

**Connecticut State Board of Accountancy  
Meeting Agenda**

**Friday, September 13, 2013 – 8:30 A.M.**

Second Floor Conference Room  
30 Trinity St., Hartford, CT 06106  
860-509-6179  
[sboa@ct.gov](mailto:sboa@ct.gov)

**OFFICE AND BOARD OPERATIONS AND ADMINISTRATION**

1. **Action required** – Approve Minutes of the August 1, 2013 Board Meeting
2. **ALD implementation discussion of funding**

**EXAM AND LICENSING**

3. **Action required – Industry, Government, or Self Employed experience, and Other Applications**

**Bradley T. McKay** – application for Initial CPA Certificate & Initial CPA License; Industry Accounting Experience (Attended the August 1, 2013 Board Meeting. Application was tabled. Board asked for additional experience verification. Updated information provided.

**Stephen Fate Marshall II**– application for Initial CPA Certificate & Initial CPA License; Industry Accounting experience.

**Kashyap Patel**– application for Initial CPA Certificate & Initial CPA License; Industry Accounting experience.

**Jeffrey Stephen Eells**– application for Initial CPA Certificate & Initial CPA License; Industry Accounting experience.

**Juna May P. Williams**– application for Initial CPA Certificate & Initial CPA License; Industry Accounting experience.

**Daniel Pappalardo**– application for Reciprocal CPA Certificate & Initial CPA License; Industry Accounting experience.

**Robin M. Dion**– application for Reciprocal CPA Certificate & Initial CPA License; Industry Accounting experience.

**John Edward Majkowski**– application for Reciprocal CPA Certificate & Certificate registration; Industry Accounting experience.

**John Cunningham** – Initial Certificate & Initial License: Resume and letter attached SBA-12 Experience Verification form no supervisor to sign off on experience. Denied at the June 24, 2013 Board meeting. Additional experience verification provided

4. **Review Firm permits for approval**

PCAOB Inspection Reports Received – member comment on review

- Review date July 31, 2013 Report on **Hoberman, Goldstein & Lesser, P.C.**  
**KPMG LLP**  
**McGladrey & Pullen, LLP**  
**PricewaterhouseCoopers LLP**
- Review date August 27, 2013 Report on **Ernst & Young LLP**  
**Friedman LLP**  
**KPMG LLP**

5. **EXAMINATION AND CPE EXTENSION**

**Alisen Harrison, CPA** – requesting extension until 9/30/13 to obtain additional 17 hours CPE. Leave of absence from employer due to disability and maternity leave. Additional information provided. Denied at the August 1, 2013 Board meeting.

## **OTHER BUSINESS**

### **6. Enforcement Cases**

**2012-103780 Jonas, Alan R.**

**2013-13 Israel, Gordon I.**

**2011027-3579 Abramowitz, Roy A.**

**2011009-3557 Tucci, Peter J.**

**2013-22 Deloitte Financial Advisory Services LLP**

**2012011-3760 Ciccarelli, Dale**

**2012-103779 Financial Directions, Inc.**

**2012-103780 Stuart, Rosenberg P.**

**2011082-3712 P. Richard Schwaeber, PC.**

## **PUBLIC COMMENT**

Opportunity for members of the public to address the Board

Next scheduled meeting:

- Thursday, October 3, 2013 -8:30 AM- 2<sup>nd</sup> Floor, 30 Trinity Street, Hartford, CT

### **Subcommittees will meet after the regular meeting.**

Experience Requirements/SBA-12/CPA Exam/Licensing

Dick Gesseck

Martha Triplett

Len Romaniello

Philip DeCaprio