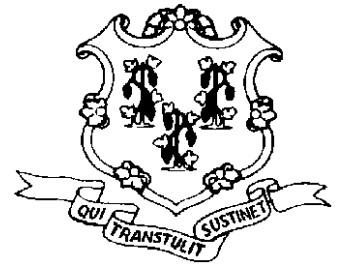


September 2016



STATE OF CONNECTICUT
Department of Developmental Services



M.I.R.
Management Information Report
September 2016

Issued

January 2017

by DDS Commissioner's Office

DDS Management Information Report

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Executive Summary

The Management Information Report (MIR) provides DDS staff and stakeholders with information about eligibility, consumer services and supports, support needs and new development activities, waiver enrollment and revenue, and personnel management. Key findings from the most recent quarter include:

Section I: Services and Supports

- Residential settings with the largest number of individuals served by DDS include Family Homes, Own Homes (IL) and CLAs (55%, 10.0%, 22.5% respectively)
- Out of all employment and day programs, Day Support Options and Group Supported Employment have the highest percentage of individuals participating. Neither of these programs is considered “Employment.” Individuals participating in Day Support Options don’t receive wages and those in Group Supported Employment earn wages that do not meet minimum wage standards.
- Statewide, the number of individuals self-directing their services increased. In the previous fiscal years, the number of individuals self-directing their services increased throughout each quarter.
- The number of active individuals is up from last quarter.
- 526 individuals accessed respite grants during Q1 of FY17. Throughout the previous fiscal year (FY16), there was a fluctuation in the number of individuals using respite services. In FY16, 370 people used respite services in Q1, 543 people used respite services in Q2, 353 individuals used respite services in Q3 and 576 individuals in Q4.

Section II: Service Needs

- There are 657 individuals on the DDS Waiting List, defined as those who live in their own or family home, have no supports, have active residential needs and are either an Emergency or Priority 1 (21 individuals with Emergency Priority and 636 who are categorized as Priority 1). This number has increased since the fourth quarter of FY16 (16 individuals with Emergency Priority and 633 who are categorized as Priority 1 for a total of 649 individuals on the DDS Waiting List).
- 304 individuals are receiving DDS funded residential supports and services and need additional supports or live in institutional settings (DDS Campus facilities or Long Term Care settings) and wish to move to a community residential setting. 20 have Emergency Priority and 284 are categorized as Priority 1.
- There are 1,138 people on the Residential Planning List. Individuals on the Planning List have residential needs and are have been assigned either a Priority 2 or 3 status (882 are Priority 2 and 256 are Priority 3). Those with a Priority of 2 or 3 want or will need services in two or more years. Their need is not considered urgent, critical or immediate and they are classified as the Planning List.

Section III: New Development Goals and Support Activity

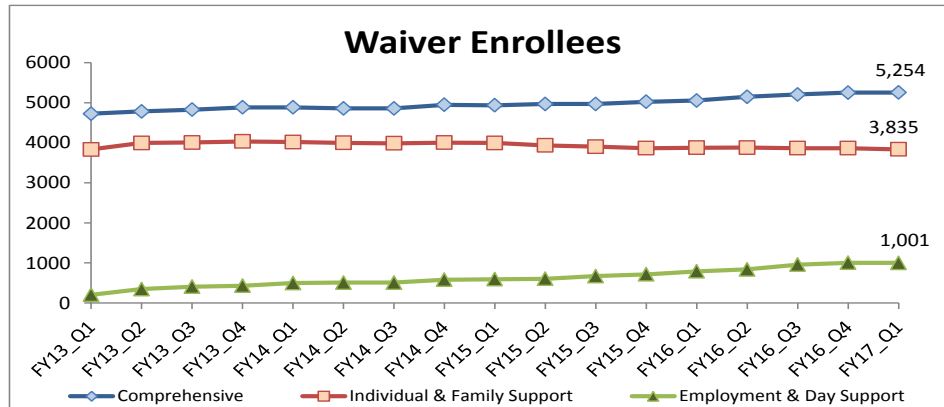
- 35 individuals were served using FY17 residential age out funds.
- 190 individuals who graduated in June 2016 received FY17 grad funding. 94 individuals who aged out of DCF received FY17 grad funds.

Section IV: Case Load by Age

- The age group with the highest percentage of individuals eligible for DDS supports and services are between 22-34 years old (27% of individuals).
- Children (0-17 years old) and Young Adults (18-21 years old) most frequently attend public school as their day program over all other options. Adults (22 and over) participate in day supported options most frequently followed by group supported employment.

Section V: Home and Community Based Waiver

- The Comprehensive Waiver is the most commonly used waiver during the 1st quarter. Out of all HCBS Waiver enrollees with intellectual disability, private CLAs and Family Homes are the most frequent residential setting.



- Enrollees of the Individual and Family Support waiver are more likely to live in their family’s home or own home than any other residential settings.

Section VII: Human Resources

- 2,137 permanent full time positions and 647 permanent part time positions were filled throughout the state. At the end of Q4 in FY16, 2,231 permanent full time positions and 671 permanent part time positions were filled throughout the state.

SECTION I: Services and Supports

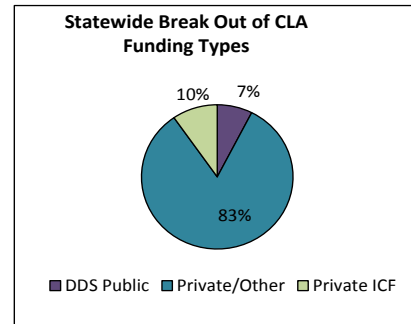
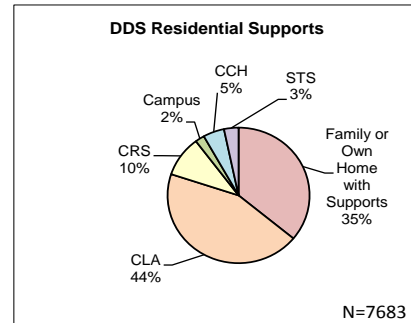
**A. Where People Live and How They Are Supported
STATEWIDE**

Total Individuals with Intellectual Disability: 16,806

ΔYTD: +82

(includes all active DDS individuals with intellectual disability)

RESIDENTIAL STATUS							
Where People Live	How They Receive Support					Total	% Total
At Home	N/A (No Ongoing In-Home Supports)	DDS	Private/Other	Private ICF	Self* Direct		
Family Home	7,758		503		978	9,239	55.0%
Own Home (IL)**	394	148	870		264	1,676	10.0%
Sub-Total	8,152	148	1,373		1,242	10,915	64.9%
% Total	74.7%	1.4%	12.6%		11.4%	100%	
DDS Residential Supports							
STS		254				254	1.5%
DDS Centers		163				163	1.0%
CLA		282	3,107	363		3,752	22.3%
CRS			743			743	4.4%
CCH			371			371	2.2%
Sub-Total		699	4,221	363		5,283	31.4%
% Total		13.2%	79.9%	6.9%		100%	
Other State Agencies							
DMHAS			5			5	0.0%
DOC			4			4	0.0%
DCFCTO			58			58	0.3%
Sub-Total			67			67	0.4%
Other							
LTC/SNF/RCH (HA)			355			355	2.1%
Res. Schools			80			80	0.5%
Other			77			77	0.5%
Sub-Total			512			512	3.0%
Blank			29			29	0.2%
Grand Total	8,152	847	6,202	363	1,242	16,806	100.0%



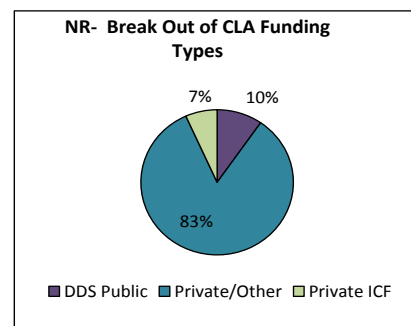
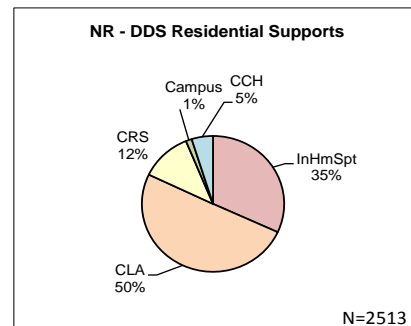
NORTH REGION

Total Individuals with Intellectual Disability: 5,632

ΔYTD: +22

(includes all active DDS individuals with intellectual disability)

RESIDENTIAL STATUS							
Where People Live	How They Receive Support					Total	% Total
At Home	N/A (No Ongoing In-Home Supports)	DDS	Private/Other	Private ICF	Self Directed*		
Family Home	2,668		158		270	3,096	55.0%
Own Home (IL)**	144	42	274		56	516	9.2%
Sub-Total	2,812	42	432		326	3,612	64.1%
% Total	77.9%	1.2%	12.0%		9.0%	100.0%	
DDS Residential Supports							
DDS Centers		34				34	0.6%
CLA		131	1,132	91		1,354	24.0%
CRS			294			294	5.2%
CCH			122			122	2.2%
Sub-Total		165	1,548	91		1,804	32.0%
% Total		9.1%	85.8%	5.0%		100.0%	
Other State Agencies							
DMHAS			1			1	0.0%
DOC			2			2	0.0%
DCFCTO			17			17	0.3%
Sub-Total			20		0	20	0.4%
Other							
LTC/SNF/RCH (HA)			124			124	2.2%
Res. Schools			25			25	0.4%
Other			33			33	0.6%
Subtotal			182			182	3.2%
Blank			14			14	0.2%
Grand Total	2,812	207	2,196	91	326	5,632	100.0%



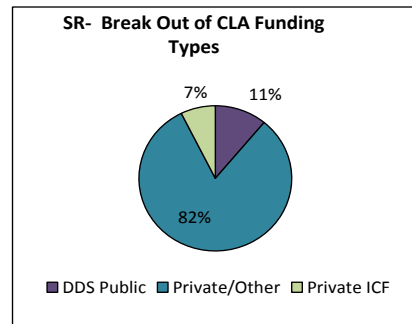
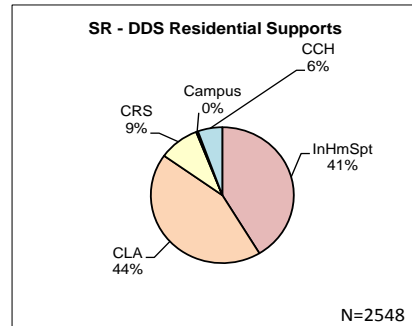
SOUTH REGION

Total Individuals with Intellectual Disability: 5,432

ΔYTD: +39

(includes all active DDS individuals with intellectual disability)

RESIDENTIAL STATUS							
Where People Live	How They Receive Support					Total	% Total
At Home	N/A (No Ongoing In-Home Supports)	DDS	Private/Other	Private ICF	Self Directed*		
Family Home	2,459		192		303	2,954	54.4%
Own Home (IL)**	145	67	385		106	703	12.9%
Sub-Total	2,604	67	577		409	3,657	67.3%
% Total	71.2%	1.8%	15.8%		11.2%	100.0%	
DDS Residential Supports							
DDS Centers		11				11	0.2%
CLA		132	977	88		1,197	22.0%
CRS			234			234	4.3%
CCH			141			141	2.6%
Sub-Total		143	1,352	88		1,583	29.1%
% Total		9.0%	85.4%	5.6%		100.0%	
Other State Agencies							
DMHAS			3			3	0.1%
DOC			0			0	0.0%
DCFCTO			35			35	0.6%
Sub-Total			38			38	0.7%
Other							
LTC/SNF/RCH (HA)			115			115	2.1%
Res. Schools			19			19	0.3%
Other			14			14	0.3%
Sub-total			148			148	2.7%
Blank			6			6	0.1%
Grand Total	2,604	210	2,121	88	409	5,432	100.0%



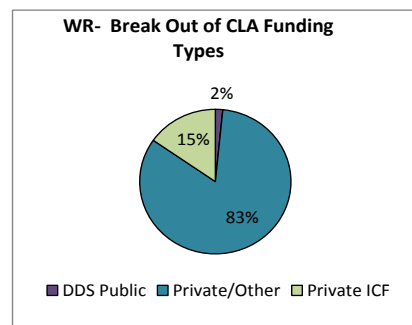
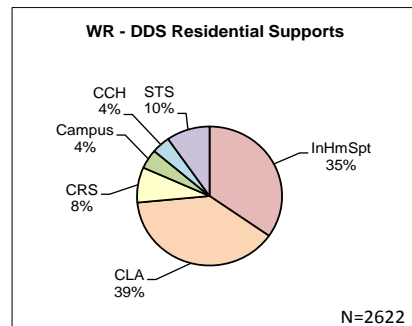
WEST REGION

Total Individuals with Intellectual Disability: 5,742

ΔYTD: +21

(includes all active DDS individuals with intellectual disability)

RESIDENTIAL STATUS							
Where People Live	How They Receive Support					Total	% Total
At Home	N/A (No Ongoing In-Home Supports)	DDS	Private/Other	Private ICF	Self Directed*		
Family Home	2,631		153		405	3,189	55.5%
Own Home (IL)**	105	39	211		102	457	8.0%
Sub-Total	2,736	39	364		507	3,646	63.5%
% Total	75.0%	1.1%	10.0%		13.9%	100%	
DDS Residential Supports							
STS		254				254	4.4%
DDS Centers		118				118	2.1%
CLA		19	998	184		1,201	20.9%
CRS			215			215	3.7%
CCH			108			108	1.9%
Sub-Total		391	1,321	184		1,896	33.0%
% Total		20.6%	69.7%	9.7%		100%	
Other State Agencies							
DMHAS			1			1	0.0%
DOC			2			2	0.0%
DCFCTO			6			6	0.1%
Sub-Total			9			9	0.2%
Other							
LTC/SNF/RCH (HA)			116			116	2.0%
Res. Schools			36			36	0.6%
Other			30			30	0.5%
Sub-total			182			182	3.2%
Blank			9			9	0.2%
Grand Total	2,736	430	1,885	184	507	5,742	100.0%



Note: The number of individuals living in their family or own home with supports now includes additional services (Shared Living, Companion Service and Respite Service (Non-Center Based) in addition to previously reported services (in home supports, behavioral supports and personal supports).

SECTION I: Services and Supports
B. Work and Day Services

STATEWIDE

DAY/WORK STATUS						
Kind of Support	How They Receive Support				Total	% Total
Emp. And Day Supp.	NA	Private	DDS	Self Direct		
Ind. Supp. Emp.		517	1	119	637	3.8%
Group Supp. Emp.		3,274	74		3,348	19.9%
Pre-Vocational		226	19		245	1.5%
Day Supp. Opt.		4,599	146	99	4,844	28.8%
Adult Day Health		31	0	0	31	0.2%
Senior Supports		212	4	0	216	1.3%
Individ. Day Supp.		1,053	0	268	1,321	7.9%
Comp. Employment	305				305	1.8%
Other		207	1		208	1.2%
Sub-Total	305	10,119	245	486	11,155	66.4%
% Total	2.7%	90.7%	2.2%	4.4%	100.0%	
Educational and Developmental Services						
LEA		3,693			3,693	22.0%
Res School		15			15	0.1%
Other		91	30		121	0.7%
Sub-Total		3,799	30		3,829	22.8%
Other						
No Day Program	995				995	5.9%
No Data	827				827	4.9%
Sub-Total	1,822				1,822	10.8%
Grand Total	2,127	13,918	275	486	16,806	100.0%

Note: The numbers above represent primary day program.

NORTH REGION

DAY/WORK STATUS						
Day Support	How They Receive Support				Total	% Total
Emp. And Day Supp.	N/A	Private	DDS	Self Direct		
Ind. Supp. Emp.	0	163	1	23	187	3.3%
Group Supp. Emp.	0	1,263	9	0	1,272	22.6%
Pre-Vocational	0	104	0	0	104	1.8%
Day Supp. Opt.	0	1,463	6	43	1,512	26.8%
Adult Day Health	0	10	0	0	10	0.2%
Senior Supports	0	79	4	0	83	1.5%
Individ. Day Supp.	0	285	0	58	343	6.1%
Comp. Employment	111	0	0	0	111	2.0%
Other		60	0		60	1.1%
Sub-Total	111	3,427	20	124	3,682	65.4%
% Total	3.0%	93.1%	0.5%	3.4%	100.0%	
Educational and Developmental Services						
LEA	0	1,177	0	0	1,177	20.9%
Res School	0	0	0	0	0	0.0%
Other	0	74	12	0	86	1.5%
Sub-Total		1,251	12		1,263	22.4%
Other						
No Day Program	372				372	6.6%
No Data	315				315	5.6%
Sub-Total	687				687	12.2%
Grand Total	798	4,678	32	124	5,632	100.0%

SOUTH REGION

DAY/WORK STATUS						
Day Support	How They Receive Support				Total	% Total
Emp. And Day Supp.	N/A	Private	DDS	Self Direct		
Ind. Supp. Emp.	0	195	0	54	249	4.6%
Group Supp. Emp.	0	1,042	8	0	1,050	19.3%
Pre-Vocational	0	57	0	0	57	1.0%
Day Supp. Opt.	0	1,513	0	20	1,533	28.2%
Senior Supports	0	77	0	0	77	
Adult Day Health	0	15	0	0	15	0.3%
Individ. Day Supp.	0	460	0	99	559	10.3%
Comp. Employment	96	0	0	0	96	1.8%
Other	0	50	1	0	51	0.9%
Sub-Total	96	3,409	9	173	3,687	66.5%
% Total	2.6%	92.5%	0.2%	4.7%	100.0%	
Educational and Developmental Services						
LEA	0	1,229	0	0	1,229	22.6%
Res School	0	2	0	0	2	0.0%
Other	0	10	8	0	18	0.3%
Sub-Total	0	1,241	8	0	1,249	23.0%
Other						
No Day Program	307				307	5.7%
No Data	189				189	3.5%
Sub-Total	496				496	9.1%
Grand Total	592	4,650	17	173	5,432	100.0%

WEST REGION

DAY/WORK STATUS						
Day Support	How They Receive Support				Total	% Total
Emp. And Day Supp.	N/A	Private	DDS	Self Direct		
Ind. Supp. Emp.		159		42	201	3.7%
Group Supp. Emp.		959	13		972	17.7%
Pre-Vocational		65	0		65	1.2%
Day Supp. Opt.		1,576	12	36	1,624	29.6%
Adult Day Health		6	0		6	0.1%
Senior Supports		56	0		56	1.0%
Individ. Day Supp.		308	0	111	419	7.6%
Comp. Employment	98				98	1.8%
Other		97	0		97	1.8%
Sub-Total	98	3,226	25	189	3,538	64.5%
% Total	2.8%	91.2%	0.7%	5.3%	100.0%	
Educational and Developmental Services						
LEA		1,287			1,287	23.5%
Res School		13			13	0.2%
Other		7	10		17	0.3%
Sub-Total		1,307	10		1,317	24.0%
Other						
No Day Program	310				310	5.6%
No Data	323				323	5.9%
Sub-Total	633				633	11.5%
Grand Total	731	4,533	35	189	5,488	100.0%

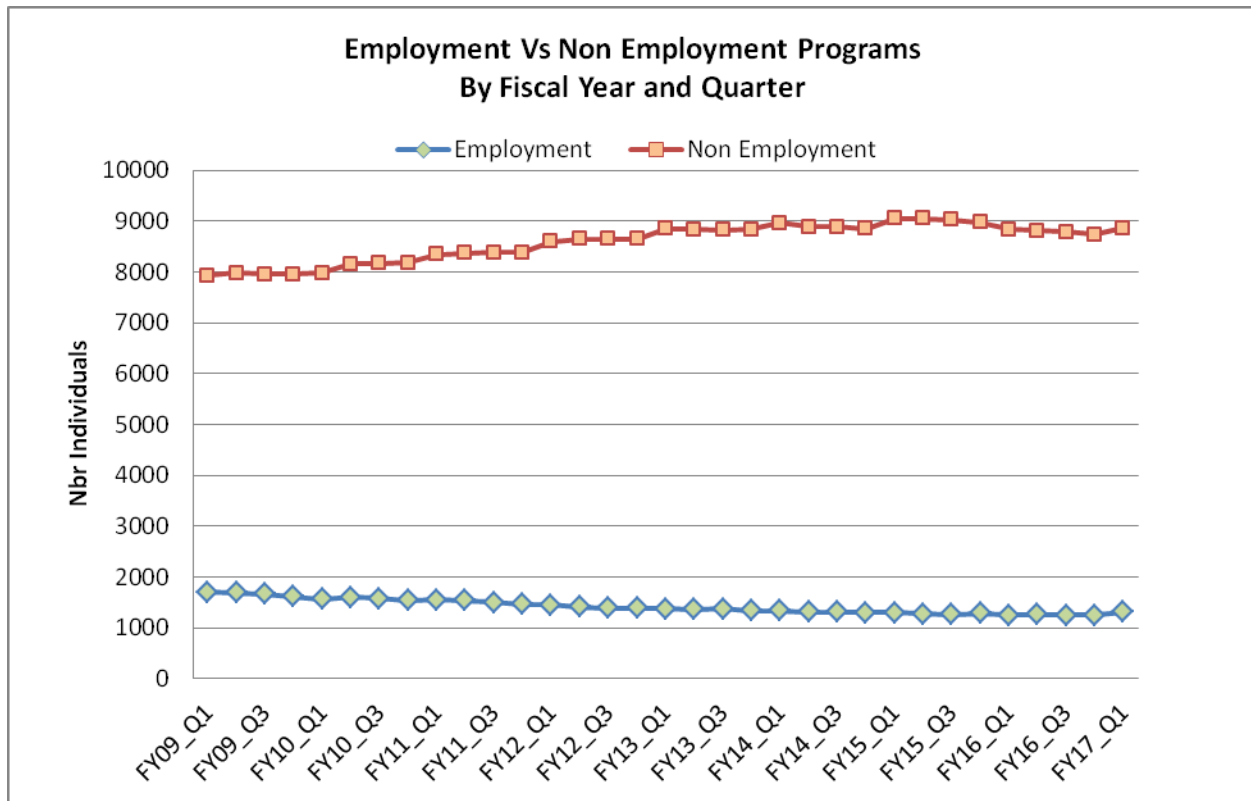
SOUTHBURY TRAINING SCHOOL

DAY/WORK STATUS						
Day Support	How They Receive Support				Total	% Total
Emp. And Day Supp.	N/A	Private	DDS	Self Direct		
Ind. Supp. Emp.		0		0	0	0.0%
Group Supp. Emp.		10	44		54	21.3%
Pre-Vocational		0	19		19	7.5%
Day Supp. Opt.		47	128	0	175	68.9%
Adult Day Health		0	0		0	0.0%
Senior Supports		0	0		0	0.0%
Individ. Day Supp.		0	0	0	0	0.0%
Comp. Employment	0				0	0.0%
Other		0	0		0	0.0%
Sub-Total	0	57	191	0	248	97.6%
% Total	0.0%	1.6%	5.4%	0.0%	7.0%	
Educational and Developmental Services						
LEA		0			0	0.0%
Res School		0			0	0.0%
Other		0	0		0	0.0%
Sub-Total		0	0		0	0.0%
Other						
No Day Program	6				6	2.4%
No Data	0				0	0.0%
Sub-Total	6				6	2.4%
Grand Total	6	57	191	0	254	100.0%

Note: "Sheltered Workshops" category was included in previous MIRs. "Pre-Vocational" has replaced the "Sheltered Workshop" category.

SECTION I: Services and Supports

C. Day Programs – Comparison of Employment vs. Non Employment Programs



NOTE:

Employment Programs consist of the following program types: Individual Supported Employment, Competitive Employment, Individualized Day Vocational.

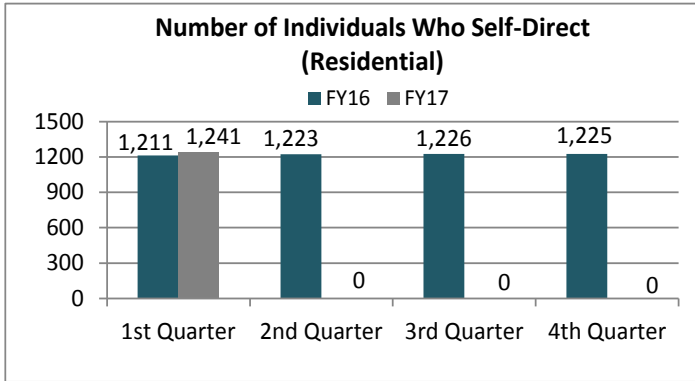
Non-Employment Programs consist of the following program types: Group Supported Employment, Sheltered Workshops, Day Service Options, Individualized Day Retired or Non Vocational.

SECTION I: Services and Supports

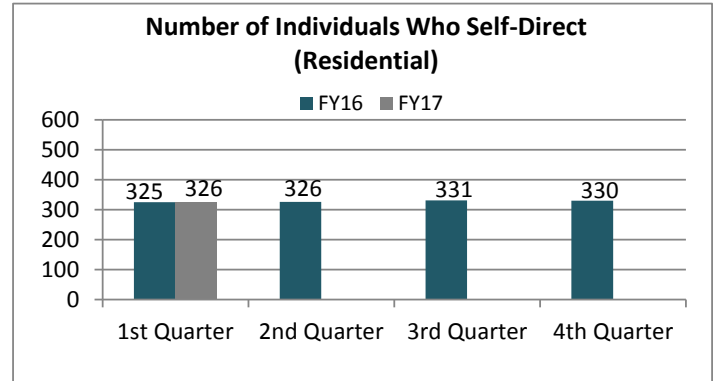
D. Number of Individuals Who Self-Direct (Residential)

E. Active Individuals By Caseload Type

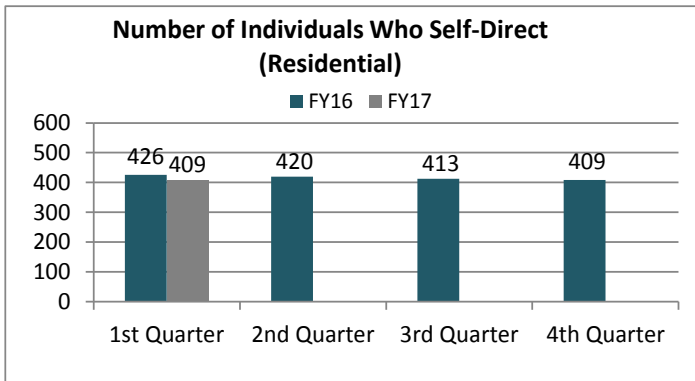
STATEWIDE



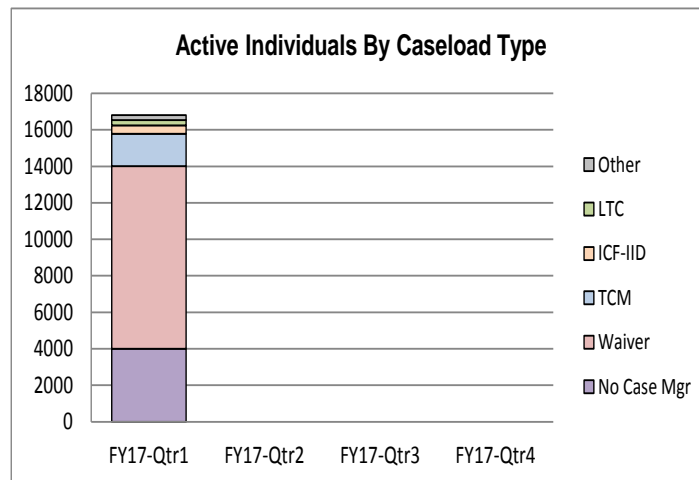
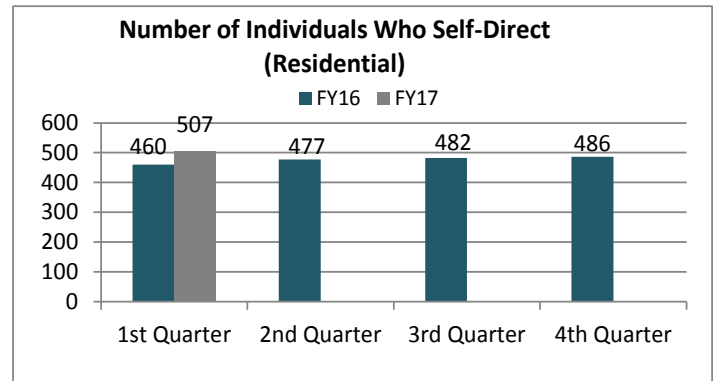
NORTH REGION



SOUTH REGION



WEST REGION



SECTION I: Services and Supports

F. Family Support – Direct Support Services

IFS Family Support - Statewide

	Qtr 1	Qtr 2	Qtr 3	Qtr 4	YTD Unduplicated
Waiver Status	TOTAL served	TOTAL served	TOTAL served	TOTAL served	TOTAL
Not Enrolled - Adult	252	0	0	0	252
Enrolled - Adult	419	0	0	0	419
Not Enrolled - Child	59	0	0	0	59
Enrolled - Child	4	0	0	0	4
Total	734	0	0	0	734

IFS Family Support - NR

	Qtr 1	Qtr 2	Qtr 3	Qtr 4	YTD Unduplicated
Waiver Status	TOTAL served	TOTAL served	TOTAL served	TOTAL served	TOTAL
Not Enrolled - Adult	70				70
Enrolled - Adult	74				74
Not Enrolled - Child	9				9
Enrolled - Child	0				0
Total	153	0	0	0	153

IFS Family Support - SR

	Qtr 1	Qtr 2	Qtr 3	Qtr 4	YTD Unduplicated
Waiver Status	TOTAL served	TOTAL served	TOTAL served	TOTAL served	TOTAL
Not Enrolled - Adult	76				76
Enrolled - Adult	130				130
Not Enrolled - Child	20				20
Enrolled - Child	3				3
Total	229	0	0	0	229

IFS Family Support - WR

	Qtr 1	Qtr 2	Qtr 3	Qtr 4	YTD Unduplicated
Waiver Status	TOTAL served	TOTAL served	TOTAL served	TOTAL served	TOTAL
Not Enrolled - Adult	106				106
Enrolled - Adult	215				215
Not Enrolled - Child	30				30
Enrolled - Child	1				1
Total	352	0	0	0	352

SECTION I: Services and Supports

G. Respite Utilization

STATEWIDE								
Respite Center Utilization Data - FY 2017								
Baseline Data - June 2016			BY QUARTER	Q 1	Q 2	Q 3	Q 4	Total
Unduplicated Number Of People Using Respite Beds	Under 18	184		Under 18	69	0	0	0
	Over 18	992	Over 18	485	0	0	0	485

NORTH REGION								
Respite Center Utilization Data - FY 2017								
Baseline Data - June 2016			BY QUARTER	Q 1	Q 2	Q 3	Q 4	Total
Unduplicated Number Of People Using Respite Beds	Under 18	70		Under 18	25			
	Over 18	246	Over 18	157				157

SOUTH REGION								
Respite Center Utilization Data - FY 2017								
Baseline Data - June 2016			BY QUARTER	Q 1	Q 2	Q 3	Q 4	Total
Unduplicated Number Of People Using Respite Beds	Under 18	32		Under 18	14			
	Over 18	238	Over 18	156				156

WEST REGION								
Respite Center Utilization Data - FY 2017								
Baseline Data - June 2016			BY QUARTER	Q 1	Q 2	Q 3	Q 4	Total
Unduplicated Number Of People Using Respite Beds	Under 18	82		Under 18	30			
	Over 18	508	Over 18	172				172

Note: The numbers above represent an unduplicated count of people using respite centers in the fiscal year.

STATEWIDE									
Respite Grant Utilization Data - FY 2017									
Baseline Data - June 2016			BY QUARTER		Q 1	Q 2	Q 3	Q 4	Total
Unduplicated Number Of People Using Respite Svcs	Under 18	491		Under 18	177	0	0	0	177
	Over 18	1351		Over 18	349	0	0	0	349
Total Respite Funds		\$2,490,449			\$671,696	\$0	\$0	\$0	\$671,696

NORTH REGION									
Respite Grant Utilization Data - FY 2017									
Baseline Data - June 2016			BY QUARTER		Q 1	Q 2	Q 3	Q 4	Total
Unduplicated Number Of People Using Respite Svcs	Under 18	115		Under 18	27				27
	Over 18	300		Over 18	95				95
Total Respite Funds		\$909,383			\$172,631				\$172,631

SOUTH REGION									
Respite Grant Utilization Data - FY 2017									
Baseline Data - June 2016			BY QUARTER		Q 1	Q 2	Q 3	Q 4	Total
Unduplicated Number Of People Receiving Respite	Under 18	167		Under 18	44				44
	Over 18	633		Over 18	142				142
Total Respite Funds		\$916,305			\$243,037				\$243,037

WEST REGION									
Respite Grant Utilization Data - FY 2017									
Baseline Data - June 2016			BY QUARTER		Q 1	Q 2	Q 3	Q 4	Total
Unduplicated Number Of People Receiving Respite	Under 18	209		Under 18	106				106
	Over 18	418		Over 18	112				112
Total Respite Funds		\$664,761		Total Respite Funds	\$256,028				\$256,028

Note: Although the numbers of people served are unduplicated, the Respite funds represent total funds expended and possibly used for multiple respites per person.

Section II: Service Needs

A. Residential Needs – Emergency and Priority One Waiting List

The tables within this section represent people with active residential needs in the following categories:

Waiting List

This is a group of individuals living either in their own or family home who have No Services (\$0 to \$19,999 in supports). These individuals have an active need and are designated one of two priority status levels: Emergency or Priority One.

Statewide Data			
Waiting List			
	Emerg.	Pri. 1	Total
Home/IL (No Supports)	21	636	657
DYTD	-5	24	19

Other Residential Needs

This group of individuals either receives DDS funded residential supports and services and need additional supports or live in institutional settings (DDS Campus facilities or Long Term Care settings) and wish to move to a community residential setting.

Statewide Data			
Other Residential Needs			
DDS Operated/Funded:	Emerg.	Pri. 1	Total
CLAs	0	21	21
CRS	1	6	7
CCH	1	5	6
Indiv Home Supp*	14	208	222
RC	0	8	8
Sub-Total	16	248	264
DYTD	2	71	73
Other State Agencies:	Emerg.	Pri. 1	Total
DMHAS	1	1	2
DOC	0	0	0
DCF/CTO	0	1	1
Sub-Total	1	2	3
Other:	Emerg.	Pri. 1	Total
LTC/ICF/SNF/RCH	1	21	22
Other	2	13	15
Sub-Total	3	34	37
Total w/Sup. & Service	20	284	304
Change YTD	3	51	54

Note: DDS is in the process of implementing recommendations from a project team who reviewed current Waiting List/Planning List definitions to ensure that the data is clear to all stakeholders. Beginning in March 2017, data will be gathered during annual Individual Planning (IP) meetings with the goal of better assessing the future service needs of eligible individuals in a format that can be shared with multiple interested parties.

Priority Status

Emergency: The individual has an immediate need for residential placement, support or services.

Priority One: The individual/family is requesting placement within 1 year and has been determined to have the most pressing need for services

In addition these individuals belong to one of these target groups:

No Services	No Services/Resources - Individual who is living with their family or independently and either does not receive individual supports or has a small amount (\$0-\$19,999).
Underserved	Individual who currently receives residential services or supports but needs increased resources in their current placement and does not want or need to move
Olmstead	Individual who resides in a Regional Center, SNF, ICF, Nursery or a Hospital for Special Care who wants to move to a more integrated Residential Setting (as defined by the IDT).

This data does not include other individuals with residential needs such as those aging out of residential settings not currently DDS funded, those desiring to move from one residential support (non-institutional) to another.

North Region Data			
Waiting List			
	Emerg.	Pri. 1	Total
Home/IL (No Supports)	4	226	230
DYTD	1	50	51

South Region Data			
Waiting List			
	Emerg.	Pri. 1	Total
Home/IL (No Supports)	8	210	218
DYTD	-6	3	-3

West Region Data			
Waiting List			
	Emerg.	Pri. 1	Total
Home/IL (No Supports)	9	200	209
DYTD	0	-29	-29

North Region Data			
Other Residential Needs			
DDS Operated/Funded:	Emerg.	Pri. 1	Total
CLAs	0	5	5
CRS	1	1	2
CCH	0	1	1
Indiv Home Supp*	3	40	43
RC	0	0	0
Sub-Total	4	47	51
DYTD	1	4	5
Other State Agencies:	Emerg.	Pri. 1	Total
DMHAS	0	0	0
DOC	0	0	0
DCF/CTO	0	1	1
Sub-Total	0	1	1
Other:	Emerg.	Pri. 1	Total
LTC/ICF/SNF/RCH	1	3	4
Other	0	2	2
Sub-Total	1	5	6
Total w/Sup. & Service	5	53	58
Change YTD	2	-11	-9

South Region Data			
Other Residential Needs			
DDS Operated/Funded:	Emerg.	Pri. 1	Total
CLAs	0	11	11
CRS	0	4	4
CCH	0	0	0
Indiv Home Supp*	8	70	78
RC	0	2	2
Sub-Total	8	87	95
DYTD	0	48	48
Other State Agencies:	Emerg.	Pri. 1	Total
DMHAS	1	1	2
DOC	0	0	0
DCF/CTO	0	0	0
Sub-Total	1	1	2
Other:	Emerg.	Pri. 1	Total
LTC/ICF/SNF/RCH	0	9	9
Other	2	6	8
Sub-Total	2	15	17
Total w/Sup. & Service	11	103	114
Change YTD	2	42	44

West Region Data			
Other Residential Needs			
DDS Operated/Funded:	Emerg.	Pri. 1	Total
CLAs	0	5	5
CRS	0	1	1
CCH	1	4	5
Indiv Home Supp*	3	98	101
RC	0	6	6
Sub-Total	4	114	118
DYTD	1	19	20
Other State Agencies:	Emerg.	Pri. 1	Total
DMHAS	0	0	0
DOC	0	0	0
DCF/CTO	0	0	0
Sub-Total	0	0	0
Other:	Emerg.	Pri. 1	Total
LTC/ICF/SNF/RCH	0	9	9
Other	0	5	5
Sub-Total	0	14	14
Total w/Sup. & Service	4	128	132
Change YTD	-1	20	19

Section II: Service Needs

B. Residential Planning List

Individuals on the Planning List have residential needs and are have been assigned either a Priority 2 or 3 status. Those with a Priority of 2 or 3 want or will need services in two or more years. Their need is not considered urgent, critical or immediate and they are classified as Planning List.

STATEWIDE	Pri. 2	Pri. 3	Total
Home/IL (No Supports)	807	246	1053
DYTD	2	-1	1
DDS Operated/Funded:	Pri. 2	Pri. 3	Total
CLAs	0	0	0
CCH	1	0	1
Indiv Home Supp*	62	9	71
Sub-Total	63	9	72
Other State Agencies:	Pri. 2	Pri. 3	Total
DMHAS	0	0	0
DOC	0	0	0
DCF/CTO	1	0	1
Sub-Total	1	0	1
Other:	Pri. 2	Pri. 3	Total
LTC/ICF/SNF/RCH	8	1	9
Other	3	0	3
Sub-Total	11	1	12
Total Supports & Service	75	10	85
Grand Total	882	256	1138
Change YTD	4	-1	3

NORTH REGION	Pri. 2	Pri. 3	Total
Home/IL (No Supports)	272	90	362
DYTD	4	0	4
DDS Operated/Funded:	Pri. 2	Pri. 3	Total
CLAs	0	0	0
CCH	0	0	0
Indiv Home Supp*	4	2	6
Sub-Total	4	2	6
Other State Agencies:	Pri. 2	Pri. 3	Total
DMHAS	0	0	0
DOC	0	0	0
DCF/CTO	0	0	0
Sub-Total	0	0	0
Other:	Pri. 2	Pri. 3	Total
LTC/ICF/SNF/RCH	4	0	4
Other	1	0	1
Sub-Total	5	0	5
Total Supports & Service	9	2	11
Grand Total	281	92	373
Change YTD	5	0	5

SOUTH REGION	Pri. 2	Pri. 3	Total
Home/IL (No Supports)	313	87	400
DYTD	-2	-1	-3
DDS Operated/Funded:	Pri. 2	Pri. 3	Total
CLAs	0	0	0
CCH	0	0	0
Indiv Home Supp*	40	1	41
Sub-Total	40	1	41
Other State Agencies:	Pri. 2	Pri. 3	Total
DMHAS	0	0	0
DOC	0	0	0
DCF/CTO	1	0	1
Sub-Total	1	0	1
Other:	Pri. 2	Pri. 3	Total
LTC/ICF/SNF/RCH	3	0	3
Other	1	0	1
Sub-Total	4	0	4
Total Supports & Service	45	1	46
Grand Total	358	88	446
Change YTD	-2	-1	-3

WEST REGION	Pri. 2	Pri. 3	Total
Home/IL (No Supports)	222	69	291
DYTD	0	0	0
DDS Operated/Funded:	Pri. 2	Pri. 3	Total
CLAs	0	0	0
CCH	1	0	1
Indiv Home Supp*	18	6	24
Sub-Total	19	6	25
Other State Agencies:	Pri. 2	Pri. 3	Total
DMHAS	0	0	0
DOC	0	0	0
DCF/CTO	0	0	0
Sub-Total	0	0	0
Other:	Pri. 2	Pri. 3	Total
LTC/ICF/SNF/RCH	1	1	2
Other	1	0	1
Sub-Total	2	1	3
Total Supports & Service	21	7	28
Grand Total	243	76	319
Change YTD	1	0	1

Section II: Service Needs

C. Day Waiting List

Individuals who have requested employment opportunities and day services, but are waiting for available funding.

STATEWIDE			
No Day Supports	Emerg.	Pri. 1	Total
Family or Own Home	3	134	137
Out of Home	0	19	19
Total	3	153	156

NORTH REGION			
No Day Supports	Emerg.	Pri. 1	Total
Family or Own Home	0	70	70
Out of Home	0	10	10
Total	0	80	80

SOUTH REGION			
No Day Supports	Emerg.	Pri. 1	Total
Family or Own Home	3	36	39
Out of Home	0	7	7
Total	3	43	46

WEST REGION			
No Day Supports	Emerg.	Pri. 1	Total
Family or Own Home	0	28	28
Out of Home	0	2	2
Total	0	30	30

Section II: Service Needs

D. BSP Waiting List

Individuals who have been found eligible for DDS’s Behavioral Services Program (BSP), however there is no annualized BSP funding currently available.

STATEWIDE	
Residence Type	Count of Individuals
Family Home	28
NORTH REGION	
Residence Type	Count of Individuals
Family Home	5
SOUTH REGION	
Residence Type	Count of Individuals
Family Home	9
WEST REGION	
Residence Type	Count of Individuals
Family Home	14

Section II: Service Needs

E. Future Planning Ageouts and High School Graduates

Residential Ageouts

STATEWIDE	
DCF or LEA Funded	
FY 2018	95
FY 2019	82
FY 2020	74

NORTH REGION	
DCF or LEA Funded	
FY 2018	31
FY 2019	28
FY 2020	18

SOUTH REGION	
DCF or LEA Funded	
FY 2018	39
FY 2019	25
FY 2020	27

WEST REGION	
DCF or LEA Funded	
FY 2018	25
FY 2019	29
FY 2020	29

Grads and Day Ageouts

STATEWIDE		
	School Graduates	Ageouts
FY 2018	337	94
FY 2019	358	99
FY 2020	122	94

NORTH REGION		
	School Graduates	Ageouts
FY 2018	108	41
FY 2019	122	32
FY 2020	117	32

SOUTH REGION		
	School Graduates	Ageouts
FY 2018	104	32
FY 2019	136	36
FY 2020	62	32

WEST REGION		
	School Graduates	Ageouts
FY 2018	125	21
FY 2019	100	31
FY 2020	117	30

NOTE: The above numbers represent individuals identified within the Planning and Resource Allocation Team (PRAT) database as either Aging Out of DCF, LEA or ISA funded settings or due to graduate from their school district. The Fiscal Year is based on the Fiscal Year funding identified in the PRAT database. Regions will continue to identify School Graduates and Ageouts throughout the year. These numbers will change over time especially those in the third year out (June 2020).

Section III: New Development Goals and Support Activity

A. Residential Ageouts

B. Day AgeOuts and High School Grads

Residential AgeOuts			
Statewide	Goal	Actual YTD	Difference
Served with FY17 AO Funds	101	35	-66

High School Graduates and Age Out* Funding			
Statewide	Goal	Actual YTD	Difference
June 2016 Grads Served w/FY17 Grad Funds	285	190	-95
June 2016 Age Outs Served w/FY17 Grad Funds	117	94	-23

*Individuals Aging out of DCF and LEA Services

Section IV: Case Load by Age
A. Residential

DDS CONSUMERS BY RESIDENTIAL PROGRAM AND AGE
September 2016 Consumer Data

Age Group	CAMPUS	DDS CLA	PRIV. CLA	PRIV. CLA ICF	CRS	CCH DDS LIC.	CCH-NOT DDS-LIC	IND. LIV.	DDS HOME IHS	PVT HOME IHS	SLF DIR HOME IHS	FAM HOME	PVT FAM IHS	SLF DIR FAM IHS	SNF	RCH (HA)	PRIV. RES. SCH.	MH FAC.	HOS.	OTHER	NO DATA	TOTAL	PCT
0-2	0	0	0	0	0	0	0	0	0	0	0	18	0	0	0	0	0	0	1	0	0	19	0%
3-5	0	0	0	0	0	0	0	0	0	0	0	140	0	1	0	0	0	0	5	1	0	147	1%
6-13	0	0	1	0	0	0	11	0	0	0	0	1,143	26	44	0	0	6	0	6	2	0	1,239	7%
14-17	0	0	15	0	4	1	15	0	0	0	0	943	63	117	0	0	17	0	5	5	2	1,187	7%
18-21	0	1	87	1	39	3	22	6	0	0	2	1,298	70	131	0	0	26	0	6	8	8	1,708	10%
22-34	13	12	559	46	307	62	8	127	2	188	66	2,624	149	341	9	0	20	2	9	7	10	4,561	27%
35-44	25	32	437	54	119	64	2	74	14	160	72	719	70	189	7	2	3	2	4	4	3	2,056	12%
45-54	100	89	727	100	127	94	0	74	34	215	59	509	66	79	46	4	1	0	3	4	2	2,333	14%
55-64	110	103	752	84	98	74	0	60	54	217	41	269	47	59	85	9	6	1	2	6	1	2,078	12%
65-74	117	37	394	65	40	59	0	44	31	65	19	78	8	17	86	8	1	0	0	1	3	1,073	6%
75+	52	8	135	13	9	14	0	10	13	25	4	17	4	0	89	10	0	0	0	2	0	405	2%
TOTAL	417	282	3,107	363	743	371	58	395	148	870	263	7,758	503	978	322	33	80	5	41	40	29	16,806	100%
PERCENT	2%	2%	18%	2%	4%	2%	0%	2%	1%	5%	2%	46%	3%	6%	2%	0%	0%	0%	0%	0%	0%	100%	

Sub-Totals by Age Groups

Age Group	CAMPUS	DDS CLA	PRIV. CLA	PRIV. CLA ICF	CRS	CCH DDS LIC.	CCH-NOT DDS-LIC	IND. LIV.	DDS HOME IHS	PVT HOME IHS	SLF DIR HOME IHS	FAM HOME	PVT FAM IHS	SLF DIR FAM IHS	SNF	RCH (HA)	PRIV. RES. SCH.	MH FAC.	HOS.	OTHER	NO DATA	TOTAL	PCT
Children (Age 0-17)	0	0	16	0	4	1	26	0	0	0	0	2,244	89	162	0	0	23	0	17	8	2	2,592	15%
Young Adults (Age 18-21)	0	1	87	1	39	3	22	6	0	0	2	1,298	70	131	0	0	26	0	6	8	8	1,708	10%
Adults (Age 22 and Over)	417	281	3,004	362	700	367	10	389	148	870	261	4,216	344	685	322	33	31	5	18	24	19	12,506	74%
Total	417	282	3,107	363	743	371	58	395	148	870	263	7,758	503	978	322	33	80	5	41	40	29	16,806	0%
Percent	2%	2%	18%	2%	4%	2%	0%	2%	1%	5%	2%	46%	3%	6%	2%	0%	0%	0%	0%	0%	0%	100%	

Note: Includes Active Only Clients. "0%" = less than one percent.

Campus (RC,TRS) = Regional or STS Campus Unit
 DDS CLA (CLA) = DDS Operated Community Living Arrangement
 Priv. CLA (CLA) = Non-DDS Operated Com. Living Arrangement
 Priv. CLA ICF = Non-DDS Operated Com. Living Arrangement licensed ICF/ID
 CRS = Continuous Residential Supports (Formerly 24 Hr Supp Living)
 DDS Lic. CCH (CCH) = DDS licensed community companion home
 CCH Not DDS Lic. (CTO) = Non-DDS licensed CCH (DCF foster home,
 Ind. Liv. (IL) = Independent Living
 DDS Home IHS = DDS Individual Support in Own Home
 Priv. Home IHS = Private Individual Support in Own Home
 Sif Dir Home IHS = Self Directed Individual Support in Own Home
 Family Home (FAM) = Family Home

Pvt Fam IHS = Private Individual Support in Family Home
 Sif Dir Fam IHS = Self Directed Individual Support in Family Home
 SNF (SNF) = Skilled Nursing Facility*
 Res. Care Home (RCH) = Res. Care Home (Formerly Home for the Aged)*
 Priv. Res. Sch. (SCR) = Private Residential School
 MH Fac. (MH) = Mental Health Facility
 Hos. (HOS) = Hospital Facility
 Other (COR,OR) = Other Res. Program (Correctional Facility, Other)
 No Data = No valid Residential Program in CAMRIS for Client

* Long Term Care Facility (Licensed by the Dept. of Health Services)

Section IV: Case Load by Age

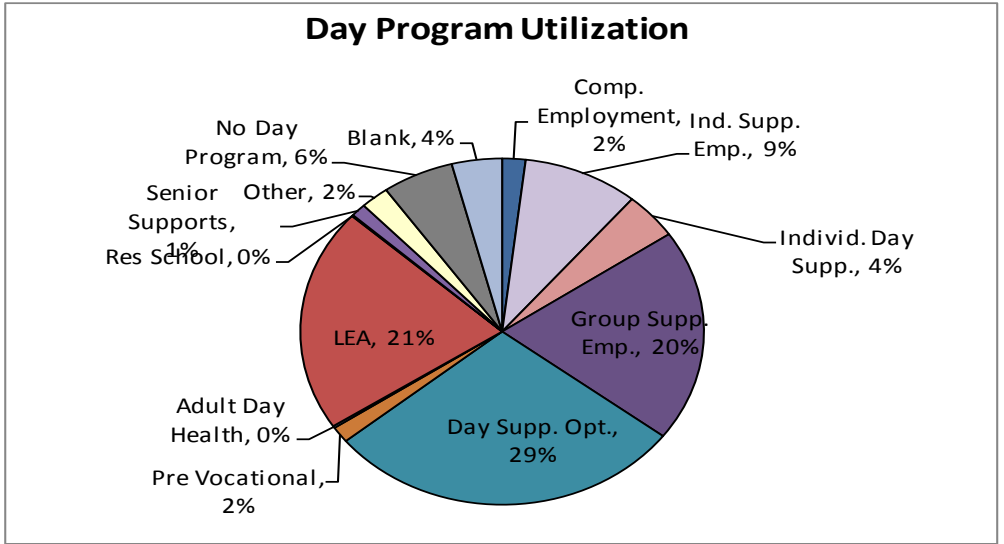
B. Day/Work Services

DDS CONSUMERS BY DAY PROGRAM AND AGE

Day Type	Age											TOTAL
	0-2	3-5	6-13	14-17	18-21	22-34	35-44	45-54	55-64	65-74	75+	
Competitive Employment	0	0	0	0		43	82	93	80	40	3	341
Indiv Supp Emp	0	0	0	0	26	717	251	249	234	146	64	1687
Individ Day Supp	0	0	0	0	5	356	188	125	106	13	1	794
Group Supp Emp	0	0	0	0	66	1536	679	705	472	129	23	3610
Day Supp Option	0	0	0	0	140	1733	711	947	963	568	182	5244
Pre-Vocational	0	0	0	0	0	46	48	69	75	24	12	274
Adult Day Health	0	0	0	0	0	1	0	2	12	12	8	35
LEA	0	103	1126	1140	1426	0	0	0	0	0	0	3795
Res School	0	0	3	8	11	0	0	0	0	0	0	22
Senior Supports	0	0	0	0	1	26	27	26	58	53	31	222
Other	14	25	52	22	23	235	18	15	7	2	1	414
No Program	0	0	0	4	9	309	185	199	153	110	74	1043
Blank	5	19	82	49	65	240	81	63	64	41	24	733
TOTAL	19	147	1263	1223	1772	5242	2270	2493	2224	1138	423	18214

DDS Consumers by Day Program (Percentage)

Day Type	Pct
Comp. Employment	2%
Ind. Supp. Emp.	9%
Individ. Day Supp.	4%
Group Supp. Emp.	20%
Day Supp. Opt.	29%
Pre Vocational	2%
Adult Day Health	0%
LEA	21%
Res School	0%
Senior Supports	1%
Other	2%
No Day Program	6%
Blank	4%
TOTAL	100%



Note: The above numbers represent all of the day programs an individual attends (this is not an unduplicated count of individuals).

Section V: Home and Community Based Waiver

A. Enrollment

HCBS Waiver Enrollees - Intellectual Disability

Region	DDS CLA	Private CLA	CRS*	CCH	Family Home	Family Home w/ Supports	Own Home	Own Home w/ Supports	RCH	Other	Total
North	129	1,102	284	120	944	417	45	361	6	21	3,429
South	131	945	228	141	972	475	54	547	5	22	3,520
West	19	976	205	104	873	538	56	335	2	33	3,141
June 16 Total	279	3,023	717	365	2,789	1,430	155	1,243	13	76	10,090
June 2015	324	2,885	675	367	2,488	1,414	136	1,234	13	66	9,534
ΔYTD	-45	138	42	-2	301	16	19	9	0	10	556

Comprehensive Waiver Enrollees

Region	DDS CLA	Private CLA	CRS*	CCH	Family Home	Family Home w/ Supports	Own Home	Own Home w/ Supports	RCH	Other	Total
North	129	1,101	275	79	19	96	9	186	2	13	1,909
South	131	945	212	111	17	131	8	245	3	18	1,821
West	19	975	195	71	18	89	11	125	1	20	1,524
Sept 16 Total	279	3,021	682	261	54	316	28	556	6	51	5,254
June 2016	324	2,878	632	271	43	275	18	542	5	35	4,949
ΔYTD	-45	143	50	-10	11	41	10	14	1	16	305

Individual and Family Support Waiver Enrollees

Region	DDS CLA	Private CLA	CRS*	CCH	Family Home	Family Home w/ Supports	Own Home	Own Home w/ Supports	RCH	Other	Total
North	0	1	9	41	602	318	26	175	3	8	1,183
South	0	0	16	30	656	336	17	302	1	3	1,361
West	0	1	9	33	563	440	27	207	1	10	1,291
Sept 16 Total	0	2	34	104	1,821	1,094	70	684	5	21	3,835
June 2016	0	7	43	94	1,803	1,124	72	688	6	27	3,864
ΔYTD	0	-5	-9	10	18	-30	-2	-4	-1	-6	-29

Employment and Day Support Waiver Enrollees

Region	DDS CLA	Private CLA	CRS*	CCH	Family Home	Family Home w/ Supports	Own Home	Own Home w/ Supports	RCH	Other	Total
North	0	0	0	0	323	3	10	0	1	0	337
South	0	0	0	0	299	8	29	0	1	1	338
West	0	0	1	0	292	9	18	3	0	3	326
Sept 17 Total	0	0	1	0	914	20	57	3	2	4	1,001
June 2016	0	4	31	104	1,836	1,089	74	680	5	25	3,848
ΔYTD	0	-4	-30	-104	-922	-1,069	-17	-677	-3	-21	-2,847

Data Source: CAMRIS 9/16

NOTE 1: IFS Waiver enrollees reported as living in CLAs and CRSs are pending transfer to the Comprehensive Waiver.

NOTE 2: EDS Waiver enrollees reported as living in CLAs, Family Home w/ Supports and Own Home w/ Supports are pending transfer to the Comprehensive Waiver or Individual and Family Support Waiver.

Section V: Home and Community Based Waiver

B. Federal Revenue

Actual v. Projected Revenue - FY 2017 First Quarter
(Millions of Dollars)

	SFY 16	SFY 17	SFY 17	SFY 17	
	Actual	EOY	YTD Actual	Difference	% of Revenue
	Revenue	Projected	Revenue	Projected	vs Actual
					Received
Waiver	\$453.16	\$424.83	\$104.44	\$320.39	24.58%
ICF/IID	\$75.25	\$61.97	\$32.37	\$29.60	52.23%
TCM	\$17.86	\$19.20	\$4.84	\$14.36	25.21%
TOTAL Billing	\$546.27	\$506.00	\$141.65	\$364.35	27.99%

Actual v. Projected Revenue - FY 2017 First Quarter
(Millions of Dollars)

	SFY 16	SFY 17	SFY 17	SFY 17	
	Actual	EOY	YTD Actual	Difference	% of Revenue
	Revenue	Projected	Revenue *	Projected	vs Actual
					Received
Comprehensive Waiver	\$377.55	\$353.81	\$87.56	\$266.25	24.75%
IFS Waiver	\$61.88	\$59.00	\$14.07	\$44.93	23.85%
EDS Waiver	\$10.24	\$11.02	\$2.42	\$8.60	21.97%
Money Follows the Person COMP	\$2.09	\$1.00	\$0.23	\$0.77	23.00%
Total Waiver	\$451.76	\$424.83	\$104.28	\$320.55	24.55%
Public ICF/IID	\$75.25	\$61.97	\$32.37	\$29.60	52.23%
Targeted Case Mgmt.	\$17.86	\$19.20	\$4.84	\$14.36	25.21%
TOTAL Billing	\$544.87	\$506.00	\$141.49	\$364.51	27.96%

Section VI: Human Resource**A. DDS funded Position Count**

Permanent Full Time Position Count (General Funds)						
Region	Authorized	Active	Leave with Pay	Leave w/o Pay & WC	Durational	Vacant
North	670	508	23	6	0	133
South	663	503	12	27	0	121
West	577	437	2	24	0	114
STS	1053	576	4	48	0	425
Central Office	135	113	2	1	0	19
Totals	3098	2137	43	106	0	812

Permanent Part Time Position Count (General Funds)										
Region	Authorized	Active		Leave with Pay		Leave w/o Pay & WC		Durational		Vacant count
		count	FTE	count	FTE	count	FTE	count	FTE	
North	340	201	108.2	13	7.3	2	1	0	0	124
South	305	202	118.5	3	1.5	10	5.86	0	0	90
West	224	139	94.25	1	0.8	15	9.55	0	0	69
STS	201	105	67.83	0	0	11	8.25	0	0	85
Central Office	0	0	0	0	0	0	0	0	0	0
Totals	1070	647	388.78	17	9.6	38	24.66	0	0	368

Other General Fund Positions - Filled							
Type	North	South	West	STS	CO	Total	
Temporary	0	22	1	0	1	24	
General Workers	25	15	4	67	0	111	
Substitutes	0	0	0	0	0	0	
Retirees	0	0	0	0	0	0	
Inst. Fire	0	0	0	1	0	1	
Per Diems	7	6	2	3	5	23	

Federal Funded Positions - Filled						
	North	South	West	STS	CO	Total
Full Time	0	0	0	0	2	2
Part Time	0	0	0	0	1	1

Section VI: Human Resources

B. DDS Abuse and Neglect Registry

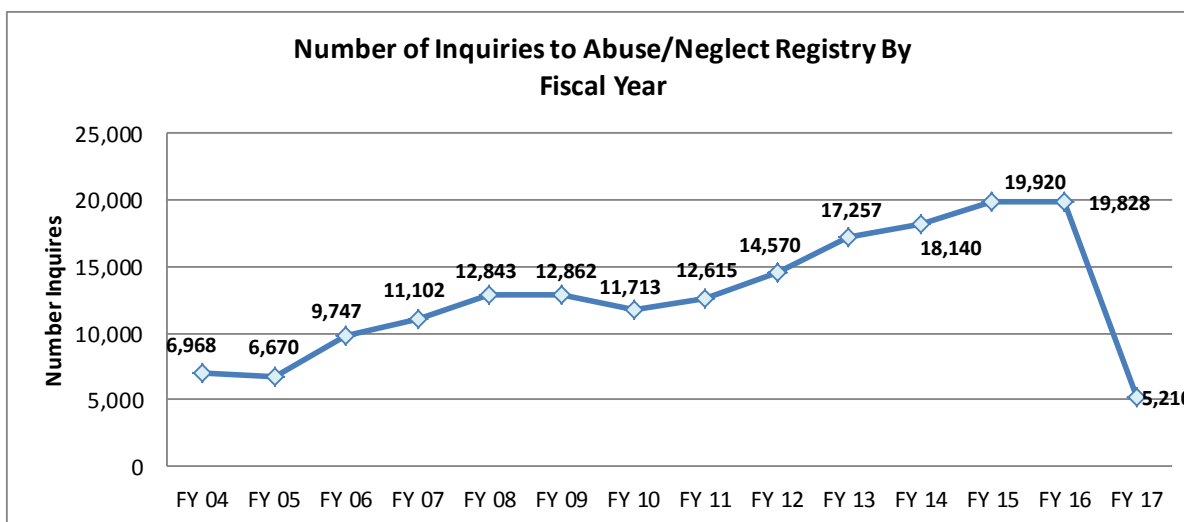
DDS Abuse and Neglect Registry Quarterly Report

July 1, 2016 -September 30, 2016

Registry Notifications Received							
	Total	Private Sector		Public Sector		Self Direct	
		Nbr	Pct	Nbr	Pct	Nbr	Pct
New Notifications This Quarter	27	23	85%	4	15%	0	0%
Notifications as of 6/30/16	1077	924	86%	151	14%	0	0%
Total Cumulative Notifications *	1104	947	86%	155	14%	0	0%

Disposition of Registry Notifications							
	Total	Private Sector		Public Sector		Self Direct	
		Nbr	Pct	Nbr	Pct	Nbr	Pct
New Names on Registry This Quarter	26	25	96%	1	4%	0	0%
Names on Registry as of 6/30/16	490	451	92%	38	8%	1	0%
Closed - Arbitration & Legal Proceedings	96	31	32%	69	72%	0	0%
Closed - Do not meet Statutory Criteria	458	413	90%	57	12%	0	0%
Total Completed Cases*	1070	920	86%	165	15%	1	0%

*Represents cumulative data from the beginning of the Abuse/Neglect Registry



Inquiries made in Current Fiscal Year	
Number Inquiries in Current Quarter	Number of Inquiries Year to Date
5,280	5,210