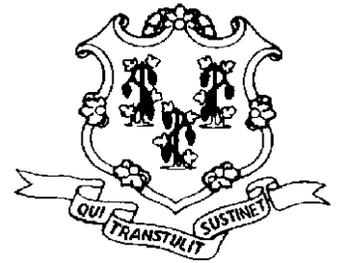


December 2016



STATE OF CONNECTICUT  
Department of Developmental Services



**M.I.R.**  
**Management Information Report**  
**December 2016**

Issued

May 2017

by DDS Commissioner's Office

# DDS Management Information Report Table of Contents

<u>Section</u>	<u>Page</u>
Executive Summary .....	2
SECTION I: Services and Supports .....	4
A. Where People Live and How They Are Supported .....	4
B. Work and Day Services .....	6
C. Day Programs – Comparison of Employment vs. Non Employment Programs .....	8
D. Number of Individuals Who Self-Direct (Residential) .....	9
E. Active Individuals By Caseload Type .....	9
F. Family Support – Direct Support Services .....	10
G. Respite Utilization .....	11
Section II: Service Needs .....	13
A. Residential Needs – Emergency and Priority One Waiting List .....	13
B. Residential Planning List .....	15
C. Day Waiting List .....	16
D. BSP Waiting List .....	17
E. Future Planning Ageouts and High School Graduates .....	18
Section III: New Development Goals and Support Activity .....	19
A. Residential Ageouts .....	19
B. Day AgeOuts and High School Grads .....	19
Section IV: Case Load by Age .....	20
A. Residential .....	20
B. Day/Work Services .....	21
Section V: Home and Community Based Waiver .....	22
A. Enrollment .....	22
Section V: Home and Community Based Waiver .....	22
B. Federal Revenue .....	23
Section VI: Human Resource .....	23
A. DDS funded Position Count .....	24
B. DDS Abuse and Neglect Registry .....	25

## Executive Summary

The Management Information Report (MIR) provides DDS staff and stakeholders with information about eligibility, consumer services and supports, support needs and new development activities, waiver enrollment and revenue, and personnel management. Key findings from the most recent quarter include:

### Section I: Services and Supports

- Residential settings with the largest number of individuals served by DDS include Family Homes, Own Homes (IL) and CLAs (55%, 10%, 22% respectively)
- Out of all employment and day programs, Day Support Options and Group Supported Employment have the highest percentage of individuals participating. Neither of these programs is considered “Employment.” Individuals participating in Day Support Options don’t receive wages and those in Group Supported Employment earn wages that do not meet minimum wage standards.
- Statewide, the number of individuals self-directing their services increased. In the previous fiscal years, the number of individuals self-directing their services increased throughout each quarter.
- The number of active individuals is up from last quarter.
- 201 individuals accessed respite support grants during Q2 of FY17. Throughout the previous fiscal year (FY16), there was a fluctuation in the number of individuals using respite services. In FY16, 370 people used respite services in Q1, 543 people used respite services in Q2, 353 individuals used respite services in Q3 and 576 individuals in Q4.

### Section II: Service Needs

- There are 638 individuals on the DDS Waiting List, defined as those who live in their own or family home, have no supports, have active residential needs and are either an Emergency or Priority 1 (10 individuals with Emergency Priority and 628 who are categorized as Priority 1). This number decreased from Quarter 1 of FY17 and matches the number in the fourth quarter of FY16 although the distribution of individuals with Emergency vs. Priority 1 status has changed (FY 16 fourth quarter: 26 individuals with Emergency Priority and 612 who are categorized as Priority 1 for a total of 638 individuals on the DDS Waiting List).
- 316 individuals are receiving DDS funded residential supports and services and need additional supports or live in institutional settings (DDS Campus facilities or Long Term Care settings) and wish to move to a community residential setting. 25 have Emergency Priority and 291 are categorized as Priority 1.
- There are 1,139 people on the Residential Planning List. Individuals on the Planning List have residential needs and are have been assigned either a Priority 2 or 3 status (883 are Priority 2 and 256 are Priority 3). Those with a Priority of 2 or 3 want or will need services in two or more years. Their need is not considered urgent, critical or immediate and they are classified as the Planning List.

### Section III: New Development Goals and Support Activity

- 53 individuals were served using FY17 residential age out funds.
- 213 individuals who graduated in June 2016 received FY17 grad funding. 103 individuals who aged out of DCF received FY17 grad funds.

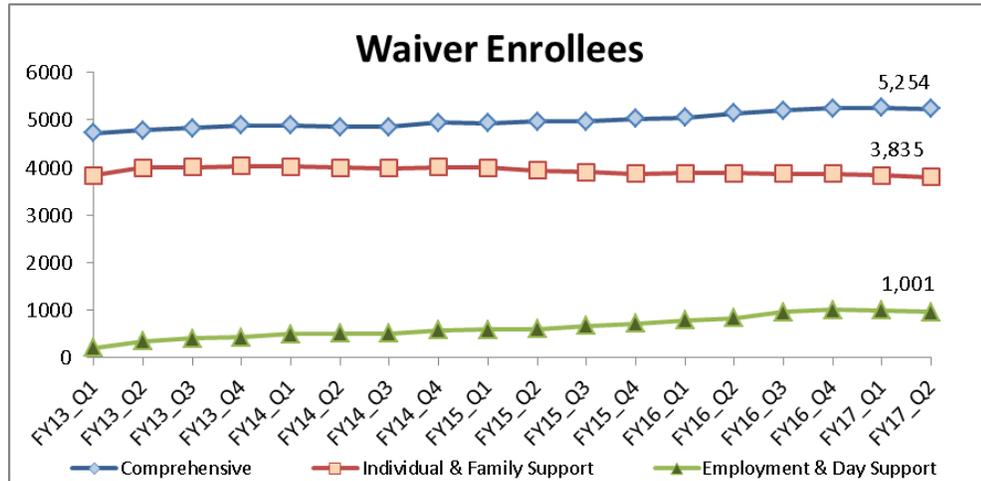
### Section IV: Case Load by Age

- The age group with the highest percentage of individuals eligible for DDS supports and services are between 22-34 years old (27% of individuals).

- Children (0-17 years old) and Young Adults (18-21 years old) most frequently attend public school as their day program over all other options. Adults (22 and over) participate in day supported options most frequently followed by group supported employment.

Section V: Home and Community Based Waiver

- The Comprehensive Waiver is the most commonly used waiver during the 2nd quarter. Out of all HCBS Waiver enrollees with intellectual disability, private CLAs and Family Homes are the most frequent residential setting.



- Enrollees of the Individual and Family Support waiver are more likely to live in their family’s home or own home than any other residential settings.

Section VII: Human Resources

- 2,055 permanent full time positions and 609 permanent part time positions were filled throughout the state. At the end of Q4 in FY16, 2,231 permanent full time positions and 671 permanent part time positions were filled throughout the state.

## SECTION I: Services and Supports

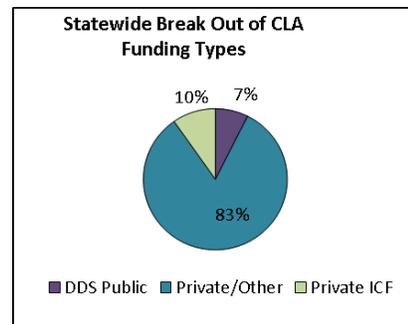
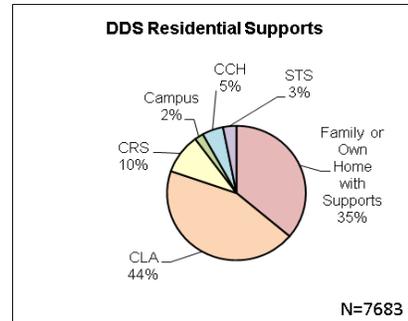
### A. Where People Live and How They Are Supported STATEWIDE

**Total Individuals with Intellectual Disability: 16,825**

**ΔYTD: +101**

(includes those individuals with intellectual disability who are currently active with DDS)

RESIDENTIAL STATUS							
Where People Live	How They Receive Support					Total	% Total
At Home	N/A (No Ongoing In-Home Supports)	DDS	Private/Other	Private ICF	Self* Direct		
Family Home	7,800		497		990	9,287	55.2%
Own Home (IL)**	402	146	868		271	1,687	10.0%
Sub-Total	<b>8,202</b>	<b>146</b>	<b>1,365</b>		<b>1,261</b>	<b>10,974</b>	<b>65.2%</b>
% Total	74.7%	1.3%	12.4%		11.5%	100%	
<b>DDS Residential Supports</b>							
STS		240				240	1.4%
DDS Centers		160				160	1.0%
CLA		278	3,110	365		3,753	22.3%
CRS			736			736	4.4%
CCH			377			377	2.2%
Sub-Total		<b>678</b>	<b>4,223</b>	<b>365</b>		<b>5,266</b>	<b>31.3%</b>
% Total		12.9%	80.2%	6.9%		100%	
<b>Other State Agencies</b>							
DMHAS			5			5	0.0%
DOC			8			8	0.0%
DCFCTO			55			55	0.3%
Sub-Total			<b>68</b>			<b>68</b>	<b>0.4%</b>
<b>Other</b>							
LTC/SNF/RCH (HA)			359			359	2.1%
Res. Schools			85			85	0.5%
Other			46			46	0.3%
Sub-Total			<b>490</b>			<b>490</b>	<b>2.9%</b>
Blank			27			27	0.2%
<b>Grand Total</b>	<b>8,202</b>	<b>824</b>	<b>6,173</b>	<b>365</b>	<b>1,261</b>	<b>16,825</b>	<b>100.0%</b>



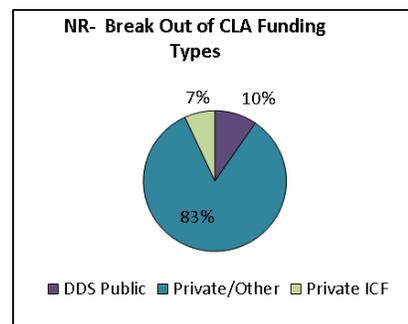
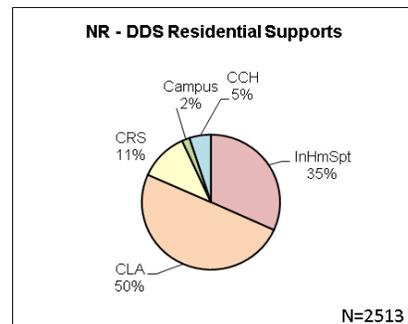
### NORTH REGION

**Total Individuals with Intellectual Disability: 5,646**

**ΔYTD: +36**

(includes those individuals with intellectual disability who are currently active with DDS)

RESIDENTIAL STATUS							
Where People Live	How They Receive Support					Total	% Total
At Home	N/A (No Ongoing In-Home Supports)	DDS	Private/Other	Private ICF	Self Directed*		
Family Home	2,688		157		271	3,116	55.2%
Own Home (IL)**	140	42	273		57	512	9.1%
Sub-Total	<b>2,828</b>	<b>42</b>	<b>430</b>		<b>328</b>	<b>3,628</b>	<b>64.3%</b>
% Total	77.9%	1.2%	11.9%		9.0%	100.0%	
<b>DDS Residential Supports</b>							
DDS Centers		46				46	0.8%
CLA		129	1,127	93		1,349	23.9%
CRS			289			289	5.1%
CCH			127			127	2.2%
Sub-Total		<b>175</b>	<b>1,543</b>	<b>93</b>		<b>1,811</b>	<b>32.1%</b>
% Total		9.7%	85.2%	5.1%		100.0%	
<b>Other State Agencies</b>							
DMHAS			1			1	0.0%
DOC			5			5	0.1%
DCFCTO			16			16	0.3%
Sub-Total			<b>22</b>		<b>0</b>	<b>22</b>	<b>0.4%</b>
<b>Other</b>							
LTC/SNF/RCH (HA)			122			122	2.2%
Res. Schools			27			27	0.5%
Other			24			24	0.4%
Subtotal			<b>173</b>			<b>173</b>	<b>3.1%</b>
Blank			12			12	0.2%
<b>Grand Total</b>	<b>2,828</b>	<b>217</b>	<b>2,180</b>	<b>93</b>	<b>328</b>	<b>5,646</b>	<b>100.0%</b>



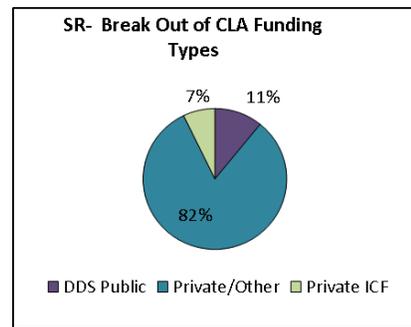
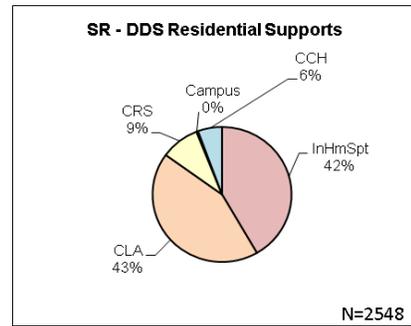
**SOUTH REGION**

**Total Individuals with Intellectual Disability: 5,448**

**ΔYTD: +55**

(includes those individuals with intellectual disability who are currently active with DDS)

RESIDENTIAL STATUS							
Where People Live	How They Receive Support					Total	% Total
At Home	N/A (No Ongoing In-Home Supports)	DDS	Private/Other	Private ICF	Self Directed*		
Family Home	2,467		192		313	2,972	54.6%
Own Home (IL)**	149	66	387		110	712	13.1%
Sub-Total	2,616	66	579		423	3,684	67.6%
% Total	71.0%	1.8%	15.7%		11.5%	100.0%	
<b>DDS Residential Supports</b>							
DDS Centers		10				10	0.2%
CLA		130	981	86		1,197	22.0%
CRS			232			232	4.3%
CCH			144			144	2.6%
Sub-Total		140	1,357	86		1,583	29.1%
% Total		8.8%	85.7%	5.4%		100.0%	
<b>Other State Agencies</b>							
DMHAS			3			3	0.1%
DOC			0			0	0.0%
DCFCTO			33			33	0.6%
Sub-Total			36			36	0.7%
<b>Other</b>							
LTC/SNF/RCH (HA)			119			119	2.2%
Res. Schools			18			18	0.3%
Other			4			4	0.1%
Sub-total			141			141	2.6%
Blank			4			4	0.1%
<b>Grand Total</b>	<b>2,616</b>	<b>206</b>	<b>2,117</b>	<b>86</b>	<b>423</b>	<b>5,448</b>	<b>100.0%</b>



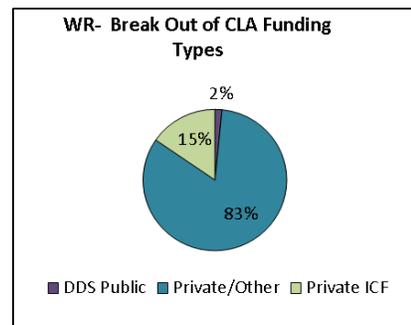
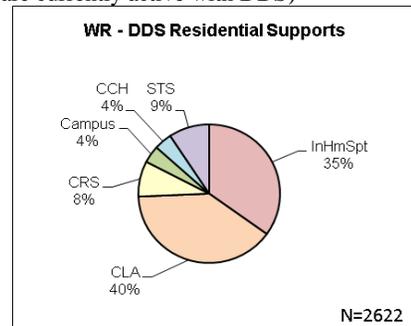
**WEST REGION**

**Total Individuals with Intellectual Disability: 5,731**

**ΔYTD: +10**

(includes those individuals with intellectual disability who are currently active with DDS)

RESIDENTIAL STATUS							
Where People Live	How They Receive Support					Total	% Total
At Home	N/A (No Ongoing In-Home Supports)	DDS	Private/Other	Private ICF	Self Directed*		
Family Home	2,645		148		406	3,199	55.8%
Own Home (IL)**	113	38	208		104	463	8.1%
Sub-Total	2,758	38	356		510	3,662	63.9%
% Total	75.3%	1.0%	9.7%		13.9%	100%	
<b>DDS Residential Supports</b>							
STS		240				240	4.2%
DDS Centers		104				104	1.8%
CLA		19	1,002	186		1,207	21.1%
CRS			215			215	3.8%
CCH			106			106	1.8%
Sub-Total		363	1,323	186		1,872	32.7%
% Total		19.4%	70.7%	9.9%		100%	
<b>Other State Agencies</b>							
DMHAS			1			1	0.0%
DOC			3			3	0.1%
DCFCTO			6			6	0.1%
Sub-Total			10			10	0.2%
<b>Other</b>							
LTC/SNF/RCH (HA)			118			118	2.1%
Res. Schools			40			40	0.7%
Other			18			18	0.3%
Sub-total			176			176	3.1%
Blank			11			11	0.2%
<b>Grand Total</b>	<b>2,758</b>	<b>401</b>	<b>1,876</b>	<b>186</b>	<b>510</b>	<b>5,731</b>	<b>100.0%</b>



**Note:** The number of individuals living in their family or own home with supports now includes additional services (Shared Living, Companion Service and Respite Service (Non-Center Based) in addition to previously reported services (in home supports, behavioral supports and personal supports).

**SECTION I: Services and Supports**  
**B. Work and Day Services**

**STATEWIDE**

<b>DAY/WORK STATUS</b>						
<b>Kind of Support</b>	<b>How They Receive Support</b>				<b>Total</b>	<b>% Total</b>
<b>Emp. And Day Supp.</b>	<b>N/A</b>	<b>Private</b>	<b>DDS</b>	<b>Self Direct</b>		
Ind. Supp. Emp.		516	1	114	631	3.8%
Group Supp. Emp.		3,222	71		3,293	19.6%
Pre-Vocational		220	19		239	1.4%
Day Supp. Opt.		4,602	103	97	4,802	28.5%
Adult Day Health		31	0	0	31	0.2%
Senior Supports		201	36	0	237	1.4%
Individ. Day Supp.		1,061	0	279	1,340	8.0%
Comp. Employment	303				303	1.8%
Other		223	1		224	1.3%
<b>Sub-Total</b>	<b>303</b>	<b>10,076</b>	<b>231</b>	<b>490</b>	<b>11,100</b>	<b>66.0%</b>
% Total	2.7%	90.8%	2.1%	4.4%	100.0%	
<b>Educational and Developmental Services</b>						
LEA		3,708			3,708	22.0%
Res School		15			15	0.1%
Other		87	29		116	0.7%
<b>Sub-Total</b>		<b>3,810</b>	<b>29</b>		<b>3,839</b>	<b>22.8%</b>
<b>Other</b>						
No Day Program	992				992	5.9%
No Data	894				894	5.3%
<b>Sub-Total</b>	<b>1,886</b>				<b>1,886</b>	<b>11.2%</b>
<b>Grand Total</b>	<b>2,189</b>	<b>13,886</b>	<b>260</b>	<b>490</b>	<b>16,825</b>	<b>100.0%</b>

**Note:**

The numbers above represent primary day program. Some individuals may develop blended programs, in which they participate in more than one type of program each week.

“Sheltered Workshops” category was included in previous MIRs. “Pre-Vocational” has replaced the “Sheltered Workshop” category.

**NORTH REGION**

DAY/WORK STATUS						
Day Support	How They Receive Support				Total	% Total
Emp. And Day Supp.	N/A	Private	DDS	Self Direct		
Ind. Supp. Emp.	0	161	1	22	184	3.3%
Group Supp. Emp.	0	1,243	9	0	1,252	22.2%
Pre-Vocational	0	100	0	0	100	1.8%
Day Supp. Opt.	0	1,478	6	46	1,530	27.1%
Adult Day Health	0	11	0	0	11	0.2%
Senior Supports	0	72	4	0	76	1.3%
Individ. Day Supp.	0	287	0	62	349	6.2%
Comp. Employment	111	0	0	0	111	2.0%
Other		67	0		67	1.2%
<b>Sub-Total</b>	<b>111</b>	<b>3,419</b>	<b>20</b>	<b>130</b>	<b>3,680</b>	<b>65.2%</b>
% Total	3.0%	92.9%	0.5%	3.5%	100.0%	
<b>Educational and Developmental Services</b>						
LEA	0	1,195	0	0	1,195	21.2%
Res School	0	0	0	0	0	0.0%
Other	0	73	12	0	85	1.5%
<b>Sub-Total</b>		<b>1,268</b>	<b>12</b>		<b>1,280</b>	<b>22.7%</b>
<b>Other</b>						
No Day Program	368				368	6.5%
No Data	318				318	5.6%
<b>Sub-Total</b>	<b>686</b>				<b>686</b>	<b>12.2%</b>
<b>Grand Total</b>	<b>797</b>	<b>4,687</b>	<b>32</b>	<b>130</b>	<b>5,646</b>	<b>100.0%</b>

**SOUTH REGION**

DAY/WORK STATUS						
Day Support	How They Receive Support				Total	% Total
Emp. And Day Supp.	N/A	Private	DDS	Self Direct		
Ind. Supp. Emp.	0	193	0	53	246	4.5%
Group Supp. Emp.	0	1,039	7	0	1,046	19.2%
Pre-Vocational	0	56	0	0	56	1.0%
Day Supp. Opt.	0	1,507	0	17	1,524	28.0%
Adult Day Health	0	14	0	0	14	0.3%
Senior Supports	0	80	0	0	80	1.5%
Individ. Day Supp.	0	463	0	103	566	10.4%
Comp. Employment	96	0	0	0	96	1.8%
Other	0	52	1	0	53	1.0%
<b>Sub-Total</b>	<b>96</b>	<b>3,404</b>	<b>8</b>	<b>173</b>	<b>3,681</b>	<b>67.6%</b>
% Total	2.6%	92.5%	0.2%	4.7%	100.0%	
<b>Educational and Developmental Services</b>						
LEA	0	1,252	0	0	1,252	23.0%
Res School	0	2	0	0	2	0.0%
Other	0	8	7	0	15	0.3%
<b>Sub-Total</b>	<b>0</b>	<b>1,262</b>	<b>7</b>	<b>0</b>	<b>1,269</b>	<b>23.3%</b>
<b>Other</b>						
No Day Program	317				317	5.8%
No Data	181				181	3.3%
<b>Sub-Total</b>	<b>498</b>				<b>498</b>	<b>9.1%</b>
<b>Grand Total</b>	<b>594</b>	<b>4,666</b>	<b>15</b>	<b>173</b>	<b>5,448</b>	<b>100.0%</b>

**WEST REGION**

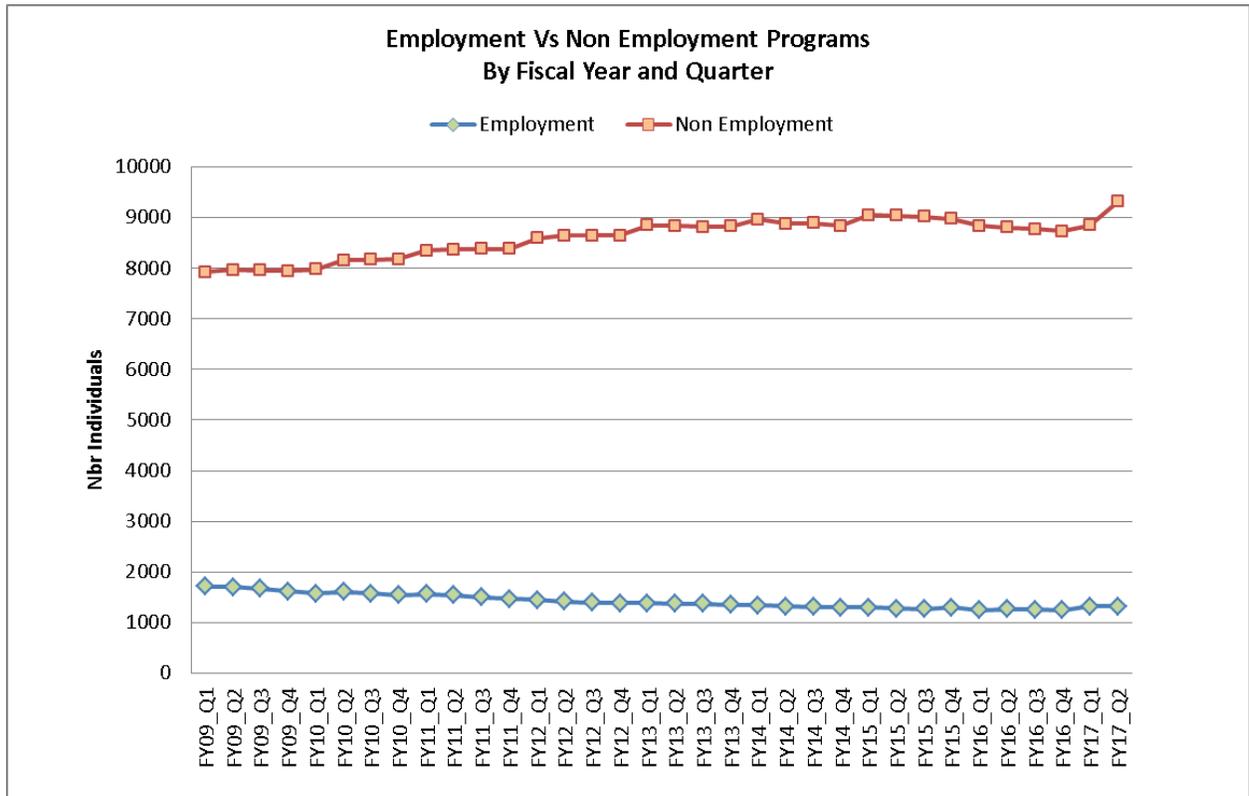
DAY/WORK STATUS						
Day Support	How They Receive Support				Total	% Total
Emp. And Day Supp.	N/A	Private	DDS	Self Direct		
Ind. Supp. Emp.		162		39	201	3.7%
Group Supp. Emp.		930	12		942	17.2%
Pre-Vocational		64	0		64	1.2%
Day Supp. Opt.		1,575	10	34	1,619	29.5%
Adult Day Health		6	0		6	0.1%
Senior Supports		49	0		49	0.9%
Individ. Day Supp.		311	0	114	425	7.7%
Comp. Employment	96				96	1.7%
Other		104	0		104	1.9%
<b>Sub-Total</b>	<b>96</b>	<b>3,201</b>	<b>22</b>	<b>187</b>	<b>3,506</b>	<b>63.8%</b>
% Total	2.7%	91.3%	0.6%	5.3%	100.0%	
<b>Educational and Developmental Services</b>						
LEA		1,261			1,261	23.0%
Res School		13			13	0.2%
Other		6	10		16	0.3%
<b>Sub-Total</b>		<b>1,280</b>	<b>10</b>		<b>1,290</b>	<b>23.5%</b>
<b>Other</b>						
No Day Program	300				300	5.5%
No Data	395				395	7.2%
<b>Sub-Total</b>	<b>695</b>				<b>695</b>	<b>12.7%</b>
<b>Grand Total</b>	<b>791</b>	<b>4,481</b>	<b>32</b>	<b>187</b>	<b>5,491</b>	<b>100.0%</b>

**SOUTHBURY TRAINING SCHOOL**

DAY/WORK STATUS						
Day Support	How They Receive Support				Total	% Total
Emp. And Day Supp.	N/A	Private	DDS	Self Direct		
Ind. Supp. Emp.		0		0	0	0.0%
Group Supp. Emp.		10	43		53	22.1%
Pre-Vocational		0	19		19	7.9%
Day Supp. Opt.		42	87	0	129	53.8%
Adult Day Health		0	0		0	0.0%
Senior Supports		0	32		32	13.3%
Individ. Day Supp.		0	0	0	0	0.0%
Comp. Employment	0				0	0.0%
Other		0	0		0	0.0%
<b>Sub-Total</b>	<b>0</b>	<b>52</b>	<b>181</b>	<b>0</b>	<b>233</b>	<b>97.1%</b>
% Total	0.0%	1.5%	5.2%	0.0%	6.6%	
<b>Educational and Developmental Services</b>						
LEA		0			0	0.0%
Res School		0			0	0.0%
Other		0	0		0	0.0%
<b>Sub-Total</b>		<b>0</b>	<b>0</b>		<b>0</b>	<b>0.0%</b>
<b>Other</b>						
No Day Program	7				7	2.9%
No Data	0				0	0.0%
<b>Sub-Total</b>	<b>7</b>				<b>7</b>	<b>2.9%</b>
<b>Grand Total</b>	<b>7</b>	<b>52</b>	<b>181</b>	<b>0</b>	<b>240</b>	<b>100.0%</b>

**SECTION I: Services and Supports**

**C. Day Programs – Comparison of Employment vs. Non Employment Programs**



**NOTE:**

Employment Programs consist of the following program types: Individual Supported Employment, Competitive Employment, Individualized Day Vocational.

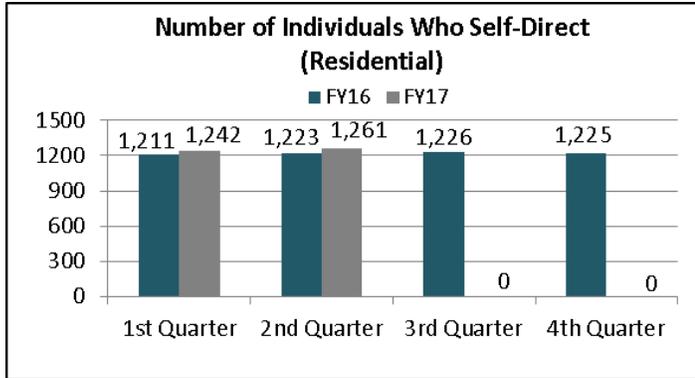
Non-Employment Programs consist of the following program types: Group Supported Employment, Pre-Vocational, Day Service Options, Individualized Day Retired or Non Vocational, Small Enterprise, Senior Supports and Transitional Services.

**SECTION I: Services and Supports**

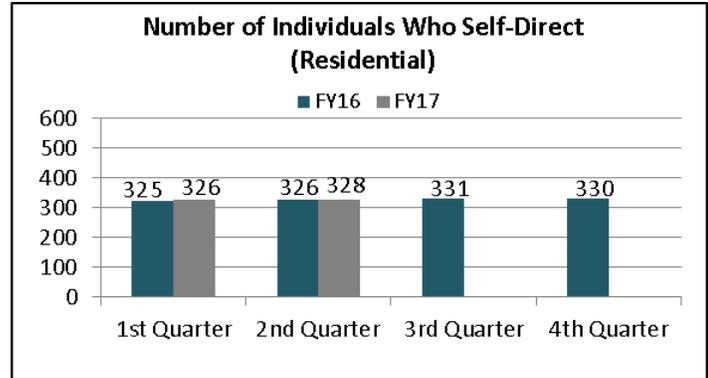
**D. Number of Individuals Who Self-Direct (Residential)**

**E. Active Individuals By Caseload Type**

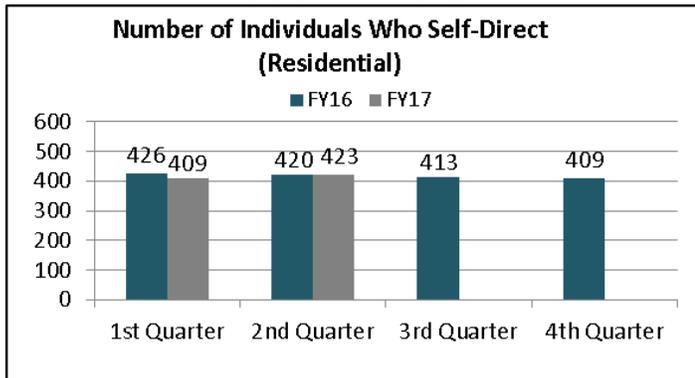
**STATEWIDE**



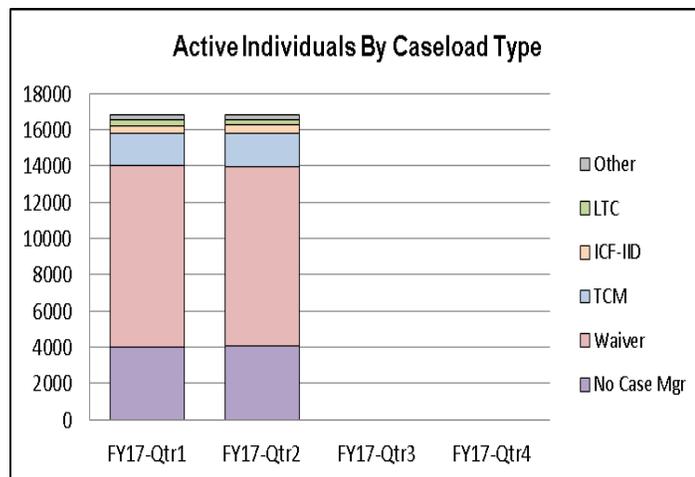
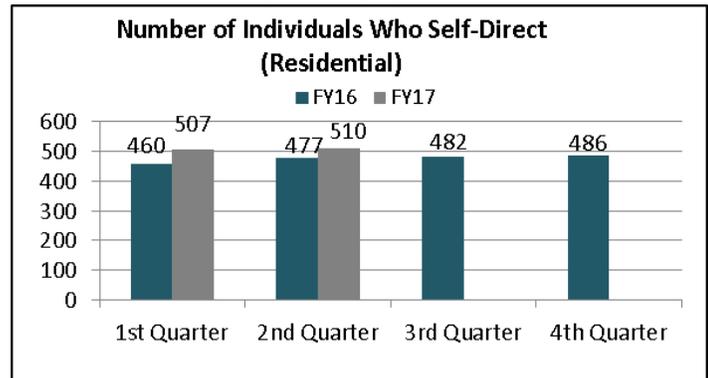
**NORTH REGION**



**SOUTH REGION**



**WEST REGION**



**SECTION I: Services and Supports**

**F. Family Support – Direct Support Services**

**IFS Family Support - Statewide**

	Qtr 1	Qtr 2	Qtr 3	Qtr 4	YTD Unduplicated TOTAL
Waiver Status	TOTAL served	TOTAL served	TOTAL served	TOTAL served	TOTAL
Not Enrolled - Adult	252	277	0	0	308
Enrolled - Adult	419	424	0	0	465
Not Enrolled - Child	59	59	0	0	76
Enrolled - Child	4	1	0	0	4
<b>Total</b>	<b>734</b>	<b>761</b>	<b>0</b>	<b>0</b>	<b>1495</b>

**IFS Family Support - NR**

	Qtr 1	Qtr 2	Qtr 3	Qtr 4	YTD Unduplicated TOTAL
Waiver Status	TOTAL served	TOTAL served	TOTAL served	TOTAL served	TOTAL
Not Enrolled - Adult	70	64			82
Enrolled - Adult	74	74			87
Not Enrolled - Child	9	8			14
Enrolled - Child	0	0			0
<b>Total</b>	<b>153</b>	<b>146</b>	<b>0</b>	<b>0</b>	<b>299</b>

**IFS Family Support - SR**

	Qtr 1	Qtr 2	Qtr 3	Qtr 4	YTD Unduplicated TOTAL
Waiver Status	TOTAL served	TOTAL served	TOTAL served	TOTAL served	TOTAL
Not Enrolled - Adult	76	105			115
Enrolled - Adult	130	137			163
Not Enrolled - Child	20	23			32
Enrolled - Child	3	1			3
<b>Total</b>	<b>229</b>	<b>266</b>	<b>0</b>	<b>0</b>	<b>495</b>

**IFS Family Support - WR**

	Qtr 1	Qtr 2	Qtr 3	Qtr 4	YTD Unduplicated TOTAL
Waiver Status	TOTAL served	TOTAL served	TOTAL served	TOTAL served	TOTAL
Not Enrolled - Adult	106	108			111
Enrolled - Adult	215	213			215
Not Enrolled - Child	30	28			30
Enrolled - Child	1	0			1
<b>Total</b>	<b>352</b>	<b>349</b>	<b>0</b>	<b>0</b>	<b>701</b>

**SECTION I: Services and Supports**

**G. Respite Utilization**

STATEWIDE Respite Center Utilization Data - FY 2017									
Baseline Data - June 2016			BY QUARTER				YTD Unduplicated Total Served*		
			Q 1	Q 2	Q 3	Q 4			
Unduplicated Number Of People Using Respite Beds	Under 18	184	Total Served	Total Served	Total Served	Total Served	113		
	Over 18	992	69	84	0	0			
	Under 18		485	468	0	0	703		

NORTH REGION Respite Center Utilization Data - FY 2017									
Baseline Data - June 2016			BY QUARTER				YTD Unduplicated Total Served*		
			Q 1	Q 2	Q 3	Q 4			
Unduplicated Number Of People Using Respite Beds	Under 18	70	Total Served	Total Served	Total Served	Total Served	44		
	Over 18	246	25	37					
	Under 18		157	162			228		

SOUTH REGION Respite Center Utilization Data - FY 2017									
Baseline Data - June 2016			BY QUARTER				YTD Unduplicated Total Served*		
			Q 1	Q 2	Q 3	Q 4			
Unduplicated Number Of People Using Respite Beds	Under 18	32	Total Served	Total Served	Total Served	Total Served	21		
	Over 18	238	14	15					
	Under 18		156	130			201		

WEST REGION Respite Center Utilization Data - FY 2017									
Baseline Data - June 2016			BY QUARTER				YTD Unduplicated Total Served*		
			Q 1	Q 2	Q 3	Q 4			
Unduplicated Number Of People Using Respite Beds	Under 18	82	Total Served	Total Served	Total Served	Total Served	48		
	Over 18	508	30	32					
	Under 18		172	176			274		

**\*Note:** The YTD Total numbers above represent an unduplicated count of people using respite centers in the fiscal year. The numbers for each quarter represent the total unduplicated number of people using respite centers during that quarter.

STATEWIDE										
Respite Grant Utilization Data - FY 2017										
Baseline Data - June 2016			BY QUARTER		Q 1	Q 2	Q 3	Q 4	YTD Unduplicated Total Served*	
					Total Served	Total Served	Total Served	Total Served		
Unduplicated Number Of People Using Respite Svcs	Under 18	491	Under 18	177	54	0	0			
	Over 18	1351	Over 18	349	147	0	0			
Total Respite Funds		\$2,490,449		\$671,696	\$303,136	\$0	\$0	\$974,832		

NORTH REGION										
Respite Grant Utilization Data - FY 2017										
Baseline Data - June 2016			BY QUARTER		Q 1	Q 2	Q 3	Q 4	YTD Unduplicated Total Served*	
					Total Served	Total Served	Total Served	Total Served		
Unduplicated Number Of People Using Respite Svcs	Under 18	115	Under 18	27	11					
	Over 18	300	Over 18	95	58					
Total Respite Funds		\$909,383		\$172,631	\$93,589			\$266,220		

SOUTH REGION										
Respite Grant Utilization Data - FY 2017										
Baseline Data - June 2016			BY QUARTER		Q 1	Q 2	Q 3	Q 4	YTD Unduplicated Total Served*	
					Total Served	Total Served	Total Served	Total Served		
Unduplicated Number Of People Receiving Respite	Under 18	167	Under 18	44	10					
	Over 18	633	Over 18	142	36					
Total Respite Funds		\$916,305		\$243,037	\$85,267			\$328,304		

WEST REGION										
Respite Grant Utilization Data - FY 2017										
Baseline Data - June 2016			BY QUARTER		Q 1	Q 2	Q 3	Q 4	YTD Unduplicated Total Served*	
					Total Served	Total Served	Total Served	Total Served		
Unduplicated Number Of People Receiving Respite	Under 18	209	Under 18	106	33					
	Over 18	418	Over 18	112	53					
Total Respite Funds		\$664,761	Total Respite Funds	\$256,028	\$124,280			\$380,308		

**\*Note:** The YTD Total numbers above represent an unduplicated count of people receiving respite grants in the fiscal year. The numbers for each quarter represent the total unduplicated number of people receiving respite grants during that quarter. The Total Respite funds represent all funds expended in each quarter and the YTD total funds expended during the Fiscal Year.

**Section II: Service Needs**

**A. Residential Needs – Emergency and Priority One Waiting List**

The tables within this section represent people with active residential needs in the following categories:

**Waiting List**

This is a group of individuals living either in their own or family home who have No Services (\$0 to \$19,999 in supports). These individuals have an active need and are designated one of two priority status levels: Emergency or Priority One.

Statewide Data			
Waiting List			
	Emerg.	Pri. 1	Total
<b>Home/IL (No Supports)</b>	10	628	<b>638</b>
DYTD	-16	16	0

**Other Residential Needs**

This group of individuals either receives DDS funded residential supports and services and need additional supports or live in institutional settings (DDS Campus facilities or Long Term Care settings) and wish to move to a community residential setting.

Statewide Data			
Other Residential Needs			
DDS Operated/Funded:	Emerg.	Pri. 1	Total
CLAs	1	22	<b>23</b>
CRS	1	7	<b>8</b>
CCH	0	6	<b>6</b>
Indiv Home Supp*	18	213	<b>231</b>
RC	0	8	<b>8</b>
<b>Sub-Total</b>	<b>20</b>	<b>256</b>	<b>276</b>
DYTD	6	79	85
Other State Agencies:	Emerg.	Pri. 1	Total
DMHAS	1	1	<b>2</b>
DOC	0	0	<b>0</b>
DCF/CTO	0	1	<b>1</b>
<b>Sub-Total</b>	<b>1</b>	<b>2</b>	<b>3</b>
Other:	Emerg.	Pri. 1	Total
LTC/ICF/SNF/RCH	1	21	<b>22</b>
Other	3	12	<b>15</b>
<b>Sub-Total</b>	<b>4</b>	<b>33</b>	<b>37</b>
<b>Total w/Sup. &amp; Service</b>	<b>25</b>	<b>291</b>	<b>316</b>
<b>Change YTD</b>	<b>8</b>	<b>58</b>	<b>66</b>

Note: DDS is in the process of implementing recommendations from a project team who reviewed current Waiting List/Planning List definitions to ensure that the data is clear to all stakeholders. Beginning in March 2017, data will be gathered during annual Individual Planning (IP) meetings with the goal of better assessing the future service needs of eligible individuals in a format that can be shared with multiple interested parties.

**Priority Status**

**Emergency:** The individual has an immediate need for residential placement, support or services.

**Priority One:** The individual/family is requesting placement within 1 year and has been determined to have the most pressing need for services

In addition these individuals belong to one of these target groups:

No Services	No Services/Resources - Individual who is living with their family or independently and either does not receive individual supports or has a small amount (\$0-\$19,999).
Underserved	Individual who currently receives residential services or supports but needs increased resources in their current placement and does not want or need to move
Olmstead	Individual who resides in a Regional Center, SNF, ICF, Nursery or a Hospital for Special Care who wants to move to a more integrated Residential Setting (as defined by the IDT).

This data does not include other individuals with residential needs such as those aging out of residential settings not currently DDS funded, those desiring to move from one residential support (non-institutional) to another.

North Region Data			
Waiting List			
	Emerg.	Pri. 1	Total
Home/IL (No Supports)	2	221	223
DYTD	-1	45	44

South Region Data			
Waiting List			
	Emerg.	Pri. 1	Total
Home/IL (No Supports)	4	204	208
DYTD	-10	-3	-13

West Region Data			
Waiting List			
	Emerg.	Pri. 1	Total
Home/IL (No Supports)	4	203	207
DYTD	-5	-26	-31

North Region Data			
Other Residential Needs			
DDS Operated/Funded:	Emerg.	Pri. 1	Total
CLAs	0	4	4
CRS	1	2	3
CCH	0	1	1
Indiv Home Supp*	2	42	44
RC	0	0	0
Sub-Total	3	49	52
DYTD	0	6	6
Other State Agencies:	Emerg.	Pri. 1	Total
DMHAS	0	0	0
DOC	0	0	0
DCF/CTO	0	1	1
Sub-Total	0	1	1
Other:	Emerg.	Pri. 1	Total
LTC/ICF/SNF/RCH	0	2	2
Other	0	4	4
Sub-Total	0	6	6
<b>Total w/Sup. &amp; Service</b>	<b>3</b>	<b>56</b>	<b>59</b>
<b>Change YTD</b>	<b>0</b>	<b>-8</b>	<b>-8</b>

South Region Data			
Other Residential Needs			
DDS Operated/Funded:	Emerg.	Pri. 1	Total
CLAs	0	13	13
CRS	0	4	4
CCH	0	0	0
Indiv Home Supp*	12	69	81
RC	0	2	2
Sub-Total	12	88	100
DYTD	4	49	53
Other State Agencies:	Emerg.	Pri. 1	Total
DMHAS	1	1	2
DOC	0	0	0
DCF/CTO	0	0	0
Sub-Total	1	1	2
Other:	Emerg.	Pri. 1	Total
LTC/ICF/SNF/RCH	0	9	9
Other	2	4	6
Sub-Total	2	13	15
<b>Total w/Sup. &amp; Service</b>	<b>15</b>	<b>102</b>	<b>117</b>
<b>Change YTD</b>	<b>6</b>	<b>41</b>	<b>47</b>

West Region Data			
Other Residential Needs			
DDS Operated/Funded:	Emerg.	Pri. 1	Total
CLAs	1	5	6
CRS	0	1	1
CCH	0	5	5
Indiv Home Supp*	4	102	106
RC	0	6	6
Sub-Total	5	119	124
DYTD	2	24	26
Other State Agencies:	Emerg.	Pri. 1	Total
DMHAS	0	0	0
DOC	0	0	0
DCF/CTO	0	0	0
Sub-Total	0	0	0
Other:	Emerg.	Pri. 1	Total
LTC/ICF/SNF/RCH	1	10	11
Other	1	4	5
Sub-Total	2	14	16
<b>Total w/Sup. &amp; Service</b>	<b>7</b>	<b>133</b>	<b>140</b>
<b>Change YTD</b>	<b>2</b>	<b>25</b>	<b>27</b>

**Section II: Service Needs**

**B. Residential Planning List**

Individuals on the Planning List have residential needs and are have been assigned either a Priority 2 or 3 status. Those with a Priority of 2 or 3 want or will need services in two or more years. Their need is not considered urgent, critical or immediate and they are classified as Planning List.

<b>STATEWIDE</b>	<b>Pri. 2</b>	<b>Pri. 3</b>	<b>Total</b>
<b>Home/IL (No Supports)</b>	805	245	<b>1050</b>
DYTD	0	-2	<b>-2</b>
<b>DDS Operated/Funded:</b>	<b>Pri. 2</b>	<b>Pri. 3</b>	<b>Total</b>
CLAs	0	0	<b>0</b>
CCH	1	0	<b>1</b>
Indiv Home Supp*	63	9	<b>72</b>
<b>Sub-Total</b>	<b>64</b>	<b>9</b>	<b>73</b>
<b>Other State Agencies:</b>	<b>Pri. 2</b>	<b>Pri. 3</b>	<b>Total</b>
DMHAS	0	0	<b>0</b>
DOC	0	0	<b>0</b>
DCF/CTO	1	0	<b>1</b>
<b>Sub-Total</b>	<b>1</b>	<b>0</b>	<b>1</b>
<b>Other:</b>	<b>Pri. 2</b>	<b>Pri. 3</b>	<b>Total</b>
LTC/ICF/SNF/RCH	12	2	<b>14</b>
Other	1	0	<b>1</b>
<b>Sub-Total</b>	<b>13</b>	<b>2</b>	<b>15</b>
<b>Total Supports &amp; Service</b>	<b>78</b>	<b>11</b>	<b>89</b>
<b>Grand Total</b>	<b>883</b>	<b>256</b>	<b>1139</b>
<b>Change YTD</b>	<b>5</b>	<b>-1</b>	<b>4</b>

<b>NORTH REGION</b>	<b>Pri. 2</b>	<b>Pri. 3</b>	<b>Total</b>
<b>Home/IL (No Supports)</b>	273	89	<b>362</b>
DYTD	5	-1	<b>4</b>
<b>DDS Operated/Funded:</b>	<b>Pri. 2</b>	<b>Pri. 3</b>	<b>Total</b>
CLAs	0	0	<b>0</b>
CCH	0	0	<b>0</b>
Indiv Home Supp*	4	2	<b>6</b>
<b>Sub-Total</b>	<b>4</b>	<b>2</b>	<b>6</b>
<b>Other State Agencies:</b>	<b>Pri. 2</b>	<b>Pri. 3</b>	<b>Total</b>
DMHAS	0	0	<b>0</b>
DOC	0	0	<b>0</b>
DCF/CTO	0	0	<b>0</b>
<b>Sub-Total</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>Other:</b>	<b>Pri. 2</b>	<b>Pri. 3</b>	<b>Total</b>
LTC/ICF/SNF/RCH	5	1	<b>6</b>
Other	1	0	<b>1</b>
<b>Sub-Total</b>	<b>6</b>	<b>1</b>	<b>7</b>
<b>Total Supports &amp; Service</b>	<b>10</b>	<b>3</b>	<b>13</b>
<b>Grand Total</b>	<b>283</b>	<b>92</b>	<b>375</b>
<b>Change YTD</b>	<b>7</b>	<b>0</b>	<b>7</b>

<b>SOUTH REGION</b>	<b>Pri. 2</b>	<b>Pri. 3</b>	<b>Total</b>
<b>Home/IL (No Supports)</b>	314	87	<b>401</b>
DYTD	-1	-1	<b>-2</b>
<b>DDS Operated/Funded:</b>	<b>Pri. 2</b>	<b>Pri. 3</b>	<b>Total</b>
CLAs	0	0	<b>0</b>
CCH	0	0	<b>0</b>
Indiv Home Supp*	40	1	<b>41</b>
<b>Sub-Total</b>	<b>40</b>	<b>1</b>	<b>41</b>
<b>Other State Agencies:</b>	<b>Pri. 2</b>	<b>Pri. 3</b>	<b>Total</b>
DMHAS	0	0	<b>0</b>
DOC	0	0	<b>0</b>
DCF/CTO	1	0	<b>1</b>
<b>Sub-Total</b>	<b>1</b>	<b>0</b>	<b>1</b>
<b>Other:</b>	<b>Pri. 2</b>	<b>Pri. 3</b>	<b>Total</b>
LTC/ICF/SNF/RCH	3	0	<b>3</b>
Other	0	0	<b>0</b>
<b>Sub-Total</b>	<b>3</b>	<b>0</b>	<b>3</b>
<b>Total Supports &amp; Service</b>	<b>44</b>	<b>1</b>	<b>45</b>
<b>Grand Total</b>	<b>358</b>	<b>88</b>	<b>446</b>
<b>Change YTD</b>	<b>-2</b>	<b>-1</b>	<b>-3</b>

<b>WEST REGION</b>	<b>Pri. 2</b>	<b>Pri. 3</b>	<b>Total</b>
<b>Home/IL (No Supports)</b>	218	69	<b>287</b>
DYTD	-4	0	<b>-4</b>
<b>DDS Operated/Funded:</b>	<b>Pri. 2</b>	<b>Pri. 3</b>	<b>Total</b>
CLAs	0	0	<b>0</b>
CCH	1	0	<b>1</b>
Indiv Home Supp*	19	6	<b>25</b>
<b>Sub-Total</b>	<b>20</b>	<b>6</b>	<b>26</b>
<b>Other State Agencies:</b>	<b>Pri. 2</b>	<b>Pri. 3</b>	<b>Total</b>
DMHAS	0	0	<b>0</b>
DOC	0	0	<b>0</b>
DCF/CTO	0	0	<b>0</b>
<b>Sub-Total</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>Other:</b>	<b>Pri. 2</b>	<b>Pri. 3</b>	<b>Total</b>
LTC/ICF/SNF/RCH	4	1	<b>5</b>
Other	0	0	<b>0</b>
<b>Sub-Total</b>	<b>4</b>	<b>1</b>	<b>5</b>
<b>Total Supports &amp; Service</b>	<b>24</b>	<b>7</b>	<b>31</b>
<b>Grand Total</b>	<b>242</b>	<b>76</b>	<b>318</b>
<b>Change YTD</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Section II: Service Needs**

**C. Day Waiting List**

Individuals who have requested employment opportunities and day services, but are waiting for available funding.

<b>STATEWIDE</b>			
<b>No Day Supports</b>	<b>Emerg.</b>	<b>Pri. 1</b>	<b>Total</b>
<b>Family or Own Home</b>	2	141	<b>143</b>
<b>Out of Home</b>	2	22	<b>24</b>
<b>Total</b>	<b>4</b>	<b>163</b>	<b>167</b>

<b>NORTH REGION</b>			
<b>No Day Supports</b>	<b>Emerg.</b>	<b>Pri. 1</b>	<b>Total</b>
<b>Family or Own Home</b>	0	71	<b>71</b>
<b>Out of Home</b>	0	10	<b>10</b>
<b>Total</b>	<b>0</b>	<b>81</b>	<b>81</b>

<b>SOUTH REGION</b>			
<b>No Day Supports</b>	<b>Emerg.</b>	<b>Pri. 1</b>	<b>Total</b>
<b>Family or Own Home</b>	2	38	<b>40</b>
<b>Out of Home</b>	2	8	<b>10</b>
<b>Total</b>	<b>4</b>	<b>46</b>	<b>50</b>

<b>WEST REGION</b>			
<b>No Day Supports</b>	<b>Emerg.</b>	<b>Pri. 1</b>	<b>Total</b>
<b>Family or Own Home</b>	0	32	<b>32</b>
<b>Out of Home</b>	0	4	<b>4</b>
<b>Total</b>	<b>0</b>	<b>36</b>	<b>36</b>

**Section II: Service Needs**

**D. BSP Waiting List**

Individuals who have been found eligible for DDS’s Behavioral Services Program (BSP), however there is no annualized BSP funding currently available.

<b>STATEWIDE</b>	
<b>Residence Type</b>	<b>Count of Individuals</b>
Family Home	27
<b>NORTH REGION</b>	
<b>Residence Type</b>	<b>Count of Individuals</b>
Family Home	5
<b>SOUTH REGION</b>	
<b>Residence Type</b>	<b>Count of Individuals</b>
Family Home	8
<b>WEST REGION</b>	
<b>Residence Type</b>	<b>Count of Individuals</b>
Family Home	14

**Section II: Service Needs**

**E. Future Planning Ageouts and High School Graduates**

**Residential Ageouts**

<b>STATEWIDE</b>	
<b>DCF or LEA Funded</b>	
FY 2018	98
FY 2019	87
FY 2020	76

<b>NORTH REGION</b>	
<b>DCF or LEA Funded</b>	
FY 2018	33
FY 2019	27
FY 2020	18

<b>SOUTH REGION</b>	
<b>DCF or LEA Funded</b>	
FY 2018	41
FY 2019	28
FY 2020	25

<b>WEST REGION</b>	
<b>DCF or LEA Funded</b>	
FY 2018	24
FY 2019	32
FY 2020	33

**Grads and Day Ageouts**

<b>STATEWIDE</b>		
	<b>School Graduates</b>	<b>Ageouts</b>
<b>FY 2018</b>	343	94
<b>FY 2019</b>	360	99
<b>FY 2020</b>	306	95

<b>NORTH REGION</b>		
	<b>School Graduates</b>	<b>Ageouts</b>
<b>FY 2018</b>	113	41
<b>FY 2019</b>	123	32
<b>FY 2020</b>	121	32

<b>SOUTH REGION</b>		
	<b>School Graduates</b>	<b>Ageouts</b>
<b>FY 2018</b>	105	33
<b>FY 2019</b>	137	37
<b>FY 2020</b>	63	34

<b>WEST REGION</b>		
	<b>School Graduates</b>	<b>Ageouts</b>
<b>FY 2018</b>	125	20
<b>FY 2019</b>	100	30
<b>FY 2020</b>	122	29

**Note:** The above numbers represent individuals identified within the Planning and Resource Allocation Team (PRAT) database as either Aging Out of DCF, LEA or ISA funded settings or due to graduate from their school district. The Fiscal Year is based on the Fiscal Year funding identified in the PRAT database.

Regions will continue to identify School Graduates and Ageouts throughout the year. These numbers will change over time especially those in the third year out (June 2020).

**Section III: New Development Goals and Support Activity**

**A. Residential Ageouts**

<b>Residential AgeOuts</b>			
<b>Statewide</b>	<b>Goal</b>	<b>Actual YTD</b>	<b>Difference</b>
Served with FY17 AO Funds	101	53	-48

**B. Day AgeOuts and High School Grads**

<b>High School Graduates and Age Out* Funding</b>			
<b>Statewide</b>	<b>Goal</b>	<b>Actual YTD</b>	<b>Difference</b>
June 2016 Grads Served w/FY17 Grad Funds	285	213	-72
June 2016 Age Outs Served w/FY17 Grad Funds	117	103	-14

\*Individuals Aging out of DCF and LEA Services

Section IV: Case Load by Age  
A. Residential

DDS CONSUMERS BY RESIDENTIAL PROGRAM AND AGE  
December 2016 Consumer Data

Age Group	CAMPUS	DDS CLA	PRIV. CLA	PRIV. CLA ICF	CRS	CCH DDS LIC.	CCH-NOT DDS-LIC	IND. LIV.	DDS HOME IHS	PVT HOME IHS	SLF DIR HOME IHS	FAM HOME	PVT FAM IHS	SLF DIR FAM IHS	SNF	RCH (HA)	PRIV. RES. SCH.	MH FAC.	HOS.	OTHER	NO DATA	TOTAL	PCT
0-2	0	0	0	0	0	0	0	0	0	0	0	14	0	0	0	0	0	0	1	0	0	15	0%
3-5	0	0	0	0	0	0	0	0	0	0	0	138	0	0	0	0	0	0	4	0	0	142	1%
6-13	0	0	0	0	2	1	10	0	0	0	0	1,133	23	43	0	0	4	0	5	1	0	1,222	7%
14-17	0	0	16	0	5	1	14	0	0	0	0	962	65	112	0	0	17	0	4	2	3	1,201	7%
18-21	0	1	84	2	34	6	21	6	0	5	1	1,293	66	142	0	0	28	0	2	4	5	1,700	10%
22-34	12	12	560	46	302	61	8	124	2	182	63	2,666	154	340	9	0	23	2	10	4	14	4,594	27%
35-44	24	28	431	55	121	66	2	79	14	164	81	718	70	194	8	3	4	2	4	2	1	2,071	12%
45-54	97	88	723	101	125	94	0	73	32	205	57	506	60	79	51	5	2	0	3	2	3	2,306	14%
55-64	105	102	756	81	99	76	0	66	54	224	46	269	48	61	75	9	6	1	2	2	0	2,082	12%
65-74	107	40	401	67	38	60	0	43	31	61	19	82	8	18	89	8	1	0	0	2	1	1,076	6%
75+	55	7	139	13	10	12	0	11	13	27	4	19	3	1	93	9	0	0	0	0	0	416	2%
<b>TOTAL</b>	<b>400</b>	<b>278</b>	<b>3,110</b>	<b>365</b>	<b>736</b>	<b>377</b>	<b>55</b>	<b>402</b>	<b>146</b>	<b>868</b>	<b>271</b>	<b>7,800</b>	<b>497</b>	<b>990</b>	<b>325</b>	<b>34</b>	<b>85</b>	<b>5</b>	<b>35</b>	<b>19</b>	<b>27</b>	<b>16,825</b>	100%
<b>PERCENT</b>	2%	2%	18%	2%	4%	2%	0%	2%	1%	5%	2%	46%	3%	6%	2%	0%	1%	0%	0%	0%	0%	100%	

Sub-Totals by Age Groups

Age Group	CAMPUS	DDS CLA	PRIV. CLA	PRIV. CLA ICF	CRS	CCH DDS LIC.	CCH-NOT DDS-LIC	IND. LIV.	DDS HOME IHS	PVT HOME IHS	SLF DIR HOME IHS	FAM HOME	PVT FAM IHS	SLF DIR FAM IHS	SNF	RCH (HA)	PRIV. RES. SCH.	MH FAC.	HOS.	OTHER	NO DATA	TOTAL	PCT
Children (Age 0-17)	0	0	16	0	7	2	24	0	0	0	0	2,247	88	155	0	0	21	0	14	3	3	2,580	15%
Young Adults (Age 18-21)	0	1	84	2	34	6	21	6	0	5	1	1,293	66	142	0	0	28	0	2	4	5	1,700	10%
Adults (Age 22 and Over)	400	277	3,010	363	695	369	10	396	146	863	270	4,260	343	693	325	34	36	5	19	12	19	12,545	75%
<b>Total</b>	400	278	3,110	365	736	377	55	402	146	868	271	7,800	497	990	325	34	85	5	35	19	27	16,825	0%
<b>Percent</b>	2%	2%	18%	2%	4%	2%	0%	2%	1%	5%	2%	46%	3%	6%	2%	0%	1%	0%	0%	0%	0%	100%	

Note: Includes Active Only Clients. "0%" = less than one percent.

Campus (RC,TRS) = Regional or STS Campus Unit  
 DDS CLA (CLA) = DDS Operated Community Living Arrangement  
 Priv. CLA (CLA) = Non-DDS Operated Com. Living Arrangement  
 Priv. CLA ICF = Non-DDS Operated Com. Living Arrangement licensed ICF/ID  
 CRS = Continuous Residential Supports (Formerly 24 Hr Supp Living)  
 DDS Lic. CCH (CCH) = DDS licensed community companion home  
 CCH Not DDS Lic. (CTO) = Non-DDS licensed CCH ( DCF foster home,  
 Ind. Liv. (IL) = Independent Living  
 DDS Home IHS = DDS Individual Support in Own Home  
 Priv. Home IHS = Private Individual Support in Own Home  
 Slf Dir Home IHS = Self Directed Individual Support in Own Home  
 Family Home (FAM) = Family Home

Pvt Fam IHS = Private Individual Support in Family Home  
 Slf Dir Fam IHS = Self Directed Individual Support in Family Home  
 SNF (SNF) = Skilled Nursing Facility\*  
 Res. Care Home (RCH) = Res. Care Home (Formerly Home for the Aged)\*  
 Priv. Res. Sch. (SCR) = Private Residential School  
 MH Fac. (MH) = Mental Health Facility  
 Hos. (HOS) = Hospital Facility  
 Other (COR,OR) = Other Res. Program (Correctional Facility, Other)  
 No Data = No valid Residential Program in CAMRIS for Client

\* Long Term Care Facility (Licensed by the Dept. of Health Services)

Section IV: Case Load by Age

B. Day/Work Services

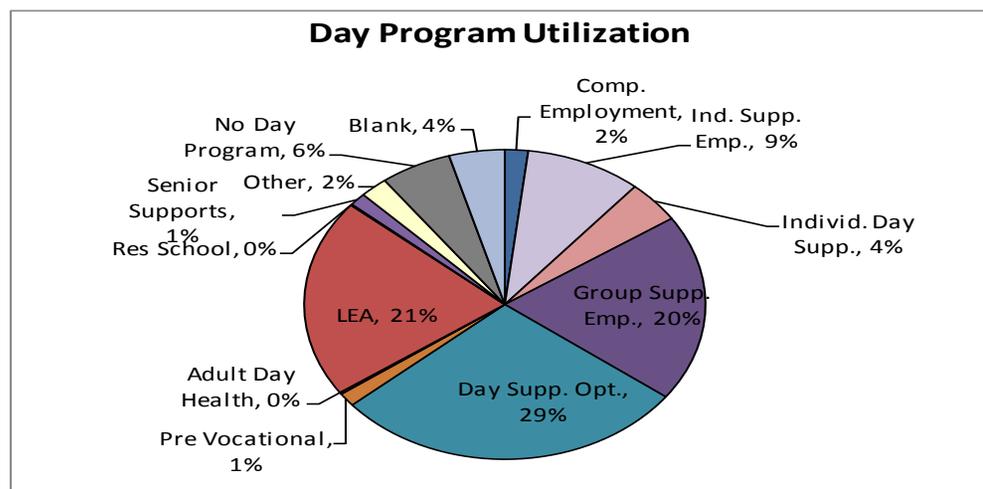
DDS CONSUMERS BY DAY PROGRAM AND AGE

December 2016 Consumer Data

Day Type	Age											TOTAL
	0-2	3-5	6-13	14-17	18-21	22-34	35-44	45-54	55-64	65-74	75+	
Competitive Employment	0	0	0	0	0	43	82	93	80	40	3	341
Indiv Supp Emp	0	0	0	0	37	724	250	250	241	140	64	1706
Individ Day Supp	0	0	0	0	7	366	188	124	103	13	1	802
Group Supp Emp	0	0	0	0	67	1517	674	698	457	126	22	3561
Day Supp Option	0	0	0	0	155	1722	714	941	957	548	171	5208
Pre-Vocational	0	0	0	0	0	41	46	68	68	24	11	258
Adult Day Health	0	0	0	0	0	1	0	3	11	12	8	35
LEA	0	103	1127	1139	1407	0	0	0	0	0	0	3776
Res School	0	0	3	8	11	0	0	0	0	0	0	22
Senior Supports	0	0	0	0	1	25	23	34	60	64	37	244
Other	14	25	51	22	22	232	17	15	8	2	1	409
No Program	0	0	0	4	8	313	193	198	150	106	72	1044
Blank	4	24	104	60	82	260	84	63	66	44	26	817
<b>TOTAL</b>	<b>18</b>	<b>152</b>	<b>1285</b>	<b>1233</b>	<b>1797</b>	<b>5244</b>	<b>2271</b>	<b>2487</b>	<b>2201</b>	<b>1119</b>	<b>416</b>	<b>18223</b>

DDS Consumers by Day Program (Percentage)

Day Type	Pct
Comp. Employment	2%
Ind. Supp. Emp.	9%
Individ. Day Supp.	4%
Group Supp. Emp.	20%
Day Supp. Opt.	29%
Pre Vocational	1%
Adult Day Health	0%
LEA	21%
Res School	0%
Senior Supports	1%
Other	2%
No Day Program	6%
Blank	4%
<b>TOTAL</b>	<b>100%</b>



Note: The above numbers represent all of the day programs an individual attends (this is not an unduplicated count of individuals).

Section V: Home and Community Based Waiver

A. Enrollment

HCBS Waiver Enrollees - Intellectual Disability

Region	DDS CLA	Private CLA	CRS*	CCH	Family Home	Family Home w/ Supports	Own Home	Own Home w/ Supports	RCH	Other	Total
North	126	1,093	275	124	931	412	46	360	6	25	3,398
South	128	951	223	141	944	473	50	549	4	19	3,482
West	19	979	198	102	859	536	63	331	3	29	3,119
<b>Dec 16 Total</b>	<b>273</b>	<b>3,023</b>	<b>696</b>	<b>367</b>	<b>2,734</b>	<b>1,421</b>	<b>159</b>	<b>1,240</b>	<b>13</b>	<b>73</b>	<b>9,999</b>
<b>June 2016</b>	324	2,885	675	367	2,488	1,414	136	1,234	13	66	9,534
<b>ΔYTD</b>	-51	138	21	0	246	7	23	6	0	7	465

Comprehensive Waiver Enrollees

Region	DDS CLA	Private CLA	CRS*	CCH	Family Home	Family Home w/ Supports	Own Home	Own Home w/ Supports	RCH	Other	Total
North	126	1,093	268	79	18	97	7	184	2	19	1,893
South	128	950	207	111	13	131	6	247	2	15	1,810
West	19	978	192	70	17	95	13	128	1	16	1,529
<b>Dec 16 Total</b>	<b>273</b>	<b>3,021</b>	<b>667</b>	<b>260</b>	<b>48</b>	<b>323</b>	<b>26</b>	<b>559</b>	<b>5</b>	<b>50</b>	<b>5,232</b>
<b>June 2016</b>	324	2,878	632	271	43	275	18	542	5	35	4,949
<b>ΔYTD</b>	-51	143	35	-11	5	48	8	17	0	15	283

Individual and Family Support Waiver Enrollees

Region	DDS CLA	Private CLA	CRS*	CCH	Family Home	Family Home w/ Supports	Own Home	Own Home w/ Supports	RCH	Other	Total
North	0	0	7	44	593	313	28	176	3	6	1,170
South	0	1	16	30	647	339	15	302	1	3	1,354
West	0	1	6	31	561	433	30	202	2	11	1,277
<b>Dec 16 Total</b>	<b>0</b>	<b>2</b>	<b>29</b>	<b>105</b>	<b>1,801</b>	<b>1,085</b>	<b>73</b>	<b>680</b>	<b>6</b>	<b>20</b>	<b>3,801</b>
<b>June 2016</b>	0	7	43	94	1,803	1,124	72	688	6	27	3,864
<b>ΔYTD</b>	0	-5	-14	11	-2	-39	1	-8	0	-7	-63

Employment and Day Support Waiver Enrollees

Region	DDS CLA	Private CLA	CRS*	CCH	Family Home	Family Home w/ Supports	Own Home	Own Home w/ Supports	RCH	Other	Total
North	0	0	0	1	320	2	11	0	1	0	335
South	0	0	0	0	284	3	29	0	1	1	318
West	0	0	0	1	281	8	20	1	0	2	313
<b>Dec 16 Total</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>2</b>	<b>885</b>	<b>13</b>	<b>60</b>	<b>1</b>	<b>2</b>	<b>3</b>	<b>966</b>
<b>June 2016</b>	0	0	0	0	916	16	60	4	2	5	1,003
<b>ΔYTD</b>	0	0	0	2	-31	-3	0	-3	0	-2	-37

Data Source: CAMRIS 12/16

NOTE 1: IFS Waiver enrollees reported as living in CLAs and CRSs are pending transfer to the Comprehensive Waiver.

NOTE 2: EDS Waiver enrollees reported as living in CLAs, Family Home w/ Supports and Own Home w/ Supports are pending transfer to the Comprehensive Waiver or Individual and Family Support Waiver.

## Section V: Home and Community Based Waiver

## B. Federal Revenue

Actual v. Projected Revenue - FY 2017 Second Quarter (Millions of Dollars)					
	SFY 16	SFY 17	SFY 17	SFY 17	
	Actual	EOY	YTD Actual	Difference	% of Revenue
	Revenue	Projected	Revenue *	Projected	Received
				vs Actual	
Waiver	\$453.16	\$425.29	\$222.79	\$202.50	52.39%
ICF/IID	\$75.25	\$61.97	\$53.30	\$8.67	86.01%
TCM	\$17.86	\$19.20	\$10.47	\$8.73	54.53%
TOTAL Billing	\$546.27	\$506.46	\$286.56	\$219.90	56.58%

Actual v. Projected Revenue - FY 2017 Second Quarter (Millions of Dollars)					
	SFY 16	SFY 17	SFY 17	SFY 17	
	Actual	EOY	YTD Actual	Difference	% of Revenue
	Revenue	Projected	Revenue *	Projected	Received
				vs Actual	
Autism Waiver	\$1.03	\$0.34	\$0.24	\$0.10	70.59%
Early Childhood Autism Waiver	\$0.37	\$0.13	\$0.07	\$0.06	56.00%
Comp Waiver	\$377.55	\$353.81	\$186.29	\$167.52	52.65%
IFS Waiver	\$61.88	\$59.00	\$30.62	\$28.38	51.90%
Supports Waiver	\$10.24	\$11.02	\$4.73	\$6.29	42.94%
Money Follows the Person COMP	\$2.09	\$1.00	\$0.84	\$0.16	84.00%
Total Waiver	\$453.16	\$425.29	\$222.79	\$202.50	52.39%
Public ICF/IID	\$75.25	\$61.97	\$53.30	\$8.67	86.01%
Targeted Case Mgmt.	\$17.86	\$19.20	\$10.47	\$8.73	54.53%
TOTAL Billing	\$546.27	\$506.46	\$286.56	\$219.90	56.58%

## Section VI: Human Resource

## A. DDS funded Position Count

<u>Permanent Full Time Position Count (General Funds)</u>						
Region	Authorized	Active	Leave with Pay	Leave w/o Pay & WC	Durational	Vacant
North	693	535	13	10	0	135
South	638	459	5	27	0	147
West	568	403	1	30	0	134
STS	1053	545	7	51	0	450
Central Office	146	113	3	2	0	28
<b>Totals</b>	<b>3098</b>	<b>2055</b>	<b>29</b>	<b>120</b>	<b>0</b>	<b>894</b>

<u>Permanent Part Time Position Count (General Funds)</u>										
Region	Authorized	Active		Leave with Pay		Leave w/o Pay & WC		Durational		Vacant count
		count	FTE	count	FTE	count	FTE	count	FTE	
North	365	221	122.21	6	3.4	7	4.05	0	0	131
South	296	177	104.45	3	1.95	14	8.06	0	0	102
West	208	113	76.04	1	0.8	14	8.67	0	0	80
STS	201	98	64.65	1	0.56	9	6.9	0	0	93
Central Office	0	0	0	0	0	0	0	0	0	0
<b>Totals</b>	<b>1070</b>	<b>609</b>	<b>367.35</b>	<b>11</b>	<b>6.71</b>	<b>44</b>	<b>27.68</b>	<b>0</b>	<b>0</b>	<b>406</b>

<u>Other General Fund Positions - Filled</u>						
Type	North	South	West	STS	CO	Total
Temporary	5	0	36	0	1	42
General Workers	25	10	4	64	0	103
Substitutes	0	0	0	0	0	0
Retirees	0	0	0	0	1	1
Inst. Fire	0	0	0	1	0	1
Per Diems	6	4	2	3	5	20

<u>Federal Funded Positions - Filled</u>						
	North	South	West	STS	CO	Total
Full Time	0	0	0	0	2	2
Part Time	0	0	0	0	1	1

**Section VI: Human Resource**  
**B. DDS Abuse and Neglect Registry**

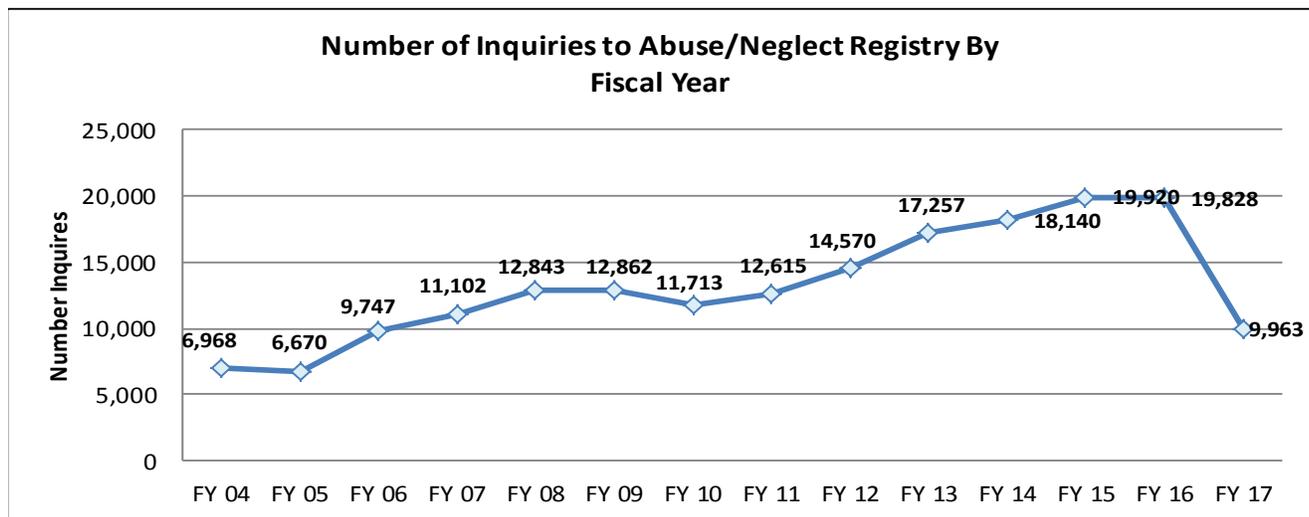
**DDS Abuse and Neglect Registry Quarterly Report**

**October 1, 2016 -December 31, 2016**

Registry Notifications Received							
	Total	Private Sector		Public Sector		Self Direct	
		Nbr	Pct	Nbr	Pct	Nbr	Pct
New Notifications This Quarter	18	16	89%	2	11%	0	0%
Notifications as of <b>9/30/16</b>	1095	940	86%	153	14%	0	0%
Total Cumulative Notifications *	1113	956	86%	155	14%	0	0%

Disposition of Registry Notifications							
	Total	Private Sector		Public Sector		Self Direct	
		Nbr	Pct	Nbr	Pct	Nbr	Pct
New Names on Registry This Quarter	28	25	89%	3	11%	0	0%
Names on Registry as of <b>9/30/16</b>	518	476	92%	41	8%	1	0%
Closed - Arbitration & Legal Proceedings	97	30	31%	67	69%	0	0%
Closed - Do not meet Statutory Criteria	462	417	90%	45	10%	0	0%
Total Completed Cases*	1105	948	86%	156	14%	1	0%

\*Represents cumulative data from the beginning of the Abuse/Neglect Registry



Inquiries made in Current Fiscal Year	
Number Inquiries in Current Quarter	Number of Inquiries Year to Date
4,832	9,963