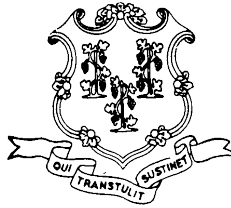


STATE OF CONNECTICUT
DEPARTMENT OF CONSUMER PROTECTION
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 Website: www.ct.gov/dcp



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GAMING LICENSE - FINANCIAL DISCLOSURE:

The Statement of Financial Position should reflect current market value, unless unavailable or otherwise indicated. **All Assets and Liabilities of the Applicant and Spouse must be listed.**

This form extends beyond a single page. The totals for each section should be added to the first page.

ASSETS	Total: In Dollars (Omit Cents)
Schedule 'A' - Cash on Hand and in Banks	
Schedule 'B' - Govt. & Marketable Securities	
Schedule 'C' - Non-Marketable Securities	
Schedule 'D' - Restricted or Control Stocks	
Schedule 'E' - Partial Interest in Real Estate Equities	
Schedule 'F' - Real Estate Owned	
Schedule 'G' - Mortgages and Loan Receivables	
Securities Held by Broker in Margin Accounts	
Cash Value – Life Insurance (not face value)	
Other Assets – Itemize & Include Basis	

Date:

TOTAL ASSETS: _____

LIABILITIES AND NET WORTH	Total: In Dollars (Omit Cents)
Schedules 'E' & 'F' (Part 2) - Real Estate Mortgages Payable	
Schedule 'H' - Notes Payable to Financial Institutions – Secured	
Schedule 'H' - Notes Payable to Financial Institutions – Unsecured	
Schedule 'I' - Amounts Payable to Others – Secured	
Schedule 'I' - Amounts Payable to Others – Unsecured	
Due to Brokers	
Accounts and Bills Due	
Other Unpaid Taxes & Interest – Itemize	
Other Debts – Itemize	

TOTAL LIABILITIES: _____

NET WORTH: _____

Date:

TOTAL LIABILITIES & NET WORTH: _____

Applicant's Initials _____

Schedule 'A' – Cash on Hand & In Banks

Depository	Location	In Name of	Are These Pledged?	Amount

Schedule 'B' – Government & Marketable Securities

No. of Shares or Face Value (Bonds)	Description	In Name of	Are These Pledged?	Cost Value	Current Value

Schedule 'C' – Non-Marketable Securities

No. of Shares or Face Value (Bonds)	Description	In Name of	Are These Pledged?	Basis of Valuation	Cost Value	Current Value

Schedule 'D' – Restricted or Control Stocks

No. of Shares or Face Value (Bonds)	Description	In Name of	Are These Pledged?	Basis of Valuation	Cost Value	Current Value

Schedule 'E' – Partial Interest in Real Estate Equities (Part 1)

Type of Property	Address	Title in Name of	Date Acquired

Schedule 'E' – Real Estate Mortgages Payable (Part 2)

Cost Value	Mortgagee Name & Address	Mortgage Balance	Market Value

Schedule 'F' – Real Estate Owned by Applicant and/or Spouse (Part 1)

Type of Property	Address	Title in Name of	Date Acquired

Schedule 'F' – Real Estate Mortgages Payable (Part 2)

Cost Value	Mortgagee – Name & Address	Mortgage Balance	Market Value

Schedule 'G' – Mortgage & Loans Receivable

Name & Address of Morgagor/Lendee	Original Amount	Current Balance	Effective Rate	Secured or Unsecured	Original Date

Schedule 'H' – Notes Payable to Financial Institutions

Name & Address of Financial Institution	Original Amount	Current Balance	Effective Rate	Secured or Unsecured	Original Date

Applicant's Initials _____

Schedule 'I' – Amounts Payable to Others

Name & Address of Lender	Original Amount	Current Balance	Effective Rate	Secured or Unsecured	Original Date