

Connecticut State Board of Accountancy
November 08, 2011
Minutes

Chairman Thomas F. Reynolds called the meeting to order at 08:36 A.M. at the State Board of Accountancy, 30 Trinity Street 250, Hartford, CT 06106.

Present:

Thomas F. Reynolds, CPA, Chairman
James S. Ciarcia
Philip J. DeCaprio, Jr., CPA/ABV/CFF, Cr.FA, CVA
Richard L. Sturdevant
Michael Weinshel, CPA

Staff Members Present:

James F. Spallone, Deputy Secretary of the State
Denise Diaz, Unit Supervisor
Angel D. Acevedo, Office Assistant

Absent:

Richard H. Gesseck, CPA
Leonard M. Romaniello, Jr., CPA, CITP, CFE, MST
Lee R. Schlesinger
Martha S. Triplett, Esq.

James W. Brackens, Jr., AICPA Director of Peer Review & Mr. Henry J. Krostich, addressed the Board with their presentation on the AICPA's most up-to-date Peer Review Facilitated Access Program information.

A motion was made by Philip DeCaprio and seconded by Michael Weinshel to approve the Minutes of the October 04, 2011 Board Meeting. All voted in favor; no votes against and no abstentions.

In the Manager's Operations Update and Review, Ms. Denise Diaz informed the Board:

- Initiated the on-line License renewals for 2012;
- Currently providing information and trying to gather templates of documentation from our current database in order to provide the project manager who is working on the conversion of our database;
- We are continuing the transition from our current licensing software to the State's Enterprise Licensing Software (CAVU);
- Continued need of an Office Manager and Legal Counsel in order to respond to outstanding enforcement and the handling of day to day matters;
- Completing and closing out the renewal cycle by January 31, 2012;
- The possible migration of the Board of Accountancy's web page into the Secretary of the State's web-site. The Secretary of the State's information technology area will be looking into how this will be implemented after the close of the renewal cycle. (The URL may stay the same or it will be changed to www.sots.gov)

A motion was made by Michael Weinshel and seconded by Philip DeCaprio to extend the NTS by one testing window for individuals, identified by NASBA during the fourth quarter, to have experienced software errors, impacting testing centers as of October 01, 2011. This error affected eight Connecticut Candidates; prometric is working with NASBA to reschedule candidates who were unable to complete or have reported an unfair testing experience. All voted in favor; no votes against and no abstentions.

A motion was made by Michael Weinshel and seconded by Philip DeCaprio to approve the request for extension of conditional credit submitted by Mr. Rosario D'Agostino. All voted in favor; no votes against and no abstentions.

A motion was made by Michael Weinshel and seconded by Richard Sturdevant to deny the request to retake the exam within the same testing window; however, the Board did extend the NTS by one testing window. All voted in favor; no votes against and no abstentions.

Michael Weinshel recommended the Board write to NASBA Examination Services in regards to their policy of retaking a portion of the Examination for individuals who have technical issues as identified by NASBA. Candidates can choose to have their test graded and in the event they have a failing grade they would have to pay the fee to retake that portion; if they decide not have it the Exam graded they can retake that portion at no further cost to the candidate. Michael Weinshel has suggested the candidate should not be charged even if they choose to have it graded.

The Board reviewed and noted, for the record, CPA Certificate Registration, CPA License & Firm Permit Applications approved by the Manager October 04, 2011 through November 07, 2011.

The Board noted, for the record, the following PCAOB Inspection Reports received:

- Ernst & Young, LLP

A motion was made by Michael Weinshel and seconded by Philip DeCaprio to approve the public experience application submitted by Reciprocal CPA Certificate & Initial CPA License applicant Ms. Nancy Joanne Fannon. All voted in favor; no votes against and no abstentions.

A motion was made by Michael Weinshel and seconded by James Ciarcia to approve the public experience application submitted by Initial CPA Certificate & Initial CPA License applicant Ms. Jean E. Wilson. All voted in favor; no votes against and no abstentions.

A motion was made by Philip DeCaprio and seconded by Richard Sturdevant to approve the non-public experience application submitted by Reciprocal CPA Certificate & Initial CPA License applicant Mr Brian J. Kirkpatrick. All voted in favor; no votes against and no abstentions.

Under Other Business, James Ciarcia briefed the Board on new developments around the country taking place in the Accountancy Profession he learned at the NASBA Regional Meeting he attended

Under Public Comment, Mr. Arthur Renner, Executive Director of CSCPA & Mr. Greg Lainas, President of the CSCPA, addressed the Board briefly.

A motion was made by Philip DeCaprio and seconded by James Ciarcia at 11:00 A.M. to enter into Executive Session to discuss personnel matters, with an invitation extended to Mr. James F. Spallone, Deputy Secretary of the Secretary of the State.

A motion was made by Philip DeCaprio and seconded by James Ciarcia to adjourn the November 08, 2011 Board Meeting of the Connecticut State Board of Accountancy at 11:20 A.M. All voted in favor; no votes against and no abstentions.