

Connecticut State Board of Accountancy Meeting Agenda

Tuesday, January 6, 2015 – 10:00 A.M.

Second Floor Conference Room
30 Trinity St., Hartford, CT 06106
860-509-6179
sboa@ct.gov

OFFICE AND BOARD OPERATIONS AND ADMINISTRATION

1. **Action required** – Approve Minutes of the December 2, 2014 Board Meeting

INFORMATION REPORTS

2. **Administrative Updates**
 - a. Transcript Evaluations
 - b. Board Member feedback regarding CT CPA's Peer Review Process
 - c. Newsletter Distribution and Review
 - d. Name change policy regarding duplicate certificates
 - e. Renewal Update
 - f. June Retreat Date and Location Update
 - g. Consideration for Firms to Submit Proof of Malpractice Insurance

PUBLIC COMMENT

3. Opportunity for members of the public to address the board

EXAM AND LICENSING

4. IQEZ Exam

OLD BUSINESS

5. **Action required – Industry, Government, or Self Employed experience, and Other Applications**

NEW BUSINESS

6. **Action Required - Industry, Government, or Self Employed experience, and Other Applications**
 - a. **Jun Fan**– Initial CPA Certificate & Certificate Registration, Industry Experience
 - b. **Rati Patel** – Initial CPA Certificate, Industry Experience

7. **REVIEW FIRM PERMITS FOR APPROVAL** - None

8. **PCAOB Inspection Reports Received** – member comment on review

- Review Report Dated **December 12, 2014 Weaver and Tidwell, LLP**
December 16, 2014 KGS, LLP

9. **EXAMINATION AND CPE EXTENSION**

Susan Riegert- Requesting waiver of late fee for accounting and auditing CPE

James Giordano - Requesting a waiver of the late fee for completing the 4 hours of ethics CPE

Robert Hines – Requesting an extension on two parts of the CPA exam - REG & BEC

Donarell Elder – Requesting reconsideration of decision made for request of 3 month extension to complete CPE at the August 5, 2014 board meeting.

OTHER BUSINESS

10. Enforcement Cases - Overview of 2014 Cases

- a. **2010052-3402** - Philip Decocco vs. Thomas Rich
- b. **2013-48** - Paul Rosow vs. Roger S. Bennett
- c. **2014-40** - Connecticut State Board of Accountancy vs. Jeffrey M. Oller
- d. **2014-47** - Connecticut State Board of Accountancy vs. Joseph P. Legge
- e. **2014-48** - Connecticut State Board of Accountancy vs. Scott A. Monroe
- f. **2014-55** - Connecticut State Board of Accountancy vs. Warren M. Johnson
- g. **2014-59** - Connecticut State Board of Accountancy vs. Richard A. Royston

PUBLIC COMMENT

Opportunity for members of the public to address the Board

Next scheduled meeting: Tuesday, February 3, 2015 -10:00 AM- 2nd Floor, 30 Trinity Street, Hartford, CT