2) Enter into a contract (or extend or renew a contract) to procure; or
- (3) Obtain the equipment, services, or systems.

Certain prohibited equipment, systems, or services, including equipment, systems, or services produced or provided by entities identified in section 889, are recorded in the [System for Award Management](#) exclusion list.

Programmatic Conditions

All Programmatic Terms and Conditions remain unchanged, and in full force and effect.