

CMS Indicators

Centers for Medicare and Medicaid Services (Indicators that are used to inform the CMS Waiver Assurances)

Effective April 11, 2022

| | | | CMS Reporting Indicator? | DDS Responsible? | Required for Follow-Up? |
|-----|-------|--|--------------------------|------------------|-------------------------|
| 1. | D 1 | The individual's plan indicates he or she directed or participated in the planning process to the extent that he or she chose to participate. | Yes | Always | Yes |
| 2. | D 1a | The individual's Person Centered Plan clearly documents all efforts to include the individual and their representatives in the planning process. | | | |
| 3. | D 2 | The Individual Plan is developed and implemented on a timely basis. | Yes | Always | Yes |
| 4. | D 4 | The individual's preferences and personal goals are identified in his or her plan. | Yes | Always | Yes |
| 5. | D 5a | The individual's record contains necessary and current health, safety and programmatic assessments, screenings, evaluations, reports and/or profiles. | Yes | Conditional | Yes |
| 6. | D 9 | The individual's plan identifies behavioral issues and strategies, as applicable. | Yes | Always | Yes |
| 7. | D 10 | The individual's plan identifies any supports that require coordination across settings. | Yes | Always | Yes |
| 8. | D 43b | After the IP development, providers obtain needed assessments, screenings, evaluations, reports and/or profiles and/or follow-up on recommendations. | Yes | No | Yes |
| 9. | D 44 | The individual's plan identifies health and safety issues and strategies. | Yes | Always | Yes |
| 10. | D 33 | The Individual Plan documents responsiveness to the individual's requests to make changes in supports and services or providers, if applicable. | Yes | Always | Yes |
| 11. | D 15 | Individual Progress Reviews identify that the provision of needed supports and services is documented and progress is reviewed. | Yes | No | Yes |
| 12. | D 23 | The individual's record contains necessary notifications, including information shared with the individual and their representatives. | Yes | Always | Yes |
| 13. | D 46 | The individual's record shows policies and procedures were followed, and follow-up to Abuse and Neglect concerns regarding the individual, including notification to families. | Yes | Conditional | Yes |
| 14. | D 7a | The individual receives necessary oral and dental care including assessment, treatment and follow-up. | Yes | Conditional | Yes |
| 15. | D 35 | Support person training regarding the individual's health, safety, and plan is documented. | Yes | No | Yes |
| 16. | D 37 | There is documentation that at least one support staff on duty per shift is currently trained in cardiopulmonary resuscitation (CPR). | Yes | No | Yes |
| 17. | D 38 | There is documentation that only licensed personnel or certified unlicensed personnel administer medications to the individual. | Yes | No | Yes |
| 18. | D 55 | The support person has documented training regarding individual rights. | Yes | No | Yes |
| 19. | D 56 | The support person has documented training regarding abuse and neglect reporting and prevention. | Yes | No | Yes |