

**Wholesaler of Drugs, Medical Devices, and/or Cosmetics Mobile Inspection Form**

The Drug Control Division is utilizing all-inclusive mobile inspection forms that encompass multiple inspection types and business models. Inspection sections and/or inspection fields may intentionally remain blank when such sections and/or fields do not apply to the inspection type and/or business model for which the mobile inspection forms are being utilized. Please contact the Drug Control Agent who conducted your inspection if you feel an inspection section and/or inspection field was inadvertently left blank.

**Wholesaler of Legend Controlled Drugs**

**Controlled Drug Activity**

Schedule II	
Schedule III	
Schedule IV	
Schedule V	

**Schedule II Drug Stock**

	Yes	No	Advised
How does the wholesaler store Schedule II drug stock?			
<b>Approved Safe</b>			
Is the wholesaler's Schedule II drug stock properly stored in an approved safe? [Section 21a-262-4(a)]			
<b>Approved Vault</b>			
Is the wholesaler's Schedule II drug stock properly stored in an approved vault? [Section 21a-262-4(a)]			

**Schedule II Drug Stock Procurement**

DEA 222 Forms	
Controlled Substance Ordering System (CSOS)	
A combination of DEA 222 Forms and CSOS	

**Schedule III Drug Stock Storage**

	Yes	No	Advised
Does the wholesaler store Schedule III drug stock in an approved manner? [Section 21a-262-4(b)]			

**Schedule IV Drug Stock Storage**

	Yes	No	Advised
Does the wholesaler store Schedule IV drug stock in an approved manner? [Section 21a-262-4(b)]			

**Schedule V Drug Stock Storage**

	Yes	No	Advised
Does the wholesaler store Schedule V drug stock in an approved manner? [Section 21a-262-4(b)]			

Controlled Drug Safe		Yes	No	Advised
1	Does the wholesaler's safe for the storage of controlled drug stock have a minimum of a "B" burglary rate? [Section 21a-262-1(f)(1)]			
2	Is the wholesaler's safe for the storage of controlled drug stock equipped with a re-locking device? [Section 21a-262-1(f)(2)]			
3	Does the wholesaler's safe for the storage of controlled drug stock weigh at least 750 pounds or is such safe rendered immobile by being securely anchored to a permanent structure of the building? [Section 21a-262-1(f)(3)]			
4	Does the wholesaler's safe for the storage of controlled drug stock have adequate interior space to store all controlled drugs required to be kept within the safe? [Section 21a-262-1(f)(4)]			

Controlled Drug Vault		Yes	No	Advised
1	Are the walls, floor, and ceilings of the wholesaler's vault for the storage of controlled drug stock constructed of at least 8 inches of reinforced concrete or other substantial masonry, reinforced vertically and horizontally with one-half inch steel rods tied 6 inches on center, or the structural equivalent to such reinforced walls, floors, and ceilings? [Section 21a-262-1(g)(1)]			
2	Does the door of the wholesaler's vault for the storage of controlled drug stock contain a multiple-position combination lock or the equivalent? [Section 21a-262-1(g)(2)]			
3	Does the door of the wholesaler's vault for the storage of controlled drug stock contain a relocking device or the equivalent? [Section 21a-262-1(g)(2)]			
4	Does the door of the wholesaler's vault for the storage of controlled drug stock contain a steel plate with a thickness of at least ½ inch? [Section 21a-262-1(g)(2)]			
5	Is the wholesaler's vault for the storage of controlled drug stock equipped with a "day gate"?			
6	Is the wholesaler's vault's "day gate" self-closing and self-locking or the equivalent if operations require such vault to remain open for frequent access? [Section 21a-262-1(g)(3)]			
7	Are the walls, floor, and ceiling of the wholesaler's vault for the storage of controlled drug stock equipped with an alarm which, when unauthorized entry is attempted, transmits a signal directly to a central station protection company, or a local or state police agency which has a legal responsibility to respond, or a twenty-four-hour control station operated by the registrant? [Section 21a-262-1(g)(4)]			
8	Is the door of the wholesaler's vault for the storage of controlled drug stock equipped with a contact switch? [Section 21a-262-1(g)(5)]			

9	Is the electrical system of the wholesaler's vault for the storage of controlled drug stock certified as being an Underwriters Laboratories, Inc., approved system and installation? [Section 21a-262-1(g)(7)]			
<b>Vault Features</b>				
	Complete electrical lacing of the walls, floor and ceiling [Section 21a-262-1(g)(6)(a)]			
	Sensitive ultrasonic equipment within the vault [Section 21a-262-1(g)(6)(b)]			
	A sensitive sound accumulator system [Section 21a-262-1(g)(6)(c)]			
10	Such other device designed to detect illegal entry as may be approved by the Commissioner of Consumer Protection [Section 21a-262-1(g)(6)(d)]			
	Does the wholesaler's vault for the storage of controlled drug stock have at least one of the four features listed above? [Section 21a-262-1(g)(6)]			

Security		Yes	No	Advised
1	Has the wholesaler provided safeguards which can be regarded in toto as an adequate substitute for some element of protection required of such wholesaler? (e.g. supervised watchman service, full electrical protection of the building, electric alarms, etc.) [Section 21a-262-2(a)]			
2	Does the wholesaler maintain all stocks of controlled drugs in all schedules in a secure area or location accessible only to specifically authorized personnel? [Section 21a-262-2(b)]			
3	Does the wholesaler store all controlled drugs in such a manner as to prevent theft or diversion of these preparations? [Section 21a-262-2(b)]			
4	Does the wholesaler maintain all equipment used for storage of controlled drugs such as approved vault(s), approved safe(s), caged areas, cabinets, enclosures, etc. securely locked except for the actual time required to remove or replace needed items? [Section 21a-262-2(c)]			
5	Does the wholesaler keep locks in good working order with keys removed therefrom? [Section 21a-262-2(c)]			
6	Does the wholesaler ensure that keys to locks are not left in a location accessible to other than specifically authorized personnel? [Section 21a-262-2(c)]			
7	Does the wholesaler maintain any stock of controlled drugs in excess of the quantity actually required for normal, efficient operation? [Section 21a-262-2(g)]			
8	Does the wholesaler store all controlled drugs in the process of manufacture, distribution, transfer, or analysis in such a manner as to prevent diversion? [Section 21a-262-4(c)]			
9	Does the wholesaler return all controlled drugs in the process of manufacture, distribution, transfer, or analysis to the required security location immediately after completion of the procedure or at the end of the scheduled business day? [Section 21a-262-4(c)]			

10	Does the wholesaler limit accessibility to all controlled drugs in the process of manufacture, distribution, transfer, or analysis to only the minimum number of specifically authorized personnel essential for efficient operation? [Section 21a-262-4(c)]			
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DEA 222 Order Forms		Yes	No	Advised
1&2	Did the DEA registrant grant power of attorney to sign DEA 222 order forms? [CFR 1305.05(a)]			
3	Are the wholesaler's power(s) of attorney available for inspection? [CFR 1305.05(a)]			
4	Did any individual sign DEA 222 order forms who was not granted power of attorney by the wholesaler's DEA registrant to sign such forms? [CFR 1305.05(a)]			
5	Does the wholesaler maintain unexecuted DEA 222 order forms in an organized manner?			
6	Does the wholesaler keep unexecuted DEA 222 order forms securely in a limited access area?			
7	Does the wholesaler have any "pre-signed" unexecuted DEA 222 order forms?			
8	Are the wholesaler's executed DEA 222 order forms readily available for inspection? [Section 21a-261(a)]			
9	Does the wholesaler maintain executed DEA 222 order forms separately apart from other drug records? [Section 21a-254(f)]			
10	Does the wholesaler maintain executed DEA 222 order forms in an organized manner?			
11	Are the wholesaler's executed DEA 222 order forms properly executed? [CFR 1305.12]			

Controlled Substance Ordering System (CSOS)		Yes	No	Advised
1&2	Did the DEA registrant grant power of attorney to sign CSOS orders? [CFR 1311.45]			
3	Does the DEA registrant maintain a record that lists each person granted power of attorney to sign CSOS orders? [CFR 1311.45(b)]			
4	Did any individual sign CSOS orders to whom the DEA registrant did not grant power of attorney to sign such orders? [CFR 1311.45]			
5	Does the wholesaler properly secure CSOS private key(s)? [CFR 1311.30]			
6	Do the wholesaler's CSOS certificate holders maintain a copy of the subscriber agreement that the Certification Authority provides for the life of the certificate? [CFR 1311.60(c)]			

7	Does the wholesaler maintain CSOS records on a central server? [CFR 1305.27(c)]			
8	Are the wholesaler's CSOS records readily retrievable at the registered location? [CFR 1305.27(c)]			
9	Are the wholesaler's electronically-maintained CSOS records readily retrievable from all other records? [CFR 1311.60(a)]			
10	Are the wholesaler's electronically-maintained CSOS records easily readable or easily rendered into a format that a person can read? [CFR 1311.60(b)]			
11	Does the wholesaler retain for each CSOS order filled the original signed order and all linked records for that order for three years from the date of the transaction recorded? [CFR 1305.27(a), CFR 1311.60, and Section 21a-254(f)]			
12	Does the wholesaler retain all copies of each unaccepted or defective CSOS order and each linked statement for three years from the date of the transaction recorded? [CFR 1305.27(a), CFR 1311.60(a), and Section 21a-254(f)]			
13	Does the wholesaler retain an electronic copy of all voided CSOS orders for three years from the date of the transaction recorded? [CFR 1305.28(b), CFR 1311.60(a), and Section 21a-254(f)]			
14	Does the wholesaler complete and verify CSOS orders in CSOS upon receipt from the supplier?			

Receipt Records		Yes	No	Advised
1	Does the wholesaler maintain receipt records of controlled drugs that bear the DATE on which controlled drugs were RECEIVED? [Section 21a-254(f)]			
2	Does the wholesaler maintain receipt records of controlled drugs that bear the NAME OF PERSON FROM WHOM controlled drugs were RECEIVED? [Section 21a-254(f)]			
3	Does the wholesaler maintain receipt records of controlled drugs that bear the ADDRESS of person FROM WHOM controlled drugs were RECEIVED? [Section 21a-254(f)]			
4	Does the wholesaler maintain receipt records of controlled drugs that bear the KIND of controlled drugs RECEIVED? [Section 21a-254(f)]			
5	Does the wholesaler maintain receipt records of controlled drugs that bear the QUANTITY of controlled drugs RECEIVED? [Section 21a-254(f)]			
6	Does the wholesaler keep receipt records of controlled drugs on the wholesaler's premises? [Section 21a-254(h)]			

7	Does the wholesaler maintain receipt records of controlled drugs current and separate from other business records in such form as to be readily available for inspection at reasonable times? [Section 21a-254(h) and Section 21a-261]			
8	Does the wholesaler maintain receipt records of controlled drugs separately apart from other drug records? [Section 21a-254(f)]			
9	Are the wholesaler's receipt records of controlled drugs void of the use of a foreign language, codes, or symbols to designate controlled drugs or persons in the keeping of such records? [Section 21a-254(h)]			
10	Does the wholesaler keep records of all controlled drugs received by them separately apart from other drug records for a period of three years from the date of the transaction recorded? [Section 21a-254(d)]			

Disposition Records		Yes	No	Advised
1	Does the wholesaler maintain disposition records of controlled drugs that bear the DATE on which controlled drugs were DISPOSED? [Section 21a-254(f)]			
2	Does the wholesaler maintain disposition records of controlled drugs that bear the KIND of controlled drugs DISPOSED? [Section 21a-254(f)]			
3	Does the wholesaler maintain disposition records of controlled drugs that bear the QUANTITY of controlled drugs DISPOSED? [Section 21a-254(f)]			
4	Does the wholesaler maintain disposition records of controlled drugs that bear the NAME OF THE PERSON to whom or for whose use controlled drugs WERE USED? [Section 21a-254(f)]			
5	Does the wholesaler keep disposition records of controlled drugs on the wholesaler's premises? [Section 21a-254(h)]			
6	Does the wholesaler maintain disposition records of controlled drugs current and separate from other business records in such form as to be readily available for inspection at reasonable times? [Section 21a-254(h) and Section 21a-261]]			
7	Does the wholesaler maintain disposition records of controlled drugs separately apart from other drug records? [Section 21a-254(f)]			
8	Are the wholesaler's disposition records of controlled drugs void of the use of a foreign language, codes, or symbols to designate controlled drugs or persons in the keeping of such records? [Section 21a-254(h)]			

9	Does the wholesaler keep records of all controlled drugs disposed of by them separately apart from other drug records for a period of three years from the date of the transaction recorded? [Section 21a-254(d)]			
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Inventory Records		Yes	No	Advised
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1	Does the wholesaler maintain inventory records of controlled drugs that bear the DATE on which the initial or annual inventory was CONDUCTED? [CFR 1304.11(a)]			
2	Does the wholesaler maintain inventory records of controlled drugs that bear the TIME of day the initial or annual inventory was COMPLETED (opening of business or close of business)? [CFR 1304.11(a)]			

For each controlled drug in finished form ... ..		Yes	No	Advised
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3	Does the wholesaler maintain inventory records of controlled drugs that bear the NAME of each controlled drug inventoried? [CFR 1304.11(e)(2)]			
4	Does the wholesaler maintain inventory records of controlled drugs that bear the FINISHED FORM of each controlled drug (e.g. 10-milligram tablet or 10-milligram concentration per fluid ounce or milliliter) inventoried? [CFR 1304.11(e)(2)]			
5	Does the wholesaler maintain inventory records of controlled drugs that bear the NUMBER OF UNITS OR VOLUME of each finished form of each inventoried controlled drug in each commercial container (e.g. 100-tablet bottle or 3-milliliter vial)? [CFR 1304.11(e)(2)]			
6	Does the wholesaler maintain inventory records of controlled drugs that bear the NUMBER OF commercial CONTAINERS of each finished form (e.g. four 100-tablet bottles or six 3-milliliter vials) of each inventoried controlled drug? [CFR 1304.11(e)(2)]			
7	Does or did the wholesaler have any controlled drugs that are DAMAGED, DEFECTIVE, IMPURE, awaiting disposal, or held for quality control purposes on the inventory date?			

For controlled drugs that are damaged, defective, impure, awaiting disposal, held for quality control purposes, or maintained for extemporaneous compoundings ... ..		Yes	No	Advised
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8	Does the wholesaler maintain inventory records of controlled drugs that bear the NAME of the CONTROLLED drug inventoried? [CFR 1304.11(e)(2)]			
9	Does the wholesaler maintain inventory records of controlled drugs that bear the TOTAL QUANTITY of the CONTROLLED drug inventoried to the nearest metric unit weight or the total number of units of finished form? [CFR 1304.11(e)(2)]			

10	Does the wholesaler maintain inventory records of controlled drugs that bear the REASON why the registrant is MAINTAINING the inventoried controlled drugs? [CFR 1304.11(e)(2)]			
11	Does the wholesaler maintain inventory records of controlled drugs that bear the COMPLETE LISTING of all controlled drugs ON HAND? [CFR 1304.11(a) and Section 21a-254(h)]			
12	Did the wholesaler prepare an inventory of all controlled drugs on hand on the date the wholesaler first engaged in the distribution of controlled drugs? [CFR 1304.11(b)]			
13	Does the wholesaler prepare annually within four days of the first day of May of the calendar year a complete and accurate record of all controlled drugs on hand on the date the inventory is taken? [Section 21a-254(h)]			
14	Does the wholesaler maintain the initial and annually prepared complete and accurate records of all controlled drugs on hand in written, typewritten, or printed form at the wholesaler's registered location? [CFR 1304.11(a) and Section 21a-254(h)]			
15	Does the wholesaler maintain the initial and annually prepared complete and accurate records of all controlled drugs on hand current and separate from other business records in such form as to be readily available for inspection at reasonable times? [Section 21a-254(h) and Section 21a-261]]			
16	Are the wholesaler's initial and annually prepared complete and accurate records of all controlled drugs on hand void of the use of a foreign language, codes, or symbols to designate controlled drugs or persons in the keeping of such records? [Section 21a-254(h)]			
17	Does the wholesaler keep the initial and annually prepared complete and accurate records of all controlled drugs on hand on file for three years? [Section 21a-254(h)]			

Additional Comments		Yes	No	Advised
1	Does the inspecting agent have any additional comments with respect to this wholesaler inspection?			

Not

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