

PRODUCER LICENSE APPLICATION

CANAMED, INCORPORATED

VOLUME 1

Facility Located At:

**400 Park Road
Watertown, Connecticut**



400 Park Road, Watertown, CT 06975
www.canamedCT.com



400 Park Road, Watertown, CT 06975
www.canamedCT.com

State of Connecticut
Department of Consumer Protection
Hartford, CT

Gentlemen,

We are pleased to submit the attached Producer License Application for the production of medical marijuana. We have attempted to meticulously address your application process and regulations and deliver a plan that will make Connecticut a leader in the professional production of these products with a goal of high quality, reproducible properties, while sustaining extraordinary purity and safety.

This application is focused upon the use of a facility in Watertown Connecticut with exceptional physical resources, state-of-the-art laboratories, tight security, isolated from any sensitive populations, and capable of supporting a significant percentage of the anticipated state requirements for medical marijuana. We are blessed with the full support of the local Watertown community and I have attached a letter from the town counsel and town manager confirming their support for our proposal. We have also enclosed within the body of our application additional letters from the Planning and Zoning Department, Fire Marshall, Building Inspector and others confirming that our facility is in full compliance with all requirements.

We have secured access to copious financial resources to support the issuance of a bond if granted a producer license as well as to finance our business plan. We have the ability to support a bond with either cash or collateral and our investors have committed the funds required to fully support our project.

We look forward to working with the DCP on this project and to a fruitful effort to bring this technology to the state.

Best regards,

Gregg Wershoven, CEO
Canamed, Incorporated



(860) 945-4858 November 5, 2013

To Whom it May Concern

Re: Canamed Inc. Application for a Medical Marijuana Production Facility in Watertown CT.

This is a letter of support for the application of the Canamed Corporation to open a medical marijuana production facility in the Town of Watertown, Connecticut.

Mr. Gregg Wershoven, the Company President and his management team requested a meeting with various Town of Watertown officials, in order to make sure he and his company would be welcome in Watertown. After a meeting, on behalf of the Town, I acknowledged his business will be well regulated not only by the Town but by state regulations, and as such he, his team and his business were welcome in the Town of Watertown.

Canamed has an option to purchase an approximately 130,000sf industrial facility in our business park pending the approval of their application by the state.

In short, as the Economic Development Coordinator for the Town of Watertown, I wholeheartedly welcome and support the application of the Canamed Company to build a medical marijuana growing facility in and become an active member of the Watertown business community.

Sincerely,

Joseph Seacrist
Economic Development Coordinator
Town Hall Annex, 424 Main Street
Watertown CT 06795

e-mail: Seacrist@watertownct.org

CC: Charles Frigon, Town Manager
Joseph McGrail, Chairman Watertown Economic Development Commission

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Main Body of License Application

A. BUSINESS INFORMATION OF APPLICANT

A.1. Complete Producer License Information Form.

We have attached Appendix A, The Producer License Information Form. We have completed this form entirely with the exception that we have not yet elected a third-party certified laboratory. To do this, we would want to assess that laboratory in detail, carry out a laboratory audit, and perform comparison tests between our internal laboratory and candidate laboratories in order to identify systematic sources of error. In addition, we would want to align our internal laboratory methods with those used at the certified laboratory. Currently, we are not aware of a laboratory that is certified to carry out all of the tests outlined in the State of Connecticut Regulations Concerning Palliative Use of Marijuana.

Also attached to this license application are the Appendix B Producer Backer Information Forms, Appendix C Directors Owners, Officers or Other High-Level Employees Background Information Forms, and Appendix D Backer Members Authorization for Release of Personal History Forms.

Please note the special comments in Appendix F "Canamed Comments on Quality Control Program". We have noted some special concerns with the current QC standards of the Regulations for Palliative Use of Marijuana. Canamed believes these issues can be resolved prior to any licensed production of medical marijuana.

A.2. Brief Summary of Applicants Qualifications

The State of Connecticut Medical Marijuana program requires a licensed producer to have significant expertise in i) production of a botanical product of medicinal value, ii) conversion of the botanical product into downstream products of high purity and reproducible potency, iii) operate various processes to, if required, convert the

original botanical product into edible products, oils suitable for use in both hot and cold vaporization systems, dry concentrates, and purified fractions, as well as specialty tinctures or ointments. Because these products entail the production and handling of a Schedule I drug, all of these operations and the delivery of the product must be accomplished by an organization that is cognizant of the security risks and applies the resources required to manage these risks.

Canamed brings a high level of expertise to each of these areas. The founder of Canamed is Christopher Gregg ("Gregg") Wershoven, who in September 2007 started experimenting and learning the field of mushroom farming/mycology. In early 2008, Gregg secured a lease on an underground grow space in Waterbury, Connecticut and eventually started Mountaintop Mushrooms a commercial manufacturer of spawn and gourmet mushrooms that are now sold to restaurants, chefs and retail outlets throughout Connecticut. Gregg has been awarded three different grants from the Connecticut Agriculture Department (CAD), and this spring he sat on the Governor's panel for the CAD in regards to urban farming.

Mountaintop Mushrooms now operates a laboratory, incubation facility and several underground grow rooms. The firm now produces both liquid and agar cultures using both spore prints and cloning to create the very best fungi for the indoor growing environment. Reishi, Miatake, Piopinno, Jumbo Shitake, Pink and Golden Oyster, Beech and Lions Mane are just some of the strains sold. Gregg was the original person who elected to respond to the State of Connecticut Medical Marijuana program and has been instrumental on assembling the team and raising finances for the venture.

The Scientific Director of the Canamed program is Dr. Evan E. Koslow who holds an Engineering & Applied Science degree from Yale University, a Masters in Forestry and Environmental Sciences from Yale University, and a degree in Agronomy and Agricultural Engineering from Cornell. Dr. Koslow holds over 75 patents in a variety of fields and has over 50 patents pending. He has published numerous papers on the growth of crops as influenced by various soil amendments, on the effects of

contaminants on the growth of plants grown by tissue culture, on the reduction of agricultural waste by aerobic and anaerobic processes, and much more. He is the developer of the various technologies that Canamed intends to utilize for the processing of Cannabis. Dr. Koslow has also overseen the development of the grow plan, grow room instrumentation and controls systems, selection of agonomic materials, and layout of the analytical chemistry methods for both product and contaminant assessment and detection. He is also the trustee of the Charitable Trust that owns the proposed production facility. Dr. Koslow is a prolific inventor and entrepreneur who has built companies in the consumer products, defense, and publishing industries and created numerous technologies over a career of 35 years. He is the inventor of a majority of the household water filter technologies found in today's market (the end-of-tap carbon block water filter, refrigerator water filters, the "CTO" carbon block) as well as many of the fundamental materials used in the filtration industry such as scale-control resins, extruded activated carbon block, flat-sheet carbon media, mass-produced nanofibers by fibrillation and direct dielectrophoretic spinning, and adsorption-intensified structures. He has written hundreds of papers and articles. Dr. Koslow has significant experience in agriculture and forestry and in the development of soil amendments. Dr. Koslow keeps his hand in agriculture through his ownership of a family farm in the State of Vermont that produces maple syrup, grazes beef cattle, and produces forestry products in cooperation with neighbors. He holds patents in advanced prep-scale chromatography (assigned to EXXON Chemical Company). He was the CEO and founder of KX Industries, originally a joint venture with EXXON Chemical Company and sold in 2006 to Berkshire Hathaway/Marmon Group. He is the recipient of the 2004 Award of Merit from Water Quality Association (WQA) and recipient of the American Filtration Society Senior Scientist Award of 2004 and the 2003 New Product Award for the MATRIKX Microbiological Barrier. In 2003 he was awarded the Electrolux Anders Sharp Award for Engineering Excellence. He was inducted into the Activated Carbon Industry Hall of Fame for his contributions to that industry.

Our technical director, Dr. Evan Koslow is a recognized leader in the production of health-claim water filtration devices. During his 16 years of managing KX Industries, Dr. Koslow was instrumental in the resolution of several heavy metal contamination problems that were originally widespread in that industry. He produced the original seminal paper on arsenic and antimony contamination in activated carbon water filters [see Extractable Arsenic and Antimony in Activated Carbons, *Water Conditioning and Purification* 44(6)] as well as the original Toxic Substances Control Act filings for the water filtration industry in this field. He was the developer of water filtration technology that now is certified for the reduction of lead and mercury (Dr Koslow's technology is now the basis for the filters found in refrigerators or attached to water faucets and sold by Electrolux/Frigidaire, Proctor & Gamble, Clorox/Brita, General Electric, Whirlpool, Amana, and over 100 other brands). All of these products require 100% inspection for extractable heavy metals and were required to meet myriad health claim certifications through an ANSI/NSF certification process. What is remarkable here is the direct similarity of producing a health-claim water filter to producing medical marijuana. Both have similar contaminant concerns and both must be continuously certified as passing stringent standards for human consumption.

In addition, the team is supported by Paul Dutra, Vice-President of Glenmark-Generics, a manufacturer of generic pharmaceuticals, including Schedule I drugs. Paul has been involved in the generic drug business since 1992, when he started his career at Solvey Pharmaceuticals, a subsidiary of the Belgian chemical giant. He later joined West-Ward Pharmaceuticals, based in Eatontown, NJ, which grew from \$14MM in sales when he joined, to \$100MM when he departed. As Director of Sales, he later helped to grow Morton Grove Pharmaceuticals to a \$120MM organization and it was eventually sold. Today, Paul is the Executive Vice President of Glenmark-Generics and has been building a pipeline of products and infrastructure to sell into the US market. Glenmark-Generics started with a single product and a turnover of just under \$250,000 in 2005. Today, Glenmark-Generics is a billion dollar corporation world-wide with 85 product families, and approximately \$400M of

turnover in the U.S. alone. Paul is now responsible for the full P&L of the U.S. organization, supply chain, sales and marketing, distribution (including controlled substances and DEA accreditation), IT, and finance. Paul will be designing and developing the Canamed sales and marketing program and supporting the development of drug packaging, labeling design, informatics, marketing materials, and our out-reach and education programs.

Our security plan has been developed by Sparks Security, a firm that specializes in facility security and is staffed by former personnel from the State of Connecticut Department of Corrections rather than with conventional police backgrounds. This team was selected because of their superior knowledge concerning the security of buildings, controlling any movement of drugs by persons within that facility, with problems relating to the secure transport of persons and materials, and focused on prevention rather than dealing with the aftermath of a crime. We believe this team has produced a superior security plan in coordination with our primary and secondary electronic monitoring and security teams. The Sparks Security team is led by Richard ("Rich") Miele, who will serve as the Canamed Director of Security. Rich has over 30 years experience as a corrections officer, in correctional rehabilitation, as an officer and deputy warden of a youth correctional facility, as a director of tactical operations and transportation at the Connecticut Department of Corrections, and numerous other assignments in facility and transportation security for the State of Connecticut. In fact, we believe he is the most senior and experienced Department of Corrections officer available for this assignment and his extensive and successful management of major State of Connecticut correctional facilities, controlling the movement of contraband, and handling State of Connecticut high-risk transportation security is the perfect background to guide our security program.

Together, the management, technology, marketing, and security teams are committed to executing a high-quality response to the state's need for medical marijuana and that this will be accomplished securely, with exceptional product

quality, having products representing state-of-the-art technical excellence, and with the most informed and educated marketing support that can be mustered. This team combines deep expertise in good agronomic practices, with prior experience in the production of health-claim products and pharmaceuticals. In addition, the team has great depth in chemical engineering and a detailed knowledge of QC/QA, GMP, GAP, HACCP and statistical process control (SPC) required to produce a product meeting the State of Connecticut standards. The three top executives of the company have not only worked in the agricultural or pharmaceutical field, but they are established innovators and developers of new products and they have the experience to market drug products requiring methodical and informative communications with the medical community.

A.3. Summary of Business Transactions Connected with This Application.

Canamed was formed in July 2013 and was initially funded through a common stock equity offering to backers listed in Appendix A and with detailed Appendix B and Appendix D submissions herein attached. In this common stock transaction, common stock equal to approximately [REDACTED] of the outstanding stock of the company was distributed to each participant for an investment of [REDACTED] and these funds were used in the development of the business plan and license application. This transaction is governed by the 21 July 2013 Stock Purchase Agreement and a Stockholder's Agreement issued at that same date. Also adopted at this time was the Canamed, Inc. By Laws. All of these documents are in the attached Appendix E.

Canamed's board of directors subsequently elected to issue stock to Dr. Evan E. Koslow to make him an equal participant in Canamed Inc and diluting all holders of stock to approximately [REDACTED] common stock ownership. Dr. Koslow did not invest cash into the company at this point, but instead offered to: i) develop key technical plans for the production of downstream products, ii) agreed to arrange the lease of the Watertown facility owned by KCAP Realty for fair value, iii) agreed to support

the development of a detailed agronomic grow plan, and iv) serve as Technical Director of the company.

For providing the funds required to consummate the business plans outlined herein and providing financial means to provide a surety bond or letter of credit meeting the State of Connecticut criteria, Koslow Technologies Corporation Charitable Remainder Trust ("KTC CRT", owner of our facility in Watertown, CT) has offered to fully collateralize the required Escrow Account (see letter attached in Appendix P) that is only contingent upon Canamed being awarded a license to produce medical marijuana. This escrow account would be funded by a mortgage on the 400 Park Road, Watertown facility itself, such terms of the mortgage being already explored and approved by KTC CRT. We have attached a letter from BlueCay Capital LLC confirming their ability to support a mortgage of the facility and that such funds would be available to fully finance the required State of Connecticut Escrow Account. The 400 Park Road production facility is valued at more than [REDACTED] in March 2011 (see Appraisal in Appendix L) and fully collateralizes the \$2 MM Escrow required.

Canamed has also concluded a Series "A" financing consisting of a conventional preferred stock offering having the following features: i) up to a total of \$3.0 MM of funds available to finance and carry out the detailed business plan shown in the pro forma financial projections of Appendix K and accompanied by detailed Notes to Financials also included in that Appendix. A portion of these funds are an [REDACTED] abatement of rent by the KTC CRT for the first year of operations. The Series A transaction documents are included in Appendix P. In total, investors have pledged \$2.6 MM of cash or abatements in the Series A financing. Together with the pledge by the KTC CRT, we have approximately \$8 MM of cash or collateral to support Canamed if granted a production license. No single investor would have greater than [REDACTED] ownership of the company and there would be a five-member board of directors reflecting both the original common stockholders and the new Series A investors. Many of the original common stockholders are also Series A investors.

Documents confirming the ability of the individual investors to support their funding commitments are included in Appendix Q along with the tax returns of the current backers.

With this level of financing, Canamed has a reserve of more than [REDACTED] above that required by our business plan to deal with any elevation or decrement in the scale of the business enterprise that might be experienced as a result of the market being larger or smaller or developing slower or faster than originally anticipated. The Appendix B and Appendix D submissions for all Series A investors are attached.

B. LOCATION AND SITE PLAN

B.1. Location of Production Facility

The proposed Canamed production facility is located at 400 Park Road in Watertown, CT within a quiet, somewhat isolated, rural industrial park and remote from any sensitive facilities such as schools, day cares, churches, and community centers. The facility is a 142,000 SF modern industrial plant located on 20 acres.

B.2. Authorization to Conduct Business in State of Connecticut and Meeting All State and Local Ordinances.

We have attached documents in Appendix F demonstrating that Canamed is authorized to conduct business in the State of Connecticut, is registered with the Secretary of State, and has been issued a Tax Permit. We have also attached a letter from Ms. Ruth M. Mulcahy, Administrator of Land Use/Zoning Enforcement Officer of the Town of Watertown affirming that our facility located at 400 Park Road is zoned I-R 200 Restricted Industrial District, that our facility is approved for general manufacturing, and that it is approved specifically for the production of medical marijuana. The facility is currently in compliance with all local ordinances and there are no outstanding issues relating to fire and zoning requirements or state or local building codes. We have included in Appendix F a letter from the Watertown Fire Marshall that the building is in full compliance and a letter from the Town of Watertown Building Inspector confirming that the building is in full compliance.

B.3. Written Confirmation of Permission to Operate a Medical Marijuana Facility on the Premises.

We have also attached in Appendix F a copy of a letter provided by Dr. Evan E. Koslow, Trustee of Koslow Technologies Corporation Charitable Remainder Trust,

which is the 100% owner of KCAP Realty, owner of the facility at 400 Park Road, Watertown. This letter affirms that Canamed has permission, in the event a production license is awarded to the company by the State of Connecticut, to proceed with the lease attached in Appendix F and to commence production of medical marijuana.

B.4. Text and Graphics for Exterior of Building.

CANAMED intends to have a simple sign at the front of the building on a pre-existing monument facing the street and traditionally used for the display of the company name. The sign will state: "400 Park Road" in letters sufficiently large to be easily read from the street, but no larger than 6" in height. There will be no other signage, symbols, text or exterior display on the building.

B.5. Photographs of Surrounding Neighborhood and Businesses.

We have attached in Appendix G an aerial view (Google Maps) of the neighborhood surrounding the 400 Park Road, Watertown facility and we have provided a circle marking a distance of 1200 feet from the facility boundary. The area is rural with interspersed residential homes and industrial facilities. We have included pictures of the buildings closest to our facility. We have also printed the Watertown map file for this area, which schematically shows property lines and the location of buildings, parking lots, and other major features. There are only a few homes within 1000' distance, but only one residence is within visual range of the plant. The remainder are remote and isolated from the plant by dense woods and/or large parking lots. The majority of the surrounding area is farmland, occupied by a set of industrial buildings (some of whom are not currently occupied or abandoned) or part of a power line right-of-way (ROW) with no occupancy. One former residence near the proposed facility is abandoned, boarded up, and does not appear to be suitable for

occupancy. Our facility is a good neighbor and we have cordial relations with all surrounding neighbors.

B.6. Site Plan Showing Streets, Property Lines, Buildings, Parking Areas within the Same Block as the Production Facility.

The proposed facility is located in such a remote location that perhaps the concept of “within a block of the facility” does not apply here as this is a simple rural road and there are no well defined “blocks” with cross streets. Within Appendix G we have included a Town of Watertown map with property lines and major features marked. The 400 Park Road facility has a parking lot covering more than 2 acres that extends so far from the facility on its **northern side** as to perhaps extend to the “end of the block”. There is a single home on that side of the factory as shown in the attached diagram and picture, but the factory is effectively screened from this home by thick trees and plantings and is quite far away (see Figure B.6.a.)

Across the street to **the west** is a single industrial facility, currently not occupied (see Figure B.6.b). Also to the west is a single residence that is abandoned and boarded up and appears not suitable for occupancy (see Figure B.6.c). On the other side of this unoccupied factory are empty lands and a utility ROW.

On the **southern side** of the factory and directly opposite the plant entrance is a single home and adjacent to that home is a large parking lot owned by that family and currently leased for use by CL&P for storage of heavy equipment (see Figure B.6.d).

On the **eastern side** of the facility and through some dense woods is another residential home (see Figure B.6.e), but the majority of the land facing this portion of the plant extends into deep forest owned either by the factory or by the State of Connecticut and with no structures whatsoever for an extended distance (see Figure

B.6.f). This residence to the east is not within visual range of the factory because of the thick forest located to the east of the factory.

Overall, the facility has only three occupied neighboring homes located on its “block” and only one of these is inside the visual range from the plant. The others are either quite far from the factory because of an extensive parking lot and blocked by trees and plantings or outside of visual range because of thick forest. All other adjacent lands are empty, consist of parking lots, or are dense forest owned by the factory, by the state, or set aside as utility ROW.

B.7. Map Identifying Sensitive Facilities.

Appendix G includes an aerial view of the lands surrounding the 400 Park Road facility. There are no churches or facilities used for religious worship, no public or private schools, no convents, no charitable institutions, no hospitals or veteran’s homes, no camps or military establishments, or any other “sensitive” facility located within 1,000 feet of the facility or even significantly further.

B.8. Floor Plans of Facility.

B.8.a. Location and Square Footage of Grow Area.

Appendix H includes Figures B.8.a.1. and B.8.a.2. that provide scale drawings of the five grow rooms within the facility together with the location of toilets and changing rooms. The total square footage of these spaces is approximately 87,340 SF and consisting of:

13,585 SF in G1,

14,797 SF in G2,

6,416 SF in G3,

16,000 SF in G4

36,540 SF in G5.

There is also an under-mezzanine space (not currently designated [REDACTED] and directly in front of G4 that can be converted into a [REDACTED] in the future. This space is approximately another 8,000 SF. Stacking can be readily accomplished in the distant future because Grow Rooms G1, G2, G3, and G4 have ceilings of 24' clear. This would allow an additional area of approximately 51,000 SF to be installed. We have elected to include in these figures the location of bathrooms, showers, and changing rooms to provide the reader information regarding the means by which workers can readily move from work spaces to convenient bathrooms or eventually exit to changing rooms. Note that in this facility, workers enter from the main parking lot past the security HQ and monitoring room and then

[REDACTED]

[REDACTED] By these means, employee movement is greatly restricted. The required access control system is already deployed in this facility but needs to be enhanced to meet the needs of this program. These enhancements would include [REDACTED]

[REDACTED]

The details of this plan are outlined in Appendix M that is devoted to security matters.

B.8.b. Marijuana Harvest Locations and Square Footage.

Using Figure B.8.a.3 of Appendix H, the reader can see that we have [REDACTED] within the core of the building for [REDACTED]. We have designated these rooms as H1 and H2. H1 is a large [REDACTED] room with seven vacuum evacuators suitable for removing any fumes or controlling dust. This room has electrical outlets at 120 VAC, 220 VAC and 480 VAC. The room is directly adjacent to the main laboratory to facilitate sampling and communication with QC/QA personnel and for technical supervision by our chemist and associated chemical technicians. This room would operate at temperatures and humidity optimized for the slow drying and curing of the flower bud material. Within this room, initial trimming operations and stripping of leaves is accomplished [REDACTED]

[REDACTED]

disposal by a State of Connecticut certified disposal organization or through our proposed land-treatment process of low/no-potency waste.

Room H2 is a second large [REDACTED] room that has significant electrical and air processing resources and we have elected to convert the majority of this room into [REDACTED]

[REDACTED]

[REDACTED] These materials must be at or below 10% moisture content to assure storage stability and this room is sustained at reduced temperature to enhance product stability.

[REDACTED]

ADDITIONAL INFORMATION:

We have included a detailed briefing, together with photographs, on the Canamed facility in Watertown, CT in an attached Appendix L. The following supplements that information to specifically demonstrate that our facility can meet the requirements of a projected State of Connecticut Medical Marijuana program.

The Canamed facility is located within an industrial park in Watertown, Connecticut. It is a 142,000 SF former aerospace/manufacturing facility with high security features and original built by Northrup/Winchester Electronics and later used to manufacture health-claim consumer water filters by KX Industries. The building is already equipped with access control systems between all major spaces (offices, production, pilot rooms, laboratories, cafeteria, etc) and a complete alarm/fire control system. The perimeter is fully fenced, including the 200-space parking lot. The entire exterior of the building is equipped with exterior lighting, including new high-performance lighting throughout the parking lot. The facility is already equipped with a guardroom designed to provide "watch" of the rear of the building and to house security monitoring systems. [REDACTED]

[REDACTED] The reception area has conference rooms that are isolated from the high-security areas of the building and this allow visitors to be interviewed and for meetings to be held without the need for visitors to access the interior of the building.

The facility is equipped with three large modern laboratories, including a fully equipped general-purpose QC/QA facility, a chemistry laboratory with extensive fume hoods, and a specialized instrumentation facility laid out for electron microscopy, optical microscopy, and the operation of specialized analytical instruments. Each lab bench is equipped with 120 VAC and 240 VAC outlets,

compressed zero-air outlets, internet connections for an integrated Laboratory Information Management System (LIMS), sinks with regular and ultra-pure water outlets. The labs have specialized T5 lighting and chemical storage bunkers (acids and volatile compounds in separate containment devices as well as refrigerated laboratory chemical storage units). Each facility is equipped with several organic chemical waste containers consisting of purpose-built fireproof steel containers. Each lab is equipped with safety showers and eye wash facilities. The laboratory and pilot plant facilities are supported by compressed air produced from a "zero air" system (ultra-purified air) as well as a reverse-osmosis/electrodeionization ultra pure water system with ultrapure water distribution piping.

The facility has five growth areas that are supported by five separate air-handling HVAC systems (another air handler supports the office spaces). Each grow area is fitted with diamond-polished floors and with an epoxy-coated bermed area for the storage and handling of any chemicals, fertilizers, or for producing dispersions and mixtures with water. Each grow area ranges from [REDACTED] for a combined growing space of approximately [REDACTED]. The clear height of four of these grow areas (51,000 SF) is 24' and this can later support "stacking" -- where plants are grown on two levels within the same space. Stacking can effectively double the production capacity of these spaces. Also included are an extensive office facility (already furnished with modules and with private offices), two packaging areas (complete with compressed air electrical distribution and other resources required to support packaging machinery and sealers), a secure shipping department, pilot plant facilities (to be used for the operation of a variety of extraction and purification systems), and rooms where we intend to install vaults for the storage of cannabis products. The pilot plant area is over [REDACTED] and has over 20 air evacuators and ventilation hoods to control any solvent emissions into the working space, has extensive electric power distribution and is optimal for running cannabis processing (mechanical treatment, extraction, purification, prep-scale chromatography, filtration, solvent recovery). The facility has a large commercial kitchen suitable for production and packaging of edible cannabis

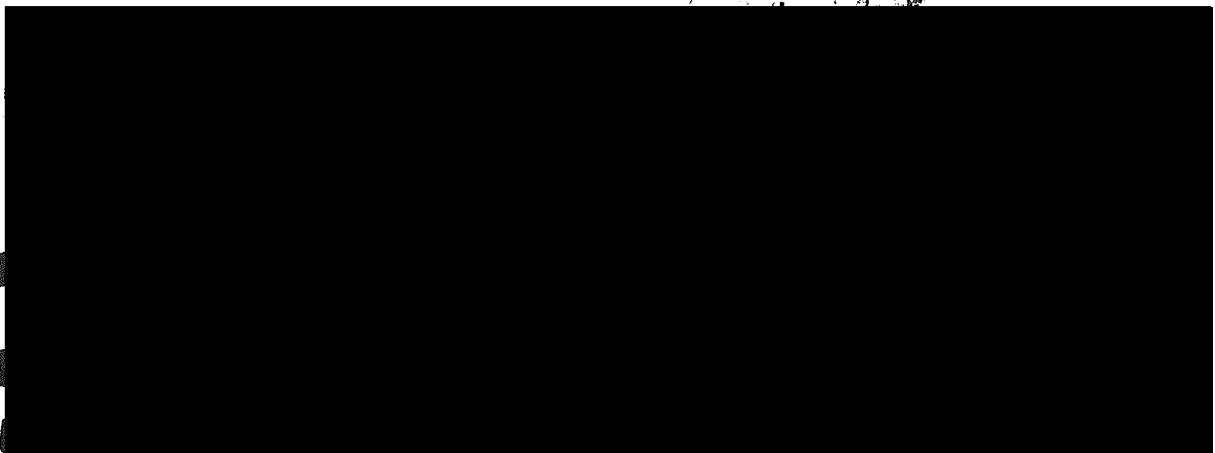
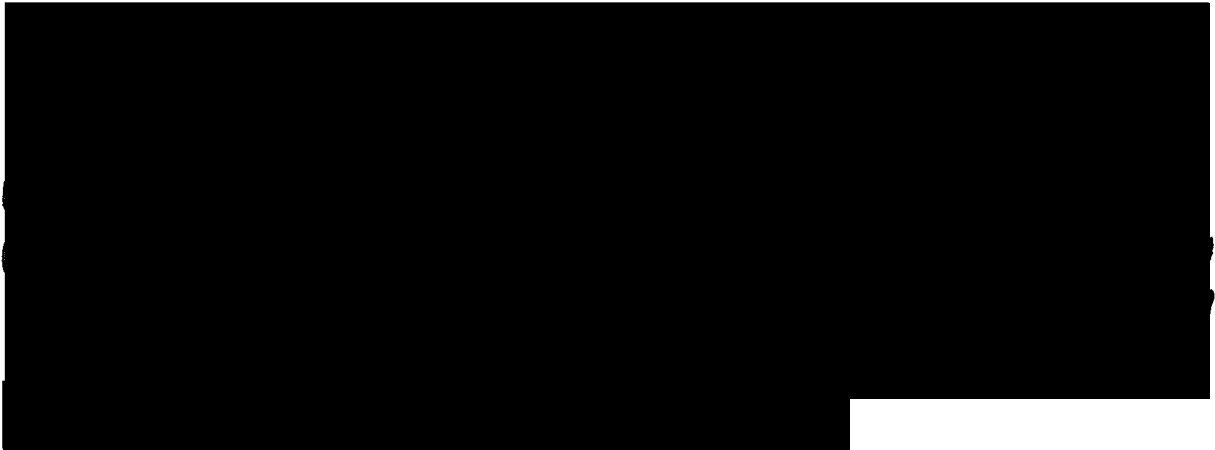
products. This kitchen is equipped with all stainless steel sinks, countertops, air ventilation, fire suppression and cooking systems and Canamed will likely install additional commercial baking equipment to supplement these. The building is equipped with male and female locker rooms and changing facilities, including showers to support donning and doffing of specialized work uniforms. As mentioned in the electric power section of the attached business plan, the facility is equipped with a 4000 amp 3-phase 480 VAC service that can easily handle a large number of high-intensity 1,000 Watt high pressure sodium lamps (only about 56% of the lamps are actually energized at any given moment as the majority of the plants are on a 12 hour lighting schedule). Such power is required to not only support this enormous volume of lights, but to also support the HVAC, air handling, and other building utilities. The building is fully air conditioned with two York chillers, each having 200 ton capacity. Only one of these chillers is required to support the complete air conditioning of the facility. Canamed estimates that these specialized spaces and facilities are unique for a building in Connecticut and would cost well over \$4 MM and a great deal of time to install if they were not already available.

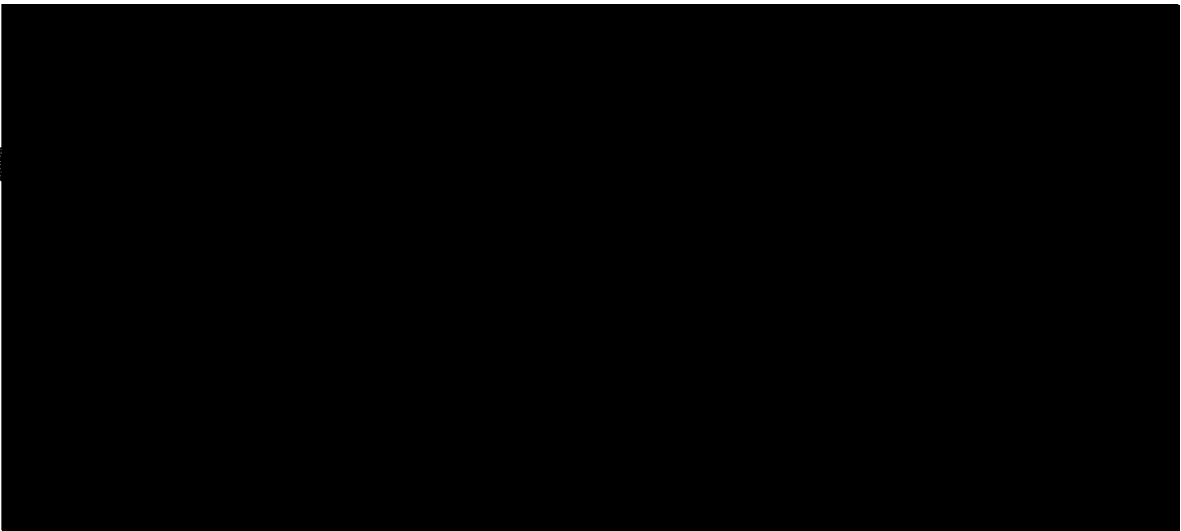
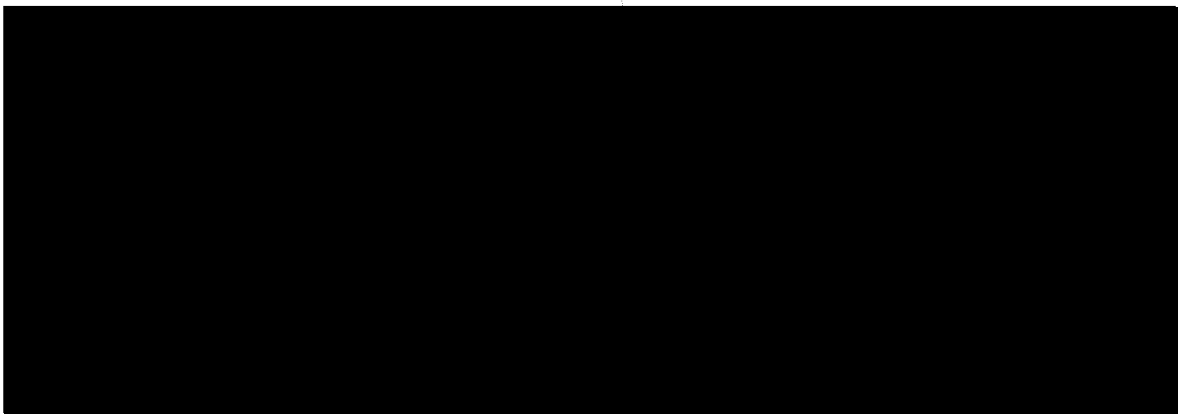
Conventional DWC cannabis production methods have an average annual production rate of approximately 0.5 pounds per square foot. This is based upon an average 0.10 pound of dried sinsemilla flower bud per square foot per grow cycle and the assumption of 5 production cycles per year. The facility size is calculated to allow cannabis production adequate to support a [REDACTED] annual production - [REDACTED]

Production within this facility can be readily expanded through stacking. This involves the installation of steel mezzanines, stairs and walkways to allow roughly [REDACTED] of the facility to grow product. Because the facility is power limited, we will need to bring in additional power capacity perhaps in the distant future. The

facility has a very large utility space with power center, compressors, boilers, chillers, main cooling tower, and maintenance facility. There is copious space within this area to bring in another 4,000-amp service to the building. Overall, we believe that our facility is the largest and best-equipped in the State of Connecticut to support the production of medical marijuana and the majority of the required fit up and equipment (other than actual growing equipment) is already installed and operational. We believe that because of these pre-existing facilities, we will be able to establish production relatively quickly in comparison to starting with a facility lacking these resources.

C.2.a. Detailed Description of First-Year Products, Proposed Labeling, Package Design.





C.2.c. Air Treatment to Reduce Off-site Odors

COOLING AIR: Canamed intends to operate air-cooled lighting systems where the grow lights are enclosed in air-tight enclosures that also serve as reflectors. These enclosures isolate the light from the plant environment. Cooling air is brought into the building through filtered louvers where it passes through a bank of 1,000 Watt lights and then is exhausted to the exterior environment using vane-axial turbo-fans. This air never comes into contact with the plant atmosphere.

AIR ENTERING GROWTH ROOMS: Separate from cooling air, another volume of air enters the facility and brings critical carbon dioxide to the growing plants. The volume of air is generally large enough to ensure that carbon dioxide levels remain close to 600 ppm throughout the grow areas. In addition, this air carries moisture

evapotranspiring from the growing plants out of the facility. This air is moved into the building using standard low-pressure blowers whose suction side is fitted with MERV 13 air filters capable of comprehensive arrestance of mold spores, pollen, common dust, and particles larger than 1 micron. This air is exhausted from the facility using a variable-speed vane-axial blower capable of driving the exhaust air through a combined gas-particulate filter consisting of a roughing filter (coarse particulate filter designed to protect the underlying activated carbon filter from becoming contaminated with dust) and a radial-flow bed of activated carbon several inches thick. The purpose of this exhaust filtration system is to ensure that odiferous terpene molecules, the primary source of odors from the marijuana plant, are eliminated prior to release of air to the external environment. However, activated carbon is a non-specific adsorbent agent and will also efficiently adsorb other materials contributing to odors.

To ensure that outdoor contamination does not ingress into the grow room and to ensure that tramp odors are not able to egress from that same space, the flow of air entering and exiting through the two groups of blowers is adjusted to produce a pressure balance against the surrounding environment. This is accomplished using the variable-speed exhaust blowers, whose speed is regulated by a sensitive digital differential pressure gauge that measures the differential pressure between the building and the external environment. This system is capable of balancing the pressure within the building and surrounding environment to less than 0.01 inches of water column. This effectively eliminates significant uncontrolled movement of air between the factory and the surrounding environment.

C.2.d. Training and Continuing Education Programs. In the past, the management of Canamed has operated significant manufacturing firms in the State of Connecticut. We intend to continue many of the programs that were so successful in those past operations. This includes continued worker training and a host of other educational programs. For example, we intend to offer English-as-a-second-

language training programs (classes have traditionally been held at the facility) as well as training in the use of ERP software, accounting, on-the-job training relating to agronomic practices, general safety, chemical handling – MSDS data sheets, OSHA lock-out/tag-out, fork-lift training, inventory controls and safeguards, security procedures, fire training, etc.

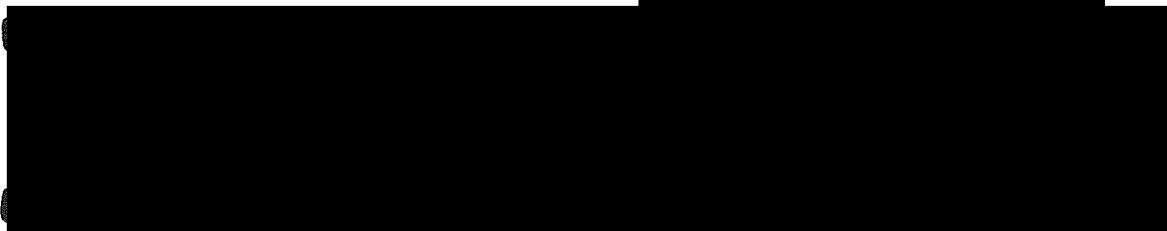
The company also intends to offer qualified employees back-to-school grants for attending specialized training programs, obtaining high school equivalency degrees, and attending technical meetings and conferences. In the past, such schooling included employees becoming qualified draftspersons, obtaining clinical microbiology degrees, obtaining professional engineering certification, becoming certified electricians, and more. In some cases, we sponsored employees to return to night school to complete their college education.

The key here is that employees can evolve into jobs of greater responsibility and opportunity through education, initiative, and the support of management. It is a key element of good management to allow each individual to obtain their full potential and to promote that evolving capacity through educational support and continued training. In the past, a significant percentage of each employee's working life is spent in training and classes sponsored by the company.

C.2.e. Control of Diversion Theft and Loss of Marijuana.

We provide a detailed security plan in the attached Appendix M. This plan consists of four major elements, including:

1. Inventory controls, audits, and safeguards.





E.2. Organization Chart.

In Appendix N please find a preliminary organization chart for Canamed. Also attached in Appendix N are the Resumes and supporting letters. We believe our management team is highly optimized. The President of the firm has a solid agricultural background in the State of Connecticut and is a recognized innovator in specialty botanical products. He has been recognized by the state as a leader in new urban farming and both the commissioner of agriculture (see attached letter in Appendix N) as well as the governor of the state (see attached article in Appendix N) have taken the time to tour the unique mushroom production facilities created by Gregg Wershoven in Waterbury. Gregg's operation now carries out the entire

culture of spawn and all other elements of gourmet mushroom production making it one of the most unique agricultural facilities in Connecticut.

The Technical Director of the company is Dr. Evan E. Koslow who holds an Engineering and Applied Science degree and a Forestry and Environmental Sciences degree from Yale University as well as a Ph.D. in Agronomy and Agricultural Engineering from Cornell University. Dr. Koslow holds over 75 issued patents in myriad fields and was the founder of what eventually became the world's largest producer of consumer health-claim filters. This venture, originally a partnership with EXXON Chemical Company, has operated in the State of Connecticut for the past 22 years. Dr. Koslow has written over 150 technical papers and is broadly published. He has designed the post-harvest process plan for Canamed as well as guided the development of the product growth plan. Dr. Koslow, grew up in Fairfield County and has previously lived in Connecticut for over 50 years. He currently resides in Dallas, TX.

Over a career spanning nearly 25 years, our Director of Sales & Marketing, Paul Dutra, has been the key inspiration and driver in four generic drug companies, each of which has grown to become a leader in its field. His latest work has helped to grow a company from a single product and \$250,000 sales to becoming a behemoth with \$1 Billion global sales and \$400 MM of sales in the United States alone. Paul's current company is a major manufacturer of Schedule I drugs and he has experience in the distribution of such products to the pharmaceutical industry. Paul also brings in-depth experience on drug packaging, information and product training and support, drug product labeling, and operating a team focused upon supporting physicians and pharmacists in the handling and prescribing of myriad specialty drugs. Paul has previously lived in the State of Connecticut for over 20 years and currently resides in New Jersey.

Richard ("Rich") Miele, from the Sparks Security firm will serve as the Canamed Director of Security. As mentioned earlier, Rich has over 30 years experience as a corrections officer, in correctional rehabilitation, as an officer and deputy warden of

a youth correctional facility, as a director of tactical operations and transportation at the Connecticut Department of Corrections, and numerous other assignments in facility and transportation security for the State of Connecticut. In fact, we believe he is the most senior and experienced Department of Corrections officer available for this assignment and his extensive and successful management of major State of Connecticut correctional facilities, controlling the movement of contraband, and handling State of Connecticut high-risk transportation security is the perfect background to guide our security program.

In addition to the top management team outlined above, we have done an extensive search for key persons who have offered to serve in the role of Controller, community liaison director, growers, chemists, and other roles. However, these positions would only be filled upon receipt of a State of Connecticut license and have not been affirmed by the company or the potential employee pending acquisition of a producer's license.

E.3. Compensation Agreements.

We have attached in Appendix P the various management and backer agreements. For equity investors, we have included the Shareholder's Agreement and Stock Purchase Agreement for the shareholders who participated in the original stockholder's offering in Appendix E. Appendix P provides the Stock Purchase Agreement for the Series "A" preferred stock offering, which is contingent only upon the receipt of a State of Connecticut license. In the Series "A" offering, we have arranged for funding of the required \$2 MM letter of credit/bond and a equity financing of \$3.0 MM. We have also attached documentation demonstrating that the required funding of the bond/letter of credit and equity purchase are all available at the banking institutions of the perspective investors.

We have attached in Appendix P initial management contracts for our three top management persons (Gregg Wershoven, Dr. Evan E. Koslow, and Paul Dutra).

These contracts are of course contingent upon Canamed being awarded a license for the production of medicinal marijuana in the State of Connecticut.

Dr.. Evan E. Koslow is the trustee of the owner of the proposed Canamed facility. Dr. Koslow transferred this facility to a Charitable Trust in 2007 and the charitable trust would be the landlord through its wholly-owned subsidiary KCAP Realty. Although not representing direct compensation to Dr. Koslow whatsoever, we have included this lease in Appendix F, as it is an indirect flow of funds to an entity created by one of the managers/owners of the company. The trust has pledged a rent abatement for the first year of operations of [REDACTED] as part of its purchase of equity from Canamed.

E.4. Outstanding Debt Instruments Relating to the Proposed Production Facility.

There is no current mortgage or debt existent on the proposed facility. It is owned outright by KCAP Realty, a wholly owned subsidiary of Koslow Technologies Corporation Charitable Remainder Trust ["KTCCRT"] whose trustees are Dr. Evan Koslow and his wife Ms. Ladan Zarrabi. There are no outstanding or pending loans, mortgages, trust deeds, pledges, notes, debentures, or other forms of indebtedness issued or executed. However, the KTCCRT has offered this production facility, last appraised on March 2011 at [REDACTED] as collateral for any future letter of credit or bond required by the State of Connecticut (The first five pages of the appraisal are included in Appendix L). One of the issues we have encountered in planning this project is that all of the bonding agencies we have encountered find the current format of the state-proposed bond to be problematic regardless of collateral. For example, we had difficulty finding a bonding agency that would issue a bond with a duration greater than one year. Therefore, Canamed is prepared to either release a Letter of Credit or carry a one-year bond that we convert later to a Letter of Credit. We have more than sufficient collateral and cash to support the required surety for

the State of Connecticut and fund the production venture, but we will need to work with the state to determine the best format for the surety instrument.

E.5. Audited Financials.

Canamed was formed on July 21, 2013 and has not completed a full tax year of operations. However, Appendix R provides audited financial statements prepared under the direction of Andrew J. Errato (CPA, ABV, CFF, CVA, CGMA, and MST), Partner of Bailey Murphy & Scarano, certified public accountants based in Branford, Connecticut. These financial statements are prepared using GAAP and include an independent auditor's report.

Appendix K includes a four-year pro-forma set of financial projections used for business planning purposes along with detailed Notes to Financials that provide all of the major assumptions underlying these business projections. These financial projections are a reasonably, mid-range, and conservative estimate of the future development of the company and incorporate management's latest thinking on the most likely future evolution in the business.

E.6. Income Tax Returns.

Canamed was formed on 21 July 2013 and has not completed a full fiscal year and has not filed tax returns with state, federal or foreign tax authorities.

E.7. Tax Returns of All Backers and Backer Member.

Appendix Q include the state and federal tax returns for the past three years for every Series "A" and Series "B" backer identified in Section B of Appendix B. In some

cases, state income tax forms are not available as the backer may live within a state that does not have a state income tax (Texas for example).

F.1. Agricultural and Production Experience

Canamed provides a multi-layered technical team with enormous agronomic expertise and exceptional fine-chemicals processing knowledge. The president of the organization, Gregg Wershoven is an experienced grower of specialty mushrooms and is a well-established participant in the Connecticut agricultural community. Attached to Mr. Wershoven's resume (Appendix N) is a letter from the Department of Public Health, The City of Waterbury, demonstrating that Mr. Wershoven's Mountaintop Mushrooms LLC has been and is in full compliance with all State of Connecticut Public Health Codes and City of Waterbury Ordinances. Also attached to his Resume are articles from the Department of Agriculture celebrating Governor Malloy's visit to Gregg's facility and his tour of this unique enterprise. We have also attached in Appendix N a letter from Steven K. Reviczky, Commissioner of the State of Connecticut Department of Agriculture summarizing the Department's work with Gregg Wershoven's Mountaintop Mushrooms and the award of two different Agriculture Farm Transition grants for expansion and for an integrated marketing project. In fact, the commissioner points out that Gregg's organization has been awarded such grants a total of three times – every year – because the review panels found his program to be a “wise investments of public funds”. Commissioner Reviczky accompanied Governor Malloy this summer during the visit to Gregg Wershoven's facility so that they could both review this cutting-edge agricultural operation. Gregg Wershoven may be one of the few, if not the only, successful grower of specialty food and medicinal products in the State of Connecticut that operates entirely indoors using a controlled humidity, temperature and lighting environment. In addition, his firm operates a clean room and laboratory meeting rigorous health department standards.

Canamed's technical director, Dr. Evan E. Koslow, has a master's degree in forestry and a Ph.D. in agronomy. He is also the inventor of some of the most sophisticated fine chemical and pharmaceutical purification technologies ever implemented. For example, Dr. Koslow is the inventor of U.S. Patent 4,780,113 "Isomobility focusing in a magnetically stabilized fluidized bed" and assigned to the Exxon Chemical Company. This patent, one of Dr. Koslow's most cited, is used to produce fine chemicals and pharmaceuticals (mostly antibiotics) and teams of scientists at the University of Massachusetts, University of Pennsylvania, University of Colorado, as well as large chromatography firms such as Amersham-Pharmacia have continued to develop this original process. Large fine chemical separators using this process have been built by EXXON for the separation of para-xylenes and some of these systems are up to 5-stories tall and driven by electromagnets five-feet in diameter. While at Cornell University, Dr. Koslow produced soybeans, corn, wheat, beans, ornamentals, lettuce, and other crops in both greenhouse and field trials as part of a program testing a new class of agricultural chemical [High Molecular Weight Poly(Ethylene Oxide) Polymers as Soil Amendments. Ph.D. thesis, Cornell University, University Microfilms, Ann Arbor, MI]. Dr. Koslow has published papers on tissue culture preparation of clones for testing the effects of toxic materials on plants while at the Yale Forestry School [Technetium: a toxic waste product of the nuclear fuel cycle: Effects on soybean growth and development. Environ. Manage. 4: 149-156 with G.P. Berlyn and S.S. Dillon] as well as papers on the control of several fungal contaminants (Fomes annosus and Poria weirii) in silvaculture and even studies on specialized coffee bean agriculture in Africa. Dr. Koslow operates a farm in the state of Vermont that produces maple syrup, forestry products (saw logs and cord wood) as well as grazing beef cattle and producing hay. He operates this farm in coordination with two neighbors.

Canamed has entered into preliminary discussions with Dr. Robert Donkers and Ms. Emily Wilson to serve as Chief Chemist and Director of Quality Control. Dr. Donkers is a former professor of chemistry and nanoscience at the University of Waterloo in Canada and has an extensive background in HPLC, GC-MS,

pharmaceutical production and chemical engineering. His work at a pharmaceutical company in Canada included all of the steps required to bring new drugs to market and develop the analytical methods for assessing production and quality. Ms. Wilson is currently employed at the Canadian government environmental laboratories in Ontario where she performs trace metal, residual pesticide, and other similar analyses. She has experience in ICP-MS, HPLC, GC-MS, LIMS, and quality control at the government level. Together, this team has the experience to ensure that our production and quality control systems have the analytical rigor to meet State of Connecticut standards. Their resumes are included in Appendix N.

Canamed plans to engage an initial cadre of experienced growers who have considerable prior experience in general horticulture and indoor growing operations. Amongst these growers are Joel Hartonian and Larry Lodi who have gained years of experience in both hydroponic, fertigation, and conventional growing plans. Joel is a graduate from the University of Massachusetts where he studied botany and horticulture. Larry has attended specialized advanced classes relating to Cannabis three times in the past several years and has worked in specialty agricultural jobs for the past ten years. Together with the management of Canamed, they will be implementing the grow plan developed by the company, further develop the standard operating procedures, and will be optimizing the growing process for long-term stability.

The Canamed growing and production plans represent state-of-the-art techniques developed by a team of scientists and engineers of high sophistication. Our team has the experience required to execute these plans meticulously. But growing and producing are insufficient. We have over 20 years prior experience in the production of health-claim products that are tightly regulated. This prior experience required the execution of quality control and quality assurance processes of significant complexity. Lot tracing, statistical process control, reduction in variance, and final testing meeting MIL-STD-105 were all part of these prior programs.

G.1. Product Protection Achieved During Growing Protocol.

Product protection begins with a maximum effort at the use of good housekeeping, and a variety of technologies that can control ingress of contamination into the grow facility through air, water, applied nutrients, or the movement of personnel. Canamed's decision to use the [REDACTED] growing greatly reduces the potential sources of contamination. This system eliminates [REDACTED]

[REDACTED]

[REDACTED] water

Air entering the facility is drawn through [REDACTED] rated to control >99% of airborne mold spores, pollen, and other contaminants down to one micron in diameter. Air exhausted from the facility is filtered through gas-particulate filters to control any egression of nuisance odors. Personnel entering the facility are required to doff civilian clothing in locker rooms and to don specialized uniforms (without pockets, but with loops to hold pens and other lanyard-equipped tools and instruments). These uniforms are carefully laundered every day to ensure contamination control. Street shoes are removed and replaced with clean-room shoes or plastic clogs that are also subject to full wash down. These shoes are often replaced several times a day. The entrance to each grow

area is equipped with hand sanitizer and shoe decontamination devices consisting of a [REDACTED]

ammonium chloride additive. We never allow the use of common household bleach, which has been shown to be ineffective except when used at high concentrations that are damaging to plants.

Nutrients delivered to the growing plants are dissolved in this purified water. We use three primary nutrient systems consisting of: i) a high nitrogen and modest phosphorus and potassium blend for vegetative growth; ii) a higher phosphorus and potassium and reduced nitrogen blend for later flowering phase, and iii) a micronutrient blend used to amend all nutrient solutions. [REDACTED]

[REDACTED]

[REDACTED] is our primary means of controlling any residual microbiological threats. [REDACTED]

[REDACTED] is extremely effective for the control of fungus, bacteria, molds, and other microbiological contamination in water. It is approved by the USDA for cleaning and treating agricultural crops, leaves only a sodium chloride residual when it disintegrates and is safe for protecting plant roots at up to 5 ppm without detectable impact on plant growth. It is the primary agent used to amend water used for cleaning crops such as lettuce and radishes prior to shipment. Its main advantage is that microbiological agents cannot develop resistance to this chemical, it has essentially no toxic impact on humans during handling or use, and is extremely effective against molds and fungus, which are the primary contaminants encountered [REDACTED]

[REDACTED]

powdery mildew and other foliar fungal pests. [REDACTED] can be used to physically flush spider mites from foliar surfaces as well as other pests.

Canamed does not intend to use any toxic pesticides to control pests. If an infestation becomes severe enough that normal control strategies are ineffective, we have elected to destroy the affected crop and clean and decontaminate the facility. [REDACTED]

[REDACTED] summary, our integrated pest management system is based upon control of ingress of pests into the grow space, followed by [REDACTED]

[REDACTED] If all these strategies fail, we would rather destroy the crop and bring the facility back into compliance.

The best quality control systems are built into executed throughout the production process. However, there is no substitute for final product inspection that is

G.2. Employee Protection and Controlling Exposure to Toxic Chemicals and Unsafe Conditions.

Canamed's primary policy is to not use toxic chemicals within the growth facility whatsoever. However, even products of low toxicity [REDACTED]

[REDACTED] When such operations are planned, we intend to i) evacuate the space to be treated, ii) ensure that all cross-ventilation systems to other spaces are shut down, iii) that the pressure within the growing space is brought to neutral or negative pressure compared to the environment outside the growing space using the variable speed blowers, iv) that the neutral-negative pressure is accomplished using blowers that exhaust only through the main activated carbon and particulate filtration systems.

All chemicals are handled within a space surrounded by a berm that is coated with an impermeable epoxy coating. These chemical handling and containment systems are already installed in all grow areas except G4 and G5. Any chemical agent to be used within the factory environment is released by a supervisor who must check the blending process (if diluted to field concentrations) and approve the use plan, which shall be documented as to quantity of chemical agent applied, to what space, to what product.

Personnel who will apply the control agent must have been fitted with personal protective equipment (PPE). Canamed does not allow the use of conventional respirators as experience shows that too many errors occur in accurate fitting, leak testing, and maintenance of these respirators. Instead, we use only Powered Air Purifying Respirators (PAPRs) consisting of a positively-pressurized mask with air supplied from a blower equipped with NIOSH filter elements rated for control of both gas and particulate contamination.

If respirators are required (even if below the occupational exposure limit), OSHA requires that the employer establish and implement a written respiratory protection program with worksite specific procedures and Canamed intends to provide these guidelines to its employees. OSHA requires that employers provide to their employees the information contained in Appendix D of 29 CFR 1910.134, that they establish and implement those elements of a written program necessary to ensure that any employee using a respirator voluntarily is medically able to wear the respirator (except that medical evaluation is not required for voluntary use of filtering facepieces) and that the respirator is cleaned, stored, and maintained so that it does not represent a health hazard to the wearer. Canamed is well versed in these rules and procedures.

In addition to respirators, employees involved in the application of any chemicals by dispersion into the factory environment will be wearing a cover-all splash suit with drawstring hood and standup collar suitable for forming a tight interface with the PAPR device. Such suits can range from simple TYVEK meeting EPA rules for the protection of agricultural workers (40 CFR 170 Worker Protection Standard) to full splash suits meeting the more rigorous EN 14605 Type 4 "Spray Tight" standard and generally

composed of a PVC coated fabric. PPE will be maintained at the factory and is allocated to personnel who have been trained in the use of chemicals and pesticides. After the application of a control agent and once a suitable clearance period has passed, the air supply to the room is actuated and the room air is processed through the gas-particulate decontamination filters used to exhaust air to the surrounding environment. Once these blowers have moved the equivalent to three volumes of room air, the facility is returned to normal airflow balance and workers are again allowed to enter the facility without the use of PPE.

It might be mentioned that other chemicals and solvents are used in the extraction of Cannabis products from plant tissue. Canamed is well-versed in OSHA and NIOSH procedures for the handling of such chemicals. Our facility has [REDACTED] ventilators used for the control of any trace chemical emissions, including several [REDACTED] within the main chemistry lab. MSDS sheets on all chemicals retained within the facility are available at the main chemistry laboratory and at the Human Resources Department. Fireproof chemical disposal bins are located every 20' at all laboratory stations. All flammable chemicals are stored in large steel chemical storage bunkers. All strong acids and alkaline materials are stored in a separate storage locker specifically rated for such chemicals. All laboratory personnel have supplemental PPE, including cuffed long-sleeve chemical handling gauntlets, heat-proof gloves for handling items emerging from ovens and furnaces, tongs for similar purpose, gas-particulate demand respirators fitted and tested for leakage, several styles of protective eye protection including wrap-around goggles, full face shields, laboratory aprons and rubberized smocks when handling acids, and access to several fume hoods to carry out any operations where chemical fumes or emissions may occur.

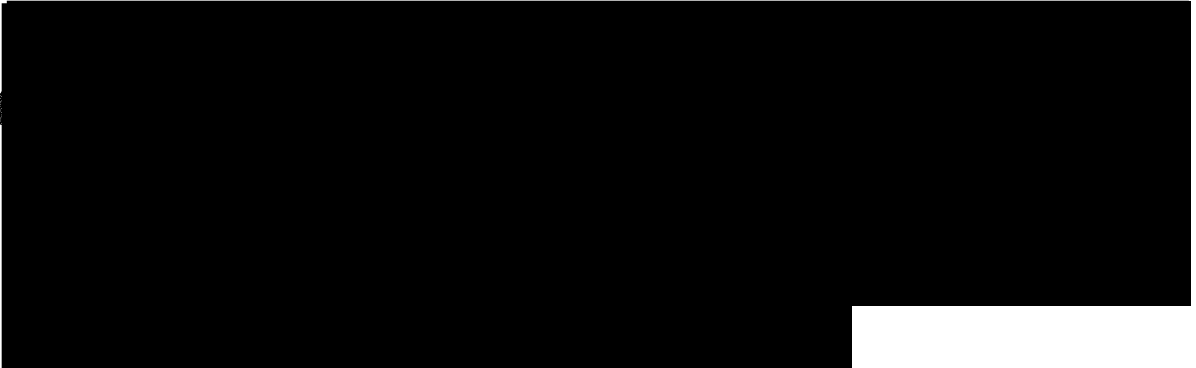

A host of other protective items are available to all employees. Hearing protection ranging from full muffs to foam ear inserts are available for any employee operating noisy equipment, steel toe shoes are available for warehouse operators moving heavy pallets, eye protection with side shields is available for all employees and any visitors to the factory. Canamed will be establishing a safety team with representatives from all departments as well as procedures to investigate any accident and implement corrective

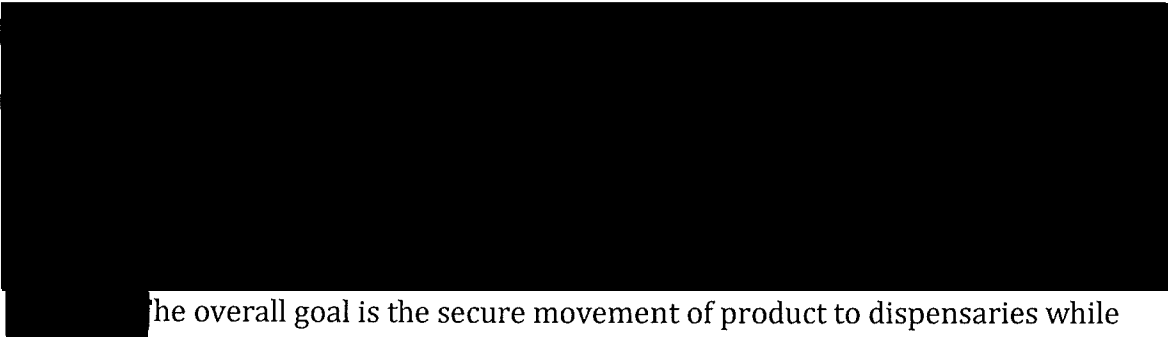
action. Such safety teams carry out facility inspections on a periodic basis and report their finding to management for execution of corrective action or policy change.

Safety committees look not only at chemical hazards, but all forms of physical hazards, repetitive motion issues, building security, employee safety and security, lighting, trip hazards, excessive weight handling, fork lift safety and training, storage of gases, fire suppression and flammables disposal, checking eye wash and emergency shower stations, sprinkler function, coordination with local fire and police, evacuation route and periodic drills, inspections for cleanliness in all plant locations, and much more. All identified safety issues are taken up by management immediately and at the highest priority. When possible, corrective action is executed within the shortest time possible.

H.1. Marijuana Transport

Canamed provides a comprehensive plan for the movement of product to dispensaries in Appendix M (Security Appendix).





he overall goal is the secure movement of product to dispensaries while ensuring that all product remains protected from heat, moisture, and light. When the Canamed employee enters the dispensaries, he will display his license and ensure that the product is moved immediately into suitable storage (including refrigeration for any edible products).

I.1. Employee Working Environment Plan.

The reader should refer to Canamed's response in Section G.2. above for information concerning hazardous conditions. In addition, Canamed provides the following additional comments:

1. Canamed's facility has been the subject of both Phase I and Phase II environmental assessments and these have shown that the facility is free of any above-ground or underground contamination or environmental hazard. This includes a multi-year assay of samples from monitoring wells on the property.
2. Canamed intends to work with our insurance provider to carry out a complete review of our process for determination of possible hazards and work flow analysis. This type of review with experts from the insurance industry typically identifies possible areas of concern for repetitive-motion conditions, excessive weight or unbalanced handling of heavy product (corrected through the use of lift assist equipment), and similar corrective actions.

3. Canamed intends to offer all employees full health insurance as well as a complete package of benefits. Our financial projections provide that Canamed anticipates these benefits to cost an average of 35% of base salary. These benefits include health insurance on a "cafeteria-style plan", paid maternity leave, paid vacation that expands with time at the company, paid family emergency leave, paid sick leave, educational support for employees seeking to expand their education, and a 401K plan. Canamed intends to pay highly competitive wages and to operate a "stepping stone" program of allowing employees to progress toward higher levels of management and supervisory responsibility and leadership. This includes attendance of classes and training and passing tests related to their field of specialization, increases in compensation upon completing outside educational targets, and credits for attending seminars, training and obtaining passing grades and certifications for various safety, proficiency, and work skills. Canamed is an equal opportunity employer and the management of the company has a long history of employing disadvantaged populations. Dr. Koslow's former company, KX Industries (now called KX Technologies), which has operated in the State of Connecticut for over 22 years, hired dozens of war refugees emerging from conflicts in Ethiopia, Somalia, Bosnia, Iraq (Kurds), through a program with the United Nations and U.S. Department of State. Many of these employees were originally qualified only for production line labor, but were systematically provided educational opportunities. Today, the majority of these employees are highly-trained professionals in microbiology, engineering, quality control, design and product development, and plant management.
4. The management of Canamed has collectively more than 40 years experience of operating companies in the State of Connecticut and it would take only a few minutes to affirm that these companies have an extended history of being premier employers. History is a good guide – we intend to offer a great employment environment and great employee benefits. At KX Industries, all

employees enjoyed bi-annual bonuses based upon the profits of the company. Similar programs will be available at Canamed.

I.2. Compassionate Need Plan.

Canamed intends to offer a Compassionate Need Plan, but this plan will need to be implemented by participating dispensaries. To participate, the patient would apply for a Compassionate Need Discount by filling out a form that Canamed would provide to the dispensaries and that would be available on our web site. We have not formulated this form and we are concerned about the legal restrictions on the collection of consumer financial information and we are entering into an area that is not clear legally, but it would be our intention to request information such as the patient's participation in such programs and Medicaid and Food Stamps and avoid requesting highly detailed financial information. Using this information, Canamed will need to define criteria for a patient to obtain a Compassionate Need Discount Card that can be used at any participating dispensary. This card, which would clearly identify the patient and refer to their medical marijuana patient license and would expire at the same time as their palliative marijuana license, would allow that patient to qualify for a discount on the purchase of medical marijuana products. The dispensary would provide this discount and collect a rebate from Canamed for qualified sales.

The discounts that might be possible through this program can only emerge from the wholesale price of the medical marijuana product sold by Canamed unless the dispensary offers an additional discount. As such, we believe that the discounts are unlikely to exceed 30% unless Canamed is able to induce the dispensary to offer a concomitant additional discount. It should be understood that we could not identify a similar Compassionate Need Plan in the current pharmaceutical industry through discussions with operating pharmacies or drug companies. In the past, drug companies have been induced to reduce the price of select critical drugs to the

market, but this is done for the entire product line and not for specific customers. As such, any program of this type will need to be carefully vetted for privacy issues, handling of consumer financial information, anti-trust issues relating to prices and discounts, coordination and cooperation by dispensaries, abuse of the program by the resale of inexpensive medical marijuana products by customers to unrelated third parties, and the voluntary participation of dispensaries, which are outside the control of Canamed.

We have not entered into negotiations with dispensaries on this matter as there are no current licensed dispensaries and it would be premature to open such negotiations with parties who may not obtain a license.

We want to assure the Department of Consumer Protection that we will try to implement a Compassionate Needs Plan, but this is a fairly complex program to establish and to administer and would need to be viewed as quite experimental. Our financial projections show that we intend to sustain an average price of medical marijuana at approximately a 15% discount to the national average. In part, this 15% discount is required to account for our Compassionate Needs Plan, where we assume that as many as 25% of the patient population might be on Medicaid or similar programs.

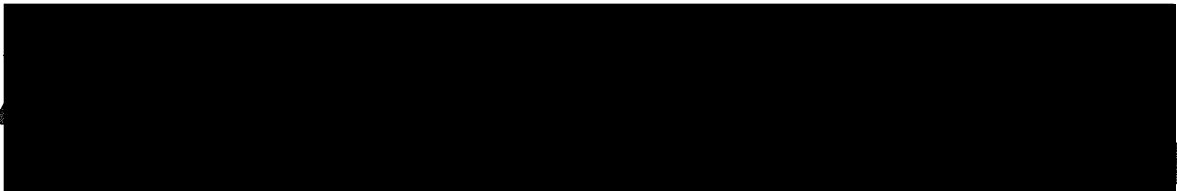

i.3. Research Plan.


Canamed is not qualified to directly carry out a study of the clinical effects of marijuana. Instead, Canamed intends to develop a program, with the permission and in coordination with the Department of Consumer Protection, to provide medical marijuana and specific highly purified cannabinoids and terpenes to qualified clinicians to support scientific research. These would in most cases be provided as a service to the scientific and clinical communities here in Connecticut.

Here at Canamed, we will be carrying out a broad range of R&D programs to optimize Cannabis production. This will include a facility in the G5 space to study variations in nutrients, lighting, grow conditions, investigations relating to new agronomic and pest control strategies, as well as new methods of producing extracts, purified oils, and further purification and refinement of individual cannabinoids by improved chromatographic separations.

One of our key goals is to develop means to fully refine all of the major chemical constituents of the marijuana plant into their pure form and to make these available for future drug development. One of the key problems with medical marijuana is that it is a complex mixture with both THC and CBD providing complex interactions with CB1 and CB2 receptors in both the brain and within the immune system. At the same time, it is now widely believed that the terpenes participate in these interactions by serving as adjuvants that enhance blood-brain movement of cannabinoids and through stimulation of certain receptor chemistries. The complex mixtures found in natural marijuana make it very difficult to deconvolute the role of the individual components and to build precision pharmacological agents.

Canamed has elected to focus upon producing highly refined bulk quantities of the individual active ingredients of Cannabis (there are over 16 major and minor cannabinoids found in marijuana, but their physiological impact is often indeterminate) and making these available to the medical and research communities.





Canamed intends to be a world leader in the science and supply of cannabinoid chemistry and we intend to intensively focus upon this area with a goal of supporting individual scientific and clinical studies requiring these compounds.

I.4. Community Benefits Plan.

Canamed intends to create a Community Liaison and Substance Abuse office led by a person entirely focused upon these programs. This project will systematically build a web site and help line offering detailed support for:

1. Physicians seeking information on medical marijuana, requiring guidance on how to obtain these products for their patients, or seeking research papers or research summaries to guide their prescribing these compounds.
2. Offer information and introduction to community organizations, hospitals, private clinics, and substance abuse centers to people suffering from addiction or other issues related to their use of Cannabis.

3. Summarize emergency measures in the event of over-dose and provide an emergency help line for anyone experiencing a problem relating to the use of medical marijuana.
4. Provide information on the State of Connecticut regulations concerning Palliative Use of Marijuana and gather statistical information that may be of value in future policy development.

The administrator of this program will serve as the key person to coordinate with local hospitals, to expand and enhance substance abuse programs, and work with other NGO and governmental partners to address issues relating to medical marijuana and issues relating to patient populations requiring such products. This person would maintain an expanding bibliographic database on medical marijuana and develop academic and clinical consultants available to the State of Connecticut and Canamed to examine any issues that might arise within the user community. This person would monitor reports of hospital visits from people suffering from any overdose or other negative response to medical marijuana use and would monitor statistics on the drug's use in the State of Connecticut. This person, along with supporting staff, would be available to immediately respond to help line inquiries concerning the various products.

Canamed is located in Watertown, which is a small rural community with a modest industrial presence that has been hurt by the extended recession that we have all experienced during the past six years. We hope to have up to 100 employees with the company by the end of the fourth year of operations. A municipal bus comes directly to the factory from downtown Waterbury. Because Canamed has jobs for both skilled and unskilled persons, it is a great opportunity to support these communities as they emerge from these hard economic times. Management believes that these high-paying jobs with great benefits will be able to propel people from poverty. The stabilizing influence of such jobs on the community cannot be underestimated. The employees and management have traditionally agreed to a wide spectrum of community support projects ranging from raising funds for the

United Way to directly building housing, cleaning neighborhoods, supporting school sports, teaching classes at vocational and high schools, participating in industry forums, supporting the local fire department, establishing a home for women facing violence, and much more. Canamed is not an operating company at this time, but these are some of the things management has accomplished in the past at the companies they have founded.

I.5. Substance Abuse Prevention Plan.

Canamed considers the substance abuse plan as part of the larger Community Benefits Plan. Substance abuse is of course much larger than marijuana alone. It involves other illicit drugs, many of whom are much more powerful, addictive, and dangerous than marijuana. It also includes abuse of alcohol and even cigarettes, which although legal to one degree or another, are both dangerous, potentially toxic, sometimes deadly, and contribute to automobile accidents and fatalities, to violence in the home and in the community, have an enormous impact on the health of the nation, and are both addictive.

Marijuana abuse is statistically a huge problem with younger people and to a lesser degree with our older population. It is a core objective of the State of Connecticut program to avoid proliferation and diversion of medical marijuana to the recreational user population and people who would abuse this drug. Canamed intends to operate a full community support program (see I.4. above), which includes coordination with the full spectrum of state and NGO organizations working on drug abuse. This includes having meetings with church groups, drug rehabilitation centers, hospitals, and other caregivers.

Drug abuse is often only a component of problems that may emerge from poverty, lack of parental controls and attention, failures of our educational institutions, peer pressure, boredom, etc. The community needs to engage young people, involve them in meaningful ways, get young people engaged in participating in great

projects with real impact, and bring hope to kids that often have troubled homes and family lives, are failing at school, and believe they have no productive role to play in our society. Another element of drug abuse is use by children from affluent homes. Here, peer pressure and boredom have been identified as significant contributors to drug abuse.

Can Canamed turn this situation around? Honestly, of course not. Drug abuse is a symptom of social problems well beyond those that can be addressed by Canamed. However, we believe our small contribution will be through our focus on troubled users of medical marijuana and through our small but, hopefully, meaningful contribution – traveling to schools and talking about drug abuse, operating a great web site providing help and guidance, providing support to our local hospitals and other institutions... but we can not rebuild a city or bring employment to millions of disenfranchised citizens. We will do our best to help, but drug abuse is as old as our human society. We can only turn this around one person at a time.

I.6. Environmental Plan.

As described throughout this license application, Canamed will be executing a variety of systems to eliminate or ameliorate a host of possible environmental impacts. Below we summarize some of these.

1. Air emerging from the facility and that has been in contact with the factory environment will be processed through gas-particulate filters to eliminate nuisance odors. Building pressure will be balanced to neutral or slightly negative to prevent egression of odors. Filters selected for this purpose will be oversized to ensure full capture of the target contaminant molecules (mostly volatile terpenes and aromatics) and that the filters provide extended life. Prefilters will be changed or cleaned on a regular basis to ensure that pressure drop across the filters remains within tolerable specifications.

2. Solvents used in the production of extracts are food grade, of intrinsically low toxicity, and will be operating in a closed-loop system. Solvent used to extract target molecules is recaptured in single-stage vacuum distillation columns or multi-stage high-performance distillation columns. Solvents used in chromatography are also reclaimed using similar systems. To prevent solvent vapor movement past the distillation columns, a secondary vapor condenser circulating chilled glycol can be used. Small emissions of solvents during handling or when opening and manipulating the equipment is controlled through the use of fume exhausters located roughly every 15' within the facility. This is meant to protect workers. However, all of the currently projected solvents are entirely biodegradable and considered harmless (for example, simple ethanol).
3. We will be working with the Department of Consumer Protection (DCP) to develop a disposal scheme for high-volume solid plant tissue waste emerging from the factory and not containing active cannabinoid material. We are likely to suggest the use of conventional plastic grinders to granulate and pulverize this material into fine particles and dispose of this material by Land Disposal, which is an EPA-approved and in fact preferred method for common agricultural waste. Our facility is on 20 acres of land and adjacent to three farms. We believe that land application of this waste (not containing significant cannabinoid material) followed by harrowing of the soil containing the waste is a best method.
4. Plant tissue containing active cannabinoid chemistry would almost entirely be converted into product or subjected to extraction. The waste emerging from the extraction process would be treated to recover residual solvent, but would now contain only small traces of active cannabinoid material. Again, we would like to work with the DCP to determine if Land Disposal could also dispose of this more modest volume of material in accordance with EPA-recommended practice. In no case should waste containing cannabinoids emerge from our process. If this should occur, we are clearly wasting a valuable chemical resource and we will take all possible steps to reclaim this

material. What should emerge is vegetative matter containing no significant cannabinoid material.

5. Our growing plan does not use [REDACTED]
[REDACTED] it would normally be common to have three to five gallons of potting medium for each plant. Considering the enormous volume of plants required to produce 20-25,000 pounds of flower bud each year, one can readily calculate that this solid waste would be close to 15-20 gallons of potting medium (weighing approximately 100 pounds when wet) for each pound of flower bud – a total of 2,250,000 pounds per year. This would be approaching 60 truckloads each year. [REDACTED]
[REDACTED] Instead, only a small volume [REDACTED]
[REDACTED] emerges from this process. All of the plumbing used within the [REDACTED] cleaned and returned to service once the plants are harvested. Hence, we reuse all of the plastic hardware within the system.
6. Water use in the [REDACTED] process is much lower than traditional agronomic practice. There is no loss of water by penetration below the root zone or out the bottom of the “flower pot”. Evapotranspiration is the only means of moisture loss and almost all of this is unavoidable loss from the vegetation. There are minimal losses from the nearly sealed “flower pots”. [REDACTED]
[REDACTED]
[REDACTED] Nutrient levels are then measured and nutrient concentrations brought back into specification by amendment with additional virgin material. In some cases, the nutrient solution might be released to sewer, but this material would contain no greater than 1,000 ppm of total TDS, most of which is soluble nutrients that are immediately absorbed within the conventional sewage treatment plant.

Our goal is zero emissions of any pesticide residues in product or into the environment and we believe this goal is achievable.

7. Our facility has a 100,000 SF flat roof without obstructions. It has been surveyed by two solar energy companies and is qualified as a solar energy production facility. When state and federal funding is available, the roof of our facility can be converted into a solar energy production facility. In a typical arrangement, a company that specializes in this type of project installs the solar energy system. Canamed provides a steady consumption of the power without the need to further transmit this power. Canamed obtains a discount on the price of this power, and electrical energy consumption from the grid is reduced. This solar installation can produce an average of 4,000 kW-hours of power each day, or sufficient energy to support the production of 150 pounds of flower bud per month. Although this is only about 10% of our anticipated long-term production requirement, the solar energy option should be considered when examining the total ecological impact of the facility.

8. Natural gas can be used to produce on-site electric power using a large modified diesel engine. The modification relates to the fact that under compression, natural gas will not fire a diesel engine. Instead, the engine is modified to include an igniter similar to that used within a gasoline engine. The hot exhaust gases from this large diesel engine can be directed to an Organic Rankine Cycle (ORC) machine that acts as a topping cycle to produce further electrical energy.

Together, these two systems can produce electrical power directly from natural gas at an efficiency approaching 58-60%, which is nearly as efficient as a modern combined cycle plant (gas-turbine/steam turbine combination). In addition, this system provides the means to ensure reliable power to the facility in the event that the main power lines are severed due to weather or accident. If the exhaust gas of the engine is processed through a suitable catalyst, residual carbon monoxide and nitrogen oxides can be reduced to nearly ambient levels. The resulting exhaust gas, rich in carbon dioxide, can

be fed to the plants within the building. We have only begun investigating this type of technology and it is not appropriate to consider during the early years of the venture, but it is the type of technology that can dramatically improve the facility's energy economics and produce our power directly and efficiently from clean natural gas.

Natural gas has a reduced carbon footprint in comparison to conventional power derived from coal. In addition, long-term natural gas price projections are exceptionally low in comparison to other energy sources. The U.S. supply of natural gas as a result of shale gas development now exceeds our projected consumption for the next 80-100 years. The point here is that Canamed has the engineering skills to consider these alternative energy production technologies. By year 4 of our projections, we will be consuming close to \$1 MM of electrical energy at traditional pricing. Clearly, at some point, alternative means of producing this energy will be examined and our need to protect the factory from a prolonged loss of power will make the use of a backup power system increasingly attractive.

**Appendix A. Producer License Information
Form**



Medical Marijuana Program

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E-mail: dep.mmp@ct.gov • Website: www.ct.gov/dep/mmp



Appendix A Producer License Information Form

Section A: Business Information

1. Applicant business type:						
<input type="checkbox"/> Sole Proprietorship	<input checked="" type="checkbox"/> Corporation	<input type="checkbox"/> Limited Liability Co.	<input type="checkbox"/> Partnership	<input type="checkbox"/> Limited Liability Partnership	<input type="checkbox"/> Unincorporated Association	<input type="checkbox"/> Other:
2. Legal Name of Applicant: CANAMED INC.						
3. Trade Name of Applicant:						
4. Applicant's Business Address: 400 PARK RD.						
5. City: WATERTOWN				6. State: CT	7. Zip Code: 06795	
8. Daytime Telephone Number: 860-919-5264			9. E-mail Address: CANAMED@COMCAST.NET			
10. Applicant's Mailing Address (if different than business address):					11. City:	
12. State:	13. Zip Code:		14. Daytime Telephone Number:		15. Fax Number:	

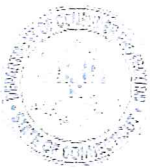
Section B: Contact Information

All communications from the department regarding this application will be sent to your primary contact and alternate contact, if one is designated. We will assume that you receive all communications sent to your designated contact(s) and it will be your responsibility to notify us if any of your contact information changes.

16. Name of Primary Contact: CHRISTOPHER GREGG WERSHOVEN	17. Primary Contact Title: PRESIDENT
18. Primary Contact E-mail Address: CANAMED@COMCAST.NET	19. Primary Contact Telephone Number: 860-919-5264
20. OPTIONAL - Name of Alternate Contact: DR. EVAN KOSLOW	21. Alternate Contact Title: CHIEF TECHNICAL OFFICER
22. Alternate Contact E-mail Address: [REDACTED]	23. Alternate Contact Telephone Number: [REDACTED]

Section C: Formation/Incorporation Information

24. Date of Formation/Incorporation: JAN 15th 2013	25. Place of Formation/Incorporation: CT. 60250305-001
26. Registered with the Connecticut Secretary of State: <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	27. Sale and Use Tax Permit Number: Provide a copy of your Sale and Use Tax permit with your application.



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Section D: Proposed Production Facility Information

28. Proposed Production Facility Address: 400 PARK RD			29. City: WATERTOWN
30. State: CT	31. Zip Code: 06795	32. Telephone Number: 860-919-5264	33. Fax Number: N/A
34. Own or Lease Property: <input type="checkbox"/> Own <input checked="" type="checkbox"/> Lease Provide a copy of the lease, deed or other documents evidencing the right to occupy if you are awarded a license.			35. Name of Property Owner: KCAP REALTY LLC

Section E: Business Association Information

36. Are you associated with any dispensary facility license applicant or other producer license applicant? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
If yes, provide the name of all applicants with whom you are associated. Attach additional pages if necessary.	
37. Applicant Name:	38. Applicant Type: <input type="checkbox"/> Dispensary Facility <input type="checkbox"/> Producer
39. Applicant Name:	40. Applicant Type: <input type="checkbox"/> Dispensary Facility <input type="checkbox"/> Producer

Section F: Escrow Account, Letter of Credit or Surety Bond

41. Establishment of an escrow account, letter of credit or surety bond shall be required prior to issuance of a producer license. Provide the following information and **submit documentation evidencing** an ability to establish and maintain an escrow account, letter of credit or surety bond in the amount of two million dollars (\$2,000,000.00), if you are awarded a producer license.

Review the Terms and Conditions of this RFA to ensure that the terms of your escrow account, letter of credit or surety bond will be acceptable.

<input checked="" type="checkbox"/> Escrow Account	<input type="checkbox"/> Letter of Credit	<input type="checkbox"/> Surety Bond
42. Financial Institution/Surety Company Name: BLUE CAY CAPITAL LLC (SEE ATTACHED LETTER)		
43. Address: 305 BOSTON AVE, SUITE 201		
44. City: STRATFORD		45. State: CT
46. Zip Code: 06614		47. Telephone Number: (203) 253-8835
48. Fax Number: NA		49. E-mail Address: wcarpenter@bluecaycapital.com

Section G: Laboratory (This is only required if you have already selected a laboratory)

50. Laboratory Name:		51. Laboratory License No.
52. Address:		
53. City:		54. State: CT
55. Zip Code:		56. Telephone Number:
57. Fax Number:		58. E-mail Address:



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Section H: Proposed Production Facility Business Hours

59. State the proposed production facility's business hours for each day:

Monday	<u>7 AM</u>	to	<u>7 P.M</u>	Friday	<u>7 AM</u>	to	<u>7 P.M</u>
Tuesday	<u>7 AM</u>	to	<u>7 P.M</u>	Saturday	<u>7 AM</u>	to	<u>7 P.M</u>
Wednesday	<u>7 AM</u>	to	<u>7 P.M</u>	Sunday	<u>7 AM</u>	to	<u>7 P.M</u>
Thursday	<u>7 AM</u>	to	<u>7 P.M</u>				

Section I: Other Business Names & Addresses

List all names under which the applicant has done business or has held itself out to the public as doing business. Do not limit your response to business operations in Connecticut. Attach additional pages if necessary.

60. Name: <u>NONE</u>	61. Time Period:

List all addresses, other than those listed in response to Section A, that the applicant owns, has owned or from which it has conducted business during the previous five years and give the approximate time periods during which such locations were owned or utilized. Attach additional pages if necessary.

62. Address: <u>NONE</u>	63. Time Period:

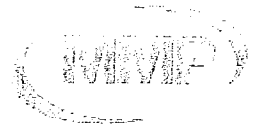
Section J: Producer Backers

Provide the following information for each producer backer. A producer backer is any person (including any legal entity) with a direct or indirect financial interest in the applicant, except it shall not include a person with an investment interest provided the interest held by such person and such person's co-workers, employees, spouse, parent or child, in the aggregate, does not exceed five per cent of the total ownership or interest rights in the applicant and such person will not participate directly or indirectly in the control, management or operation of the production facility if a license is granted.

Create additional copies of this page if necessary.

Each backer identified in response to this section must complete and sign Appendix B.

64. Name: <u>EXTREME GREEN, LLC</u>	65. Percentage of ownership
<u>ACK TRIANGLE PRODUCTIONS, LLC</u>	



PARADOX DEVELOPMENT LLC	
CHRISTOPHER GREGG WERSHOVEN	

Section K: Directors, Owners, Officers and Other High-Level Employees

Provide the following information for each individual, including each producer backer, who will:

- directly or indirectly have control over, or participate in the management or operation of, the production facility; or
- who currently receives, or who reasonably can be expected to receive, within one calendar year, compensation from the applicant exceeding \$100,000.

Create additional copies of this page if necessary.

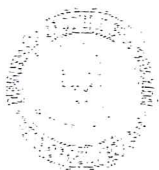
Each person identified in response to this section must complete and sign Appendix C.

66. Name (First, Middle, Last):	67. Title:	68. Role:
BRIEN BEAKEY	DIRECTOR	
CHRISTOPHER G WERSHOVEN	PRESIDENT	MANAGEMENT
DAN BALLING	VICE PRESIDENT	CONSULTANT
THOMAS ARENA	TREASURER	
PAUL DUTRA	SECRETARY	DIR. MARKETING & SALES
EVAN KOSLOW	CHIEF TECHNICAL OFFICER	CTO

Section L: Financial Statement

Set forth all expenses greater than \$10,000 incurred in connection with the establishment of your business and the sources of the funds for each. Attach additional pages if necessary. The Department may require backup documentation.

69. Expense Item:	70. Cost: \$	71. Source of Funds:
	\$	
	\$	

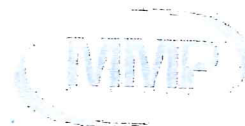


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E-mail:

Website:



MARK BROWN	
THOMAS ARENA	
EVAN KOSLOW/KICART JKCAP	

Section K: Directors, Owners, Officers and Other High-Level Employees

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- directly or indirectly have control over, or participate in the management or operation of, the production facility; or
- who currently receives, or who reasonably can be expected to receive, within one calendar year, compensation from the applicant exceeding \$100,000.

Create additional copies of this page if necessary.

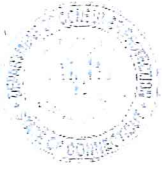
Each person identified in response to this section must complete and sign Appendix C.

66. Name (First, Middle, Last):	67. Title:	68. Role:

Section L: Financial Statement

Set forth all expenses greater than \$10,000 incurred in connection with the establishment of your business and the sources of the funds for each. Attach additional pages if necessary. The Department may require backup documentation.

69. Expense Item:	70. Cost:	71. Source of Funds:
	\$	
	\$	
	\$	
	\$	
	\$	
	\$	
	\$	
	\$	
	\$	



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Section M: Security System

Identify the companies that will provide security services for the production facility if a license is awarded. A primary and a backup security company are required. If more than two companies will provide security services, complete this section for each such additional company.

72. Primary Security Company Name: MONITOR CONTROLS INC			
73. Primary Security Company Address (including Apartment or Suite #): 178-180 CENTER ST.			74. City: WALLINGFORD
75. State: CT	76. Zip Code: 06492	77. Telephone Number: 203-269-3591 ext 308	78. Fax Number:
79. E-mail Address: WWW.MONITORCONTROLS.COM			
80. Backup Security Company Name: MAXIMUM SOUND & SECURITY			
81. Backup Security Company Address (including Apartment or Suite #): P.O. BOX 686			82. City: MILLEDALE
83. State: CT	84. Zip Code: 06467	85. Telephone Number: 860-621-6850	86. Fax Number:
87. E-mail Address: WWW.MAXIMUMSECURITYLLC.COM			
88. Attach a detailed description of the security plan to be offered by the security companies. Be sure to include a discussion of each of the required elements set forth in Section 21a-408-62 of the Regulations of Connecticut State Agencies.			

Section N: Legal Proceedings

89. Has the applicant ever had any petition filed by or against it, or otherwise sought relief under, any provision of the Federal Bankruptcy Act or under any State insolvency law in the last ten year period? ☐ Yes ☒ No

If the answer above is "yes", attach a statement providing the details of such proceeding or petition.

90. Has the applicant ever had a professional license, permit or registration in Connecticut, or any other State, suspended, revoked or otherwise subjected to disciplinary action? ☐ Yes ☒ No

If the answer above is "yes", attach a statement providing the date(s), the type of license, permit or registration at issue, and a description of the circumstances relating to each suspension, revocation or other disciplinary action.

91. Is the applicant a party to any legal proceedings where damages, fines or civil penalties may reasonably be expected to exceed \$500,000 above any insurance coverage available to cover the claim? ☐ Yes ☒ No

If the answer above is "yes", attach a statement describing the litigation, including the title and docket number of the litigation, the name and location of the court before which it is pending, the identify of all parties to the litigation, the general nature of the claims being made and the impact an unfavorable opinion may have on the applicant or the applicant's operations.

92. Has the applicant ever had any fines or other penalties over \$10,000 assessed by any regulatory agency? ☐ Yes ☒ No

If the answer above is "yes", attach a statement providing the details of such fines or penalties.



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Section O: Criminal Actions

93. Has the applicant ever been convicted of a crime or received a suspended sentence, deferred sentence, or forfeited bail for any offense in criminal or military court or are any such charges pending? ☐ Yes ☒ No

If the answer above is "yes", attach a statement providing the date(s) of conviction(s), name of individual(s) involved, the court(s) where the case(s) were decided, a description of the circumstances relating to each offense or for the pending charges and the outcome of the proceedings.

Section P: Criminal Background Check

I understand that the department may review criminal background records for purposes of evaluating the applicant's suitability to participate in the medical marijuana program. As the duly authorized representative of the applicant, I hereby authorize the release of any and all information of a confidential or privileged nature to the department and its agents.


94. Signature: 

95. Date Signed:

10/17/13

I hereby certify that the above information is correct and complete.

I fully understand that if I knowingly make a statement that is untrue and which is intended to mislead the Department of Consumer Protection or any person designated by the Department in the performance of their official function, I will be in violation of Section 53a-157b of the Connecticut General Statutes. As the duly authorized representative of the applicant, I hereby make the above certifications on behalf of the applicant.

96. Signature: 

97. Date Signed:

10/17/13

Section M. 88. Detailed Review of Section 21a-408-62 of the Regulations of the Connecticut State Agencies. Security Provisions

Canamed has attached Appendix M to this production license application that provides details concerning our security plan. As mentioned in this plan, Canamed has reviewed the requirements of Section 21a-408-62 as well as all other security provisions of the regulations and we have assembled a very detailed response to these requirements in Appendix M. In summary:

1)

2)

3)

4)

5)

6)

7)

8)

9)



BlueCay Capital LLC
305 Boston Avenue
Suite 201
Stratford, CT 06614
+1 203.253.8835

November 13, 2013

400 Park Road in Watertown, CT

To Whom This May Concern.

BlueCay Capital LLC ("BlueCay" or "Lender") is interested in providing financing against the commercial property located at 400 Park Road in Watertown, CT. Based on an appraisal we have reviewed, BlueCay would be willing to lend [REDACTED] against this property in the form of a first-mortgage [REDACTED]

[REDACTED] This letter does not constitute a loan commitment, either express or implied, on behalf of BlueCay, and does not impose any obligation on Lender to make the loan.

If the State of Connecticut has any questions concerning our loan structure or ability to fulfill this financing, please call me at 203-610-9196.

Sincerely,

Wesley W Carpenter

Wesley W Carpenter
Managing Principal
BlueCay Capital LLC
(203) 610-9196 (mobile)
(203) 243-8835 (office)

Appendix B. Producer Backer Information Forms



Medical Marijuana Program

165 Capitol Avenue, Room 145, Hartford, CT 06106-1630 • (860) 713-6066

E-mail: dcp.mmp@ct.gov • Website: www.ct.gov/dcp/mmp

Appendix B

Producer Backer Information Form

This form must be completed by each person or entity identified as a producer backer in Appendix A, section J.

Section A: Backer Information

1. Backer business type:

<input type="checkbox"/> Sole Proprietorship	<input type="checkbox"/> Corporation	<input checked="" type="checkbox"/> Limited Liability Co.	<input type="checkbox"/> Partnership	<input type="checkbox"/> Limited Liability Partnership	<input type="checkbox"/> Unincorporated Association	<input type="checkbox"/> Other:
--	--------------------------------------	---	--------------------------------------	--	---	---------------------------------

2. Legal Name of Backer:
Extreme Green, LLC**3. Trade Name of Backer (if applicable):****4. Street Address (including Apartment or Suite #):****5. City:****6. State:****7. Zip Code:****8. Daytime Telephone Number:****9. Fax Number:****10. E-mail Address:**

Section B: Backer Members

If you selected anything other than "Sole Proprietorship" in response to Section A, identify the members of your organization. A member is any person with a direct or indirect ownership interest greater than 5%. Attach additional pages if necessary.

Each member of a backer identified in response to this section must complete either:

- Appendix C if they are also a director, owner, officer or other high-level employee of the producer; or
- Appendix D in all other instances.

11. Name (First, Middle, Last):	12. Percentage of ownership
Sheryl Ann Brown	



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Section C: Licenses, Permits and Registrations

Provide information regarding all state licenses, permits or registrations ever held, current or expired, by you. Attach additional pages if necessary.

13. State WV	14. Issue Date (month/year): July 08, 2013 Expiration Date (month/year):	15. Type: LLC	16. Number: 46-3186632 EIN#
17. State	18. Issue Date (month/year): Expiration Date (month/year):	19. Type:	20. Number:

Section D: Legal Proceedings

21. Have you, or has any entity over which you exercised management or control, had any petition filed by or against you, or otherwise sought relief under, any provision of the Federal Bankruptcy Act or under any State insolvency law in the last ten year period?

☐ Yes ☒ No

If the answer above is "yes", attach a statement providing the details of such proceeding or petition.

22. Have you, or has any entity over which you exercised management or control, ever had a professional license, permit or registration in Connecticut, or any other State, suspended, revoked or otherwise subjected to disciplinary action?

☐ Yes ☒ No

If the answer above is "yes", attach a statement providing the date(s), the type of license, permit or registration at issue, and a description of the circumstances relating to each suspension, revocation or other disciplinary action.

23. Are you a party to any legal proceedings where damages, fines or civil penalties may reasonably be expected to exceed \$500,000 above any insurance coverage available to cover the claim?

☐ Yes ☒ No

If the answer above is "yes", attach a statement describing the litigation, including the title and docket number of the litigation, the name and location of the court before which it is pending, the identify of all parties to the litigation, the general nature of the claims being made and the impact an unfavorable opinion may have on your ability to serve as a backer for the applicant.

24. Have you, or has any entity over which you exercised management or control, ever had any fines or other penalties over \$10,000 assessed by any regulatory agency?

☐ Yes ☒ No

If the answer above is "yes", attach a statement providing the details of such fines or penalties.

Section E: Criminal Actions

25. Have you ever been convicted of a crime or received a suspended sentence, deferred sentence, or forfeited bail for any offense in criminal or military court or do you have any charges pending? ☐ Yes ☒ No

If the answer above is "yes", attach a statement providing the date(s) of conviction(s), name of individual(s) involved, the court(s) where the case(s) were decided, a description of the circumstances relating to each offense or for the pending charges and the outcome of the proceedings.



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Section F: Criminal Background Check

I understand that the department may review criminal background records for purposes of evaluating my suitability to participate in the medical marijuana program. As the backer, or duly authorized representative of the backer, I hereby authorize the release of any and all information of a confidential or privileged nature to the department and its agents.

26. Signature:



27. Date Signed:

11/5/13

I hereby certify that the above information is correct and complete.

I fully understand that if I knowingly make a statement that is untrue and which is intended to mislead the Department of Consumer Protection or any person designated by the Department in the performance of their official function, I will be in violation of Section 53a-157b of the Connecticut General Statutes.

28. Signature:



29. Date Signed:

11/5/13



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E-mail: dep.mmp@ct.gov • Website: www.ct.gov/dep/mmp



Appendix B Producer Backer Information Form

This form must be completed by each person or entity identified as a producer backer in Appendix A, section J.

Section A: Backer Information

1. Backer business type:

<input type="checkbox"/> Sole Proprietorship	<input type="checkbox"/> Corporation	<input checked="" type="checkbox"/> Limited Liability Co.	<input type="checkbox"/> Partnership	<input type="checkbox"/> Limited Liability Partnership	<input type="checkbox"/> Unincorporated Association	<input type="checkbox"/> Other:
--	--------------------------------------	---	--------------------------------------	--	---	---------------------------------

2. Legal Name of Backer:

ACK Triangle Productions, LLC

3. Trade Name of Backer (if applicable):

4. Street Address (including Apartment or Suite #):

[REDACTED]

5. City:

[REDACTED]

6. State:

[REDACTED]

7. Zip Code:

[REDACTED]

8. Daytime Telephone Number:

[REDACTED]

9. Fax Number:

[REDACTED]

10. E-mail Address:

[REDACTED]

Section B: Backer Members

If you selected anything other than "Sole Proprietorship" in response to Section A, identify the members of your organization. A member is any person with a direct or indirect ownership interest greater than 5%. Attach additional pages if necessary.

Each member of a backer identified in response to this section must complete either:

- Appendix C if they are also a director, owner, officer or other high-level employee of the producer; or
- Appendix D in all other instances.

11. Name (First, Middle, Last):	12. Percentage of ownership
Susan A. Balling	



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Section C: Licenses, Permits and Registrations

Provide information regarding all state licenses, permits or registrations ever held, current or expired, by you. Attach additional pages if necessary.

13. State	14. Issue Date (month/year): Expiration Date (month/year):	15. Type:	16. Number:
17. State	18. Issue Date (month/year): Expiration Date (month/year):	19. Type:	20. Number:

Section D: Legal Proceedings

21. Have you, or has any entity over which you exercised management or control, had any petition filed by or against you, or otherwise sought relief under, any provision of the Federal Bankruptcy Act or under any State insolvency law in the last ten year period?

☐ Yes ☒ No

If the answer above is "yes", attach a statement providing the details of such proceeding or petition.

22. Have you, or has any entity over which you exercised management or control, ever had a professional license, permit or registration in Connecticut, or any other State, suspended, revoked or otherwise subjected to disciplinary action?

☐ Yes ☒ No

If the answer above is "yes", attach a statement providing the date(s), the type of license, permit or registration at issue, and a description of the circumstances relating to each suspension, revocation or other disciplinary action.

23. Are you a party to any legal proceedings where damages, fines or civil penalties may reasonably be expected to exceed \$500,000 above any insurance coverage available to cover the claim?

☐ Yes ☒ No

If the answer above is "yes", attach a statement describing the litigation, including the title and docket number of the litigation, the name and location of the court before which it is pending, the identify of all parties to the litigation, the general nature of the claims being made and the impact an unfavorable opinion may have on your ability to serve as a backer for the applicant.

24. Have you, or has any entity over which you exercised management or control, ever had any fines or other penalties over \$10,000 assessed by any regulatory agency?

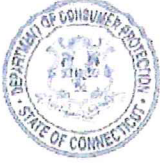
☐ Yes ☒ No

If the answer above is "yes", attach a statement providing the details of such fines or penalties.

Section E: Criminal Actions

25. Have you ever been convicted of a crime or received a suspended sentence, deferred sentence, or forfeited bail for any offense in criminal or military court or do you have any charges pending? ☐ Yes ☒ No

If the answer above is "yes", attach a statement providing the date(s) of conviction(s), name of individual(s) involved, the court(s) where the case(s) were decided, a description of the circumstances relating to each offense or for the pending charges and the outcome of the proceedings.



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Section F: Criminal Background Check

I understand that the department may review criminal background records for purposes of evaluating my suitability to participate in the medical marijuana program. As the backer, or duly authorized representative of the backer, I hereby authorize the release of any and all information of a confidential or privileged nature to the department and its agents.

26. Signature:



27. Date Signed:

10.15.2013

I hereby certify that the above information is correct and complete.

I fully understand that if I knowingly make a statement that is untrue and which is intended to mislead the Department of Consumer Protection or any person designated by the Department in the performance of their official function, I will be in violation of Section 53a-157b of the Connecticut General Statutes.

28. Signature:



29. Date Signed:

10.15.2013



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Appendix B

Producer Backer Information Form

This form must be completed by each person or entity identified as a producer backer in Appendix A, section J.

Section A: Backer Information

1. Backer business type:

<input type="checkbox"/> Sole Proprietorship	<input type="checkbox"/> Corporation	<input checked="" type="checkbox"/> Limited Liability Co.	<input type="checkbox"/> Partnership	<input type="checkbox"/> Limited Liability Partnership	<input type="checkbox"/> Unincorporated Association	<input type="checkbox"/> Other:
--	---	---	---	--	---	------------------------------------

2. Legal Name of Backer:

PARADOX Development LLC

3. Trade Name of Backer (if applicable):

4. Street Address (including Apartment or Suite #):

5. City:

6. State:

7. Zip Code:

8. Daytime Telephone Number:

9. Fax Number:

10. E-mail Address:

Section B: Backer Members

If you selected anything other than "Sole Proprietorship" in response to Section A, identify the members of your organization. A member is any person with a direct or indirect ownership interest greater than 5%. Attach additional pages if necessary.

Each member of a backer identified in response to this section must complete either:

- ☐ Appendix C if they are also a director, owner, officer or other high-level employee of the producer; or
- ☐ Appendix D in all other instances.

11. Name (First, Middle, Last):

PAUL George DURKA

12. Percentage of ownership



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Section C: Licenses, Permits and Registrations

Provide information regarding all state licenses, permits or registrations ever held, current or expired, by you. Attach additional pages if necessary.

13. State	14. Issue Date (month/year): Expiration Date (month/year):	15. Type:	16. Number:
17. State	18. Issue Date (month/year): Expiration Date (month/year):	19. Type:	20. Number:

Section D: Legal Proceedings

21. Have you, or has any entity over which you exercised management or control, had any petition filed by or against you, or otherwise sought relief under, any provision of the Federal Bankruptcy Act or under any State insolvency law in the last ten year period?

☐ Yes ☒ No

If the answer above is "yes", attach a statement providing the details of such proceeding or petition.

22. Have you, or has any entity over which you exercised management or control, ever had a professional license, permit or registration in Connecticut, or any other State, suspended, revoked or otherwise subjected to disciplinary action?

☐ Yes ☒ No

If the answer above is "yes", attach a statement providing the date(s), the type of license, permit or registration at issue, and a description of the circumstances relating to each suspension, revocation or other disciplinary action.

23. Are you a party to any legal proceedings where damages, fines or civil penalties may reasonably be expected to exceed \$500,000 above any insurance coverage available to cover the claim?

☐ Yes ☒ No

If the answer above is "yes", attach a statement describing the litigation, including the title and docket number of the litigation, the name and location of the court before which it is pending, the identify of all parties to the litigation, the general nature of the claims being made and the impact an unfavorable opinion may have on your ability to serve as a backer for the applicant.

24. Have you, or has any entity over which you exercised management or control, ever had any fines or other penalties over \$10,000 assessed by any regulatory agency?

☐ Yes ☒ No

If the answer above is "yes", attach a statement providing the details of such fines or penalties.

Section E: Criminal Actions

25. Have you ever been convicted of a crime or received a suspended sentence, deferred sentence, or forfeited bail for any offense in criminal or military court or do you have any charges pending? ☐ Yes ☒ No

If the answer above is "yes", attach a statement providing the date(s) of conviction(s), name of individual(s) involved, the court(s) where the case(s) were decided, a description of the circumstances relating to each offense or for the pending charges and the outcome of the proceedings.



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Section F: Criminal Background Check

I understand that the department may review criminal background records for purposes of evaluating my suitability to participate in the medical marijuana program. As the backer, or duly authorized representative of the backer, I hereby authorize the release of any and all information of a confidential or privileged nature to the department and its agents.

26. Signature:



27. Date Signed:

11-6-2013

I hereby certify that the above information is correct and complete.

I fully understand that if I knowingly make a statement that is untrue and which is intended to mislead the Department of Consumer Protection or any person designated by the Department in the performance of their official function, I will be in violation of Section 53a-157b of the Connecticut General Statutes.

28. Signature:



29. Date Signed:

11-6-2013



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Appendix B Producer Backer Information Form

This form must be completed by each person or entity identified as a producer backer in Appendix A, section J.

Section A: Backer Information

1. Backer business type:						
<input type="checkbox"/> Sole Proprietorship	<input type="checkbox"/> Corporation	<input type="checkbox"/> Limited Liability Co.	<input type="checkbox"/> Partnership	<input type="checkbox"/> Limited Liability Partnership	<input type="checkbox"/> Unincorporated Association	<input checked="" type="checkbox"/> Other: INDIVIDUAL
2. Legal Name of Backer: CHRISTOPHER GREGG WERSHOVEN						
3. Trade Name of Backer (if applicable):						
4. Street Address (including Apartment or Suite #): [REDACTED]						
5. City: [REDACTED]			6. State: [REDACTED]		7. Zip Code: [REDACTED]	
8. Daytime Telephone Number: [REDACTED]			9. Fax Number: N.A.		10. E-mail Address: [REDACTED]	

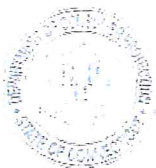
Section B: Backer Members

If you selected anything other than "Sole Proprietorship" in response to Section A, identify the members of your organization. A member is any person with a direct or indirect ownership interest greater than 5%. Attach additional pages if necessary.

Each member of a backer identified in response to this section must complete either:

- Appendix C if they are also a director, owner, officer or other high-level employee of the producer; or
- Appendix D in all other instances.

11. Name (First, Middle, Last):	12. Percentage of ownership



Medical Marijuana Program

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Section C: Licenses, Permits and Registrations

Provide information regarding all state licenses, permits or registrations ever held, current or expired, by you. Attach additional pages if necessary.

13. State CT	14. Issue Date (month/year): NOV 2001 Expiration Date (month/year): CURRENT	15. Type: HOME IMPROVEMENT	16. Number: 573065
17. State CT	18. Issue Date (month/year): NOV 2004 Expiration Date (month/year): NOV 2008	19. Type: NEW HOME BUILDER	20. Number: 9248

Section D: Legal Proceedings

21. Have you, or has any entity over which you exercised management or control, had any petition filed by or against you, or otherwise sought relief under, any provision of the Federal Bankruptcy Act or under any State insolvency law in the last ten year period?

☐ Yes ☒ No

If the answer above is "yes", attach a statement providing the details of such proceeding or petition.

22. Have you, or has any entity over which you exercised management or control, ever had a professional license, permit or registration in Connecticut, or any other State, suspended, revoked or otherwise subjected to disciplinary action?

☐ Yes ☒ No

If the answer above is "yes", attach a statement providing the date(s), the type of license, permit or registration at issue, and a description of the circumstances relating to each suspension, revocation or other disciplinary action.

23. Are you a party to any legal proceedings where damages, fines or civil penalties may reasonably be expected to exceed \$500,000 above any insurance coverage available to cover the claim?

☐ Yes ☒ No

If the answer above is "yes", attach a statement describing the litigation, including the title and docket number of the litigation, the name and location of the court before which it is pending, the identify of all parties to the litigation, the general nature of the claims being made and the impact an unfavorable opinion may have on your ability to serve as a backer for the applicant.

24. Have you, or has any entity over which you exercised management or control, ever had any fines or other penalties over \$10,000 assessed by any regulatory agency?

☐ Yes ☒ No

If the answer above is "yes", attach a statement providing the details of such fines or penalties.

Section E: Criminal Actions

25. Have you ever been convicted of a crime or received a suspended sentence, deferred sentence, or forfeited bail for any offense in criminal or military court or do you have any charges pending? ☐ Yes ☒ No

If the answer above is "yes", attach a statement providing the date(s) of conviction(s), name of individual(s) involved, the court(s) where the case(s) were decided, a description of the circumstances relating to each offense or for the pending charges and the outcome of the proceedings.

**SECOND SHEET**

Medical Marijuana Program

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E-mail: consumer@ct.gov • Website: www.ct.gov/depart/mmp**Section C: Licenses, Permits and Registrations**

Provide information regarding all state licenses, permits or registrations ever held, current or expired, by you. Attach additional pages if necessary.

13. State CT	14. Issue Date (month/year): MOUNTAIN TOP MARIJUANA 3/16/2012 Expiration Date (month/year): CURRENT	15. Type: SALES TAX + USE	16. Number: 979451
17. State CT	18. Issue Date (month/year): ECLIPSE BUDS 1/1/99 Expiration Date (month/year): CURRENT	19. Type: SALES TAX + USE	20. Number: 6749311/000

Section D: Legal Proceedings

21. Have you, or has any entity over which you exercised management or control, had any petition filed by or against you, or otherwise sought relief under, any provision of the Federal Bankruptcy Act or under any State insolvency law in the last ten year period?

☐ Yes ☒ No

If the answer above is "yes", attach a statement providing the details of such proceeding or petition.

22. Have you, or has any entity over which you exercised management or control, ever had a professional license, permit or registration in Connecticut, or any other State, suspended, revoked or otherwise subjected to disciplinary action?

☐ Yes ☒ No

If the answer above is "yes", attach a statement providing the date(s), the type of license, permit or registration at issue, and a description of the circumstances relating to each suspension, revocation or other disciplinary action.

23. Are you a party to any legal proceedings where damages, fines or civil penalties may reasonably be expected to exceed \$500,000 above any insurance coverage available to cover the claim?

☐ Yes ☒ No

If the answer above is "yes", attach a statement describing the litigation, including the title and docket number of the litigation, the name and location of the court before which it is pending, the identify of all parties to the litigation, the general nature of the claims being made and the impact an unfavorable opinion may have on your ability to serve as a backer for the applicant.

24. Have you, or has any entity over which you exercised management or control, ever had any fines or other penalties over \$10,000 assessed by any regulatory agency?

☐ Yes ☒ No

If the answer above is "yes", attach a statement providing the details of such fines or penalties.

Section E: Criminal Actions25. Have you ever been convicted of a crime or received a suspended sentence, deferred sentence, or forfeited bail for any offense in criminal or military court or do you have any charges pending? ☐ Yes ☒ No

If the answer above is "yes", attach a statement providing the date(s) of conviction(s), name of individual(s) involved, the court(s) where the case(s) were decided, a description of the circumstances relating to each offense or for the pending charges and the outcome of the proceedings.



THIRD SHEET

Medical Marijuana Program

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E-mail: licensing@ct.gov • Website: www.ct.gov/dcomp/mmp**Section C: Licenses, Permits and Registrations**

Provide information regarding all state licenses, permits or registrations ever held, current or expired, by you. Attach additional pages if necessary.

13. State CT	14. Issue Date (month/year): 9/14/12 Expiration Date (month/year): CURRENT	15. Type: WTBY FOOD SERVICE	16. Number: 2974
17. State	18. Issue Date (month/year): Expiration Date (month/year):	19. Type:	20. Number:

Section D: Legal Proceedings

21. Have you, or has any entity over which you exercised management or control, had any petition filed by or against you, or otherwise sought relief under, any provision of the Federal Bankruptcy Act or under any State insolvency law in the last ten year period?

☐ Yes ☒ No

If the answer above is "yes", attach a statement providing the details of such proceeding or petition.

22. Have you, or has any entity over which you exercised management or control, ever had a professional license, permit or registration in Connecticut, or any other State, suspended, revoked or otherwise subjected to disciplinary action?

☐ Yes ☒ No

If the answer above is "yes", attach a statement providing the date(s), the type of license, permit or registration at issue, and a description of the circumstances relating to each suspension, revocation or other disciplinary action.

23. Are you a party to any legal proceedings where damages, fines or civil penalties may reasonably be expected to exceed \$500,000 above any insurance coverage available to cover the claim?

☐ Yes ☒ No

If the answer above is "yes", attach a statement describing the litigation, including the title and docket number of the litigation, the name and location of the court before which it is pending, the identify of all parties to the litigation, the general nature of the claims being made and the impact an unfavorable opinion may have on your ability to serve as a backer for the applicant.

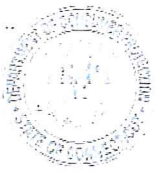
24. Have you, or has any entity over which you exercised management or control, ever had any fines or other penalties over \$10,000 assessed by any regulatory agency?

☐ Yes ☒ No

If the answer above is "yes", attach a statement providing the details of such fines or penalties.

Section E: Criminal Actions25. Have you ever been convicted of a crime or received a suspended sentence, deferred sentence, or forfeited bail for any offense in criminal or military court or do you have any charges pending? ☐ Yes ☒ No

If the answer above is "yes", attach a statement providing the date(s) of conviction(s), name of individual(s) involved, the court(s) where the case(s) were decided, a description of the circumstances relating to each offense or for the pending charges and the outcome of the proceedings.



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E-mail: dep.mmp@ct.gov • Website: www.ct.gov/dep.mmp



Section F: Criminal Background Check

I understand that the department may review criminal background records for purposes of evaluating my suitability to participate in the medical marijuana program. As the backer, or duly authorized representative of the backer, I hereby authorize the release of any and all information of a confidential or privileged nature to the department and its agents.

26. Signature:



27. Date Signed:

10/17/13

I hereby certify that the above information is correct and complete.

I fully understand that if I knowingly make a statement that is untrue and which is intended to mislead the Department of Consumer Protection or any person designated by the Department in the performance of their official function, I will be in violation of Section 53a-157b of the Connecticut General Statutes.

28. Signature:



29. Date Signed:

10/17/13



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Appendix B

Producer Backer Information Form

This form must be completed by each person or entity identified as a producer backer in Appendix A, section J.

Section A: Backer Information

1. Backer business type:

<input checked="checked" type="checkbox"/> Sole Proprietorship	<input type="checkbox"/> Corporation	<input type="checkbox"/> Limited Liability Co.	<input type="checkbox"/> Partnership	<input type="checkbox"/> Limited Liability Partnership	<input type="checkbox"/> Unincorporated Association	<input type="checkbox"/> Other:
--	--------------------------------------	--	--------------------------------------	--	---	---------------------------------

2. Legal Name of Backer:

Mark S Brown

3. Trade Name of Backer (if applicable):

4. Street Address (including Apartment or Suite #):

[REDACTED]

5. City:

[REDACTED]

6. State:

[REDACTED]

7. Zip Code:

[REDACTED]

8. Daytime Telephone Number:

[REDACTED]

9. Fax Number:

[REDACTED]

10. E-mail Address:

[REDACTED]

Section B: Backer Members

If you selected anything other than "Sole Proprietorship" in response to Section A, identify the members of your organization. A member is any person with a direct or indirect ownership interest greater than 5%. Attach additional pages if necessary.

Each member of a backer identified in response to this section must complete either:

- Appendix C if they are also a director, owner, officer or other high-level employee of the producer; or
- Appendix D in all other instances.

11. Name (First, Middle, Last):	12. Percentage of ownership
[REDACTED]	[REDACTED]
[REDACTED]	[REDACTED]
[REDACTED]	[REDACTED]
[REDACTED]	[REDACTED]



Medical Marijuana Program

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Section C: Licenses, Permits and Registrations

Provide information regarding all state licenses, permits or registrations ever held, current or expired, by you. Attach additional pages if necessary.

13. State NA	14. Issue Date (month/year): Expiration Date (month/year):	15. Type: /	16. Number: /
17. State NA	18. Issue Date (month/year): Expiration Date (month/year):	19. Type: /	20. Number: /

Section D: Legal Proceedings

21. Have you, or has any entity over which you exercised management or control, had any petition filed by or against you, or otherwise sought relief under, any provision of the Federal Bankruptcy Act or under any State insolvency law in the last ten year period?

☐ Yes ☒ No

If the answer above is "yes", attach a statement providing the details of such proceeding or petition.

22. Have you, or has any entity over which you exercised management or control, ever had a professional license, permit or registration in Connecticut, or any other State, suspended, revoked or otherwise subjected to disciplinary action?

☐ Yes ☒ No

If the answer above is "yes", attach a statement providing the date(s), the type of license, permit or registration at issue, and a description of the circumstances relating to each suspension, revocation or other disciplinary action.

23. Are you a party to any legal proceedings where damages, fines or civil penalties may reasonably be expected to exceed \$500,000 above any insurance coverage available to cover the claim?

☐ Yes ☒ No

If the answer above is "yes", attach a statement describing the litigation, including the title and docket number of the litigation, the name and location of the court before which it is pending, the identify of all parties to the litigation, the general nature of the claims being made and the impact an unfavorable opinion may have on your ability to serve as a backer for the applicant.

24. Have you, or has any entity over which you exercised management or control, ever had any fines or other penalties over \$10,000 assessed by any regulatory agency?

☐ Yes ☒ No

If the answer above is "yes", attach a statement providing the details of such fines or penalties.

Section E: Criminal Actions

25. Have you ever been convicted of a crime or received a suspended sentence, deferred sentence, or forfeited bail for any offense in criminal or military court or do you have any charges pending? ☐ Yes ☒ No

If the answer above is "yes", attach a statement providing the date(s) of conviction(s), name of individual(s) involved, the court(s) where the case(s) were decided, a description of the circumstances relating to each offense or for the pending charges and the outcome of the proceedings.



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Section F: Criminal Background Check

I understand that the department may review criminal background records for purposes of evaluating my suitability to participate in the medical marijuana program. As the backer, or duly authorized representative of the backer, I hereby authorize the release of any and all information of a confidential or privileged nature to the department and its agents.

26. Signature:



27. Date Signed:

10.23.2013

I hereby certify that the above information is correct and complete.

I fully understand that if I knowingly make a statement that is untrue and which is intended to mislead the Department of Consumer Protection or any person designated by the Department in the performance of their official function, I will be in violation of Section 53a-157b of the Connecticut General Statutes.

28. Signature:



29. Date Signed:

10.23.2013



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Appendix B Producer Backer Information Form

This form must be completed by each person or entity identified as a producer backer in Appendix A, section J.

Section A: Backer Information						
1. Backer business type:						
<input checked="" type="checkbox"/> Sole Proprietorship	<input type="checkbox"/> Corporation	<input type="checkbox"/> Limited Liability Co.	<input type="checkbox"/> Partnership	<input type="checkbox"/> Limited Liability Partnership	<input type="checkbox"/> Unincorporated Association	<input type="checkbox"/> Other:
2. Legal Name of Backer: Thomas William Arena						
3. Trade Name of Backer (if applicable):						
4. Street Address (including Apartment or Suite #):						
5. City:						
6. State:						
7. Zip Code:						
8. Daytime Telephone Number:		9. Fax Number:		10. E-mail Address:		
Section B: Backer Members						
If you selected anything other than "Sole Proprietorship" in response to Section A, identify the members of your organization. A member is any person with a direct or indirect ownership interest greater than 5%. Attach additional pages if necessary.						
Each member of a backer identified in response to this section must complete either:						
<ul style="list-style-type: none">• Appendix C if they are also a director, owner, officer or other high-level employee of the producer; or• Appendix D in all other instances.						
11. Name (First, Middle, Last):					12. Percentage of ownership	



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Section C: Licenses, Permits and Registrations

Provide information regarding all state licenses, permits or registrations ever held, current or expired, by you. Attach additional pages if necessary.

13. State MA	14. Issue Date (month/year): 08/12 Expiration Date (month/year): 08/14	15. Type: Construction Supervisor	16. Number: CS-078945
17. State MA	18. Issue Date (month/year): 01/12 Expiration Date (month/year): 01/14	19. Type: Home Improvement Con	20. Number: 170925

Section D: Legal Proceedings

21. Have you, or has any entity over which you exercised management or control, had any petition filed by or against you, or otherwise sought relief under, any provision of the Federal Bankruptcy Act or under any State insolvency law in the last ten year period?

☐ Yes ☒ No

If the answer above is "yes", attach a statement providing the details of such proceeding or petition.

22. Have you, or has any entity over which you exercised management or control, ever had a professional license, permit or registration in Connecticut, or any other State, suspended, revoked or otherwise subjected to disciplinary action?

☐ Yes ☒ No

If the answer above is "yes", attach a statement providing the date(s), the type of license, permit or registration at issue, and a description of the circumstances relating to each suspension, revocation or other disciplinary action.

23. Are you a party to any legal proceedings where damages, fines or civil penalties may reasonably be expected to exceed \$500,000 above any insurance coverage available to cover the claim?

☐ Yes ☒ No

If the answer above is "yes", attach a statement describing the litigation, including the title and docket number of the litigation, the name and location of the court before which it is pending, the identify of all parties to the litigation, the general nature of the claims being made and the impact an unfavorable opinion may have on your ability to serve as a backer for the applicant.

24. Have you, or has any entity over which you exercised management or control, ever had any fines or other penalties over \$10,000 assessed by any regulatory agency?

☐ Yes ☒ No

If the answer above is "yes", attach a statement providing the details of such fines or penalties.

Section E: Criminal Actions

25. Have you ever been convicted of a crime or received a suspended sentence, deferred sentence, or forfeited bail for any offense in criminal or military court or do you have any charges pending? ☐ Yes ☒ No

If the answer above is "yes", attach a statement providing the date(s) of conviction(s), name of individual(s) involved, the court(s) where the case(s) were decided, a description of the circumstances relating to each offense or for the pending charges and the outcome of the proceedings.



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Section F: Criminal Background Check

I understand that the department may review criminal background records for purposes of evaluating my suitability to participate in the medical marijuana program. As the backer, or duly authorized representative of the backer, I hereby authorize the release of any and all information of a confidential or privileged nature to the department and its agents.

26. Signature:



27. Date Signed:

10/02/13

I hereby certify that the above information is correct and complete.

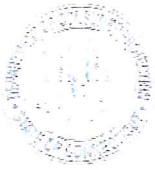
I fully understand that if I knowingly make a statement that is untrue and which is intended to mislead the Department of Consumer Protection or any person designated by the Department in the performance of their official function, I will be in violation of Section 53a-157b of the Connecticut General Statutes.

28. Signature:



29. Date Signed:

10/02/2013



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Appendix B

Producer Backer Information Form

This form must be completed by each person or entity identified as a producer backer in Appendix A, section J.

Section A: Backer Information

1. Backer business type:						
<input type="checkbox"/> Sole Proprietorship	<input type="checkbox"/> Corporation	<input type="checkbox"/> Limited Liability Co.	<input type="checkbox"/> Partnership	<input type="checkbox"/> Limited Liability Partnership	<input type="checkbox"/> Unincorporated Association	<input checked="" type="checkbox"/> Other: <u>Personal</u>
2. Legal Name of Backer: <u>EVAN Ernest Koslow</u>						
3. Trade Name of Backer (if applicable):						
4. Street Address (including Apartment or Suite #):						
5. City: [REDACTED]						
6. State: [REDACTED]				7. Zip Code: [REDACTED]		
8. Daytime Telephone Number: [REDACTED]			9. Fax Number:		10. E-mail Address: [REDACTED]	

Section B: Backer Members

If you selected anything other than "Sole Proprietorship" in response to Section A, identify the members of your organization. A member is any person with a direct or indirect ownership interest greater than 5%. Attach additional pages if necessary.

Each member of a backer identified in response to this section must complete either:

- Appendix C if they are also a director, owner, officer or other high-level employee of the producer; or
- Appendix D in all other instances.

11. Name (First, Middle, Last): <u>EVAN Ernest Koslow</u>	12. Percentage of ownership



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Section C: Licenses, Permits and Registrations

Provide information regarding all state licenses, permits or registrations ever held, current or expired, by you. Attach additional pages if necessary.

13. State	14. Issue Date (month/year): <i>none</i> Expiration Date (month/year):	15. Type:	16. Number:
17. State	18. Issue Date (month/year): Expiration Date (month/year):	19. Type:	20. Number:

Section D: Legal Proceedings

21. Have you, or has any entity over which you exercised management or control, had any petition filed by or against you, or otherwise sought relief under, any provision of the Federal Bankruptcy Act or under any State insolvency law in the last ten year period?

☐ Yes ☒ No

If the answer above is "yes", attach a statement providing the details of such proceeding or petition.

22. Have you, or has any entity over which you exercised management or control, ever had a professional license, permit or registration in Connecticut, or any other State, suspended, revoked or otherwise subjected to disciplinary action?

☐ Yes ☒ No

If the answer above is "yes", attach a statement providing the date(s), the type of license, permit or registration at issue, and a description of the circumstances relating to each suspension, revocation or other disciplinary action.

23. Are you a party to any legal proceedings where damages, fines or civil penalties may reasonably be expected to exceed \$500,000 above any insurance coverage available to cover the claim?

☐ Yes ☒ No

If the answer above is "yes", attach a statement describing the litigation, including the title and docket number of the litigation, the name and location of the court before which it is pending, the identify of all parties to the litigation, the general nature of the claims being made and the impact an unfavorable opinion may have on your ability to serve as a backer for the applicant.

24. Have you, or has any entity over which you exercised management or control, ever had any fines or other penalties over \$10,000 assessed by any regulatory agency?

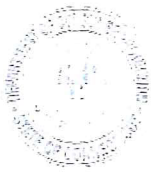
☐ Yes ☒ No

If the answer above is "yes", attach a statement providing the details of such fines or penalties.

Section E: Criminal Actions

25. Have you ever been convicted of a crime or received a suspended sentence, deferred sentence, or forfeited bail for any offense in criminal or military court or do you have any charges pending? ☐ Yes ☒ No

If the answer above is "yes", attach a statement providing the date(s) of conviction(s), name of individual(s) involved, the court(s) where the case(s) were decided, a description of the circumstances relating to each offense or for the pending charges and the outcome of the proceedings.



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Section F: Criminal Background Check

I understand that the department may review criminal background records for purposes of evaluating my suitability to participate in the medical marijuana program. As the backer, or duly authorized representative of the backer, I hereby authorize the release of any and all information of a confidential or privileged nature to the department and its agents.

26. Signature:



[Redacted Signature]

27. Date Signed:

08 Nov 2013

I hereby certify that the above information is correct and complete.

I fully understand that if I knowingly make a statement that is untrue and which is intended to mislead the Department of Consumer Protection or any person designated by the Department in the performance of their official function, I will be in violation of Section 53a-157b of the Connecticut General Statutes.

28. Signature:



[Redacted Signature]

29. Date Signed:

08 Nov 2013



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Appendix B

Producer Backer Information Form

This form must be completed by each person or entity identified as a producer backer in Appendix A, section J.

Section A: Backer Information

1. Backer business type:						
<input type="checkbox"/> Sole Proprietorship	<input type="checkbox"/> Corporation	<input checked="" type="checkbox"/> Limited Liability Co.	<input type="checkbox"/> Partnership	<input type="checkbox"/> Limited Liability Partnership	<input type="checkbox"/> Unincorporated Association	<input type="checkbox"/> Other:
2. Legal Name of Backer: <i>KCAP Realty LLC; wholly-owned subsidiary of KOSLOW Technologies Corp Charitable Remainder Trust</i>						
3. Trade Name of Backer (if applicable):						
4. Street Address (including Apartment or Suite #): <i>400 PARK ROAD</i>						
5. City: <i>WATERTOWN</i>				6. State: <i>CT</i>	7. Zip Code: <i>06795</i>	
8. Daytime Telephone Number: <i>214 952-8123</i>		9. Fax Number:			10. E-mail Address: <i>eeekinventor@gmail.com</i>	

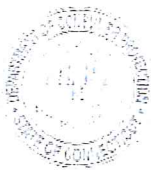
Section B: Backer Members

If you selected anything other than "Sole Proprietorship" in response to Section A, identify the members of your organization. A member is any person with a direct or indirect ownership interest greater than 5%. Attach additional pages if necessary.

Each member of a backer identified in response to this section must complete either:

- Appendix C if they are also a director, owner, officer or other high-level employee of the producer; or
- Appendix D in all other instances.

11. Name (First, Middle, Last):	12. Percentage of ownership
<i>EVAN ERNEST KOSLOW, TRUSTEE</i>	
<i>KOSLOW Technologies Corporation Charitable Remainder</i>	
<i>TRUST - wholly owns KCAP Realty LLC</i>	
<i>LADAN ZARRABI, TRUSTEE</i>	



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Section C: Licenses, Permits and Registrations

Provide information regarding all state licenses, permits or registrations ever held, current or expired, by you. Attach additional pages if necessary.

13. State	14. Issue Date (month/year): Expiration Date (month/year):	15. Type:	16. Number:
	N. A.		
17. State	18. Issue Date (month/year): Expiration Date (month/year):	19. Type:	20. Number:

Section D: Legal Proceedings

21. Have you, or has any entity over which you exercised management or control, had any petition filed by or against you, or otherwise sought relief under, any provision of the Federal Bankruptcy Act or under any State insolvency law in the last ten year period?

☒ Yes ☐ No

If the answer above is "yes", attach a statement providing the details of such proceeding or petition.

22. Have you, or has any entity over which you exercised management or control, ever had a professional license, permit or registration in Connecticut, or any other State, suspended, revoked or otherwise subjected to disciplinary action?

☐ Yes ☒ No

If the answer above is "yes", attach a statement providing the date(s), the type of license, permit or registration at issue, and a description of the circumstances relating to each suspension, revocation or other disciplinary action.

23. Are you a party to any legal proceedings where damages, fines or civil penalties may reasonably be expected to exceed \$500,000 above any insurance coverage available to cover the claim?

☐ Yes ☒ No

If the answer above is "yes", attach a statement describing the litigation, including the title and docket number of the litigation, the name and location of the court before which it is pending, the identify of all parties to the litigation, the general nature of the claims being made and the impact an unfavorable opinion may have on your ability to serve as a backer for the applicant.

24. Have you, or has any entity over which you exercised management or control, ever had any fines or other penalties over \$10,000 assessed by any regulatory agency?

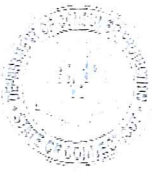
☐ Yes ☒ No

If the answer above is "yes", attach a statement providing the details of such fines or penalties.

Section E: Criminal Actions

25. Have you ever been convicted of a crime or received a suspended sentence, deferred sentence, or forfeited bail for any offense in criminal or military court or do you have any charges pending? ☐ Yes ☒ No

If the answer above is "yes", attach a statement providing the date(s) of conviction(s), name of individual(s) involved, the court(s) where the case(s) were decided, a description of the circumstances relating to each offense or for the pending charges and the outcome of the proceedings.



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Section F: Criminal Background Check

I understand that the department may review criminal background records for purposes of evaluating my suitability to participate in the medical marijuana program. As the backer, or duly authorized representative of the backer, I hereby authorize the release of any and all information of a confidential or privileged nature to the department and its agents.

26. Signature:



[Redacted Signature]

27. Date Signed:

07 Nov 2013

I hereby certify that the above information is correct and complete.

I fully understand that if I knowingly make a statement that is untrue and which is intended to mislead the Department of Consumer Protection or any person designated by the Department in the performance of their official function, I will be in violation of Section 53a-157b of the Connecticut General Statutes.

28. Signature:



[Redacted Signature]

29. Date Signed:

07 Nov 2013

Koslow Technologies Corporation Charitable Remainder Trust

APPENDIX B. SECTION D 21. Bankruptcy. The Koslow Technologies Corporation Charitable Remainder Trust invests in a wide range of ventures, not managed by the trust but by independent management. In some cases, these ventures fail and enter bankruptcy. During the past five years, three such ventures have entered bankruptcy. The first is Revere Security in the State of Texas (entered bankruptcy in 2012). The second is TapAcquisition LLC, also entering bankruptcy in 2012 in the state of Texas. The third was Emerald Coast LLC (coffee shops), which was a venture in the State of Florida that filed for bankruptcy in 2009. Our firm directly managed none of these ventures and we were a passive investor.

**Appendix C. Directors, Owners, Officers or
Other High-Level Employees Background
Information Forms**



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Appendix C

Directors, Owners, Officers or Other High-Level Employees Background Information Form

To be completed by all persons identified in your response to Appendix A, section K.

Section A: Personal Information

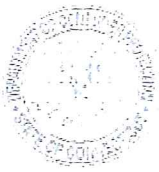
1. Name (First, Middle, Last): CHRISTOPHER G WERSHOVEN		
2. Street Address (including Apartment or Suite #): [REDACTED]		
3. City: [REDACTED]	4. State: [REDACTED]	5. Zip Code: [REDACTED]
6. Title: PRESIDENT	7. Telephone Number: [REDACTED]	8. E-mail Address: [REDACTED]
9. Date of Birth: [REDACTED]	10. Social Security Number: [REDACTED]	11. Gender: <input checked="" type="checkbox"/> Male <input type="checkbox"/> Female

Section B: Employment Information

12. Current or Most Recent Employer: (SELF) MOUNTAIN TOP MUSHROOMS LLC		13. Date of Employment: Start Date: SEPT 2007 End Date: CURRENT	
14. Employer Address (including Apartment or Suite #): 200 CHASE RIVER RD.			
15. City: WATERBURY		16. State: CT	17. Zip Code: 06704
18. Daytime Telephone Number: [REDACTED]	19. Fax Number: [REDACTED]	20. E-mail Address: CANAMED@COMCAST.NET	

Section C: Marijuana or Agriculture Business Experience

21. Other than the applicant, do you have any experience controlling, managing, operating or working for a marijuana or agriculture business? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
22. Other than the applicant, are you currently associated with a marijuana or agriculture business in any state or country? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
23. If you answered "yes" to question 21 or 22, attach a statement setting forth the following information for each marijuana or agriculture business with which you have been associated: <ul style="list-style-type: none">• The business name;• Products or services offered;• The business location;• All titles and responsibilities held by you at the business, including the time frame for each;• The dates of your association with the business;• Whether you currently have a role at the business and, if not, when your involvement terminated and why; and• Whether the business was ever alleged to have violated the laws or regulations of the state or country in which it operates during the time period when you were associated with the business and, if so, the nature and resolution of those allegations.	



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Section D: Other Relevant Business Experience

24. Do you have any experience controlling, managing, operating or working for any other business that you believe may be relevant to the department's evaluation of the applicant with whom you are associated?

☐ Yes ☒ No

25. If you answered "yes" to question 24, attach a statement setting forth the following information for each such business with which you have been associated:

- The business name;
- Products or services offered;
- The business location;
- All titles and responsibilities held by you at the business, including the time frame for each;
- The dates of your association with the business;
- Whether you currently have a role at the business and, if not, when your involvement terminated and why;
- Whether the business was ever alleged to have violated the laws or regulations of the state or country in which it operates during the time period when you were associated with the business and, if so, the nature and resolution of those allegations; and
- How this experience is relevant to the department's evaluation of the RFA response of the applicant with whom you are associated.

Section E: Licenses, Permits and Registrations

Provide information regarding all state licenses, permits and registrations ever held, current or expired by you. Attach additional pages if necessary.

26. State CT	27. Issue Date (month/year): NOV 2001 <i>INFO FROM DCP SEC.</i> Expiration Date (month/year): CURRENT	28. Type: HOME IMPROVEMENT	29. Number: 573065
30. State CT	31. Issue Date (month/year): NOV 2004 <i>INFO FROM DCP SEC.</i> Expiration Date (month/year): NOV 2008	32. Type: NEW HOME	33. Number: 9248

Section F: Legal Proceedings

34. Have you, or has any entity over which you exercised management or control, had any petition filed by or against you, or otherwise sought relief under, any provision of the Federal Bankruptcy Act or under any State insolvency law in the last ten year period?

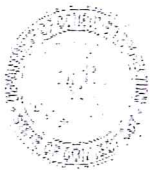
☐ Yes ☒ No

If the answer above is "yes", attach a statement providing the details of such proceeding or petition.

35. Have you, or has any entity over which you exercised management or control, ever had a professional license, permit or registration in Connecticut, or any other State, suspended, revoked or otherwise subjected to disciplinary action?

☐ Yes ☒ No

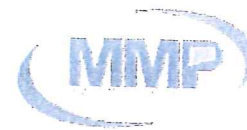
If the answer above is "yes", attach a statement providing the date(s), the type of license, permit or registration at issue, and a description of the circumstances relating to each suspension, revocation or other disciplinary action.



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ADDITIONAL PAGE

Section D: Other Relevant Business Experience

24. Do you have any experience controlling, managing, operating or working for any other business that you believe may be relevant to the department's evaluation of the applicant with whom you are associated?

☐ Yes ☐ No

25. If you answered "yes" to question 24, attach a statement setting forth the following information for each such business with which you have been associated:

- The business name;
- Products or services offered;
- The business location;
- All titles and responsibilities held by you at the business, including the time frame for each;
- The dates of your association with the business;
- Whether you currently have a role at the business and, if not, when your involvement terminated and why;
- Whether the business was ever alleged to have violated the laws or regulations of the state or country in which it operates during the time period when you were associated with the business and, if so, the nature and resolution of those allegations; and
- How this experience is relevant to the department's evaluation of the RFA response of the applicant with whom you are associated.

Section E: Licenses, Permits and Registrations

Provide information regarding all state licenses, permits and registrations ever held, current or expired by you. Attach additional pages if necessary.

26. State CT	27. Issue Date (month/year): MOUNTAIN INSURANCE 3/16/2012 Expiration Date (month/year): CURRENT	28. Type: SALES TAX + USE	29. Number: 979451
30. State CT	31. Issue Date (month/year): ECLIPSE BUILDERS 1/1/99 Expiration Date (month/year): CURRENT	32. Type: SALES TAX + USE	33. Number: 6749311/000

Section F: Legal Proceedings

34. Have you, or has any entity over which you exercised management or control, had any petition filed by or against you, or otherwise sought relief under, any provision of the Federal Bankruptcy Act or under any State insolvency law in the last ten year period?

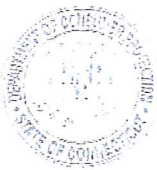
☐ Yes ☐ No

If the answer above is "yes", attach a statement providing the details of such proceeding or petition.

35. Have you, or has any entity over which you exercised management or control, ever had a professional license, permit or registration in Connecticut, or any other State, suspended, revoked or otherwise subjected to disciplinary action?

☐ Yes ☐ No

If the answer above is "yes", attach a statement providing the date(s), the type of license, permit or registration at issue, and a description of the circumstances relating to each suspension, revocation or other disciplinary action.



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ADDITIONAL PAGE

Section D: Other Relevant Business Experience

24. Do you have any experience controlling, managing, operating or working for any other business that you believe may be relevant to the department's evaluation of the applicant with whom you are associated?

☐ Yes ☐ No

25. If you answered "yes" to question 24, attach a statement setting forth the following information for each such business with which you have been associated:

- The business name;
- Products or services offered;
- The business location;
- All titles and responsibilities held by you at the business, including the time frame for each;
- The dates of your association with the business;
- Whether you currently have a role at the business and, if not, when your involvement terminated and why;
- Whether the business was ever alleged to have violated the laws or regulations of the state or country in which it operates during the time period when you were associated with the business and, if so, the nature and resolution of those allegations; and
- How this experience is relevant to the department's evaluation of the RFA response of the applicant with whom you are associated.

Section E: Licenses, Permits and Registrations

Provide information regarding all state licenses, permits and registrations ever held, current or expired by you. Attach additional pages if necessary.

26. State	27. Issue Date (month/year): 9/14/12 Expiration Date (month/year): CURRENT	28. Type: WTBY FOOD SERVICE	29. Number: 2974
30. State	31. Issue Date (month/year): Expiration Date (month/year):	32. Type:	33. Number:

Section F: Legal Proceedings

34. Have you, or has any entity over which you exercised management or control, had any petition filed by or against you, or otherwise sought relief under, any provision of the Federal Bankruptcy Act or under any State insolvency law in the last ten year period?

☐ Yes ☐ No

If the answer above is "yes", attach a statement providing the details of such proceeding or petition.

35. Have you, or has any entity over which you exercised management or control, ever had a professional license, permit or registration in Connecticut, or any other State, suspended, revoked or otherwise subjected to disciplinary action?

☐ Yes ☐ No

If the answer above is "yes", attach a statement providing the date(s), the type of license, permit or registration at issue, and a description of the circumstances relating to each suspension, revocation or other disciplinary action.



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36. Are you a party to any legal proceedings where damages, fines or civil penalties may reasonably be expected to exceed \$500,000 above any insurance coverage available to cover the claim?

☐ Yes ☒ No

If the answer above is "yes", attach a statement describing the litigation, including the title and docket number of the litigation, the name and location of the court before which it is pending, the identify of all parties to the litigation, the general nature of the claims being made and the impact an unfavorable opinion may have on the applicant or the applicant's operations.

37. Have you, or has any entity over which you exercised management or control, ever had any fines or other penalties over \$10,000 assessed by any regulatory agency?

☐ Yes ☒ No

If the answer above is "yes", attach a statement providing the details of such fines or penalties.

Section G: Criminal Actions

38. Have you ever been convicted of a crime or received a suspended sentence, deferred sentence, or forfeited bail for any offense in criminal or military court or do you have any charges pending? ☐ Yes ☒ No

If the answer above is "yes", attach a statement providing the date(s) of conviction(s), name of individual(s) involved, the court(s) where the case(s) were decided, a description of the circumstances relating to each offense or for the pending charges and the outcome of the proceedings.

Section H: Criminal Background Check

I understand that the department may review criminal background records for purposes of evaluating my suitability to participate in the medical marijuana program. I hereby authorize the release of any and all information of a confidential or privileged nature to the department and its agents.

39. Signature:



40. Date Signed:

10/17/13

I hereby certify that the above information is correct and complete.

I fully understand that if I knowingly make a statement that is untrue and which is intended to mislead the Department of Consumer Protection or any person designated by the Department in the performance of their official function, I will be in violation of Section 53a-157b of the Connecticut General Statutes.

41. Signature:



42. Date Signed:

10/17/13

Appendix C # 23

Business name: Mountaintop Mushrooms LLC.

Products and services: I grow gourmet and medicinal mushrooms. I create my own agar and liquid cultures in my laboratory. That allows me to make my own spawn. This spawn then grows in an incubation room which finally allows me to inoculate pasteurized and sterilized grow mediums that I developed over the last 6 years. We sell our mushrooms to chefs and businesses throughout Ct.

Business location: 200 Chase River Rd. Waterbury Ct. 06704

Titles and responsibilities: I am the owner and do every job at the farm . I primarily work in the Lab and incubation room. This work is performed approx 4 days a week .

Dates of association with business: I started learning this industry approx. Sept 2007. and continue presently.

Alleged violations of laws or regulations: I have operated Mountaintop Mushrooms in complete compliance with all laws and regulations and pride myself in doing so. I have been visited by State Ag. Dept, Waterbury Health, Waterbury weights and measures dept and many other state and local reps. We are well liked and sought after for both product and information.



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Appendix C

Directors, Owners, Officers or Other High-Level Employees Background Information Form

To be completed by all persons identified in your response to Appendix A, section K.

Section A: Personal Information

1. Name (First, Middle, Last): Daniel B. Balling		
2. Street Address (including Apartment or Suite #): [REDACTED]		
3. City: [REDACTED]	4. State: [REDACTED]	5. Zip Code: [REDACTED]
6. Title: VP	7. Telephone Number: [REDACTED]	8. E-mail Address: [REDACTED]
9. Date of Birth: [REDACTED]	10. Social Security Number: [REDACTED]	11. Gender: <input checked="" type="checkbox"/> Male <input type="checkbox"/> Female

Section B: Employment Information

12. Current or Most Recent Employer: DB Pharmacy Inc., dba Dan's Pharmacy		13. Date of Employment: Start Date: 12/12/1999 End Date: :	
14. Employer Address (including Apartment or Suite #): 110 Pleasant St			
15. City: Nantucket		16. State: MA	17. Zip Code: 02554
18. Daytime Telephone Number: (508) 825-9100	19. Fax Number: (508) 825-2154	20. E-mail Address: dansgnprx@aol.com	

Section C: Marijuana or Agriculture Business Experience

21. Other than the applicant, do you have any experience controlling, managing, operating or working for a marijuana or agriculture business?
☐ Yes ☒ No

22. Other than the applicant, are you currently associated with a marijuana or agriculture business in any state or country?
☐ Yes ☒ No

23. If you answered "yes" to question 21 or 22, attach a statement setting forth the following information for each marijuana or agriculture business with which you have been associated:

- The business name;
- Products or services offered;
- The business location;
- All titles and responsibilities held by you at the business, including the time frame for each;
- The dates of your association with the business;
- Whether you currently have a role at the business and, if not, when your involvement terminated and why; and
- Whether the business was ever alleged to have violated the laws or regulations of the state or country in which it operates during the time period when you were associated with the business and, if so, the nature and resolution of those allegations.



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Section D: Other Relevant Business Experience

24. Do you have any experience controlling, managing, operating or working for any other business that you believe may be relevant to the department's evaluation of the applicant with whom you are associated?

☒ Yes ☐ No

25. If you answered "yes" to question 24, attach a statement setting forth the following information for each such business with which you have been associated:

- The business name;
- Products or services offered;
- The business location;
- All titles and responsibilities held by you at the business, including the time frame for each;
- The dates of your association with the business;
- Whether you currently have a role at the business and, if not, when your involvement terminated and why;
- Whether the business was ever alleged to have violated the laws or regulations of the state or country in which it operates during the time period when you were associated with the business and, if so, the nature and resolution of those allegations; and
- How this experience is relevant to the department's evaluation of the RFA response of the applicant with whom you are associated.

Section E: Licenses, Permits and Registrations

Provide information regarding all state licenses, permits and registrations ever held, current or expired by you. Attach additional pages if necessary.

26. State	27. Issue Date (month/year): 12/12	28. Type:	29. Number:
MA	Expiration Date (month/year): 12/14	PHARMACIST	PH20362
30. State	31. Issue Date (month/year):	32. Type:	33. Number:
MA	Expiration Date (month/year): 12/13	DRIVERS	S15179202

Section F: Legal Proceedings

34. Have you, or has any entity over which you exercised management or control, had any petition filed by or against you, or otherwise sought relief under, any provision of the Federal Bankruptcy Act or under any State insolvency law in the last ten year period?

☐ Yes ☒ No

If the answer above is "yes", attach a statement providing the details of such proceeding or petition.

35. Have you, or has any entity over which you exercised management or control, ever had a professional license, permit or registration in Connecticut, or any other State, suspended, revoked or otherwise subjected to disciplinary action?

☐ Yes ☒ No

If the answer above is "yes", attach a statement providing the date(s), the type of license, permit or registration at issue, and a description of the circumstances relating to each suspension, revocation or other disciplinary action.



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36. Are you a party to any legal proceedings where damages, fines or civil penalties may reasonably be expected to exceed \$500,000 above any insurance coverage available to cover the claim?

☐ Yes ☒ No

If the answer above is "yes", attach a statement describing the litigation, including the title and docket number of the litigation, the name and location of the court before which it is pending, the identify of all parties to the litigation, the general nature of the claims being made and the impact an unfavorable opinion may have on the applicant or the applicant's operations.

37. Have you, or has any entity over which you exercised management or control, ever had any fines or other penalties over \$10,000 assessed by any regulatory agency?

☐ Yes ☒ No

If the answer above is "yes", attach a statement providing the details of such fines or penalties.

Section G: Criminal Actions

38. Have you ever been convicted of a crime or received a suspended sentence, deferred sentence, or forfeited bail for any offense in criminal or military court or do you have any charges pending? ☐ Yes ☒ No

If the answer above is "yes", attach a statement providing the date(s) of conviction(s), name of individual(s) involved, the court(s) where the case(s) were decided, a description of the circumstances relating to each offense or for the pending charges and the outcome of the proceedings.

Section H: Criminal Background Check

I understand that the department may review criminal background records for purposes of evaluating my suitability to participate in the medical marijuana program. I hereby authorize the release of any and all information of a confidential or privileged nature to the department and its agents.

39. Signature



40. Date Signed:

10-15-2013

I hereby certify that the above information is correct and complete.

I fully understand that if I knowingly make a statement that is untrue and which is intended to mislead the Department of Consumer Protection or any person designated by the Department in the performance of their official function, I will be in violation of Section 53a-157b of the Connecticut General Statutes.

41. Signature:



42. Date Signed:

10-15-2013



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Appendix C

Directors, Owners, Officers or Other High-Level Employees Background Information Form

To be completed by all persons identified in your response to Appendix A, section K.

Section A: Personal Information			
1. Name (First, Middle, Last): Thomas William Arena			
2. Street Address (including Apartment or Suite #): [REDACTED]			
3. City: [REDACTED]		4. State: [REDACTED]	5. Zip Code: [REDACTED]
6. Title: Treasurer		7. Telephone Number: [REDACTED]	8. E-mail Address: [REDACTED]
9. Date of Birth: [REDACTED]	10. Social Security Number: [REDACTED]		11. Gender: <input checked="" type="checkbox"/> Male <input type="checkbox"/> Female

Section B: Employment Information			
12. Current or Most Recent Employer: Arena Construction, Inc		13. Date of Employment: Start Date: 02/04/2003 End Date: 10/02/2013	
14. Employer Address (including Apartment or Suite #): [REDACTED]			
15. City: [REDACTED]		16. State: [REDACTED]	17. Zip Code: [REDACTED]
18. Daytime Telephone Number: [REDACTED]	19. Fax Number: [REDACTED]		20. E-mail Address: [REDACTED]

Section C: Marijuana or Agriculture Business Experience	
21. Other than the applicant, do you have any experience controlling, managing, operating or working for a marijuana or agriculture business? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
22. Other than the applicant, are you currently associated with a marijuana or agriculture business in any state or country? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
23. If you answered "yes" to question 21 or 22, attach a statement setting forth the following information for each marijuana or agriculture business with which you have been associated: <ul style="list-style-type: none">• The business name;• Products or services offered;• The business location;• All titles and responsibilities held by you at the business, including the time frame for each;• The dates of your association with the business;• Whether you currently have a role at the business and, if not, when your involvement terminated and why; and• Whether the business was ever alleged to have violated the laws or regulations of the state or country in which it operates during the time period when you were associated with the business and, if so, the nature and resolution of those allegations.	



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Section D: Other Relevant Business Experience

24. Do you have any experience controlling, managing, operating or working for any other business that you believe may be relevant to the department's evaluation of the applicant with whom you are associated?

☒ Yes ☐ No

25. If you answered "yes" to question 24, attach a statement setting forth the following information for each such business with which you have been associated:

- The business name;
- Products or services offered;
- The business location;
- All titles and responsibilities held by you at the business, including the time frame for each;
- The dates of your association with the business;
- Whether you currently have a role at the business and, if not, when your involvement terminated and why;
- Whether the business was ever alleged to have violated the laws or regulations of the state or country in which it operates during the time period when you were associated with the business and, if so, the nature and resolution of those allegations; and
- How this experience is relevant to the department's evaluation of the RFA response of the applicant with whom you are associated.

Section E: Licenses, Permits and Registrations

Provide information regarding all state licenses, permits and registrations ever held, current or expired by you. Attach additional pages if necessary.

26. State MA	27. Issue Date (month/year): 08/12 Expiration Date (month/year): 08/14	28. Type: Construction Superviso	29. Number: CS-078945
30. State MA	31. Issue Date (month/year): 01/12 Expiration Date (month/year): 01/14	32. Type: Home Improvement Co	33. Number: 170925

Section F: Legal Proceedings

34. Have you, or has any entity over which you exercised management or control, had any petition filed by or against you, or otherwise sought relief under, any provision of the Federal Bankruptcy Act or under any State insolvency law in the last ten year period?

☐ Yes ☒ No

If the answer above is "yes", attach a statement providing the details of such proceeding or petition.

35. Have you, or has any entity over which you exercised management or control, ever had a professional license, permit or registration in Connecticut, or any other State, suspended, revoked or otherwise subjected to disciplinary action?

☐ Yes ☒ No

If the answer above is "yes", attach a statement providing the date(s), the type of license, permit or registration at issue, and a description of the circumstances relating to each suspension, revocation or other disciplinary action.

Appendix C; Section D: Other relevant business experience.

-Business name: Arena Construction, Inc

- Products or services offered: Full service general contracting specializing in custom new homes and renovations

-Location: Arena Construction, Inc, 2 Greglen Ave, # 380, Nantucket, Ma 02554. (508)325-4666, tommy@arena-construction.com

-All titles and responsibilities held at the business, including time frame for each. : President and owner. Responsible for oversight, management and coordination of all phases of construction projects. 2000-Present day.

- The dates of your association with the business: 2000- Present day.

- Whether you currently have a role at the business and, if not, when your involvement terminated and why.
I am currently the president and owner of Arena Construction, Inc

- Whether the business was ever alleged to have violated The laws or regulations of the state or county in which it operates during the time period when you were associated with the business and if so the nature and resolution of those allegations: Arena Construction, Inc has never been alleged to violate any laws or regulation in the Commonwealth of Massachusetts or the county of Nantucket.

- How this experience is relevant to the department's evaluation of the RFA response of the applicant with whom you are associated: I have held an unrestricted construction supervisors license as well as a Board of Building Regulators Home Improvement contractors license issued by the Commonwealth of Massachusetts for in excess of ten, (10) years. I have been engaged in the construction industry for in excess of twenty, (20) years, and I am the principal and owner of a General contracting and Construction company responsible for the oversight, management and coordination of multi-million dollar new construction and renovation projects. My work encompasses commercial, residential and Historic home preservation within Nantucket County, Massachusetts. To maintain the aforementioned licenses I am required to undergo bi-annual renewal and oversight by the Commonwealth's licensing Boards. As a principal of an active construction firm I am responsible for the creation and implementation of very detailed oriented construction contracts. I am similarly responsible for the oversight of all sub contractors and the necessary coordination of, often conflicting, construction schedules and certainly quality control. Interpersonal skills are of paramount importance when dealing with the coordination of numerous third parties, all of whom have deadlines and financial consequences based on the decisions I control. I am also responsible for the application and presentation before licensing Boards and Commissions with respect to the various permitting and multi agency review of construction project applications and the implementation of the conditions imposed by governmental boards and agencies relative to conditioned permits.

While evaluating this application the Department's consideration of my credentials will provide the assurance the department needs to determine the applicant, with whom I am affiliated, will be able to draw upon my experience to assist and coordinate in analyzing potential and existing employees; the effectiveness of management, control and operating procedures of the production facility, and the interaction with the various commercial and governmental third parties.



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36. Are you a party to any legal proceedings where damages, fines or civil penalties may reasonably be expected to exceed \$500,000 above any insurance coverage available to cover the claim?

☐ Yes ☒ No

If the answer above is "yes", attach a statement describing the litigation, including the title and docket number of the litigation, the name and location of the court before which it is pending, the identify of all parties to the litigation, the general nature of the claims being made and the impact an unfavorable opinion may have on the applicant or the applicant's operations.

37. Have you, or has any entity over which you exercised management or control, ever had any fines or other penalties over \$10,000 assessed by any regulatory agency?

☐ Yes ☒ No

If the answer above is "yes", attach a statement providing the details of such fines or penalties.

Section G: Criminal Actions

38. Have you ever been convicted of a crime or received a suspended sentence, deferred sentence, or forfeited bail for any offense in criminal or military court or do you have any charges pending? ☐ Yes ☒ No

If the answer above is "yes", attach a statement providing the date(s) of conviction(s), name of individual(s) involved, the court(s) where the case(s) were decided, a description of the circumstances relating to each offense or for the pending charges and the outcome of the proceedings.

Section H: Criminal Background Check

I understand that the department may review criminal background records for purposes of evaluating my suitability to participate in the medical marijuana program. I hereby authorize the release of any and all information of a confidential or privileged nature to the department and its agents.

39. Signature:



40. Date Signed:

10/02/2013

I hereby certify that the above information is correct and complete.

I fully understand that if I knowingly make a statement that is untrue and which is intended to mislead the Department of Consumer Protection or any person designated by the Department in the performance of their official function, I will be in violation of Section 53a-157b of the Connecticut General Statutes.

41. Signature:



42. Date Signed:

10/02/2013



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Appendix C

Directors, Owners, Officers or Other High-Level Employees Background Information Form

To be completed by all persons identified in your response to Appendix A, section K.

Section A: Personal Information

1. Name (First, Middle, Last):

PAUL George DUTRA

2. Street Address (including Apartment or Suite #):

3. City:

4. State:

5. Zip Code:

6. Title:

7. Telephone Number:

8. E-mail Address:

9. Date of Birth:

10. Social Security Number:

11. Gender:

☒ Male ☐ Female

Section B: Employment Information

12. Current or Most Recent Employer:

Glenmark Generics Inc USA

13. Date of Employment:

Start Date: June 2003

End Date: Presently employed

14. Employer Address (including Apartment or Suite #):

750 Corporate drive

15. City:

Mahwah

16. State:

NJ

17. Zip Code:

07430

18. Daytime Telephone Number:

201 684-8002

19. Fax Number:

20. E-mail Address:

Pauld@glenmark-generics.com

Section C: Marijuana or Agriculture Business Experience

21. Other than the applicant, do you have any experience controlling, managing, operating or working for a marijuana or agriculture business?

☐ Yes ☒ No

22. Other than the applicant, are you currently associated with a marijuana or agriculture business in any state or country?

☐ Yes ☒ No

23. If you answered "yes" to question 21 or 22, attach a statement setting forth the following information for each marijuana or agriculture business with which you have been associated:

- ☐ The business name;
- ☐ Products or services offered;
- ☐ The business location;
- ☐ All titles and responsibilities held by you at the business, including the time frame for each;
- ☐ The dates of your association with the business;
- ☐ Whether you currently have a role at the business and, if not, when your involvement terminated and why; and
- ☐ Whether the business was ever alleged to have violated the laws or regulations of the state or country in which it operates during the time period when you were associated with the business and, if so, the nature and resolution of those allegations.



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Section D: Other Relevant Business Experience

24. Do you have any experience controlling, managing, operating or working for any other business that you believe may be relevant to the department's evaluation of the applicant with whom you are associated?

☒ Yes ☐ No

25. If you answered "yes" to question 24, attach a statement setting forth the following information for each such business with which you have been associated:

- ☐ The business name;
- ☐ Products or services offered;
- ☐ The business location;
- ☐ All titles and responsibilities held by you at the business, including the time frame for each;
- ☐ The dates of your association with the business;
- ☐ Whether you currently have a role at the business and, if not, when your involvement terminated and why;
- ☐ Whether the business was ever alleged to have violated the laws or regulations of the state or country in which it operates during the time period when you were associated with the business and, if so, the nature and resolution of those allegations; and
- ☐ How this experience is relevant to the department's evaluation of the RFA response of the applicant with whom you are associated.

Section E: Licenses, Permits and Registrations

Provide information regarding all state licenses, permits and registrations ever held, current or expired by you. Attach additional pages if necessary.

26. State	27. Issue Date (month/year): Expiration Date (month/year):	28. Type:	29. Number:
30. State	31. Issue Date (month/year): Expiration Date (month/year):	32. Type:	33. Number:

Section F: Legal Proceedings

34. Have you, or has any entity over which you exercised management or control, had any petition filed by or against you, or otherwise sought relief under, any provision of the Federal Bankruptcy Act or under any State insolvency law in the last ten year period?

☐ Yes ☒ No

If the answer above is "yes", attach a statement providing the details of such proceeding or petition.

35. Have you, or has any entity over which you exercised management or control, ever had a professional license, permit or registration in Connecticut, or any other State, suspended, revoked or otherwise subjected to disciplinary action?

☐ Yes ☒ No

If the answer above is "yes", attach a statement providing the date(s), the type of license, permit or registration at issue, and a description of the circumstances relating to each suspension, revocation or other disciplinary action.

Appendix C, Section D, Question 25.

Question 1. Glenmark Generics Inc. USA

Question 2. Generic Pharmaceutical products including Schedule II controlled substances

Question 3. 750 Corporate Drive, Mahwah, NJ

Question 4. Executive Vice President North America Generics

Question 5. June 2003 - Present

Question 6. Active Role

Question 7. No

Question 8.

I am currently the Executive Vice President for North American Generics at Glenmark Generics located in Mahwah NJ, and have held this role since 2003.

Glenmark Generics operates a Pharmaceutical distribution facility which contains Schedule II controlled pharmaceutical products as well as other ethical products that are not considered controlled substances. As part of my role of overall control of the North American generics unit, I am the head of distribution for the organization. Glenmark US was founded in 2003 and through the start up process of setting up the company I have gained a strong knowledge of SOPs for distribution of controlled substances which will be helpful to Canamed once we start distributing the products from our facility. I was also instrumental in the design and installation of both a CII vault as well as a CIV cage in conjunction with the regulatory authorities and the Drug Enforcement Agency in New Jersey which are needed at Canamed for compliance with Connecticut state statutes. My knowledge of the start up phase, coupled with real world experience at running a pharmaceutical company, and the regulatory aspects needed to comply with State and Federal regulations are a positive benefit to the organization. Prior to Glenmark Generics I was the Director of Sales of Dr. Reddys Laboratories, a company based out of Upper Saddle River NJ from 2001-2003, and was instrumental in setting up the Sales and Marketing functions for the company as well as all distribution within the United States and Puerto Rico.



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36. Are you a party to any legal proceedings where damages, fines or civil penalties may reasonably be expected to exceed \$500,000 above any insurance coverage available to cover the claim?

☐ Yes ☒ No

If the answer above is "yes", attach a statement describing the litigation, including the title and docket number of the litigation, the name and location of the court before which it is pending, the identify of all parties to the litigation, the general nature of the claims being made and the impact an unfavorable opinion may have on the applicant or the applicant's operations.

37. Have you, or has any entity over which you exercised management or control, ever had any fines or other penalties over \$10,000 assessed by any regulatory agency?

☐ Yes ☒ No

If the answer above is "yes", attach a statement providing the details of such fines or penalties.

Section G: Criminal Actions

38. Have you ever been convicted of a crime or received a suspended sentence, deferred sentence, or forfeited bail for any offense in criminal or military court or do you have any charges pending? ☐ Yes ☒ No

If the answer above is "yes", attach a statement providing the date(s) of conviction(s), name of individual(s) involved, the court(s) where the case(s) were decided, a description of the circumstances relating to each offense or for the pending charges and the outcome of the proceedings.

Section H: Criminal Background Check

I understand that the department may review criminal background records for purposes of evaluating my suitability to participate in the medical marijuana program. I hereby authorize the release of any and all information of a confidential or privileged nature to the department and its agents.

39. Signature:

[Redacted Signature]

40. Date Signed:

11.6.13

I hereby certify that the above information is correct and complete.

I fully understand that if I knowingly make a statement that is untrue and which is intended to mislead the Department of Consumer Protection or any person designated by the Department in the performance of their official function, I will be in violation of Section 53a-157b of the Connecticut General Statutes.

41. Signature:

[Redacted Signature]

42. Date Signed:

11.6.13



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Appendix C

Directors, Owners, Officers or Other High-Level Employees Background Information Form

To be completed by all persons identified in your response to Appendix A, section K.

Section A: Personal Information

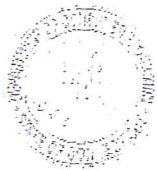
1. Name (First, Middle, Last): Evan Ernest Koslow			
2. Street Address (including Apartment or Suite #): [REDACTED]			
3. City: [REDACTED]		4. State: [REDACTED]	5. Zip Code: [REDACTED]
6. Title: Future Technical Director		7. Telephone Number: [REDACTED]	8. E-mail Address: [REDACTED]
9. Date of Birth: [REDACTED]	10. Social Security Number: [REDACTED]		11. Gender: <input checked="" type="checkbox"/> Male <input type="checkbox"/> Female

Section B: Employment Information

12. Current or Most Recent Employer: GABAE Development LLC		13. Date of Employment: Start Date: End Date: :	
14. Employer Address (including Apartment or Suite #): 63 Brewster Place			
15. City: Cambridge		16. State: Ontario	17. Zip Code: N3C 3T8
18. Daytime Telephone Number: 226 338-0053	19. Fax Number: -		20. E-mail Address: gmbeckett@gmail.com

Section C: Marijuana or Agriculture Business Experience

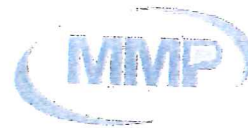
21. Other than the applicant, do you have any experience controlling, managing, operating or working for a marijuana or agriculture business? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
22. Other than the applicant, are you currently associated with a marijuana or agriculture business in any state or country? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
23. If you answered "yes" to question 21 or 22, attach a statement setting forth the following information for each marijuana or agriculture business with which you have been associated: <u>SEE ATTACHED.</u> <ul style="list-style-type: none">• The business name;• Products or services offered;• The business location;• All titles and responsibilities held by you at the business, including the time frame for each;• The dates of your association with the business;• Whether you currently have a role at the business and, if not, when your involvement terminated and why; and• Whether the business was ever alleged to have violated the laws or regulations of the state or country in which it operates during the time period when you were associated with the business and, if so, the nature and resolution of those allegations.	



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Section D: Other Relevant Business Experience

24. Do you have any experience controlling, managing, operating or working for any other business that you believe may be relevant to the department's evaluation of the applicant with whom you are associated?

☒ Yes ☐ No *SEE ATTACHED*

25. If you answered "yes" to question 24, attach a statement setting forth the following information for each such business with which you have been associated: *SEE ATTACHED*

- The business name;
- Products or services offered;
- The business location;
- All titles and responsibilities held by you at the business, including the time frame for each;
- The dates of your association with the business;
- Whether you currently have a role at the business and, if not, when your involvement terminated and why;
- Whether the business was ever alleged to have violated the laws or regulations of the state or country in which it operates during the time period when you were associated with the business and, if so, the nature and resolution of those allegations; and
- How this experience is relevant to the department's evaluation of the RFA response of the applicant with whom you are associated.

Section E: Licenses, Permits and Registrations

Provide information regarding all state licenses, permits and registrations ever held, current or expired by you. Attach additional pages if necessary.

26. State	27. Issue Date (month/year): Expiration Date (month/year): <i>None</i>	28. Type:	29. Number:
30. State	31. Issue Date (month/year): Expiration Date (month/year):	32. Type:	33. Number:

Section F: Legal Proceedings

34. Have you, or has any entity over which you exercised management or control, had any petition filed by or against you, or otherwise sought relief under, any provision of the Federal Bankruptcy Act or under any State insolvency law in the last ten year period?

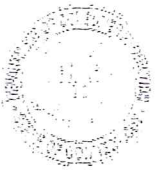
☒ Yes ☐ No *SEE ATTACHED*

If the answer above is "yes", attach a statement providing the details of such proceeding or petition.

35. Have you, or has any entity over which you exercised management or control, ever had a professional license, permit or registration in Connecticut, or any other State, suspended, revoked or otherwise subjected to disciplinary action?

☐ Yes ☒ No

If the answer above is "yes", attach a statement providing the date(s), the type of license, permit or registration at issue, and a description of the circumstances relating to each suspension, revocation or other disciplinary action.



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36. Are you a party to any legal proceedings where damages, fines or civil penalties may reasonably be expected to exceed \$500,000 above any insurance coverage available to cover the claim?

☐ Yes ☒ No

If the answer above is "yes", attach a statement describing the litigation, including the title and docket number of the litigation, the name and location of the court before which it is pending, the identify of all parties to the litigation, the general nature of the claims being made and the impact an unfavorable opinion may have on the applicant or the applicant's operations.

37. Have you, or has any entity over which you exercised management or control, ever had any fines or other penalties over \$10,000 assessed by any regulatory agency?

☐ Yes ☒ No

If the answer above is "yes", attach a statement providing the details of such fines or penalties.


Section G: Criminal Actions

38. Have you ever been convicted of a crime or received a suspended sentence, deferred sentence, or forfeited bail for any offense in criminal or military court or do you have any charges pending? ☐ Yes ☒ No

If the answer above is "yes", attach a statement providing the date(s) of conviction(s), name of individual(s) involved, the court(s) where the case(s) were decided, a description of the circumstances relating to each offense or for the pending charges and the outcome of the proceedings.

Section H: Criminal Background Check

I understand that the department may review criminal background records for purposes of evaluating my suitability to participate in the medical marijuana program. I hereby authorize the release of any and all information of a confidential or privileged nature to the department and its agents.


39. Signature: 

40. Date Signed:

07 NOV 2013

I hereby certify that the above information is correct and complete.

I fully understand that if I knowingly make a statement that is untrue and which is intended to mislead the Department of Consumer Protection or any person designated by the Department in the performance of their official function, I will be in violation of Section 53a-157b of the Connecticut General Statutes.

41. Signature: 

42. Date Signed:

07 NOV 2013

Evan E. Koslow

Appendix C. Section C 21.

I own a farm in Vermont that produces maple syrup, hay, cord wood, saw logs, and grazes beef cattle. Farm is managed in coordination with two neighbors. Farm is approximately 280 acres. No prior production of marijuana.

Section C. 22.

Only my farm in Vermont.

SECTION C. 23.

Business Name: Mt. Ephreim Farm

Products: Maple syrup, cord wood, saw logs, hay, beef cattle.

Location: 480 Dutton District Road, Springfield, VT

Ownership and Time Period: Owner since 1999 to current.

Associated with business: 1999 to current

Current involvement: Current owner.

Violations: None

SECTION D. 24.

I was the founder and owner of KX Industries for 16 years, producer of certified health-claim water filters for numerous large companies (Brita, General Electric, Electrolux/Frigidaire, American Standard, Sub-Zero, etc.) . Products met federal and state requirements for trace metal, VOC, TTHM, particulate, microbiological reduction standards as well as NSF Standards 53 and 48. The QC/QA operations of this type of business are similar to those required by the State of Connecticut for the production of medical marijuana.

Section D.25.

Business Name: KX Industries, L.P.

Products: Health claim water filters

Location: 180 Osborne Street, Orange, CT

Titles: CEO

Dates Associated: 1989-2006

Current Role: None. Business sold in 2006 to Berkshire Hathaway.

Violations: None

Relevant Experience: Involved creation and continuous production of a product with multiple health claims, complex QC/QA regimen and requiring continuous R&D development of new products and technologies. Products certified at the state and federal level to rigorous NSF International standards (ANSI/NSF). In this business, the quality control department is actually larger than the manufacturing department. We are certifying for trace metal, trace organic, microbiological interception (cysts, virus, bacteria) as well as particulate reduction using laser particle counting, and aesthetic performance (chlorine, taste and odor reduction).

Section F.34.

I was the trustee of a trust that invested during the past five years in many ventures. Three of these filed for bankruptcy in the time frame 2009-2012. These ventures were not owned, operated, or managed directly by me. They were passive investments by the trust that was managed by me.



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Appendix C Directors, Owners, Officers or Other High-Level Employees Background Information Form

To be completed by all persons identified in your response to Appendix A, section K.

Section A: Personal Information

1. Name (First, Middle, Last): <u>Brien F Beakey</u>			
2. Street Address (including Apartment or Suite #): [REDACTED]			
3. City: [REDACTED]		4. State: <u>CT</u>	5. Zip Code: [REDACTED]
6. Title: [REDACTED]		7. Telephone Number: [REDACTED]	8. E-mail Address: [REDACTED]
9. Date of Birth: [REDACTED]	10. Social Security Number: [REDACTED]		11. Gender: <input checked="" type="checkbox"/> Male <input type="checkbox"/> Female

Section B: Employment Information

12. Current or Most Recent Employer: <u>The Health Consultants Group LLC</u>		13. Date of Employment: <u>5/94</u> Start Date: <u>5/94</u> End Date: <u>N/A</u>	
14. Employer Address (including Apartment or Suite #): <u>40 Corporate Ave</u>			
15. City: <u>Plainville</u>		16. State: <u>CT</u>	17. Zip Code: <u>06062</u>
18. Daytime Telephone Number: [REDACTED]	19. Fax Number: <u>860 351-0139</u>		20. E-mail Address: [REDACTED]

Section C: Marijuana or Agriculture Business Experience

21. Other than the applicant, do you have any experience controlling, managing, operating or working for a marijuana or agriculture business? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
22. Other than the applicant, are you currently associated with a marijuana or agriculture business in any state or country? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
23. If you answered "yes" to question 21 or 22, attach a statement setting forth the following information for each marijuana or agriculture business with which you have been associated: <ul style="list-style-type: none">• The business name;• Products or services offered;• The business location;• All titles and responsibilities held by you at the business, including the time frame for each;• The dates of your association with the business;• Whether you currently have a role at the business and, if not, when your involvement terminated and why; and• Whether the business was ever alleged to have violated the laws or regulations of the state or country in which it operates during the time period when you were associated with the business and, if so, the nature and resolution of those allegations.	



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Section D: Other Relevant Business Experience

24. Do you have any experience controlling, managing, operating or working for any other business that you believe may be relevant to the department's evaluation of the applicant with whom you are associated?

☐ Yes ☒ No

25. If you answered "yes" to question 24, attach a statement setting forth the following information for each such business with which you have been associated:

- The business name;
- Products or services offered;
- The business location;
- All titles and responsibilities held by you at the business, including the time frame for each;
- The dates of your association with the business;
- Whether you currently have a role at the business and, if not, when your involvement terminated and why;
- Whether the business was ever alleged to have violated the laws or regulations of the state or country in which it operates during the time period when you were associated with the business and, if so, the nature and resolution of those allegations; and
- How this experience is relevant to the department's evaluation of the RFA response of the applicant with whom you are associated.

Section E: Licenses, Permits and Registrations

Provide information regarding all state licenses, permits and registrations ever held, current or expired by you. Attach additional pages if necessary.

26. State CT	27. Issue Date (month/year): 1983 Expiration Date (month/year):	28. Type: Insurance Producer	29. Number:
30. State	31. Issue Date (month/year): Expiration Date (month/year):	32. Type:	33. Number:

Section F: Legal Proceedings

34. Have you, or has any entity over which you exercised management or control, had any petition filed by or against you, or otherwise sought relief under, any provision of the Federal Bankruptcy Act or under any State insolvency law in the last ten year period?

☐ Yes ☒ No

If the answer above is "yes", attach a statement providing the details of such proceeding or petition.

35. Have you, or has any entity over which you exercised management or control, ever had a professional license, permit or registration in Connecticut, or any other State, suspended, revoked or otherwise subjected to disciplinary action?

☐ Yes ☒ No

If the answer above is "yes", attach a statement providing the date(s), the type of license, permit or registration at issue, and a description of the circumstances relating to each suspension, revocation or other disciplinary action.



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36. Are you a party to any legal proceedings where damages, fines or civil penalties may reasonably be expected to exceed \$500,000 above any insurance coverage available to cover the claim?

☐ Yes ☒ No

If the answer above is "yes", attach a statement describing the litigation, including the title and docket number of the litigation, the name and location of the court before which it is pending, the identify of all parties to the litigation, the general nature of the claims being made and the impact an unfavorable opinion may have on the applicant or the applicant's operations.

37. Have you, or has any entity over which you exercised management or control, ever had any fines or other penalties over \$10,000 assessed by any regulatory agency?

☐ Yes ☒ No

If the answer above is "yes", attach a statement providing the details of such fines or penalties.

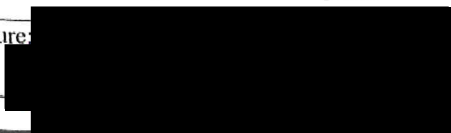
Section G: Criminal Actions

38. Have you ever been convicted of a crime or received a suspended sentence, deferred sentence, or forfeited bail for any offense in criminal or military court or do you have any charges pending? ☐ Yes ☒ No

If the answer above is "yes", attach a statement providing the date(s) of conviction(s), name of individual(s) involved, the court(s) where the case(s) were decided, a description of the circumstances relating to each offense or for the pending charges and the outcome of the proceedings.

Section H: Criminal Background Check

I understand that the department may review criminal background records for purposes of evaluating my suitability to participate in the medical marijuana program. I hereby authorize the release of any and all information of a confidential or privileged nature to the department and its agents.


39. Signature: 

40. Date Signed:

11/12/13

I hereby certify that the above information is correct and complete.

I fully understand that if I knowingly make a statement that is untrue and which is intended to mislead the Department of Consumer Protection or any person designated by the Department in the performance of their official function, I will be in violation of Section 53a-157b of the Connecticut General Statutes.

41. Signature: 

42. Date Signed:

11/12/13

**Appendix D. Backer Member Authorization for
Release of Personal History**



Medical Marijuana Program



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Appendix D Backer Members

Authorization for Release of Personal History Form

This form must be completed and signed by any member of a Backer that is not required to complete Appendix C.

Section A: Member Information

1. Name (First, Middle, Last):
Sheryl Ann Brown

2. Street Address (including Apartment or Suite #):
[REDACTED]

3. City:
[REDACTED]

4. State:
[REDACTED]

5. Zip Code:
[REDACTED]

6. Daytime Phone Number:
[REDACTED]

7. Fax Number:
[REDACTED]

8. E-mail Address:
[REDACTED]

Section B: Criminal Actions

9. Have you ever been convicted of a crime or received a suspended sentence, deferred sentence, or forfeited bail for any offense in criminal or military court or do you have any charges pending? ☐ Yes ☒ No

If the answer above is "yes", attach a statement providing the date(s) of conviction(s), name of individual(s) involved, the court(s) where the case(s) were decided, a description of the circumstances relating to each offense or for the pending charges and the outcome of the proceedings.

Section C: Criminal Background Check

I understand that the department may review criminal background records for purposes of evaluating my suitability to participate in the medical marijuana program. I hereby authorize the release of any and all information of a confidential or privileged nature to the department and its agents.

10. Signature:
[REDACTED]

11. Date Signed:
11/5/13

I hereby certify that the above information is correct and complete.

I fully understand that if I knowingly make a statement that is untrue and which is intended to mislead the Department of Consumer Protection or any person designated by the Department in the performance of their official function, I will be in violation of Section 53a-157b of the Connecticut General Statutes.

12. Signature:
[REDACTED]

13. Date Signed:
11/5/13



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Appendix D Backer Members

Authorization for Release of Personal History Form

This form must be completed and signed by any member of a Backer that is not required to complete Appendix C.

Section A: Member Information

1. Name (First, Middle, Last):

Susan A. Balling

2. Street Address (including Apartment or Suite #):

[REDACTED]

3. City:

Nantucket

4. State:

[REDACTED]

5. Zip Code:

[REDACTED]

6. Daytime Phone Number:

[REDACTED]

7. Fax Number:

[REDACTED]

8. E-mail Address:

[REDACTED]

Section B: Criminal Actions

9. Have you ever been convicted of a crime or received a suspended sentence, deferred sentence, or forfeited bail for any offense in criminal or military court or do you have any charges pending? ☐ Yes ☒ No

If the answer above is "yes", attach a statement providing the date(s) of conviction(s), name of individual(s) involved, the court(s) where the case(s) were decided, a description of the circumstances relating to each offense or for the pending charges and the outcome of the proceedings.

Section C: Criminal Background Check

I understand that the department may review criminal background records for purposes of evaluating my suitability to participate in the medical marijuana program. I hereby authorize the release of any and all information of a confidential or privileged nature to the department and its agents.

10. Signature:

▶ [REDACTED]

11. Date Signed:

10-15-2013

I hereby certify that the above information is correct and complete.

I fully understand that if I knowingly make a statement that is untrue and which is intended to mislead the Department of Consumer Protection or any person designated by the Department in the performance of their official function, I will be in violation of Section 53a-157b of the Connecticut General Statutes.

12. Signature:

▶ [REDACTED]

13. Date Signed:

10-15-2013

MASSACHUSETTS
DRIVER'S LICENSE

EXP 12-12-2013 DOB 12-12-1961
CLASS REST HGT SEX
D 5-08 M
BALLING
DANIEL B

12-12-1961

Daniel B. Balling

12-12-2013

COMMONWEALTH OF MASSACHUSETTS
DEPARTMENT OF PUBLIC HEALTH
BOARD OF REGISTRATION OF
PHARMACY
Pharmacist

Daniel B. Balling

PH20362 12/31/2014 463030

LICENSE NO.	EXPIRATION DATE	SERIAL NO.
PH20362	12/31/2014	463030

Commonwealth of Massachusetts
Firearms Identification Card (M.G.L. c. 140, § 129B)

License Number: [REDACTED] Date of Issue: 03/25/2008 Expiration Date: 03/25/2014

Issuing City/Town: NANTUCKET
Restrictions: None
BALLING, DANIEL B

[REDACTED]

[REDACTED]



110 Pleasant Street Nantucket, MA 02554
508-825-9100

COMMONWEALTH OF MASSACHUSETTS

DEPARTMENT OF PUBLIC HEALTH

BOARD OF REGISTRATION OF
PHARMACY

Certificate of Fitness

Dan's Pharmacy
Daniel B. Balling
110 Pleasant Street
Nantucket MA 02554

CF89748

12/31/2013

304801

LICENSE NO.

EXPIRATION DATE

SERIAL NO.

COMMONWEALTH OF MASSACHUSETTS

DEPARTMENT OF PUBLIC HEALTH

BOARD OF REGISTRATION OF
PHARMACY

Retail Drug Store Permit

Dan's Pharmacy
Daniel B. Balling
110 Pleasant Street
Nantucket MA 02554

DS89748

12/31/2013

304980

LICENSE NO.

EXPIRATION DATE

SERIAL NO.

COMMONWEALTH OF MASSACHUSETTS

DEPARTMENT OF PUBLIC HEALTH

BOARD OF REGISTRATION OF
PHARMACY

Controlled Substance Permit

Dan's Pharmacy
Controlled Substance Schedules II-VI
110 Pleasant Street
Nantucket MA 02554

CS89748

12/31/2013

304879

LICENSE NO.

EXPIRATION DATE

SERIAL NO.



Massachusetts Department of Revenue
Customer Service Bureau
PO Box 7010
Boston, MA 02204

ST-1

SALES AND USE TAX REGISTRATION

The vendor herein named is registered to sell tangible personal property at retail or for resale, pursuant to the General Laws, Chapters 62C, 64H and 64J. This registration is effective only for the registrant at the location specified herein. Any change of name or address must be reported to the Department of Revenue so that a correct ST-1 can be issued.

DE PHARMACY INC
DANS PHARMACY
5 BAYBERRY COURT
NANTUCKET

MA 02554

10/24/99

FREDERICK A. LASKEY

This registration must be displayed for customers to see and is not assignable or transferable.

COMMISSIONER OF REVENUE

DEA REGISTRATION NUMBER	THIS REGISTRATION EXPIRES	FEE PAID
BD6599457	06-30-2014	\$551

SCHEDULES	BUSINESS ACTIVITY	DATE ISSUED
2,2N,3 3N,4,5	RETAIL PHARMACY	05-31-2011

DB PHARMACY,
 DAN'S PHARMACY
 110 PLEASANT ST
 NANTUCKET, MA 02554

CONTROLLED SUBSTANCE REGISTRATION CERTIFICATE
 UNITED STATES DEPARTMENT OF JUSTICE
 DRUG ENFORCEMENT ADMINISTRATION
 WASHINGTON, D.C. 20537

Sections 304 and 1008 (21 U.S.C. 824 and 958) of the Controlled Substances Act of 1970, as amended, provide that the Attorney General may revoke or suspend a registration to manufacture, distribute, dispense, import or export a controlled substance.

THIS CERTIFICATE IS NOT TRANSFERABLE ON CHANGE OF OWNERSHIP, CONTROL, LOCATION, OR BUSINESS ACTIVITY, AND IS NOT VALID AFTER THE EXPIRATION DATE.

Form DEA-223 (05/04)

DEA REGISTRATION NUMBER	THIS REGISTRATION EXPIRES	FEE PAID
BD6599457	06-30-2014	\$551

SCHEDULES	BUSINESS ACTIVITY	DATE ISSUED
2,2N,3 3N,4,5	RETAIL PHARMACY	05-31-2011

DB PHARMACY,
 DAN'S PHARMACY
 110 PLEASANT ST
 NANTUCKET, MA 02554

CONTROLLED SUBSTANCE REGISTRATION CERTIFICATE
 UNITED STATES DEPARTMENT OF JUSTICE
 DRUG ENFORCEMENT ADMINISTRATION
 WASHINGTON, D.C. 20537

Sections 304 and 1008 (21 U.S.C. 824 and 958) of the Controlled Substances Act of 1970, as amended, provide that the Attorney General may revoke or suspend a registration to manufacture, distribute, dispense, import or export a controlled substance.

THIS CERTIFICATE IS NOT TRANSFERABLE ON CHANGE OF OWNERSHIP, CONTROL, LOCATION, BUSINESS ACTIVITY, OR VALID AFTER THE EXPIRATION DATE.

DEA-598
Granted: July-26-2013

ID# 130203447
Expires: July-31-2014



Self-Certification of Compliance

is hereby granted to

DB PHARMACY
DAN'S PHARMACY
110 PLEASANT ST
NANTUCKET, MA 02554

The above referenced entity self-certifies that it is in compliance with all provisions related to the sale of ephedrine, pseudoephedrine, or phenylpropanolamine as required by the

COMBAT METHAMPHETAMINE EPIDEMIC ACT REQUIREMENTS

Self-Certified by

Daniel Balling

The certifier affirms that he/she understands and agrees to comply with all the requirements specified in the Title 21 United States Code, Section 830. Fraudulent statements are subject to 18.U.S.C.1001 penalties.



Appendix C; Section E: Licenses, Permits, and Registrations

For Daniel B. Balling, RPH, President of Dan's Pharmacy

State: MA	Issue Date (month/year): 12/11 Expiration Date (month/year): 12/13	Type: DPH Board of Registration of Pharmacy Certificate of Fitness	Number: CF89748
State: MA	Issue Date (month/year): 12/11 Expiration Date (month/year): 12/13	Type: DPH Board of Registration of Pharmacy Retail Drug Store Permit	Number: DS89748
State: MA	Issue Date (month/year): 12/11 Expiration Date (month/year): 12/13	Type: DPH Board of Registration of Pharmacy Controlled Substance Permit	Number: CS89748
State: MA	Issue Date (month/year): 12/99 Expiration Date (month/year):	Type: MA Department of Revenue Sales and Use Tax Registration	Number: 043-484-047
State: MA	Issue Date (month/year): 03/08 Expiration Date (month/year): 03/14	Type: Commonwealth of MA Firearms Identification Card	Number: 31030970C
State: N/A (FEDERAL)	Issue Date (month/year): 05/11 Expiration Date (month/year): 06/14	Type: DEA US Department of Justice Controlled Substance Registration Certificate	Number: BD6599457

State: N/A	Issue Date (month/year): 07/13 Expiration Date (month/year): 07/14	Type: DEA US Department of Justice Self-Certification of Compliance of Combat Methamphetamine Epidemic Act Requirements	Number: 130203447
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Appendix C; Section D: Other Relevant Business Experience

- Business name;
 - DB Pharmacy Inc., dba Dan's Pharmacy
- Products or services offered;
 - Full service retail pharmacy located in Nantucket, Massachusetts, est. 12/12/1999
- The business location;
 - Dan's Pharmacy/ 508 825-9100, e-mail dansgnprx@aol.com, www.danspharmacy.com
110 Pleasant Street
Nantucket, MA
02554
- All titles and responsibilities held by you at the business, including the time frame of each;
 - I am the President as well as original business owner. I perform all the duties as required by State and Federal Regulations required of the pharmacy Manager of Record. I have been in charge of this business since its inception 12/12/1999. Please note our pharmacy business has never had a complaint or violation of any type since inception.
- The dates of your association with the business;
 - I have been associated with the development and on going business to date as President and Chief Pharmacist.
- Whether you currently have a role at the business and, if not, when your involvement terminated and why;
 - Current President
- Whether the business was ever alleged to have violated the laws or regulations of the state or country in which it operates during the time period when you were associated with the business and, if so, the nature and resolution of those allegations; and
 - Since its inception 12/12/1999 Dan's Pharmacy and all employees of Dan's Pharmacy have not had any violations against them. Including any Medicare or Medicaid fraud, waste or abuse allegations.
- How this experience is relevant to the departments evaluation of the RFA response of the applicant with whom you are associated.
 - I feel my experience of 25 years as a registered pharmacist and 13 years as a pharmacy business owner will give the applicant a strong healthcare member with years of pharmacy experience including extensive pharmacy compounding training and experience. I have intentions of assisting in exploring new dosage forms and research of medical marijuana uses should the applicant be awarded a license.



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Appendix D Backer Members

Authorization for Release of Personal History Form

This form must be completed and signed by any member of a Backer that is not required to complete Appendix C.

Section A: Member Information

1. Name (First, Middle, Last): Mark S Brown		
2. Street Address (including Apartment or Suite #): [REDACTED]		
3. City: So Dennis	4. State: [REDACTED]	5. Zip Code: [REDACTED]
6. Daytime Phone Number: [REDACTED]	7. Fax Number: [REDACTED]	8. E-mail Address: [REDACTED]

Section B: Criminal Actions

9. Have you ever been convicted of a crime or received a suspended sentence, deferred sentence, or forfeited bail for any offense in criminal or military court or do you have any charges pending? ☐ Yes ☒ No

If the answer above is "yes", attach a statement providing the date(s) of conviction(s), name of individual(s) involved, the court(s) where the case(s) were decided, a description of the circumstances relating to each offense or for the pending charges and the outcome of the proceedings.

Section C: Criminal Background Check

I understand that the department may review criminal background records for purposes of evaluating my suitability to participate in the medical marijuana program. I hereby authorize the release of any and all information of a confidential or privileged nature to the department and its agents.

10. Signature: [REDACTED]	11. Date Signed: 11/11/13
------------------------------	------------------------------

I hereby certify that the above information is correct and complete.

I fully understand that if I knowingly make a statement that is untrue and which is intended to mislead the Department of Consumer Protection or any person designated by the Department in the performance of their official function, I will be in violation of Section 53a-157b of the Connecticut General Statutes.

12. Signature: [REDACTED]	13. Date Signed: 11/11/13
------------------------------	------------------------------



Medical Marijuana Program

165 Capitol Avenue, Room 145, Hartford, CT 06106-1630 • (860) 713-6066

E-mail: dep.mmp@ct.gov • Website: www.ct.gov/dep/mmp



Appendix D Backer Members

Authorization for Release of Personal History Form

This form must be completed and signed by any member of a Backer that is not required to complete Appendix C.

Section A: Member Information

1. Name (First, Middle, Last): <u>Ladan Zarrabi</u>		
2. Street Address (including Apartment or Suite #): [REDACTED]		
3. City: [REDACTED]	4. State: [REDACTED]	5. Zip Code: [REDACTED]
6. Daytime Phone Number: [REDACTED]	7. Fax Number: [REDACTED]	8. E-mail Address: [REDACTED]

Section B: Criminal Actions

9. Have you ever been convicted of a crime or received a suspended sentence, deferred sentence, or forfeited bail for any offense in criminal or military court or do you have any charges pending? ☐ Yes ☒ No

If the answer above is "yes", attach a statement providing the date(s) of conviction(s), name of individual(s) involved, the court(s) where the case(s) were decided, a description of the circumstances relating to each offense or for the pending charges and the outcome of the proceedings.

Section C: Criminal Background Check

I understand that the department may review criminal background records for purposes of evaluating my suitability to participate in the medical marijuana program. I hereby authorize the release of any and all information of a confidential or privileged nature to the department and its agents.

10. Signature: [REDACTED]	11. Date Signed: <u>Nov 5 2013</u>
------------------------------	---------------------------------------

I hereby certify that the above information is correct and complete.

I fully understand that if I knowingly make a statement that is untrue and which is intended to mislead the Department of Consumer Protection or any person designated by the Department in the performance of their official function, I will be in violation of Section 53a-157b of the Connecticut General Statutes.

12. Signature: [REDACTED]	13. Date Signed: <u>Nov 5 2013</u>
------------------------------	---------------------------------------

Appendix E. Business Transactions Connected with This Application

Canamed Stock Purchase Agreement

Canamed Inc., By-Laws

Stockholder's Agreement By and Among Canamed, Inc.

STOCK PURCHASE AGREEMENT

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CANAMED, INC.
INCORPORATED UNDER THE LAWS
OF THE STATE OF CONNECTICUT

BY-LAWS

4FN0713 - 145048

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Appendix F. State and Local Authority to Conduct Business

Business registration with the Secretary of State

Tax Permit for the State of Connecticut

**Letter: Ms. Ruth M. Mulcahy, Administrator of Land
Use/Zoning and Enforcement Officer of the Town of
Watertown**

**Letter from Dr. Evan E. Koslow, Trustee, KTC CRT
representing KCAP Realty**

**Lease between Canamed, Inc. and KCAP Realty for 400
Park Road, Watertown facility**

Letter from Watertown Fire Department

Letter from Watertown Building Inspector's Office

SECRETARY OF THE STATE
30 TRINITY STREET
P.O. BOX 150470
HARTFORD, CT 06115-0470

JANUARY 15, 2013

DIANA GLOVER
TCORS
P.O. BOX 58
NEW LONDON, CT 06320

RE: Acceptance of Business Filing

This letter is to confirm the acceptance of a filing for the following business:

CANAMED, INC.

Work Order Number: 2013015697-001
Business Filing Number: 0004782229
Type of Request: CERTIFICATE OF INCORPORATION
File Date/Time: JAN 15 2013 09:00 AM
Effective Date/Time:
Work Order Payment Received: 300.00
Payment Received: 300.00
Credit on Account: .00
Customer Id: 002179312
Business Id: 1089079

LISA SMITH
Commercial Recording Division
860-509-6003
WWW.CONCORD.SOTS.CT.GOV

OFFICE OF SECRETARY
39 TRINITY STREET
HARTFORD, CT. 06106
860-509-6154

Merchant ID: 2425260002

Phone Order

XXXXXXXX3519

VISA

491659

Entry Method: Manual

Total: \$ 300.00

01/15/13

11:11:05

Inwll: 000055

Appr Code: 032154

Apprvd: OnLine

Batch#: 000724

AVS Code: ZIP MATCH Z

CVV2 Code: MATCH H

Customer Copy
THANK YOU!
COME AGAIN!

SECRETARY OF THE STATE
30 TRINITY STREET
P.O. BOX 150470
HARTFORD, CT 06115-0470

JANUARY 15, 2013

DIANA GLOVER
TCORS
P.O. BOX 58
NEW LONDON, CT 06320

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Payment Received: 300.00
Credit on Account: .00
Customer Id: 002179312
Business Id: 1089079

LISA SMITH
Commercial Recording Division
860-509-6003
WWW.CONCORD.SOTS.CT.GOV

OFFICE OF SECRETARY
30 TRINITY STREET
HARTFORD, CT, 06106
860-509-6154

Merchant ID: 2425200902

Phone Order

XXXXXXXX3519 49659
VISA Entry Method: Manual

Total: \$ 300.00

01/15/13 11:11:05

Inv#: 000055 Appr Code: 032154

Apprvd: Online Batch#: 000724

AVS Code: ZIP MATCH Z

CVV2 Code: MATCH H

Customer Copy
THANK YOU!
CODE AGAIN!



STATE OF CONNECTICUT

DEPARTMENT OF REVENUE SERVICES

TWENTY-FIVE SIGOURNEY STREET, SUITE 2

HARTFORD, CONNECTICUT 06106-5032

Corr ID: 1300018493466

Date: 10/18/2013

Dear Taxpayer:

Attached is your sales and use tax or room occupancy tax permit. Please display it conspicuously for your customers to see. Any permit previously issued by the Connecticut Department of Revenue Services (DRS) for the specific location noted on the permit is now void and should be destroyed.

Any change in ownership or form of organization requires a new permit. If your business is sold, transferred, or discontinued, return this permit at once to:

Department of Revenue Services
Registration Section
25 Sigourney St Ste 2
Hartford CT 06106-5032

Enter the last day of business and the name of the successor, if applicable, on the back of the permit. Sign the permit as indicated.

Business and individual taxpayers can use the **Taxpayer Service Center (TSC)** at www.ct.gov/tsc to file a variety of tax returns, update account information, and make payments online.

You may not assign or transfer this permit. Display this permit conspicuously for your customers to see.

Department of Revenue Services
State of Connecticut
25 Sigourney St Ste 2
Hartford CT 06106-5032
R603 (Rev. 07/09)

Sales and Use Tax Permit



The person named below is licensed under the Sales and Use Tax Act. This permit is good **only** for the named permittee and at the location shown. If there is any change in ownership, the permit is null and void.

Use only at this location:

Lic Nbr: 1049928

Date Issued	Expiration Date	Business Start Date	Connecticut Tax Registration Number
10/17/2013	12/31/2018	01/05/2014	60250305-001

CANAMED INC
CANAMED INC
400 PARK RD
WATERTOWN CT 06795-1678

|||||
CANAMED INC
CANAMED INC
400 PARK RD
WATERTOWN CT 06795-1678

Kevin B. Sullivan
Commissioner of Revenue Services

This license may not be transferred or assigned.

STATE OF CONNECTICUT
DEPARTMENT OF REVENUE SERVICES

TWENTY-FIVE SIGOURNEY STREET, SUITE 2 HARTFORD, CONNECTICUT 06106-5032



CANAMED INC
400 PARK RD
WATERTOWN CT 06795-1678

Corr ID: 1300018493467

Date: 10/18/2013

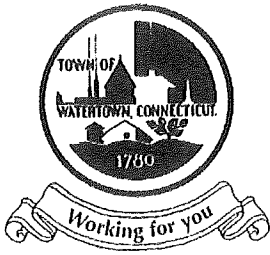
Dear Taxpayer:

Your registration application has been processed and your business entity has been assigned CT Tax Registration Number 60250305. The CT Tax Registration Number along with the correct Location Number should be used on any communications with the Department of Revenue Services (DRS). You should advise us promptly of any change in your business activities. Visit the DRS *Welcome New Business* page at **www.ct.gov/welcomenewbusiness** for information specific to filing and payment options, and to obtain valuable tax information.

Tax Registration Number/Location	Tax Type	Filing Frequency	Start Date	Registration Date
60250305 / 000	Corporation	ANNUAL	01/15/2013	10/17/2013
60250305 / 000	Wage Withholding	QUARTERLY	01/15/2014	10/17/2013
60250305 / 001	Sales and Use	QUARTERLY	01/05/2014	10/17/2013

Connecticut law requires that you file timely tax returns and pay the taxes due for all taxable periods and make the proper remittance, including any penalty or interest due.

We encourage taxpayers to use the **Taxpayer Service Center (TSC)** at www.ct.gov/TSC to file a variety of tax returns, update account information, and make payments online. You can choose to get tax filer information and filing assistance, or can log directly into the **TSC** to file returns and pay taxes. Electronic filing options are available for most tax types. Also, returns may be downloaded from our website. If you require assistance please call DRS during business hours, Monday through Friday at 1-800-382-9463 (Connecticut calls outside the Greater Hartford calling area only); or **860-297-5962** (from anywhere).



TOWN OF WATERTOWN CONNECTICUT WATERTOWN MUNICIPAL CENTER

61 Echo Lake Road

Watertown, Connecticut 06795-2200

Planning and Zoning, Zoning Board of Appeals, Conservation Commission/Inland Wetland Agency

Telephone: (860) 945-5266

Fax: (860) 945-4706

October 2, 2013

TCORS

Attorney William R. Sweeney

43 Broad Street

P.O. Box 58

New London, CT 06320

Re: Request for Zoning Determination for 400 Park Road, Watertown, CT in an I-R 200 Restricted Industrial District Map 74 Block 90 Lot 14.

Dear Attorney Sweeney:

The property located at 400 Park Road is in an I-R 200 Restricted Industrial District. In this district manufacturing, processing or assembly of goods is a permitted use with site plan approval from the Planning and Zoning Commission. Currently, this property has an approval for the manufacturing use. As long as there are no exterior changes to the property, no additional approvals or permits are required from the Planning and Zoning Commission or the Land Use Office to continue the manufacturing use of the property.

It is my understanding that a pharmaceutical manufacturing facility for a medical marijuana producer is considered manufacturing in the State of Connecticut and therefore would continue the approved manufacturing use of the property.

Sincerely yours,

Ruth M. Mulcahy

Administrator of Land Use/Zoning Enforcement Officer

15 October 2013

Mr. Gregg Wershoven, President
Canamed, Incorporated
400 Park Road
Watertown, Connecticut

Dear Gregg:

This is to inform you that KCAP Realty LLC, a wholly-owned subsidiary of Koslow Technologies Corporation Charitable Remainder Trust (KTCCRT) hereby grants Canamed, Incorporated permission to proceed to manufacture medical marijuana within our facility located at 400 Park Road in Watertown, Connecticut in the event that Canamed is granted a Producer's License by the Department of Consumer Protection of the State of Connecticut.

This permission was voted by the trustees of KTCCRT unanimously and is affirmed by the management of KCAP Realty LLC.

Best regards,

Sincerely,

Dr. Evan E. Koslow
Trustee, KTCCRT

LEASE

BETWEEN

**EVAN E. KOSLOW,
TRUSTEE OF THE KOSLOW TECHNOLOGIES
CORPORATION CHARITABLE REMAINDER TRUST
and
KCAP REALTY, LLC
("LESSOR")**

AND

**CANAMED, INC.
("LESSEE")**

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Schedule A - Description of Demised Premises



LARRY BLACK
Chief/Fire Marshal

TOWN OF WATERTOWN
FIRE DEPARTMENT
935 MAIN STREET
WATERTOWN, CONNECTICUT 06795
(860) 945-5220
FAX (860) 945-5223



DAVID HARDT
Deputy Chief

DATE: September 23, 2013
TO: Ruth Mulcahy, Zoning Official
FROM: Larry Black, Fire Chief & Marshal
RE: Canamed, Inc. Proposal

Ruth,

I have spoken to Greg Wershoven (860-919-5264) who represents Canamed, Inc. regarding his proposal to use the property at 400 Park Rd. for a possible medical marijuana growing facility. The building has adequate access for fire apparatus and the water supply is adequate for firefighting tasks. The building is fully protected with sprinklers.

Based on the proposal as verbally given to me and without seeing any site plans I find the use of the building to meet our access and water supply requirements. I

Thank You,

Larry R. Black,

Fire Chief & Marshal
Watertown Fire Department



TOWN OF WATERTOWN CONNECTICUT

Building Inspector's Office
61 Echo Lake Road
Watertown, Connecticut 06795
Tel: 860-945-5264 Fax: 860-945-2704

Mr. Gregg Wershoven
200 Chase River Road
Waterbury, CT 06704

Date: November 7, 2013

Dear Mr. Wershoven

Regarding the facility at, 400 Park Rd. Watertown, CT, that you intend to use for "Pharmaceutical Medicinal Marijuana Production." There are no outstanding open building permits and the building has a current Certificate of Occupancy.

Sincerely,

Dino Radocchio
Building Official

Cc: File

Appendix G. Maps and Diagrams of Land and Facilities Surrounding 400 Park Road.

Google Aerial View with 1200' radius circle from proposed facility

Watertown, CT Map of Surrounding Lands and Building With Property Lines

Figure B.6.a. View of home to north of facility

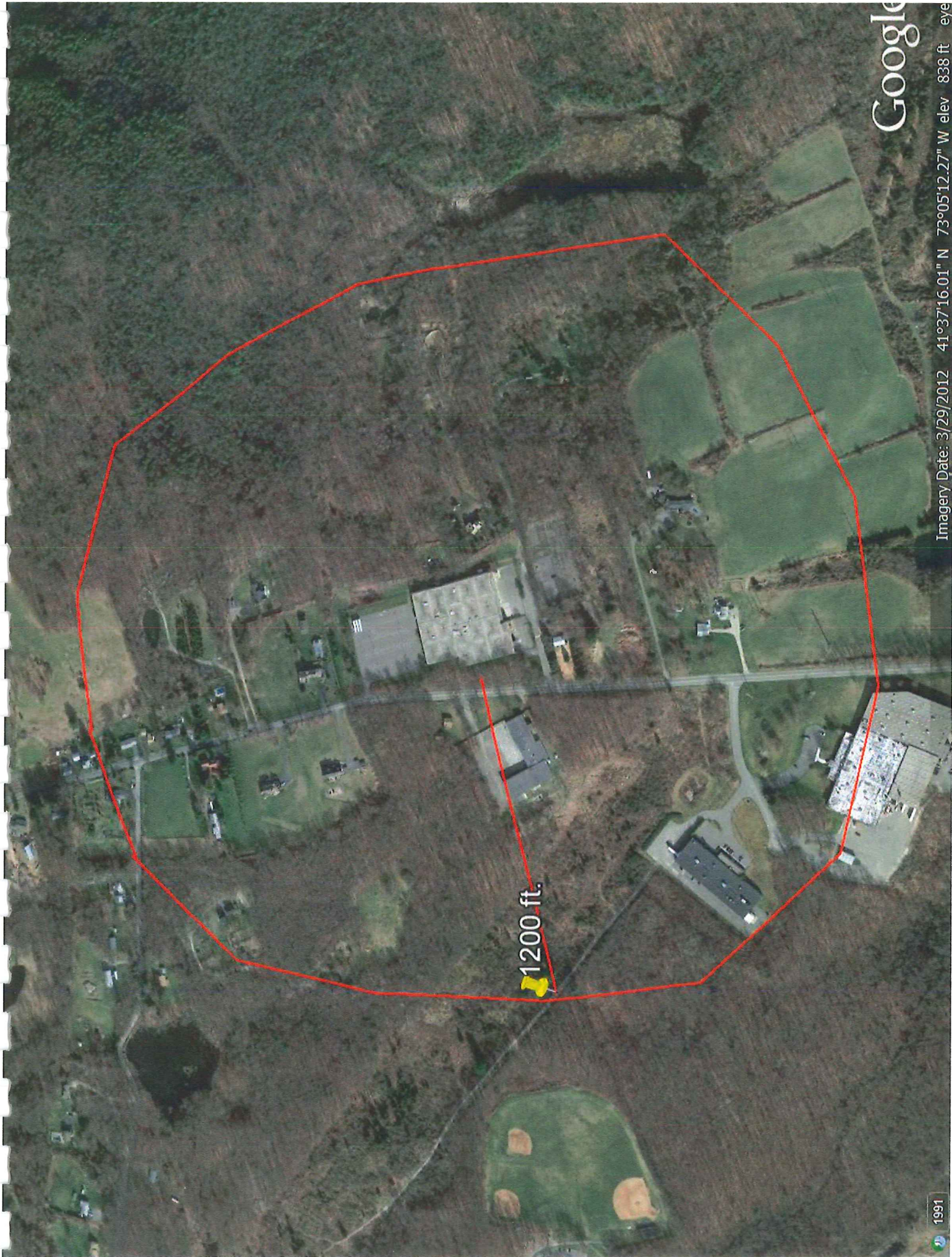
Figure B.6.b. View of unoccupied factory to west of facility

Figure B.6.c. View of unoccupied residence to west of facility

Figure B.6.d. View of occupied residence to south of facility and within visual range

Figure B.6.e. View to east of building showing dense forest

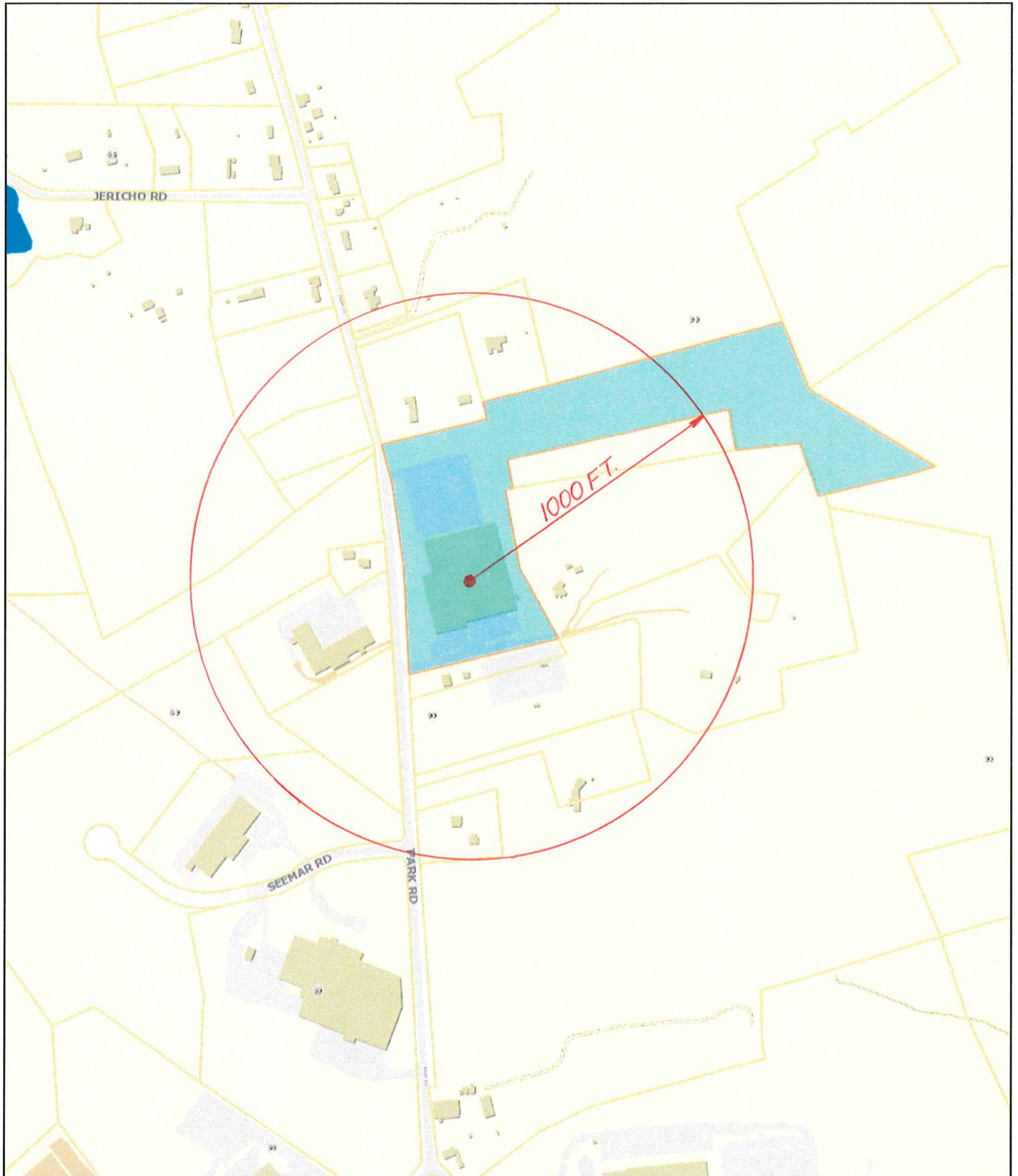
Figure B.6.f. View toward east of building toward residence hidden in woods



Google

Imagery Date: 3/29/2012 41°37'16.01" N 73°05'12.27" W elev 838 ft eye

1991



The information depicted on this map is for planning purposes only. It is not adequate for legal boundary definition, regulatory interpretation, or parcel-level analyses.

● 400 Park Road, Watertown
CT. 06795

11/1/2013 10:50:05 AM



1:6000
1"=500'





Figure B.6.a.

View of home to North of factory. The facility is located beyond the trees and plants to the right of the house.



Figure B.6.b. Unoccupied factory to West of facility.



Figure B.6.d. Home located directly across from factory and only residence within visual range of factory.



Figure B.6.c. Abandoned home to West of factory.



Figure B.6.f. View to East of facility toward residence located further in woods.



Figure B.6.e. Other view to East of facility. No buildings or structures are located on this side of the facility for an extended distance.

Appendix H. Diagrams and Figures of Building Interior

Figure B.8.a.1. Downstairs layout of 400 Park Road Facility, G1-4

Figure B.8.a.2. Upstairs layout of 400 Park Road Facility. G5

Figure B.8.a.3. Harvest and Processing Rooms, H1, H2, P1, and P2

Figure B.8.f.1. Bulk Materials Storage Locations, S1 and S2

Figure B.8.g.1 Location of Vaults within 400 Park Road Facility

Figure B.8.i.1 Break Rooms and Locker/Changing Rooms, B1 and B2

PRODUCER LICENSE APPLICATION

CANAMED, INCORPORATED

VOLUME 2

Facility Located At:

**400 Park Road
Watertown, Connecticut**



400 Park Road, Watertown, CT 06975
www.canamedCT.com

Appendix I. Brief Description of Production Process.

Abbreviated Process Description for the Production of Cannabinoid Products

Figure B.8.d.1 Simplified Schematic of Production Process.

CONFIDENTIAL INFORMATION FREEDOM OF INFORMATION ACT NOTICE

The Applicant hereby requests confidential treatment of the following appendix pursuant to Section 1-210 of the Connecticut General Statutes. Specifically the Applicant submits that the following appendix includes detailed information processes for the production of cannabinoid products which constitute “[t]rade secrets, which for purposes of the Freedom of Information Act, are defined as information, including formulas, patterns, compilations, programs, devices, methods, techniques, processes, drawings, cost data, customer lists, film or television scripts or detailed production budgets that (i) derive independent economic value, actual or potential, from not being generally known to, and not being readily ascertainable by proper means by, other persons who can obtain economic value from their disclosure or use, and (ii) are the subject of efforts that are reasonable under the circumstances to maintain secrecy;” and is therefore exempt from public disclosure pursuant to C.G.S. 1-210(b)(5)(A).

**Appendix J. Letter: May 22, 2013 from
Commissioner Rubinstein**



STATE OF CONNECTICUT
DEPARTMENT OF CONSUMER PROTECTION

May 22, 2013

Mr. Gregg Wershoven, President
200 Chase River Road
Waterbury, CT 06704

Re: Proposed Regulations Concerning Palliative Use of Marijuana

Dear Mr. Wershoven:

Pursuant to Section 4-168(d) of the Connecticut General Statutes, I am informing you that the Department of Consumer Protection ("DCP") has decided to proceed with the above-referenced regulations. Several changes have been made to the original text. The final wording of the proposed regulations are available for inspection on DCP's website at <http://ct.gov/dcp/cwp/view.asp?a=1618&q=467956>.

At the public hearing, there were 30 speakers. Also, 39 written comments were submitted. The department has considered all the comments and has made the following changes to the original proposal:

Marijuana Testing and Labeling

Patients reasonably expect that the active ingredient levels in their medicine will not change from day to day or month to month. The regulations' requirement that marijuana be separated into homogenized batches, tested by a laboratory and assigned a brand name associated with the active ingredient profile of the batch is essential to the department's goal of protecting patient expectations and treating marijuana similar to other controlled substances. Moreover, consistency with regard to the active ingredients in a marijuana product is necessary to effectively track the ability of marijuana to treat a patient's medical condition or a symptom thereof. A number of commenters indicated that the regulations' requirement of providing a different brand name for products with different active ingredient profiles would be overly burdensome because the active ingredient levels may differ significantly within different parts of the same marijuana plant. This concern is addressed by the regulations' requirement that batches be homogenized prior to testing. Moreover, the fact that the same plant can have a wide range of active ingredient levels confirms for the department the importance of having homogenized batches and a predictable naming protocol for marijuana products. Otherwise, a patient could be exposed to highly varied active ingredient levels on a daily basis depending on which plant material is in the product they consume on a particular day.

Nonetheless, for purposes of assigning a brand name, the department has revised the regulations by limiting the active ingredients that must be within a 97% to 103% range to the four primary active ingredients found in marijuana – THC, THCA, CBD and CBDA. This should address concerns that, due to the myriad of active ingredients in marijuana, there would be a high proliferation of brand names if a slight change in even a minor active ingredient occurred, which would lead to consumer confusion. Importantly, to the extent a marijuana batch differs from a previous batch with regard to these four active ingredients, it could still be sold to patients; it would just have to be assigned a different brand name. To lessen the financial burden on producers, the department has also reduced the brand name registration fee from \$1,000 to \$25.

Some commenters also expressed concern that qualified laboratories may not be available to test marijuana products in Connecticut. The department is confident that the revenue opportunity available for marijuana testing will attract qualified businesses to the State. In fact, the department has heard from a number of entities interesting in taking on this role. Moreover, laboratory testing is essential to ensuring that medical marijuana will be safe and effective and the department is not prepared to remove this requirement from the regulations to address a theoretical concern that, if it arises at all, would be a short-term issue.

Incentivizing Swift Entry and Uninterrupted Supply by Producers

Until production facilities are operational, patients will not have a safe, legal source of medical marijuana. For this reason, it is important that each producer act quickly to become operational after being awarded a license and is incentivized to provide an uninterrupted supply of marijuana over the long-term. In this regard, the regulations allow the department to rescind a producer's license if it has not commenced operation within 180-days of being awarded the license. In addition, the regulations require that each producer establish a two million dollar escrow account or letter of credit, which would be available to the State if the producer did not fulfill its obligation to provide an uninterrupted supply of medical marijuana.

In response to comments regarding these two requirements, the department has made the following revisions to the regulations. First, in recognition of the fact that circumstances beyond a producer's control may prevent it from commencing operation, the department has made clear that rescission will not be an option when the delay was caused by a *force majeure*. Furthermore, the department has clarified that a facility will be deemed to have commenced operation if it is fully constructed and capable of operating in accordance with its approved license application. Similar clarification was added to the section dealing with the possible rescission of a dispensary facility permit.

With regard to the escrow account or letter of credit, three concerns were raised: (i) financial institutions may be unwilling to deal with a producer given the legal risks at the federal level; (ii) the funds would be at risk of seizure by the federal government; and (iii) tying up \$2 million is too large a burden for producers. In response to these concerns, and consistent with some of the suggestions received during the comment period, the department revised the regulations to permit a producer to provide a surety bond in lieu of an escrow account or letter of credit. In addition, the \$2 million dollar escrow amount can be fully stepped down after five years from when the production facility becomes fully operational so long as certain milestones are met. Furthermore, the department has clarified that the escrow account, or one of the acceptable alternatives, does not have to be established until the applicant is notified that they will be awarded a producer license. During the application

process, the applicant will need to demonstrate an ability to establish and maintain an acceptable escrow account, letter of credit or surety bond.

Discouraging Youth Access to Marijuana and Recreational Use

The department appreciates the input from the many commenters who expressed concern that the legalization of marijuana for medical purposes may encourage the use of marijuana by teenagers for recreational purposes. The department took great care to institute some of the toughest regulations in the country in terms of limiting the types of products that can be offered and the extent to which advertising can be used to encourage non-medical use of the product or use by those under eighteen. In response to the comments, the department further tightened these restrictions to make clear that marijuana cannot be manufactured or sold as a beverage or confectionary, which will provide added assurance that products attractive to youth such as soda and candy are not on the market. Although further limitations on advertising were not instituted as they could distract from the program by inviting a first amendment challenge, the regulations provide for close oversight over advertising to prevent targeting youth or non-medical use.

Facility Locations

The department has removed the restriction on a dispensary facility or production facility being within 1000 feet of certain entities such as schools and places of worship as we agree with the concern that such a restriction could prohibit a dispensary or production facility from opening in most municipalities. Such restrictions do not apply to the manufacturing or dispensing of any other controlled pharmaceutical substance. The impact of a dispensary facility or production facility on such organizations, however, will continue to be one of the criteria the department will consider during the permit and license selection process.

Oversight of Person Involved in the Medical Marijuana Industry

To reduce the risk of theft or diversion, the regulations include tight controls on who may be involved in the medical marijuana industry. In response to comments we received, the department modified the regulations to address specific needs of producers and dispensaries where doing so would not undermine these safety and security objectives. Specifically, the department modified the definitions of dispensary facility backer and producer backer to exclude persons who, together with their family members and co-workers, will have less than a five percent ownership interest in the marijuana industry and will not participate in the operation of, or exercise control over, the production facility or dispensary facility. This revision should preserve the department's interest in assuring that active participants in the industry are appropriately scrutinized while allowing legitimate businesses to raise capital from small investors.

In addition, the department modified the requirement that all visitors receive prior written authorization from the department prior to entering a production facility or dispensary facility to permit entry in emergency situations where prior written approval is not feasible. In these circumstances, the dispensary or producer must provide notice to the department as soon as practicable and monitor and log the visit.

Finally, consistent with other sections of the regulations, the department clarified that a criminal conviction cannot serve as a basis for disciplinary action against an employee of a

producer or dispensary facility where the criminal conviction is pursuant to a federal law and is for conduct permitted under the Act and regulations.

Vertical Integration

The regulations intentionally do not address the issue of whether a producer and dispensary facility can be owned by the same person. The department recognizes that vertical integration could cause some stand-alone dispensary facilities to be at a competitive disadvantage. The regulations guard against the potential for improper competitive advantage by prohibiting a producer from: (1) having exclusive agreements with a dispensary, (2) discriminating against a dispensary on any terms or conditions, and (3) discriminating in price between dispensaries. On the other hand, the department also recognizes the potential for efficiencies to be realized in a vertically integrated industry. For this reason, the regulations do not prohibit a single entity from applying for both a producer license and dispensary facility permit. Recognizing the sensitivity of this issue, however, the department has added a provision that allows the department to consider the extent to which a producer or dispensary facility applicant has a financial interest in another entity licensed, permitted or registered under the Act when awarding a producer license or dispensary facility permit. In this way, the department will be able to assess how the issue of integration will effect competition once the contours of the industry are better understood.

Compassionate Pricing

Some commenters expressed concern that producers and dispensaries could not work together to offer discounted prices for patients with limited income. The regulations, however, do not prohibit such cooperation so long as a producer offering a compassionate need program makes the program available to all dispensary facilities on the same terms. To make clear that a compassionate need program is not only permitted, but welcomed, the department has provided that documents related to any compassionate need program that a dispensary facility or producer intends to offer should be included in the application process.

Movement of Production Facility Employees

To limit the opportunities for employee theft or diversion, the regulations restrict the ability of production facility employees to freely roam around the facility. Some commenters suggested that this would inhibit a producer's ability to cross-train its employees. This concern, however, is unwarranted because an employee may work in different areas of a production facility at different times. The only requirement is that, during their shift, they remain in the area where they are expected to work at that time and have the appropriate identification card for that compartment. This ensures that the producer can easily detect when an employee is in an area where he or she does not belong.

Permit Alcohol-Based Tinctures

The department revised the regulations to permit tinctures that are ethanol based in response to comments indicating that this is a standard process for manufacturing a tincture. The restriction on marijuana products containing alcohol was otherwise unchanged.

Organic Solvents/Pesticides

The regulations have strict limits on the use of organic solvents and pesticide chemicals in the production and manufacture of marijuana because it can be expected that some patients will smoke or inhale their medical marijuana. In these instances, any contaminants will be absorbed directly in the blood stream with no break-down in the digestive system. As a result, the risk from consuming dangerous contaminants may be greater for medical marijuana than for ordinary food products. This concern is compounded by the fact that many medical marijuana patients will already have compromised immune systems due to their debilitating condition and the other medications they may be taking. The department has, however, revised the regulations to permit the commissioner to evaluate and, if appropriate, approve the use of a pesticide where it may be required to address an infestation that could result in a catastrophic loss of crops.

Seed to Sale Tracking

The department received a comment suggesting that we mandate that each producer utilize an electronic system that will track all marijuana from seed to sale. Although the department agrees that tight controls on the movement of marijuana is important, we do not think seed-to-sale tracking would be effective at preventing theft or diversion since marijuana plants can vary widely in terms of size. Thus, there is no way to know how much product a single seed should produce. Moreover, implementing and monitoring such a system would be unduly costly and burdensome. The department has significant record-keeping requirements in the regulations that will more effectively and efficiently enable us to track the flow of product. Moreover, the department will have strong oversight over who enters this business and significant authority to revoke a license or permit if a business is not complying with the law or regulations. These should be ample tools to deter or detect the theft or diversion of marijuana.

Producer License Renewal

The department was asked to extend the producer license period to more than a year. An annual renewal period for all licenses, registrations and permits will best enable the department to monitor the industry and manage the flow of funding to the program. The department does not believe the frequency of renewal places producers at any greater risk because a decision not to renew a license would require the same due process rights as a decision to revoke a producer license.

Zoning

The department's expectation is that the responsible conduct of dispensaries and producers, together with the department's regulations, will alleviate any concerns municipalities may have about allowing these businesses in their towns. Nonetheless, in recognition of the fact, raised by at least one commenter, that other states have confronted a problem of having a critical mass of municipalities pass zoning ordinances banning dispensing and production facilities, the department revised the regulations so that an applicant must only establish that it meets local requirements that are consistent with the Act.

Permissibility of Offering Other Products and Services at a Dispensary Facility

The department was asked to broaden the regulations to permit dispensary facilities to offer other health-related services and to offer complementary food and beverages to their patients. The proposed regulations already permit dispensary facilities to offer other products

and services and, in fact, provide that dispensary facility applicants will describe other products or services that the facility intends to offer during the application process. The only restriction is that these other products and services cannot be offered at the actual dispensary department, which is the part of the facility where marijuana is dispensed and that is akin to a pharmacy department within a store.

As for the prohibition against the consumption of food and beverages at dispensary facilities, this provision was intended to prevent dispensary facilities from becoming places for people to gather recreationally. In response to several comments, however, the department revised the regulations to permit a dispensary facility to offer complementary food or beverages during pre-scheduled education, counseling and therapy programs. This should permit a dispensary facility to offer attractive programs and services to their patients without undermining the department's concern that dispensary facilities not evolve into places with a recreational purpose.

Touching and Smelling Marijuana

Several commenters indicated that part of the marijuana purchasing experience involves touching and smelling the product before purchase. The department does not view this as an appropriate way to sell medicine and it is not permitted for any of the other controlled substances sold in the state. Although this may be part of the culture in other states where the active ingredient profile of a marijuana product is unknown, the department believes that the regulations' requirements for appropriate testing and labeling will obviate the need for patients in Connecticut to touch and smell their medicine prior to purchase.

One-Month Supply

In response to several comments, the department has clarified that a one-month supply of marijuana shall mean a 30-day period.

Limiting Patient's Ability to Change Dispensaries

To assist the department in monitoring the marijuana industry and to provide some predictability for the dispensary facilities, the regulations limit the number of times a patient can switch dispensaries. The department believes patient care in this nascent medical field is best served by having some continuity in the relationship between the patient and dispensary so that the dispensary can monitor the patient's reaction to different brands of marijuana and assist the patient in identifying the most effective product and dosage. Continuity will also provide some predictability for the dispensary in terms of ordering and stocking a sufficient amount of medical marijuana and will assist the department in its role of regulating the industry and monitoring against diversion. The regulations do permit patients to switch dispensaries four times a year as of right, which should be sufficient to allow a patient to find a dispensary facility with the most attractive prices, products and services. In addition, for good cause, the department will allow a patient to switch dispensary facilities after they have exhausted their four changes.

Dispensary Compounding

The role of manufacturing marijuana products was placed exclusively with producers because allowing compounding at each dispensary facility would create an undue burden for the department in terms of regulating and monitoring so as to protect against adulteration or

diversion. To the extent patients are requesting specific marijuana products or packaging sizes, a dispensary can convey this information to the producers and producers can respond to this demand. Because patients can purchase no more than a one-month supply of marijuana at a time, there are a limited number of packaging options available. As such, it is unnecessary for marijuana to be loose at the dispensary facility for purposes of individualized processing and packaging.

To the extent a patient prefers marijuana products not offered by producers, the patient can purchase properly packaged and labeled tinctures or raw material at the dispensary facility and add it themselves to their preferred product.

Decreased Restrictions on Pharmacy Technicians

The department was asked to reconsider the requirement that a dispensary technician be trained as a pharmacy technician. It is, however, important to the program that a dispensary technician have some training and experience working in a pharmacy setting as that experience will provide them with knowledge about issues such as patient confidentiality and will familiarize them with the proper operation of a drug dispensing business. The requirements for becoming a pharmacy technician are minimal and the department will allow a dispensary to hire anyone who has been a pharmacy technician in the previous five years, which should allow for a sufficient number of employees to meet job hiring needs.

The department was also asked to permit dispensary technicians to consult with patients regarding their medication. The regulations only permit the dispensary (who is a licensed pharmacist) to consult with a patient in this regard, which is consistent with the pharmacy laws' delineation of responsibility between a pharmacist and pharmacy technician. Pharmacists are well-trained to discuss medication regimes and drug interactions with patients. Pharmacy technicians and dispensary technicians, by comparison, do not have the same level of training. Although the department understands that other states allow the person selling the marijuana to also consult with the patient on the medical implications of the product, Connecticut's law appropriately provides for marijuana to be sold by a trained pharmacist. The department, therefore, did not revise its regulations on this issue.

Allow Patients to Store Product in Different Container

The department removed the requirement that patients maintain their marijuana in its original container. While the department believes it is good practice to keep medicine in its original container so that it is not inadvertently consumed by a non-patient and so that it can be identified by law enforcement or medical personnel in an emergency situation, a violation of this requirement will no longer constitute a violation of the medical marijuana regulations. The requirement that the marijuana be stored in manner that prevents theft, loss or access by unauthorized persons will remain in the regulations.

Confidentiality

One commenter expressed concern with the prohibition on data intermediaries having access to patient or caregiver information. This prohibition, however, is appropriate because patients and caregivers are entitled to privacy and their participation in the medical marijuana program should not potentially subject them to unwelcomed marketing from a business whose purpose is to facilitate record-keeping requirements. To facilitate research or other studies,

however, the department has refined the confidentiality restrictions to permit a patient to expressly consent to share information with third parties other than data intermediaries.

Guidance on Business Operations Issues

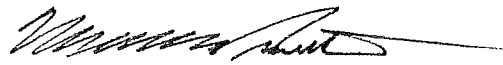
Some commenters sought guidance from the department on issues such as production facility size or capacity, marijuana packaging size, prices, the types of marijuana strains that will be offered and at what locations. The department's primary concerns are with ensuring a safe product for patients and preventing theft or diversion. The regulations are intentionally silent on issues related to the business plans of producers and dispensaries with regard to items that are outside the scope of what was deemed necessary to ensure safety and security.

Changes to the Law

The department received several comments seeking revisions that would require a change to the medical marijuana statute. Commenters, for example, sought to add debilitating medical conditions to those that qualify under the act, to allow marijuana to be consumed on college campuses, to allow patients to grow their own marijuana, to require additional training for doctors who certify patients for the palliative use of marijuana, to require mental health screening for patients prior to certification, to require further clinical testing before allowing the sale of medical marijuana, to eliminate the maximum limit on the number of dispensaries, and to allow a producer to transport marijuana to locations other than a dispensary. Because these changes would all require a change in the law, the department did not change the regulations in response to these comments.

Thank you for your comments on the proposed regulations.

Sincerely,



William M. Rubenstein
Commissioner

S/REG-L-SPEAKERS-MM

Appendix K. Four-year pro-forma financial projections.

Four-Year Pro-Forma Financial Projections

Notes to Financials

Appendix L. Facility Description.
400 Park Road, Watertown, CT

FACILITY DESCRIPTION

400 Park Road, Watertown, CT



400 PARK ROAD, WATERTOWN, CT 06117

SUMMARY

Modern class A office, manufacturing, warehouse, and laboratory space in 140,000 SF building. Building is heavily built with masonry walls and solid foundations suitable for heavy industrial applications. Excellent condition and newly refurbished. Air conditioned throughout, heavy power, high ceilings, cooling water and compressed air distribution throughout, modern computer network, new high-efficiency lighting, diamond-polished or epoxy-coated floors throughout, extensive shipping/receiving resources, high security, full employee kitchen/cafeteria, extensive office space with corporate-style reception and conference area.

Located in idyllic terrain, quiet tree-lined neighborhood, very safe and secure. Building on 20 acres with large parking lots both front and back of facility (over 200 spaces for cars). All parking areas protected by security fencing and modern lighting. Extensive offices available and these can be supplied fully furnished.

MANUFACTURING AND WAREHOUSING SPACES

Four (4) major manufacturing and warehousing spaces designated G1-4 along with engineering tool room or pilot facility and several light assembly rooms and spaces.

G1 consists of 12,462 SF, 24'+ ceilings, with two supervisory offices or enclosed technical spaces for sensitive test equipment. 600 Amp, 480 VAC overhead buss bar and 230 VAC/120 VAC distribution. Diamond polished concrete floor, new motion-controlled lighting, burned area for chemical storage. Fully air conditioned.

G2 consists of 13,193 SF, 24'+ ceilings, and fitted for injection molding, extrusion or other manufacturing processes. Equipped with three (3) overhead crane rails, 17 process water drops and cooling tower, extensive 480, 230, and 120 VAC distribution throughout, two (2) overhead buss bars, total of four (4) supervisor offices or supporting instrumentation/storage rooms, epoxy floors, shop air distribution throughout the room, large overhead door to exterior, new lighting, fully air conditioned.

G2 Location - East



G3 consists of 6,300 SF, 24'+ ceiling with diamond-polished floor, new lighting, fully air conditioned, and having extensive 480, 230, and 120 VAC distribution along with shop air. With supervisor office.

G4 consists of 15,000 SF space having 24'+ ceiling. Diamond-polished floor, new lighting, fully air conditioned, with 480, 230, and 120 VAC distributed throughout along with shop air. Storage room.

G4 Location



Engineering tool room or pilot facility is 5,362 SF with 12' ceiling with a large office and separate storage room. Shop air, ventilation, and 480, 230 and 120 VAC distributed on all walls and interior spaces. Epoxy floor and air conditioned. This space is optimal for modern tool room, machine shop, pilot facility, or mechanical laboratory.

Additional manufacturing rooms and spaces are available. Over 6,000 SF of spaces, 12'+ ceilings, with diamond-polished or epoxy floors, air conditioned, and with shop air and 480, 230, and 120 VAC distribution throughout. Some spaces fitted with supervisor offices or technical rooms. Rooms optimized for assembly, packaging, or similar functions.

Mezzanine & Staging Area taken from G4 Location



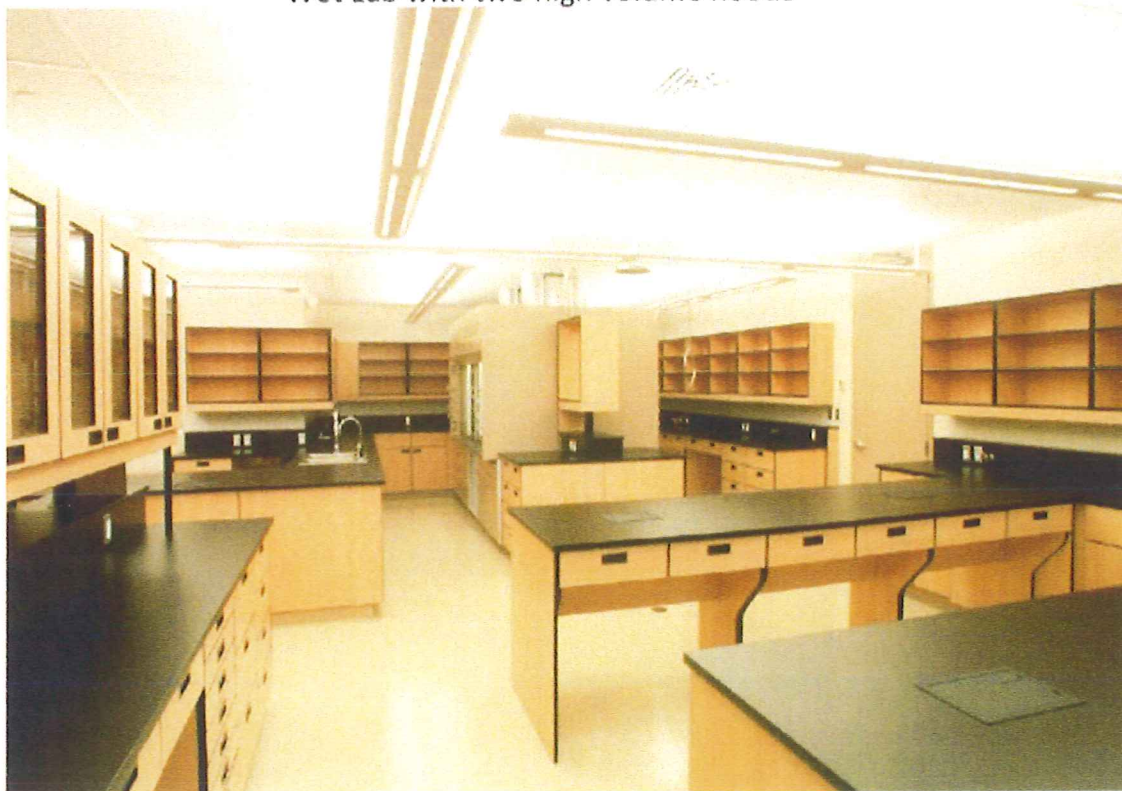
SPECIAL LABORATORY RESOURCES

Three (3) brand new high-technology laboratories are available with a total of approximately 3,500 SF. All laboratories are equipped with safety showers and fitted with new cabinetry and countertops, specialized ultra-clean compressed air supply, RO/DI ultra-pure water supply, and networked for Laboratory Information Management System (LIMS).

Lab 1 optimized for instrumentation and electron or optical microscopy with power, internet, gas, and ultra-clean compressed air installed on each bench. Separate electronic access control for this room.

Lab 2 optimized for chemistry, pharma research, organic synthesis with two (2) 6' wide fume hoods having multiple gas and air supplies as well as water. This lab equipped RO/DI ultrapure water at each sink as well as electric power, gas, air, and internet connections at every bench at roughly 8' intervals. Separate electronic access control for this room.

Wet Lab with two high volume hoods



Lab 3 is a large general-purpose laboratory with extensive power, internet, ultra-pure water, air, gas, and other resources distributed to a large number of modern laboratory stations. Lab optimized for quality control, instrumentation, clinical or analytical systems, biological or chemical research. Separate electronic access control for this room.

OFFICE SPACE

Office space is available both on the ground floor and in a very large upstairs executive suite. All offices can be provided furnished or empty. Downstairs offices are currently fitted with office modules. Large reception area includes conference rooms so that visitors do not need to enter main spaces of building. There is a full kitchen and employee cafeteria at this facility.

Reception area fitted with large custom granite-top reception desk, separate cloak room and visitor handicap lavatory. Two conference rooms available with first room fitted with special lighting, decorative water wall feature, large video screen and video conference resources. This room furnished with large conference table with power/internet junction boxes recessed into table.

Front Entry/Reception

- access to 1st & 2nd Floor offices



400 PARK ROAD, WATERTOWN, CT 06437

Second conference room in reception area is furnished, and has power, internet, and lighting capacity to support normal conference activities.

First floor offices are newly fitted with enhanced energy-efficient lighting and furnished with 34 new work modules. There are also eleven (11) private offices that are fully refinished with new paint and carpet and energy-efficient lighting. This is a very clean and neat facility. These offices have separate secure electronic entry controls and broad hallways, modern lavatory facilities and elevator to second floor. Offices are adjacent to laboratory and pilot plant/technical spaces. Main cafeteria access is also available directly from these offices. The kitchen and cafeteria are extensive and can support a large plant population.

First Floor Offices on Left – Cubes on Right



Second floor offices are accessible by stairs from main reception area, by a second stairway at rear of building accessible from main parking lot, or by elevator. These extensive offices consist of four (4) large open-office spaces each surrounded by private offices. There are a total of 35 private offices and a vast amount of open space suitable for work stations.

A 26' x 76' training facility with projection room is available and fitted with sliding walls to allow up to three private classrooms to operate simultaneously or that can be opened to accommodate a large presentation (easily handling 80 people).

There is an executive suite consisting of large executive office, private full bathroom including shower, a separate conference room with wet bar.

Additional space includes engineering rooms with tile ESD floors and extensive power distribution (suitable for CAD, three-D prototype machines, and product testing). Also available is air conditioned computer server room with extensive networking resources. Separate storage mezzanine is available.

2nd Floor Office - South



SHIPPING AND RECEIVING RESOURCES

Four (4) overhead door docks with dock levelers (one door for receipt of extra-high cargo), environmental seals, and lighting. Four (4) additional outdoor loading bays under cover and accessed through additional overhead door. Electronic digital shipping scale installed into floor. There are separate shipping/receiving offices adjacent to a separate trucker entryway with visitor lavatory.

Loading Docks – South Side



SUMMARY TECHNICAL RESOURCES

All building support resources (electric, HVAC, compressed air) are enclosed in a separate space to isolate the facility from any noise or vibration from the machinery. Also included is a maintenance shop and spare parts storage areas.

Building electric service is 4000 amps at 480 VAC 3-phase. 480, 230 and 120 VAC distribution is extensive throughout the building with many subpanels at convenient locations.

HVAC equipment includes Clever Brooks boiler with dual fuel (natural gas and heating oil) capability. This system normally operates on natural gas. This system has dual circulation pumps and 4,000,000 Btu capacity. There are two (2) York chillers, each with 200 ton capacity. One unit alone can cool the entire building and the second unit is entirely in reserve. A large Marley cooling tower supports these chillers. HVAC is executed using six (6) separate air handlers located throughout the building and the entire building is air-conditioned.

Compressed air is provided by a 75 HP Quincy unit that is modern, nearly new, and supported by a large dryer and air receiver. A 50 HP Sullair rotary-screw compressor is in reserve. There is a separate Ingersoll Rand 10-HP laboratory pure-air compressor with specialized dryer and filters used to provide pure compressed air to the laboratories. There is a small 5 HP Quincy compressor used to support the operation of the HVAC system.

**400 PARK ROAD
WATERTOWN, CONNECTICUT 06795**

CLIENT:

**GABAE Holding, LLC
c/o Jim Law
4500 Westgrove Drive, Suite 300
Addison, TX 75001**

**DATE OF VALUATION:
March 1, 2011**

**DATE OF REPORT:
March 7, 2011**

PREPARED BY:

**Italia & Lemp, Inc.
Six Central Row, 3rd Floor
Hartford, Connecticut 06103-2701**

ITALIA & LEMP, INC.

ITALIA LEMP^{INC}

REAL ESTATE APPRAISERS & CONSULTANTS

Six Central Row ■ Third Floor ■ Hartford, CT 06103-2701 ■ Tel. (860) 246-4606 ■ Fax (860) 246-4252
17 High Street ■ Suite 214 ■ Norwalk, CT 06851

CHRISTOPHER A. ITALIA, MAI

PATRICK A. LEMP, MAI

March 7, 2011

GABAE Holding, LLC
c/o Mr. Jim Law
4500 Westgrove Drive
Suite 300
Addison, TX 75001

RE: 400 Park Road, Watertown, Connecticut 06795

Dear Mr. Law:

At your request, the above-referenced property has been examined for the purpose of estimating market value. The results of the appraisal process are communicated within a Self-Contained Appraisal Report. This report is being prepared for GABAE Holding, LLC for internal purposes.

The property being appraised consists of 19.91 acres along Park Road. The subject is sited within the (IR-200) - Restricted Industrial zoning district. The site is irregular in shape and is not located in a designated floodplain. However, the site is partially impacted by watercourses/inland wetlands soils, most of which are located near the eastern (back wooded) portion of the property. The site features level to sloping topography. The property is improved with a one- to two-story industrial facility. The building was constructed in 1981 and contains an estimated gross building area of 138,620 square feet (per building floor plans provided by representatives of the client). The facility consists of a single-story industrial/manufacturing section and a two-story finished office area. Roughly 31%, or 43,075 square feet comprises finished office area.

A cost statement was provided to the appraiser detailing improvements made at the subject property. Roughly \$1,600,000 was expended to update and improve the functionality of the subject property. Updates on the first floor office area included resurfaced floors, new ceilings and resurfaced walls, new ADA compliant handicap lavatories, new plumbing fixtures, and new energy efficient lighting with motion sensors. Costs also included the installation of three laboratories located within the first floor office area that will be used for research & development and manufacturing purposes. Moreover, a new enclosed entrance was constructed which leads to an updated lobby area that features a water wall with a new granite reception desk and automatic doors. The manufacturing space has been updated with new energy efficient lighting with motion sensors. The exterior features new landscaping along the front of the building as well as new cultured stonework that accents the front main entrance.

The building is currently owner-occupied. However, 21,682 square feet of the manufacturing areas (industrial floors G3 & G4) are currently leased by Shelter Logic LLC for \$6.00 per square foot, gross. The lease commenced on December 28, 2010 and will expire on one of the following dates: either April 30, May 31 or June 30 of 2011. The expiration date will be determined by the tenant's future need for the space.

The accompanying report describes the property, scope of work, approaches to valuation and the conclusions derived by the application of the approaches. The value opinion reported is qualified by the Assumptions and Limiting Conditions, Certifications, and Definitions, which are included in the report. A thorough review of this appraisal report should be made to fully understand the criteria and basis for the final value estimate.

The market value herein is estimated without consideration of any outstanding mortgages or other liens against the subject. Based upon an investigation and analysis of the information gathered with respect to this assignment, reflecting market conditions as of March 1, 2011, the subject property is estimated to have a market value of:

[REDACTED]

This letter must remain attached to the report in order for the value opinion set forth to be considered valid.

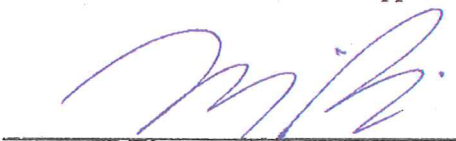
Respectfully submitted,

Italia and Lemp, Inc.



By: Christopher A. Italia, MAI

Certified General Real Estate Appraiser License Number RCG.000303



By: Michael L. Baldi

Provisional Real Estate Appraiser License Number RSP.0001879

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EXECUTIVE SUMMARY

Property Type	Industrial facility
Location	400 Park Road, Watertown, Connecticut 06795
Reporting Format	Self-Contained Appraisal Report
Owner of Record	KX Realty LLC
Date of Value Estimate	March 1, 2011
Date of Report	March 7, 2011
Property Rights Appraised	Fee simple estate
Purpose of Appraisal	To estimate market value
Use/User of Report	Internal purposes /GABAE Holding, LLC
Land Area	Approximately 19.91 acres, or 867,368 square feet (per survey map)
Gross Building Area	138,620 square feet, excluding mezzanine (per building floor plans provided)
Highest and Best Use	Continued use as an industrial facility

VALUES INDICATED

Cost Approach.....	[REDACTED]
Sales Comparison Approach	[REDACTED]
Income Capitalization Approach	[REDACTED]

FINAL ESTIMATE OF MARKET VALUE.....	[REDACTED]
--	-------------------

Appendix M. RESTRICTED/REDACTED Security Plan for Canamed

Maximum Sound & Security Letter

Sparks Security Contract

CONFIDENTIAL INFORMATION FREEDOM OF INFORMATION ACT NOTICE

The Applicant hereby requests confidential treatment of the following appendix pursuant to Section 1-210 of the Connecticut General Statutes. Specifically the Applicant submits that the following appendix includes detailed facility security information that is used to protect “[t]rade secrets, which for purposes of the Freedom of Information Act, are defined as information, including formulas, patterns, compilations, programs, devices, methods, techniques, processes, drawings, cost data, customer lists, film or television scripts or detailed production budgets that (i) derive independent economic value, actual or potential, from not being generally known to, and not being readily ascertainable by proper means by, other persons who can obtain economic value from their disclosure or use, and (ii) are the subject of efforts that are reasonable under the circumstances to maintain secrecy;” and is therefore exempt from public disclosure pursuant to C.G.S. 1-210(b)(5)(A).

Appendix N. Organization Chart and Resumes of Canamed Management

Organization Chart

Resume, Gregg Wershoven, CEO

Attachments to resume of Gregg Wershoven

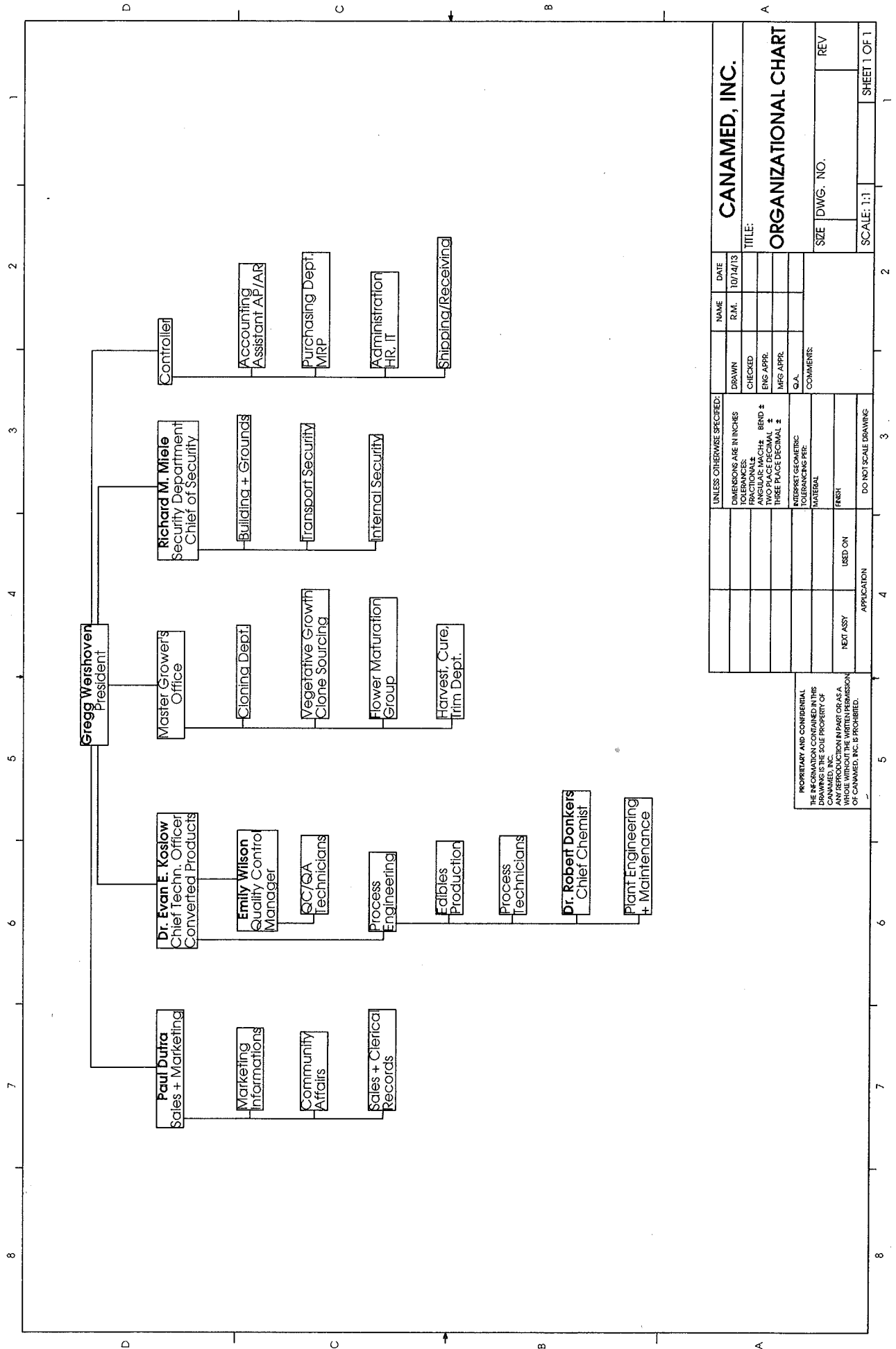
Resume, Dr. Evan E. Koslow, CTO

Resume, Paul Dutra, Director of Marketing

Resume, Dr. Robert Donkers

Resume, Ms. Emily Wilson

**Resume, Richard M. Miele, Director of Security
(Sparks Security Contractor)**



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RESUME: Christopher G. Wershoven

Address: [REDACTED]

Home Phone: [REDACTED]

Cell Phone: [REDACTED]

E-Mail: [REDACTED]

PROFESSIONAL SUMMARY:

Highly motivated and friendly with a background in construction, sales, and specialty farming. Have lived in the State of Connecticut for the past 28 years. A quick learner in new fields and endeavors. Excellent work history and now an entrepreneur during the past 25 years in the fields of construction general management and the growth of specialty gourmet mushrooms.

SKILLS

Construction: Operated both building management and building construction and renovation operations with superior customer service and attention to detail. Executed all major plumbing, tile, stone, and general construction elements of residential and commercial projects.

Specialty Agriculture: Production of agar and sterilized liquid cultures for production of a variety of mushroom strains. Developed recipes for all major growth media (both pasteurized and sterile) with production of finished mushrooms meeting the needs of the gourmet retail and restaurant trade.

WORK HISTORY

OWNER, 09/2007 to Current. Mountaintop Mushrooms, LLC – 200 Chase River Road, Waterbury, CT

Researched the gourmet and medicinal mushroom markets and elected to develop a wide variety of specialty products for these markets. In 2007, secured underground space and established a test facility in Waterbury CT. During the initial phase, my firm developed the specialized growth media and processes required to grow a full line of gourmet mushrooms viable for sale to high-end restaurants and executive chefs.

During the fifth year of operations, my firm sought out State of Connecticut grants and loans to expand operations and create a full-fledged mushroom farm. This

included the construction of a laboratory and incubation facility where we are developing new strains with superior production and quality when grown within the underground grow rooms.

OWNER, 06/2001 to Current. Eclipse Builders, 10 Green Valley Drive, Plantsville, CT 06479

Providing home improvements and in some cases new homes (CT New Home Permit in some years) when we built spec homes prior to the housing decline. Have managed properties for Center Bank, Dade Realty, and J&E Investment Company, all here in the State of Connecticut. Held full responsibility for significant construction contracts with subsidiary vendors, suppliers, and trade partners. Carried full management responsibility as well as handling sales to design, planning and permitting of projects.

EDUCATION

High School: 1978. Hamburg High School, Hamburg, NY

College: University of Edinboro, Edinboro, Pennsylvania (incomplete, left to play pro-soccer).

ACCOMPLISHMENTS

Top Sales Producer, W.M. Industries, promoted and transferred to Boston, MA as District Division Manager.

Manager and top sales producer for Sears Licensee (BIL-RAY Corporation)

Successfully awarded three (3) separate grants from three (3) separate review panels of the Connecticut State Agricultural Department for development of specialty agricultural mushrooms.

Reduced energy consumption and 75% of cost of process pasteurization through the use of direct on-demand natural gas commercial hot water heating plant for the production of sterile and pasteurized mushroom growth medium.

Married since 1982 with 3 daughters.

Agricultural Report

Connecticut Department of Agriculture
Dannel P. Malloy, Governor
Steven K. Reviczky, Commissioner



Linda Piotrowicz, Editor
Wednesday, May 1, 2013

GOVERNOR MALLOY ADVANCES WORK AT HARTFORD REGIONAL MARKET

Steven K. Reviczky, Commissioner

Governor Dannel P. Malloy returned to the [Hartford Regional Market](#) (HRM) on April 17, 2013, to announce that the State Bond Commission will fund long-overdue repairs at the market. He said this commitment of monies is a sign Connecticut is willing to invest in agriculture to expand and create jobs.

The Bond Commission unanimously approved a bond allocation of \$400,000 at its April 26, 2013, meeting. The Department of Construction Services (DCS) also has committed \$200,000 to the project and anticipates the need to commit additional resources if the contractor, [Silktown Roofing](#) of Manchester, finds isolated structural damage during construction.

The roof project will place a rubber overlay covering more than 170,500 square feet on two buildings at the 101 Reserve Road facility. The largest fresh produce market between New York and Boston, the HRM aggregates, processes, and distributes food from Connecticut, national, and international producers. Leaking roofs have jeopardized food safety and the employment of some of the more than 400 individuals who work there.

The Connecticut Department of Agriculture (DoAg) and Connecticut Marketing Authority (CMA), which oversees the HRM, are relying DCS to facilitate and manage this critical assignment. DCS has already completed months of emergency roof repairs, conducted emergency evaluations, expedited the competitive bid process, and committed resources to this project.

The personal involvement of DCS Deputy Commissioner Pasquale "Bud" Salemi and the work of his team are much appreciated and have made a measurable and meaningful difference as DoAg and CMA have dealt with far-reaching implications of a seriously leaking roof and rapidly deteriorating infrastructure.



Gov. Malloy at the 4/17/13 press conference at the Hartford Regional Market

The installation of the membrane and associated roof deck repairs are intended to be a short-term fix while DoAg, CMA, and DCS work with [Market Ventures, LLC](#), to develop a long-range master plan for the regional market.

The plan will analyze current infrastructure, assess needs of present and potential tenants, and examine possible income and expenses of new facilities. It will include a new vision for wholesale aggregation, processing, and distribution, along with considerations for an enhanced public market.

The report is expected within 12 months of contract execution.

ADDITIONAL STATE INVESTMENTS IN AGRICULTURE

During the same press conference, Governor Malloy also announced the investment of more than \$800,000 in competitive, matching grants through DoAg's Farm Transition Grant and Farm Viability Grant programs to expand Connecticut's \$3.5 billion agricultural industry.

"Connecticut's agricultural sector has enormous untapped potential," Governor Malloy said in a [news release](#). "The investments we are announcing today in small farms and our Regional Market are a clear sign that we can both preserve our agricultural heritage and help it expand and create jobs."

Earlier that morning, Governor Malloy visited Mountaintop Mushrooms in Waterbury, which received \$35,000 in matching funds for the expansion of its indoor growing facility.

Owner Gregg Wershoven gave Governor Malloy a tour of the farm's various units, including the space and equipment to pasteurize and prepare the growing media, new laboratory, inoculation room, and different growing areas, with Governor Malloy interestedly asking questions about each facet of the operation.

(continued on Page 3)

ADVERTISEMENTS

FOR SALE

1-R. Blumenthal & Donahue is now Connecticut's first independent NATIONWIDE Agri-Business Insurance Agency. Christmas tree growers, beekeepers, sheepbreeders, organic farmers and all others, call us for all your insurance needs. 800-554-8049 or www.bludon.com.

2-R. Farm, homeowner and commercial insurance—we do it all. Call Blumenthal & Donahue 800-554-8049 or www.bludon.com.

3-R. Gallagher electric fencing for farms, horses, deer control, gardens, & beehives. Sonpal's Power Fence 860-491-2290.

4-R. Packaging for egg sales. New egg cartons, flats, egg cases, 30 doz and 15 doz. Polinsky Farm 860-376-2227.

5-R. Nationwide Agribusiness Insurance Program, endorsed by the CT Farm Bureau, save up to 23% on your farm insurance and get better protection. References available from satisfied farmers. Call Marci today at 203-444-6553.

29-R. Best fertilizer to perk up your hay lot! Dry chicken manure, call for delivery, 860-537-1974.

31-R. Round Bales WRAPPED HAYLAGE, 4x4, \$25 and up. 860-234-1605.

39-R. Round Hay Bales, stored inside. Net wrapped 1st cut, few 2nd. Call 203-530-4953.

42-R. 1-8 and 3-10 Frame Beehives. Complete wood, new, \$150/each. Hay elevator 20'-\$100. Fransgard Logging Winch, with 3 chokers, new, never used, \$3,000. Landpride Forward 5' Tiller, \$2,200-used twice. Landpride posthole digger-2 bits, used twice, \$1,400. Demco Boom Sprayer, 18' with Marker, 110 gal, \$2,500. Valby 6" woodchipper, \$3,500. 5' Woods Finish Mower with extra blade, \$600. 860-423-1347 house, 617-308-3015 cell.

43-R. Vicon Fertilizer and Seed Sower #175 Made by Kever Land. 2-JD Hilt and Miss Motors, 1-2HP and 1-3HP all shed kept. Tel 860-559-5031.

48-R. 150 Wrapped round baleage bales; 1st and 2nd cutting. 860-485-5720.

49-R. Completely self contained Apple Fritter and Donut making building mounted on heavy duty 4 wheel running gear trailer. Contains everything you need to start making and selling apple/fruit fritters and donuts: from fryer to cash register. Includes trays, oven, sinks, refrigerator, racks, hot water heater and utensils. Simply plug into 220V power or generator and attach a garden hose. Presently located in South Glastonbury. Pictures available upon request. George 860-918-5442 or email george.m.purtill@snet.net.

52-R. Used Tobacco netting. Great for covering berry bushes. 860-683-0266.

53-R. First Cutting Hay for sale. \$5.50 per bale at the barn. 860-633-2942, Glastonbury.

55-R. Farmall Cub and Super A cultivator parts, Lebanon. 860-423-8561, call evenings.

56. 1987 Red Chevy V30 Farm Rack Body Dump Truck. Bob, 860-583-4352.

57-R. IH 56 2-row corn planter, call 860-670-1275.

58. Registered Scottish Highland Cattle. 860-625-6635.

MISCELLANEOUS

6-R. Farm/Land Specializing in land, farms, and all types of Real Estate. Established Broker with a lifetime of agricultural experience and 40 years of finance. Representing both Buyers and Sellers. Call Clint Charter of Wallace-Tustin Realty (860) 644-5667.

26-R. Hylton Farm is CT's new Hydra-Ram dealer! Whether you need a new machine or parts for your old one, contact us for all your Hydra-Ram manure spreader needs. 860-558-3314 or hyltonfarm1@hotmail.com.

JUST SCHEDULED: FARM-TO-CHEF WEEK SEPT 15-21

The Connecticut Department of Agriculture's Farm-to-Chef Program will once again present Farm-to-Chef Week in September to celebrate Connecticut Grown ingredients used in special menus at restaurants, cafeterias, and other eateries across the state.

This special week will run September 15-22, 2013. More information will be posted soon at www.CTGrown.gov/FarmtoChef.

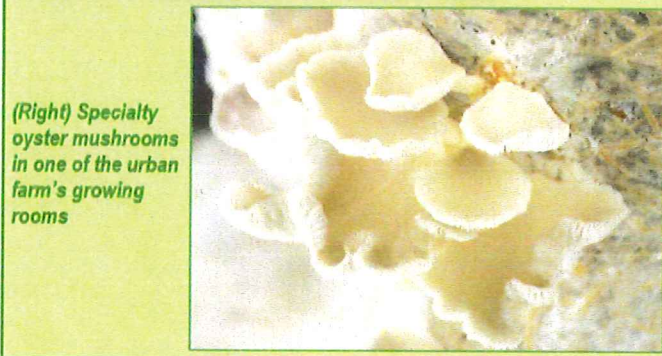
GOVERNOR MALLOY ADVANCES WORK AT HARTFORD REGIONAL MARKET

(continued from Page 1)

Gregg explained that his business plan includes the creation of some 35 new jobs in the next year, and expressed his deep appreciation for the support and assistance from Governor Malloy and DoAg that is helping to make his vision a reality.



(Left) Mountain-top Mushrooms owner Gregg Wershoven discusses lab techniques with Governor Malloy and Commissioner Reviczky



(Right) Specialty oyster mushrooms in one of the urban farm's growing rooms

THE HARTFORD REGIONAL MARKET FARMERS' MARKET

To some, it's a tradition. To others, a mystery.

The [farmers' market](#) that operates daily on the grounds of the Hartford Regional Market (HRM) presents a unique opportunity for commerce. This market has been a tradition for many farmers and consumers for generations.

The HRM farmers' market is best known for its springtime offerings: acres of flowering baskets, annual and perennial plants, and landscape trees and shrubs. As the season progresses, this one-of-a-kind, state-operated farmers' market offers a wide variety of fruits, vegetables, and other food and farm products.

As the only wholesale farmers' market in Connecticut, the HRM farmers' market functions differently from other farmers' markets in the state. Its core hours are 5:00 to 9:00 a.m., allowing small retailers, farm stand operators, and chefs to access agricultural products at a time and in a manner that facilitates their respective business operations.

The HRM farmers' market is also open to the general public. Home consumers turn out in greatest abundance on weekend mornings to take advantage of the market's signature variety and values. In addition, consumers also may access a diversity of offerings from the multitude of wholesale business tenants that lease space and operate at the HRM—including Northeast Produce, Capitol Sausage, M+M Produce, Bogner Meats, the Farmer's Cow, and many others—while visiting the HRM complex.

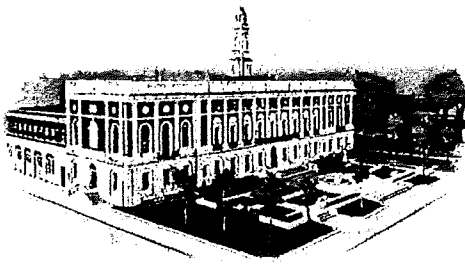
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WATERBURY CT-APRIL 17 2013- 041713DA05- Owner of Mountaintop Mushrooms in Waterbury Gregg Wershoven, right, shows a bag of shiitake mushrooms inside of the incubation room to Governor Dannel P. Malloy, left and Department of Agriculture Commissioner Steven Revezky, center, on Wednesday. The business was awarded a matching grant by the Department of Agriculture to fund an expansion. Darlene Douty Republican American



WATERBURY CT-APRIL 17 2013- 041713DA04- Owner of Mountaintop Mushrooms in Waterbury Gregg Wershoven, right, explains the pasteurization area inside of the mushroom farm to Governor Dannel P. Malloy, center and Department of agriculture Commissioner Steven Revezky, left, on Wednesday. The business was awarded a matching grant by the Department of Agriculture to fund an expansion. .Darlene Douty Republican American



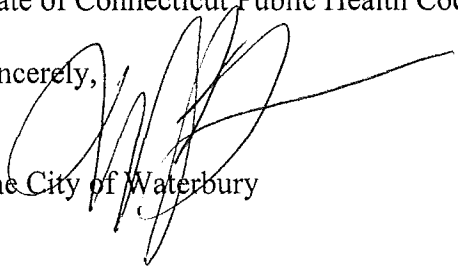
DEPARTMENT OF PUBLIC HEALTH
THE CITY OF WATERBURY
CONNECTICUT

September 16, 2013

To Whom It May Concern:

Gregg Wershoven, Owner of Mountaintop Mushrooms, LLC has been operating his business in the City of Waterbury since September, 2012. During this time Mr. Wershoven has held a Food Service Permit issued by the Department of Public Health and has always abided and complied with City of Waterbury Code of Ordinances and the State of Connecticut Public Health Code.

Sincerely,


The City of Waterbury

William Quinn
Director of Public Health, MPH



STATE OF CONNECTICUT

DEPARTMENT OF AGRICULTURE
Office of the Commissioner

Steven K. Reviczky
Commissioner



Phone: 860-713-2501
Fax: 860-713-2514

July 24, 2013

Dear Sir or Madam:

The Connecticut Department of Agriculture has been working with Gregg Wershoven and Mountaintop Mushrooms since 2009, when Gregg joined the Farm-to-Chef Program. He has worked diligently during that time to build strong relationships with many top chefs in the state, who have come to trust him for the consistent quality of gourmet mushrooms he supplies to their restaurants.

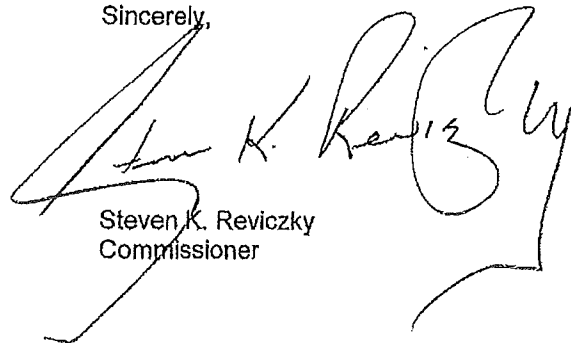
Gregg has been selected from competitive fields to receive Department of Agriculture Farm Transition grants (two different years) for a farm expansion project and a Joint Venture grant for an integrated marketing project, based on the quality of his business and marketing planning. Each year, our agency receives many more applications for these grants than can be funded. Gregg's projects were selected for awards all three times he has applied because three different panels viewed his projects as wise investments of public funds.

This spring, I invited Governor Malloy to tour Gregg's newly expanded mushroom farm as an example of cutting-edge agriculture here in Connecticut supported through these wise investments. Governor Malloy spent 45 minutes learning about the different growing areas and operations of the facility, asking questions the entire time—clearly interested and impressed by Gregg's vision, innovation, and hard work.

Gregg is a fine example of Connecticut entrepreneurship, and I encourage you to give thoughtful and careful consideration to any business proposals he presents. My experience with his farming operation has been not only positive, but also inspiring as I work with the Governor's Council for Agricultural Development to develop a long-range strategic plan for Connecticut agriculture that will benefit the residents of our state for many generations to come.

Please feel free to contact me with any questions you may have.

Sincerely,



Steven K. Reviczky
Commissioner

RESUME: Dr. Evan E. Koslow

Business Address: 400 Park Road, Watertown, CT U.S.A.

Home Address: [REDACTED]

Telephone: [REDACTED]

Personal Data: Birthplace: New York, NY

Birth Date: [REDACTED]

Citizenship: U.S.A.

Education: Yale University, New Haven, CT, Sept. '72 – Sept. '75, BA, Engineering & Applied Science, Cum Laude

Yale University, New Haven, CT, Sept. '75 – Sept. '77, MFS

Cornell University, Ithaca, NY, Sept. '78 – Jan. '81, Ph.D.
Agronomy/Agricultural Engineering

SUMMARY

Dr. Koslow is a prolific inventor and entrepreneur who has built companies in the consumer products, defense, and publishing industries and created numerous technologies over a career of 35 years. He is the inventor of a majority of the household water filter technologies found in today's market (the end-of-tap carbon block water filter, refrigerator water filters, the "CTO" carbon block) as well as many of the fundamental materials used in the filtration industry such as scale-control resins, extruded activated carbon block, flat-sheet carbon media, mass-produced nanofibers by fibrillation and direct dielectrophoretic spinning, and adsorption-intensified structures. He has approximately 75 issued U.S. patents and has written hundreds of papers and articles.

EMPLOYMENT HISTORY

GABAE DEVELOPMENT, ULC:

2007 - Present, President. Technology development company based in Canada's Technology Triangle. Focus is on materials, medical imaging, environmental and energy technologies through internal development, capital investment, and partnerships.

KT CORPORATION:

December 1976 to Present: President. High technology commercial and defense products company involved in Nuclear, Biological and Chemical (NBC) defense, military medical equipment and systems, and commercial and defense research, development, and engineering consulting. Company now a technology holding company.

KX INDUSTRIES, LIMITED PARTNERSHIP:

December 1989 to 2006, General Partner and CEO. Manufacturer of activated carbon and composite filtration media and absorbent structures. Air and water filter manufacturer. Originally a joint venture between KT Corporation and EXXON Chemical Company, converted after eight years to majority-owned by KT Corporation. Sold in 2007 to Berkshire Hathaway/Marmon Group.

NUCLEAR, BIOLOGICAL, AND CHEMICAL DEFENSE & TECHNOLOGY INTERNATIONAL:

January 1985 to February 1987, Editor-in-Chief and Associate Publisher of International NBC defense professional journal.

PALL CORPORATION:

January 1984 to January 1985, Senior Research Scientist, Research & Development/New Market Development Group, directing the development of NBC defense systems and devices, corporate inventor.

January 1983 to December 1983, Staff Scientist, Scientific and Laboratory Services Department, directing corporate research in the area of Nuclear, Biological, and Chemical (NBC) defense systems, products, and devices (collective and individual protection, vulnerabilities and threat assessments, performance testing, special projects, advanced protector development, detectors).

May 1982 to January 1983, Staff Scientist, Scientific and Laboratory Services Department. Supporting aerospace, military, and industrial applications of high technology filters and contamination control products.

ADDITIONAL ACTIVITIES, CONTRACTS

Recipient of 2004 Award of Merit from Water Quality Association (WQA) for contributions to the water quality industry.

Recipient of the American Filtration Society Senior Scientist Award of 2004 and the 2003 New Product Award for the MATRIKX Microbiological Barrier.

Winner of the 2003 Electrolux Anders Sharp Award for Engineering Excellence.

Member editorial advisory board of Filtration News (appointed 2004) and member advisory board of Water Conditioning and Purification (appointed 2002)

Directed a study of tritium dispersion in the local environments surrounding nuclear power reactors, including nine nuclear power facilities located in the Northeastern portion of the

United States. Studied the isotopic enrichment of tritium using electrolytic separation and advanced detection means. Performed some of the earliest surveys of Radon and fallout contamination in New England.

Studied the radioecologic and chemical properties of technetium-99. Produced impact estimates for technetium release from medical facilities, weapons test fallout, fallout anticipated from a major nuclear conflict, and the release of radionuclides during an accident at a nuclear power reactor.

Conducted research on the trace-metal chemistry of micrometer-size air pollution particles using electron microprobe and electron microscopes with energy dispersive X-ray energy spectrometry.

Designed and built a "Forest Resource Scanner" for the Yale School of Forestry and Environmental Studies. Scanner tested under a contract from the National Science Foundation for measuring forest biomass, structure, canopy dimensions, defoliation and insect damage, and other parameters of importance to the forestry industry. System now operational.

Completed the first computer simulations of soil frost heaving using data and methods developed at Cornell University by Dr. R.D. Miller. Calculations developed during this work are presently used in the design of large structures in cold-weather regions of the world, such as in the design of the trans-Alaskan natural gas pipeline.

Performed extensive experiments on the effects of water-soluble hydrophilic polymers on soil-water transport and crop growth. Work emphasized poly(ethylene oxide)-based polymers and included 27 different experiments in the areas of soil-physics, crop physiology, and crop yield assays, and eventually formed the basis of a Ph.D. thesis completed in 1981.

Directed a feasibility study of large-scale Dry Fermenter Plants for the Department of Energy under a contract awarded to Cornell University. Computer and design studies provided detailed cost estimates for systems of carrying capacities and assessed overall economic and technical feasibility of this type of regional biomass energy production system.

Developed "High Shear Field" (HSF) systems for the energy-efficient production of micrometer-sized gas bubbles and fine liquid dispersions. Applications include industrial aeration and pollution control systems, flotation, bubble fractionation, liquid-liquid extraction, and three-phase slurry reactors. Carried out full-scale system engineering, development and testing together with computer simulations, theoretical treatment, and supporting marketing studies. Invented and developed Iso-Mobility Focus (IMF) separation process and built first pilot plant, based upon the separation and concentration of chemicals within a magnetically-stabilized fluid

chromatography column for the purification of pharmaceuticals and fine chemicals. Work performed for EXXON Chemical Company.

Provided technical consulting services to the banking and venture capital communities in the areas of analytical instruments, petroleum fuel processing, and radiological and nuclear imaging systems.

For three years was the T.A. providing independent lectures on technology development at Yale University, Department of Engineering and Applied Science. Received excellent reviews in the Yale Course Critique for work in this area. Lectured at Bridgeport University, Department of Mechanical Engineering in pollution control systems and technology.

Guest lecturer at Johns Hopkins University, School for Advanced International Studies, providing lectures on nuclear weapon effects on nuclear war. Later provided lectures on nuclear weapons effects to Naval Operations Intelligence Center, Washington.

Member of the U.S. Air Force Chemical Defense Red Team. Provided seminars on chemical defense equipment test and evaluation to Chemical Research & Development Center, U.S. Army. Presented paper on chemical defense to Military Operations Research Society (MORS) annual conference, 1983. Instructor in Chemical Defense Applications to C³I Survivability, Armed Forces Communications and Electronics Association, March 1985.

PROFESSIONAL AND HONORARY SOCIETY MEMBERSHIPS

American Water Works Association (AWWA)

Water Quality Association (WQA)

American Filtration Society (AFS)

RESEARCH SUPPORT

1972 – 73	Stauffer-Chemical Co., Stauffer-Westport Fund
1973 – 74	National Science Foundation
1979 – 80	Shaklee Corporation
1981	U.S. Department of Energy

PATENTS

U.S.	RE37216	Water Treatment Cartridge and Base.
U.S.	3,924,132	Element Analyzer Utilizing Neutron Activation
U.S.	4,163,657	Soil Conditioning Composition and Method of Using Same
U.S.	4,301,620	Method of Promoting Water Transport Through Soil
U.S.	4,380,886	Method of Promoting Water Transport Through Soil
U.S.	4,437,007	Large Arrays of Discrete Ionizing Radiation Detectors Multiplexed Using Fluorescent Optical Converters
U.S.	4,548,730	Portable Self-Contained Oxygen Generator Apparatus and Method
U.S.	4,643,852	Energy Efficient Phase Transfer/Dispersion Systems and Methods of Using the Same
U.S.	4,759,782	Coalescing filter for removal of liquid aerosols from gaseous streams. With John D. Miller & Kenneth M. Williamson. Assigned to Pall Corporation
U.S.	4,780,113	Isomobility Focusing in a Magnetically Stabilized Fluidized Bed. Assigned to EXXON Chemical Company
U.S.	4,829,771	Thermoelectric Cooling Device. With J. Wiggins
U.S.	5,019,311	Process for the Production of Materials by In-Situ Fiberizing and Force Point Bonding and Materials Produced by the Process
U.S.	5,147,722	Process for the Production of Materials and Materials Produced by the Process
U.S.	5,189,092	Method and Apparatus for the Continuous Extrusion of Solid Articles
U.S.	5,249,948	Apparatus for the Continuous Extrusion of Solid Articles
U.S.	5,331,037	Extruded Solid Composite Articles and Compositions for Producing Same
U.S.	5,464,533	Solvent Cleaning System with Two-Stage Filter

U.S.	5,538,647	Method of Preventing Contaminant Buildup in a Reusable Solvent
U.S.	5,685,981	Water Filter
U.S.	5,700,371	Water Treatment Cartridge and Base
U.S.	5,792,513	Continuous Solid-State Web Coating Process
U.S.	5,922,803	Thin-Walled, Extruded Activated Carbon Filter. With R.D. Kendrick and G. Spilkin
U.S.	5,946,342	Process and Apparatus for the Production of Activated Carbon
U.S.	6,015,608	Liquid Absorbent Pad with Anti-Gel-Block Laminate
U.S.	6,022,436	Electrode Manufacturing Process and Flow-Through Capacitor Produced Therefrom
U.S.	6,061,384	Process and Apparatus for the Production of Activated Carbon
U.S.	6,077,588	Continuous Solid State Web Coating Process and Webs Produced Thereby
U.S.	6,103,116	Collapsible Filter
U.S.	6,355,330	Continuous Solid State Web Coating Process and Webs Produced Thereby. With Richard D. Kendrick and Gordon Spilkin.
U.S.	6,395,190	Process Employing Thin-Walled, Extruded Activated Carbon Filter. With R. Kendrick and G. Spilkin
U.S.	6,485,813	Method of Stabilizing Composite Media and Media Produced Thereby.
U.S.	6,550,622	Composite Filter Medium and Fluid Filters Containing Same
U.S.	6,565,961	Absorbent Articles
U.S.	6,607,672	Apparatus and Method for Combined Use of Ultraviolet and Ozone in Appliance. With L.S. Walters
U.S.	6,610,415	Magnetic and Magnetizable Composite Product and a Method for Making and Using Same
U.S.	6,630,016	Microporous Filter Media, Filtration Systems Containing Same,

		and Methods of Making and Using
U.S.	6,660,172	Precoat Filtration Media and Methods of Making and Using
U.S.	6,703,071	Absorbent Articles
U.S.	6,719,869	Method of Stabilizing Composite Media and Media Produced Thereby
U.S.	6,720,054	Desiccant System Including Bottle and Desiccant Sheet
U.S.	6,770,204	Filter Media with Enhanced Microbiological Interception Capability
U.S.	6,772,535	Humidity Generating System and Method and Use in Wood Drying Kiln
U.S.	6,783,798	Magnetic or Magnetizable Composite Product and a Method for Making and Using Same
U.S.	6,797,167	Composite Filter Medium and Fluid Filters Containing Same
U.S.	6,835,311	Microporous Filter Media, Filtration Systems Containing Same, and Methods of Making and Using
U.S.	6,840,986	Air Purifying Filter Systems for Building Air Supply and Respirators Useful Against NBC Attacks
U.S.	6,866,704	Microporous Filter Media with Intrinsic Safety Feature
U.S.	6,872,311	Nanofiber Filter Media
U.S.	6,889,599	Brewing Apparatus and Method
U.S.	6,913,154	Microporous Filter Media, Filtration Systems Containing Same, and Methods of Making and Using
U.S.	6,953,604	Microporous Filter Media, Filtration Systems Containing Same, and Methods of Making and Using
U.S.	6,959,820	Microporous Filter Media, Filtration Systems Containing Same, and Methods of Making and Using
U.S.	6,998,058	Microporous Filter Media, Filtration Systems Containing Same, and Methods of Making and Using
U.S.	7,008,537	Microporous Filter Media, Filtration Systems Containing Same,

		and Methods of Making and Using
U.S.	7,011,753	Microporous Filter Media, Filtration Systems Containing Same, and Methods of Making and Using.
U.S.	7,144,533	Microporous Filter Media, Filtration Systems Containing Same, and Methods of Making and Using.
U.S.	7,182,857	Pressure limiting and/or flow Regulating Valve for use with POU/POE Filter System. With Donald J. Baumgarten and Blake E. Savoy.
U.S.	7,238,403	Composite for Removing Moisture, Liquid and Odors with Anti-Microbial Capability. With Lawrence S. Walters Jr.
U.S.	7,241,388	Means to Miniaturize Diffusion Filters for Particulate Removal.
U.S.	7,276,098	Tangential In-line Air Filter.
U.S.	7,276,166	Fiber-Fiber Composites.
U.S.	7,287,650	Structures that Inhibit Microbial Growth
U.S.	7,296,691	Carbon or Activated Carbon Nanofibers
U.S.	7,306,111	Filter Housing
U.S.	7,566,014	Process for Producing Fibrillated Fibers
U.S.	7,655,112	Integrated Paper Comprising Fibrillated Fibers and Active Particles Immobilized Therein
U.S.	8,056,733	Structures that Inhibit Microbial Growth
U.S.	8,444,808	Process for Producing Nanofibers

The patents listed above are the subject of numerous foreign patents and patent applications. Additional patents are assigned to Pall Corporation, including the following:

U.S.	4,537,608	System for Removing Contaminant Particles from a Gas.
U.S.	4,604,109	Fluid Purifier
U.S.	4,676,807	Process for Removal of Liquid Aerosols From Gaseous Streams. With J. Miller and K. Williamson
U.S.	4,828,698	Filtering Apparatus. With J. Jewell
U.S.	4,850,380	Entry/Exit Decontamination System Using Adsorbent Powder
U.S.	4,983,190	Pressure-Swing Adsorption System and Method for NBC Collective Protection. With M.G. Verrando, D.H. White, and G. Barkley

PUBLICATIONS

- 1973 Problems of tritium contamination and its measurement. Yale Scientific 48(1): 4-9
- 1977 The proliferation of fission technology. Yale Scientific 51(5): 27-32
- 1977 An aposematic statement on nuclear war: ultraviolet radiation in the postattack environment. Bioscience 27(6): 409-413
- 1978 Characterization of lead-containing particles on urban leaf surfaces. With W.H. Smith and B. Staskawitz. Environ. Sci. Technol. 11: 1019-1021
- 1979 Secondary frost heave vs. surface load – elementary simulations. Delivered at ASA-CSSA-SSSA annual meeting. Aug 5-10, Ft. Collins, CO with R.D. Miller.
- 1980 Computation of rate of heave vs. surface load under quasi-steady state. Cold Regions Sci. Technol. 3: 243-251 with R.D. Miller.
- 1980 Technetium: a toxic waste product of the nuclear fuel cycle: Effects on soybean growth and development. Environ. Manage. 4: 149-156 with G.P. Berlyn and S.S. Dillon.
- 1981 High Molecular Weight Poly(Ethylene Oxide) Polymers as Soil Amendments. Ph.D. thesis, Cornell University, University Microfilms, Ann Arbor, MI
- 1983 Test results from aerosol and liquid challenges against NBC protectors and of carbon dust release from the C2 and S6 canisters, Presented at Sept 29, 1983 Military Operations Research Society
- 1990 Miniature Thermoelectric Microclimate Conditioning Systems. Presented at the American Defense Preparedness Association Symposium on Chemical Defense "Meeting the Chemical/Biological Defense Needs in the 1990s" Naval Surface Warfare Center – White Oak, Silver Spring, Maryland 19-21 June 1990
- 1991 Extruded activated carbon filters for point-of-use and membrane protection applications. Filtration News. 9(1): 26-28 (with R. Kendrick)
- 1991 New extruded activated carbon filters for control of lead and hydrogen sulfide. Water Conditioning and Purification 33 (9): 72-76 (with R. Kendrick and Saaski)
- 1994 Extruded activated carbon filters. ASA Newsletter, 92 (2): 12-13.
- 1998 Media for drinking water. IDEA'98, International Nonwovens Conference & Exposition, 21-23, April., 1998. Baltimore MD
- 2000 Large Scale Production of Extruded Carbon Block Filter Cartridges. Water

Conditioning & Purification 42(5): 32-37

- 2001 Carbon Product Quality Control. Water Technology. Publication Scheduled March, 2001
- 2002 The Quest for the Holy Grail: Microbiological Carbon Block Filters, Water Conditioning & Purification 43(8)
- 2002 Safeguarding America's HVAC Systems Against Chemical Warfare Threats. Filtration News. July/Aug pp 22-26
- 2002 Microbiological Interception Using Modified Carbon Blocks. Presented International. Activated Carbon Conference. September 2002, Pittsburgh, PA
- 2002 Air and Water Filters Produced From Low-Cost Nanofibers. Presented Insight 2002, October 2002, Tampa Bay, Florida
- 2002 Superadsorbent Composites that Spontaneously Form Acquisition Layers During Liquid Insult. Presented Insight 2002, October 2002, Tampa Bay, Florida.
- 2002 Mass Production of NanofiberAir Filter Media. Presented at Filtration 2002, Washington, DC, December 2002
- 2003 Extractable Arsenic and Antimony in Activated Carbons, Water Conditioning and Purification 44(6)
- 2003 Consumer Water Filtration: Emerging Trends and Developments. Presented at: Filtration 2003, Chicago, IL, November 2003
- 2004 Carbon Breakthrough: New Microbiological Reduction Capabilities Overcome Market Barriers. Water Conditioning and Purification 45(1)
- 2004 Point-of-Use Refrigerator Filters, Water Conditioning and Purification. Feb 2004
- 2004 Consumer Water Filters. Water Conditioning & Purification. Mar 2004
- 2010 New Methods of Producing Fibers in High Volumes. INSIGHT 2010 International Conference October 2010
- 2012 Mass Production of Polymeric Nanofibers, presented at the INSIGHT 2012 International Conference October, 2012

ADDITIONAL PAPERS AND ARTICLES BY DR. EVAN E. KOSLOW

NUCLEAR, BIOLOGICAL, & CHEMICAL DEFENSE & TECHNOLOGY

Commentary & Analysis

Why NBC Defense and Technology, NBCD&T, April 1986

On the Value of Treaties, NBCD&T, May 1986

Where Do We Go From Here? NBCD&T, September 1986

NBC Defense Affairs

Iran Keeps Chemical "Options" Open: Claims to Have the Upper Hand, NBCD&T, April 1986

Latest U.N. Mission Issues Report Confirming Iraqi Use of Chemical Weapons Against Iran. NBCD&T, April 1986

Chemical Disarmament: The Politics of What If... NBCD&T, April 1986

Soviets Propose Destruction of CW Production Facilities, NBCD&T, May 1986

The Chemical War: Iran Points Finger at Britain, NBCD&T, May 1986

ADPA Reviews U.S. Naval NBC Criteria, NBCD&T, May 1986

NBC Defense Industry

Stockholm Symposium: State-of-the-Art Designs and Discourse, NBCD&T, September 1986

Decontamination (on worldwide products for decontamination), NBCD&T, September 1986

Individual and Collective Protection Equipment (on worldwide products for individual and collective protection), NBCD&T

French Form NBC Defense Manufacturers Group, NBCD&T, June 1986

U.S. Nuclear, SDI, and NBC Contracts, NBCD&T, June 1986

NBC Defense Technology

Bendic BxiCAD Moves Forward, NBCD&T, April 1986

Pyridostigmine for Nerve Agent Protection, NBCD&T, April 1986

Integrated Hood-Mask, NBCD&T, April 1986

Pressure-Swing Adsorption Systems (on Pall Corporation, U.S.), NBCD&T, April 1986

Pelletized Neutron and Gamma-Ray Shielding (on Reactor Experiments, U.S.), NBCD&T, April 1986

Oxygen Generation (on SUPCA, France), NBCD&T, April 1986

Chemical Agent Detector Has Long Life (on British CAM), NBCD&T, May 1986

M43 Aviator's Mask Fully Approved, NBCD&T, May 1986

Collective Protection (on Brunswick BV of Netherlands), NBCD&T, May 1986

Nerve Agent Detector (on Duphar BV "Button Detector"), NBCD&T, May 1986

Hand-Held Detector (on S.E. International Geiger Counter), NBCD&T, May 1986

Dosimeter (on Fischer Controls Ltd., U.K., Dosimeter), NBCD&T, May 1986

Chemical Detector (on Oldelft Industries, Netherlands), NBCD&T, May 1986

Filter and Shelter AC Units (on LUWA Ltd. Of Switzerland), NBCD&T, May 1986

NBCDefense: Protecting U.S. Military Vehicles, NBCD&T, to be published

European Fighting Vehicles: Putting Pressure on NBC Threats, NBCD&T, to be published

Night Vision Goggles for NBC Environments, NBCD&T, June 1986

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Railgun Uses Advanced Energy Storage System, NBCD&T, April 1986

Small Intercontinental Ballistic Missile Basing Modes Examined, NBCD&T, April 1986

Fifth Flight of Radar-Guided Ballistic Missile Interceptor a Success, NBCD&T, June 1986

United States Launches Major Space Vehicle Program, NBCD&T, June 1986 (with K. Barnett)

PRIVATE REPORTS BY EVAN E. KOSLOW, Ph.D.

Agriculture

Testing of bulking agents for KOSEOX. 1983

Advanced Seed coatings to enhance germination and seeding vigor, especially under cold and damp conditions. 1981

A high technology seed coating process, and compositions for same, designed to increase seed germination and plant growth under hostile conditions. 1981

Report on laboratory, greenhouse and field testing on BASIC-H on five northeastern soils. 1979

Horticultural experiments: The effects of polymer and BASIC-H applications on ornamentals and houseplants grown in potting soil mixes in the greenhouse. 1979

A water-soluble, straight-chain, and hydrophilic polymer as a possible soil additive to control the transport of moisture and fertilizer – poly(ethylene oxide). 1977

Report on the Cornell poly(ethylene oxide) greenhouse trials. 1978

Early experiments with modified poly(ethylene oxide). 1978

Impact of poly(ethylene oxide) on evaporation, moisture transport, germination, and plant growth. 1979

Chemical and biological strategies for the control of *Fomes annosus*. 1977

Poria weirii. 1977

Coffee bean agriculture in Kenya. 1977

CHEMICAL ENGINEERING

Tangential flow filters with tapered geometries. 1983

Peroxide-based oxygen generator with Glauber's salt for control of heat of reaction. 1982

Oxygen gas generator based on catalytic destruction of hydrogen peroxide and salt hydration temperature control. 1982

Chemical generator for the production of warm, moist, sterile, and pure oxygen for medical use. 1982

Advanced aerator concepts. 1980

Theory and design of high-shear field (HSF) systems. 1980

High shear field technical presentation – energy efficient aerators. 1981

Results of small disk tests for gas dispersion. 1980

Methanol/methane production using gas dispersion in high shear fields and homogeneous catalysis. 1980

Design of commercial scale super disk aeration systems. 1980

Super disk pack aerators. 1980

Advanced concepts in aerator physics. 1980

Cornell dry fermenter feasibility study. 1980

Mechanisms for vapor pressure reduction in aerated thermophilic reactors. 1978

A computer simulation of the Cornell biodrying reactor. With P. Bro 1978

DEFENSE RESEARCH; NUCLEAR, BIOLOGICAL AND CHEMICAL

Preliminary report on the performance of immobilized ASC Whetlerite activated carbon. 1984

Preliminary report on the performance of NBC HEPA filter media when challenged with submicronic microbiological aerosols. 1984

Reexamination of vapor and aerosol infiltration data on the Soviet BRT-50PU, BRT-60PB, and BRDM-2 SAGGER ATGW armored fighting vehicles. 1984

Evaluation of NBC HEPS filter media and NBC face mask canisters with submicronic microbiological aerosols. 1984

Water aerosol and hydrophobicity testing of NBC face mask canisters. 1983

Study of the attrition of as-received activated carbon used in chemical warfare equipment and the release of carbon dust from NBC face mask canisters. 1983

Study of the vulnerability of NBC HEPA filter media against fog-oil fouling. 1983

NUCLEAR RESEARCH

Scintiplexer project technical disclosure. 1981

Large arrays of discrete ionizing radiation detectors multiplexed using specially designed fluorescent optical converters. 1981

The N-channel processor radionuclide imaging system. 1978

A low-cost, rugged radiation detector using a small scintillator and solid-state detector with modified digital watch electronics. 1981

Report on the inadvertent introduction of Technetium-99 during the radiological use of Technetium-99m. 1978

Stratospheric ozone depletion and nuclear war. 1977

Man-induced perturbations in the solar ultraviolet radiation flux and their possible biological impact. 1977

Technetium in the environment. 1977

Technical summary: Cerenkov element analyzer. 1978

Cerenkov element analyzer. 1974

Low-flux neutron activation analysis. 1975

Detection of plutonium in human beings. 1975

Plutonium toxicology. 1975

River sediment and groundwater radioactivity in the environment surrounding the Yankee Atomic Power Plant. With P. David. 1974

A breeder reactor safety analysis. 1973

Safeguards problems and strategic implications of the breeder reactor program and plutonium proliferation. 1973

Methods of environmental tritium monitoring. 1973

Cerenkov element analyzer sensitivity calculations for the elements rhodium and aluminum. 1973

Cerenkov element analyzer prototype design. 1973

ENERGY AND RESOURCES

The biotechnology revolution, counter-revolution, and coevolution. 1982

Alcohol based fuels in future energy systems. 1979

The Soviet energy industries. 1977

An evaluation of coal technology options. 1976

Energy resources and the human social system. 1974

Material resources. 1974

HEAVY METAL CHEMISTRY

The impact of heavy metals on fungi and their vegetative hosts – a bibliography. 1978

The chemistry of soil lead. 1977

Analytical methods useful in the analysis of environmental lead. 1977

Cadmium in the environment. 1977

Trace element analysis of air pollution aerosols by neutron activation analysis. 1974

Determining the source of nickel in archeological artifacts containing copper-nickel alloys. 1973

MARKET AND BUSINESS RESEARCH

Some preliminary market estimates for D-bubble systems. 1980

International agri-polymer patent license analysis and data base. 1980

Poly(ethylene oxide)-based polymers applied to agricultural soils, agri-polymer market estimator model results 1 & 2. 1980

Market study data base, agri-polymer products, poly(ethylene oxide)-based polymers. 1980

NUMEROUS BUSINESS PLANS, R&D LTD PARTNERSHIP DOCUMENTS, AND FINANCIAL AND TECHNICAL DISCLOSURES.

MISCELLANEOUS

Introduction to S-Weapons. 1978

The biological effects of S-gun high voltage bursts. 1978

S-weapon fluids. 1978

The CM-4 S-Weapon. 1978

The XS-5 S-pistol. 1978

The XR-7 S-rifle. 1978

A device for projecting streams of electrically conducting liquids at high voltage. With J.S. Batchelder 1978

Recent evolution of the Environmental Protection Agency's policy position in resource recovery and source reduction. 1974

Considerations and methodology for energy policy analysis. 1974

Paul G. Dutra



Work History

Glenmark-Generics, Inc. USA

June 2003 - Present

Mahwah, New Jersey

Executive Vice President, North America

Instrumental in setting up Glenmark-Generics in the US including, locating and securing office space, setting up databases, designing product labeling, building out and designing new office space and warehouse space, hiring of key employees, picking the initial product pipeline, and interacting with the corporate parent in India. In addition, current responsibilities include managing sales and profitability, customer service, all warehouse functions, supply chain management, and returns.

Dr. Reddy's Laboratories

May 2002 - June 2003

Upper Saddle River, NJ

Director of Sales

Launched Dr. Reddy's first finished dose commercial product in the United States, and gained over 25% market share for the company. Responsibilities included opening up all of the new accounts for the company, negotiated the distribution contract with a third party distribution company, ensured compliance with all State and Federal guidelines, set up the third party contracts administration system, chargeback systems, Medicaid rebate reporting and tracking, and rebate administration. Ran profitability models and worked with the national account managers to ensure maximized profitability.

Morton Grove Pharmaceuticals

April 2000 - May 2002

Morton Grove, IL

Director of Sales

Responsibilities included sales and marketing for the entire East Coast of the United States which comprised 75% of the company's overall turnover, and helped to build the company from \$23MM to \$120MM during my tenure. I was involved in pipeline decisions for the company, and also forecasting and supply chain management. Attended Trade Shows and events and

West-Ward Pharmaceuticals

August 1997 - April 2000

Eatontown, NJ

Director of Sales

Started the direct sales component of the company. Responsibilities included opening up, managing, and building direct accounts for the company in the Chain, Wholesaler, Distributor, and Managed Care markets of the United States. Built total sales of the organization from \$18MM to over \$90MM during my tenure, and added over 40 new direct accounts. Designed a profitability model, launch model, and forecast model for the company. I was also involved in supply chain management, and pipeline decisions.

Moore Medical Corporation

May 1994 - August 1997

New Britain, CT

National Account Manager

Responsible for managing the top 10 customers of the HL Moore private label division of the company, adding new products to existing contracts, prospecting for additional new customers, and managing a staff of inside sales representatives. In addition, I was on the executive committee, which met monthly to discuss profitability and sales of the overall organization.

Solvay Pharmaceuticals

December 1991-May 1994

Marietta, GA

Territory Manager

Responsibilities included calling on physicians, health care networks, pharmacies, and distributors to drive prescription growth for promoted Solvay products focused on the therapeutic categories of women's health, gastroenterology, and central nervous system disorders. During my tenure I was consistently ranked among the top ten in prescription volume, and was awarded Rookie of the year honors, as well as promoted to Sales trainer for the Eastern Region of the United States.

Education

Salve Regina University

September 1987-May 1991

Newport, RI

BS, Political Science

Minor, Administration of Justice

OBJECTIVE

DIRECTOR OF SECURITY

EXPERIENCE

SPARKS SECURITY LLC

*Security Officer, Operative
Statewide*

July 2010 – Present

Responsibilities included: Investigations, confidential & discreet; Uniform & Plain clothes security, armed and unarmed; Crime Deterrence; Employee / Visitor Screening; 24/7 Video Surveillance; Hospital Security; Protection; Personal Protection; Property Management; Bodyguards; etc...

Connecticut, Department of Correction

120 Day Retiree (two terms) / Board of Pardons & Paroles, Parole Officer

Rowland Building, Waterbury, CT

July 2009 – July 2010

Responsibilities included: Reports directly to the Executive Director, Setting up parole packages for the CT State Parole Board (hearings). Reviewing DOC Records, Conducting COLLECT & NCIC checks, retrieving information from the Judicial Electronic Bridge, requesting PSI, VOP, Transcripts, Juvenile and Youthful Offender Records, requesting Sex Offender and Mental Health Evaluations, requesting Victim Notifications, interviewing inmates, participating at Parole Hearings and writing Parole Summaries.

Director / Tactical Operations/Transportation

Central Office, Wethersfield, CT

March 2004 – July 2009 (Retired)

Responsibilities included: Reports directly to the Deputy Commissioner of Operations; Interagency Liaison to the Governors "Office of Emergency Management" (OEM); Unit Administrator of the Tactical Operations Unit; Unit Administrator of the Correctional Transportation Unit, including high risk armed escorts and out of state transports; Directly supervising the Departmental Honor Guard and Bagpipe & Drum Band; Central Office Building Security, including key & access control; Department of Emergency Management and Homeland Security liaison; Judicial Branch liaison; Conduct and oversee investigations within my area of responsibility; Oversee budget of six million dollars.

Director / Community Enforcement/Transportation

Central Office, Wethersfield, CT

May 2003 – March 2004

Responsibilities included: Reports directly to the Deputy Commissioner of Operations; Interagency Liaison to the Governors "Office of Emergency Management" (OEM); Unit Administrator of the Community Enforcement Unit; Unit Administrator of the Correctional Transportation Unit, including high risk armed escorts and out of state transports; Directly supervising the Departmental Honor Guard and Bagpipe & Drum Band; Central Office Building Security, including key & access control;

Richard M. Miele

Chief of Field Operations / Deputy Warden, Field & Security Operations Division

Central Office, Wethersfield, CT

February 1999 – May 2003

Responsibilities included: Reports directly to the Deputy Commissioner of Field and Security Operations; Performing the duties of the Deputy Commissioner of Field and Security Operations in their absence; Performing the duties of the Director of Security in their absence; Appointed as interagency liaison to the Governors "Office of Emergency Management" (OEM); Unit Administrator of the University of Connecticut, Medical Surgical Ward 5; Unit Administrator of the Correctional Transportation Unit, including high risk armed escorts and out of state transports; Conducted confidential investigations for the Commissioner; Directly supervising the Departmental Honor Guard and Bagpipe & Drum Band; Central Office Building Security, including key & access control; Equipment Inventory of the Security Division.

Deputy Warden of Operations

New Haven C.C., New Haven, CT

August 1997 - February 1999

Garner C.I., Newtown, CT

May 1995 - August 1997

Manson Youth Institution, Cheshire, CT

December 1993 - May 1995

Responsibilities included: Sentenced and unsentenced facilities; Duty Officer; Performing the duties of the Warden in their absence; Directly supervising Unit Managers (including management of Close Custody and Mental Health populations); Directly supervising Major, Chief of Security, Gang Intelligence Coordinator, Telephone Monitoring; Organizing and directing custodial and security functions; Organizing and directing a comprehensive program for treatment, training and rehabilitation of inmates; Directly coordinating activities for staff during emergency situations; Generating and reviewing security reports; Assisting in the formulation of facility operational policies, procedures and practices; Ensuring the enforcement of facility rules and regulations.

Major

Manson Youth Institution, Cheshire, CT

April 1992 - December 1993

Responsibilities included: Duty Officer; Performed the duties of the Deputy Warden of Operations in their absence (these duties included supervision of Unit Managers and their operations); Performed the duties associated with overseeing three custodial shifts performing custodial and security functions and monitoring the flow of information and communication between shifts; Conducted investigations of staff and inmate infractions; Assisted in the direction of staff in a large correctional facility (these duties included supervision of custody and treatment operations, maintenance and monitoring of sophisticated security equipment, monitoring of activities within the facility for security effectiveness, evaluation of officers, lieutenants, and captains).

Administrative Captain

Manson Youth Institution, Cheshire, CT

February 1990 - April 1992

Responsibilities included: Duty Officer; Training Advisory; Continued development of institutional policies and procedures; Coordinated key and armory inventories; Reported on institutional operations; Participated in numerous institutional committees; Coordinated all staff discipline actions with Human Resources and Warden; Conducted investigations of staff and inmate infractions.

Captain

Manson Youth Institution, Cheshire, CT

August 1988 - February 1990

Responsibilities included: Shift Commander; Assisted in planning of Health, Education, and Treatment facility; Assisted in planning of new modular classrooms; Performed the responsibilities of Disciplinary Court Chairman and Fire Safety Coordinator.

RICHARD M. MIELE

Lieutenant

Manson Youth Institution, Cheshire, CT

May 1987 - August 1988

Responsibilities included: Shift Supervisor; Fire Safety Coordinator; Responsible for inspection of fire safety and sanitation; Prepared and submitted quarterly reports; Coordinated the training for hostage survival, fire safety, and emergency procedures.

Correctional Rehabilitation Services Officer II

Manson Youth Institution, Cheshire, CT

May 1985 - May 1987

Responsibilities included: Supervision of the Mental Health, Orientation and Segregations units; Responsible for custody and security of inmates in those units; Prepared and submitted reports on operational and treatment activities.

Correctional Treatment Officer

Manson Youth Institution, Cheshire, CT

May 1982 - March 1985

Responsibilities included: Various activities in the Special Management (segregation) unit, Orientation and the Mental Health (STEP) Unit.

Correction Officer

Cheshire Correctional Institution, Cheshire, CT

June 1979 - May 1982

Responsibilities included: Various correctional activities as assigned.

Watertown Police Department

Dispatcher

October 1990 – December 1999

Responsibilities included: Answering emergency (911) calls, general calls and complaints; Dispatching police, fire, fire rescue, and emergency medical services. Certified on the COLLECT system.

Department of Education, Waterbury, CT

Substitute Teacher/SEM Aid

September 1980 – September 1984

Responsibilities included: Planned and implemented lessons and maintained students' records. Counseled students and supervised the "Time Out Room".

EDUCATION

MATTATUCK COMMUNITY COLLEGE

Associate of Science Degree in Criminal Justice and Security 1977

ORGANIZATIONS

- Associate member; Western Area Regional Chiefs of Police Association (WARCOP)
- Northeast Technology and Product Assessment Committee (NTPAC)
- Anti-Terrorist Advisory Council (ATAC)
- Member of Law Enforcement on-line (LEO)
- Member of Homeland Security Information Network (HSIN).
- Member of Homeland Security (Web EOC)

RICHARD M. MIELE

REFERENCES

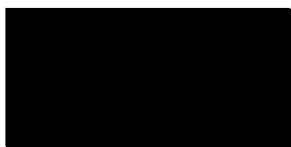
- **Reference Letters Available Upon Request – but not limited to:**

James Thomas – Commissioner, Department of Emergency Management and Homeland Security, Retired
Joseph D. D'Alesio, Executive Director, Superior Court Operations Executive Secretary
John Gavallas – Watertown Chief of Police
Edward Stephens – Wolcott Chief of Police
Gary Dorsey – Chief Deputy, US Marshal Service, Retired
O'Donovan Murphy - Director, Judicial Marshal Service
Rick Fox – Major, Connecticut State Police
John Lahda – Executive Director, Board of Pardons and Paroles, Retired

SPECIAL EXPERIENCE AND ACHIEVEMENTS

- Blue Card Re-Certification, 2013
- Security Guard Card and Blue Card Certified, 2012
- COLLECT Recertification, July 2009
- Handgun & O.C. Certified, 2009
- **U.S. Department of Justice Award for Project Safe Neighborhoods, 2006**
- **Received the Commissioner's Coin Award, 2005**
- Task Force, Parole/Community Enforcement Transition Committee, October 2003
- Negotiation Committee, lieutenants & training officers union contract, January 2002
- **Unit Citation Award presented by CT State Police, October 2001**
- Outstanding Managerial Performance Award, 2001, 1998, 1997, 1993, 1990, 1989 and 1988
- Agency's coordinator for Governors Care & Share Program, November 2000
- Task Force, Reorganization of the Sheriff's Department, March 2000
- **Appointed as interagency liaison to the Governors "Office of Emergency Management" (OEM), September 1999 through June 2009 (Retired)**
- **Unit of the Year for the Department of Correction, Honor Guard and Bagpipe & Drum Band, 1999**
- Recertified as a Public Safety Telecommunicator, July 1998
- **The Council of State Governments presented its prestigious Innovations Award to the Close Custody Phase Program at the Garner Correctional Institution, an unusually effective program for managing gang leaders, December 1996**
- **Unit of the Year for the Department of Correction, Garner Correctional Institution, 1995**
- **Manager of the Year for Department of Correction, 1992**
- Excellent Managerial Performance Award, July 1991
- Nominated Most Outstanding Unit Award for MYI CERT Team, March 1991
- Manager of the Year, MYI-Cheshire, December 1989
- Correctional Emergency Response Team Commander, February 1988-July 1992
- Manager of the Year, MYI-Cheshire, December 1988
- Fire Safety Coordinator, May 1987 - February 1990
- Accreditation Manager, May 1987 - August 1988
- State Correctional Honor Guard, September 1983 - December 1989
- Certified Hostage Negotiator, July 1983 - February 1988
- Correctional Emergency Response Team Member at CCI-Cheshire, October 1982 - July 1983

Dr. Robert Donkers



Highlights of Qualifications

- More than ten years professional work experience as a university administrator, program developer and laboratory manager; and as a scientist in the biotechnology and pharmaceutical industries.
- Ph.D. in organic chemistry and four years post-doctoral work experience
- Research experience in the areas of organic chemistry, electrochemistry, photochemistry, nanomaterials, sensors and semiconductor chemistry.
- Experience developing FDA compliant instrument methods in accordance with ICH and GMP protocols on industry standard equipment including HPLC, GPC, HPLC/GC-MS
- Work and administrative experience with spectroscopic and optical techniques including scanning electron, atomic force and optical microscopy and Raman, Fourier-transform infrared and UV-visible spectroscopy and powder x-ray diffraction, ellipsometry and dynamic light scattering.
- Materials characterization work experience with thermogravimetric analysis, differential scanning calorimetry and tensile and impact resistance testing.
- Experience working trade-shows and convention booths and giving international scientific presentations
- Author of twenty-seven scientific publications, presently a peer reviewer for the American Chemical Society and currently in possession of a limited engineering license with the Professional Engineers of Ontario.

Education

2001	Ph.D. Chemistry, <i>The University of Western Ontario</i> , London, Canada
1996	B.Sc. Chemistry, <i>The University of Western Ontario</i> , London, Canada

Awards and Merits

2004-2005	NSERC Visiting Fellowship
2001-2003	Post Doctoral Fellowship <i>NSERC</i>
2001	Paul De Mayo Doctoral Thesis Award – <i>The University of Western Ontario</i>
1997-98, 2001	Outstanding Presentation - <i>Canadian Society for Chemistry Conference</i> .
1999-2001	Post Graduate Scholarship B, <i>NSERC</i>
1998	Ontario Graduate Scholarship

Work Experience

The University of Waterloo, Waterloo, Canada

Undergraduate Laboratory Coordinator

August 2009-October 2013

- Reported to the program director and managed the daily operations of the nanotechnology engineering laboratory courses and including managing the programs four laboratory instructors and many of the instrument facilities.
- Conceptualized and developed the laboratory content for the program and trained the instructor and teaching assistant staff; was responsible for the annual performance reviews and performance management of the lab instructor staff.
- Maintained, operated and trained the instructing staff on the full suite of the program's chemistry and materials engineering relevant instrumentation including scanning electron and atomic force microscopy, ellipsometry, powder X-ray diffraction, UV-visible, Raman and Infrared spectroscopy, tensile and impact materials testing.
- Performed service tasks to the nanotechnology program including cooperative education administration, organizing the programs outreach and recruiting activities and was the lead of the committee for hiring new instructor staff.
- Regularly participated in manning the University's booth at trade shows and recruiting events as well as participated in the Engineering Faculty international recruiting activities as a presenter.

Business Development Officer

April 2007-August 2009

- Business Development Officer for nanotechnology undergraduate initiatives on campus reporting to the program director and collaborating with the Cooperative Education and Career Services department
- Lead business development for the undergraduate nanotechnology program including job development for to cooperative education and finding and administering funding opportunities for student projects; this position included a moderate amount of local and international business travel
- Provided assistance to students in the nanotechnology engineering program with their personal job searches, interview skills and employment packages
- Responsible for developing and utilising effective marketing material for the program including the official website content

Rapidlaboratory Microsystems Inc., Kitchener, Canada

June 2006-April 2007

- Project scientist involved in instrument development, design and performance evaluation of a novel medical diagnostic device for the Microbiological identification and antibiotic susceptibility testing market
- Developed the manufacturing process and storage conditions for the chemical consumables used in the instrumentation and designed methods to prepare them for their end use

Apotex Pharmachem Inc., Brantford, Canada

January 2005-May 2006

- Worked with synthetic chemists as an analytical chemist to develop GMP compliant production processes for active pharmaceutical ingredients (API) that meet the major pharmacopoeia regulatory requirements
- Developed compliant methods in accordance with the ICH guidelines for industry-standard analytical characterization techniques including HPLC, GPC, UV, FT-IR, GC-MS, GC/HPLC-MS, Karl Fisher,

National Research Council of Canada, Ottawa, Canada

Jan. 2004-December 2007

- Visiting Fellowship position performing research in the Molecular Interfaces group developing organic nano-scale and micro-scale semiconductor modification methodology.

The University of Padova, Padova, Italy

September 2003-January 2004

- Visiting scientist working on collaborative projects on peptide coated metal nanoparticles and peptide-modified nanomaterials.

The University of North Carolina, Chapel Hill, U.S.A.

July 2001-September 2003


- NSERC Post-doctoral fellowship position with specialization in thin films, materials science, nano-devices and nano-based materials for use in sensor technology.

EMILY WILSON



Addresses:

Work: Environment Canada, NLET, 867 Lakeshore Rd., Burlington, ON L7R 4A6

Residence: 

Qualifications

- Eight years of work experience in standard and complex environmental trace analysis on water, sediment and biota samples in Environment Canada environmental testing laboratories.
- Presently working in a Canadian Association for Laboratory Accreditation (CALA) ISO17025 accredited environment.
- Experience with ECOLIMS Laboratory Information Management System and Quality Control Information System (QCIS).
- Proficient with MS Office, Minitab and Sigmaplot software packages and CHARMM molecular modeling programming language.
- Program in Visual Basic for Applications (VBA) language for MS Excel software.
- Defensive Driving: Canada Safety Council, 2003.
- English as a first language and French as a second language.

Environment Canada Laboratory Instrument Proficiency

Trace metals:

- Agilent 7500 Series Inductively Coupled Plasma Mass Spectrometer Octopole Reaction System with ChemStation B.04.00 software
- Milestone Ethos Pro Microwave Digestion Labstation
- Milestone Direct Mercury Analyzer with DMAonPC software

Major ions and nutrients:

- Pulse Instrumentation Colorimeter with New Analyzer Program (NAP)
- Dionex Dx-120 Ion Chromatograph and Dionex Dx-500 Ion Chromatograph with PeakNet 5.21 software
- YSI Dissolved Oxygen Meter

Organochlorine pesticides, polychlorinated biphenyls and polycyclic aromatic hydrocarbons:

- J2 Scientific Preparative Liquid Chromatography System with AccuChrome 2.1.0.9 software
- Hewlett Packard Gas Chromatograph/Mass Selective Detector 5973 with ChemStation Rev.A.09.01 (1206) software
- Hewlett Packard Gas Chromatograph/Dual Electron Capture Detector 6890N with ChemStation Rev.A.09.01 (1206) software

Education

Master of Science - Forensic Science

Forensic Science Unit, University of Strathclyde, Glasgow, Scotland and
L'Institut de Police Scientifique et de Criminologie, Lausanne, Switzerland, 2001

Bachelor of Science - Honours Chemistry

Brock University, Ontario, 2000

Environment Canada Work Experience

Environmental Chemical Analyst, EG-03

June 2007 – present

National Laboratory for Environmental Testing (NLET), Burlington, Ontario

- Analytical chemist performing trace metal analysis on routine environmental water, sediment and biota samples.
- Responsible for the environmental analysis of: total and dissolved trace metals in water (oven digestion and inductively coupled plasma mass spectrometry (ICP/MS)), extractable trace metals in water (ICP/MS) and trace metals in sediment (microwave digestion, hotblocks digestion and ICP/MS), trace metals in biota (microwave digestion and ICP/MS), trace metals in oil sands water (hotblocks digestion and ICP/MS), trace mercury in dry sediments (DMA).
- Assure quality control conditions throughout analyses, apply appropriate scrutiny of acquired data through statistical validation and input acceptable data into ECOLIMS.
- Maintain comments and notes of variances and non-conformances in QCIS.
- Participate in CALA inter-laboratory proficiency testing for trace metals in water.
- Other duties include freeze-drying wet biota and sediment samples, performing wet-to-dry calculation experiments for biota samples, calibrating dilutors and training new staff.
- Improved the quality and efficiency of trace metal analyses by acquiring new analysis preparation equipment and by creating a computer program to automate sample run sequences.

Certified Reference Materials Technologist, EG-03

July 2005 – June 2007

Quality Management, Burlington, Ontario

- Technologist responsible for the preparation and distribution of environmental reference materials.
- Responded to client inquiries and requests for reference material orders in a professional manner.
- Developed a software program in Microsoft Excel to completely automate both the reference materials ordering process and the data base inventory on own initiative.
- Statistically evaluated sample data and produced Certificates of Analysis for reference materials.

Environmental Analytical Chemist, CH-01

Sept.2002 – Sept. 2004

Atlantic Laboratory for Environmental Testing (ALET), Moncton, New Brunswick

- Analytical chemist performing trace analysis on environmental water, sediment and aquatic life tissues, including routine samples, compliance samples and those in response to emergencies and legal investigations in accordance with the Canadian Environmental Protection Act (CEPA) 1999 and the Fisheries Act.
- Responsible for the inorganic environmental analyses of: biochemical oxygen demand (five day bio-assay); nutrient anions and cations (ion chromatography); ortho-phosphate and total phosphorus (colourimetry).
- Responsible for the organic environmental analyses of: grease and oils (extraction); polyaromatic hydrocarbons, polychlorinated biphenyls, chlorobenzenes and organochlorine pesticides (extraction, clean-up, concentration, gas chromatography).
- Assured quality control conditions throughout analyses, applied appropriate scrutiny of acquired data through statistical validation and reported acceptable data into ECOLIMS.
- Improved and validated the Total Phosphorus method and revised its standard operating procedure (SOP) document to reflect the modifications.
- Participated in CALA inter-laboratory proficiency testing and partook in on-site external assessments.
- Achieved the Designated Analyst status of the Fisheries Act and CEPA 1999 from the Environment Canada Office of Enforcement.

Appendix O. Sales and Marketing Materials

Model Brochure: TRx-20 Medical Marijuana

Model Brochure: TCRx-46 Cannabis Oil

Model Brochure: TRx-95 THC/THCA Capsules and

**Model Labels: TRx-20 Granular Medical Marijuana and
TCRx-46 Cannabis**

Model Data Sheet: TCRx-46 Cannabis Oil

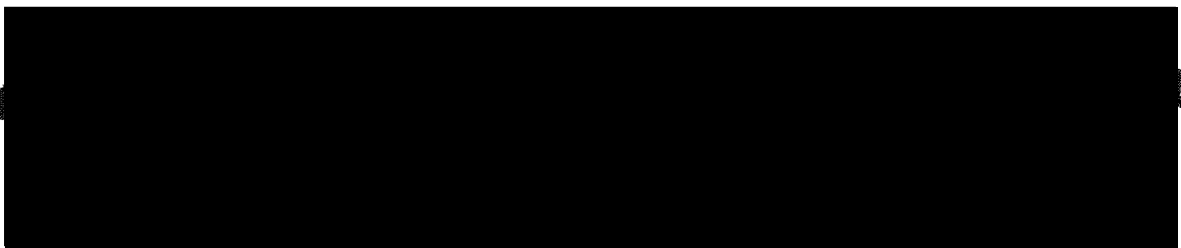
External Box Designs

Koslow Technologies Corporation Charitable
Remainder Trust (KTCCRT)
6063 Willow Wood Lane
Dallas, TX 75252

11 November 2013

Dear Gregg:

The KTCCRT hereby offers to collateralize a future loan to support the development of Canamed. We offer to use our 400 Park Road facility, with an estimated value of \$4.9 MM (based upon a March 2011 appraisal) and currently owned outright by KTCCRT, to collateralize a loan to support the issuance of a Letter of Credit or bond meeting the State of Connecticut requirements as well as to provide any required additional equity capital.



Therefore, we stand ready to support Canamed's requirements to issue a Letter of Credit or bond to the State of Connecticut and to provide additional equity capital above that already raised should the need emerge.

Sincerely,

A handwritten signature in cursive script, reading "Evan E. Koslow", followed by a horizontal line.

Evan E. Koslow, Trustee
KTCCRT

EMPLOYMENT AGREEMENT

THIS AGREEMENT made this 4th day of November, 2013, by and between **CANAMED, INC.**, a Connecticut Corporation with a principal place of business at 400 Park Road, Watertown, CT (hereafter "Canamed" or the "Company"), and **CHRISTOPHER GREGG WERSHOVEN**, an individual residing at 10 Green Valley Drive, Plantsville, CT (hereafter "Wershoven" or "Employee").

RECITALS:

WHEREAS, Canamed is engaged or will be engaged in the business of applying for a license to produce or producing medical marijuana pursuant to Connecticut Public Act 12-55 (the "Act") and the regulations (the "Regulations") promulgated by the Connecticut Department of Consumer Protection;

WHEREAS, Canamed desires to employ Employee to provide certain services related to the production of medical marijuana; and

WHEREAS, Employee desires to render such services.

NOW THEREFORE, in consideration of the mutual promises and covenants contained herein and other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, the parties agree as follows:

1. Employment.
 - (a) Canamed hereby employs Employee as its President, and Employee hereby accepts the appointment to serve in such capacity at Canamed. During the term of this agreement, Employee will be responsible for, assume and perform the duties set forth on Exhibit A hereto and assume other duties required by him from time to time.
 - (b) The Employee hereby accepts such appointment subject to the provisions and conditions of this Agreement.
 - (c) The Employee shall work on a full time basis; however, Canamed has a flextime work policy and Employee will be deemed to meet this requirement so long as he devotes the time necessary to attend to and fulfill the duties set forth on Exhibit A.
2. Duration of Agreement. This Agreement shall become effective on the day that Canamed is awarded a license to produce medical marijuana under the Act and Regulations (the "Effective Date") and shall extend until the second anniversary of the Effective Date if not sooner terminated pursuant to Section 6 below. The parties may agree by written amendment to continue this Agreement after that date on a year to year basis.

3. Employee's Duties. The Employee shall devote so much of his time and attention to the affairs of the Company as are necessary to effectively carry out his assigned duties. Nothing in this Agreement shall restrict Employee, however from expending his personal time on his own ventures or investments so long as: (i) such activities are consistent with the Employee's duties with the Company; (ii) such activities and time commitments do not impair the effective performance of his duties for the Company; and (iii) such activities do not, directly or indirectly, compete with the Company. The Employee shall comply in all respects with the Act and the Regulations, and immediately report any violations thereof that come to his attention to his superior or to the Board of Directors of the Company as may be appropriate under the circumstances.
4. Company's Duties.
- (a) The Company shall:
 - (i) Compensate Employee as set forth in Section 6 below.
 - (ii) Furnish the Employee with a suitable office, and such equipment, supplies, instruments, and clerical and staff support as are reasonable and necessary to fulfill his responsibilities as set forth in this Agreement.
 - (iii) Furnish the Employee with such data, materials, documents and other information as are reasonable and necessary to fulfill his responsibilities and duties as set forth in this Agreement.
 - (iv) Reimburse the Employee for all reasonable out of pocket business expenses he incurs to fulfill the terms of this Agreement, approved by the Company in accordance with its policies, rules, standards, and/or procedures governing such expenses, including without limitation, those for travel, lodging, food, telephone, internet and other electronic voice or data transmissions. The Employee shall submit periodic reports of such expenses on forms with supporting documentation as the Company shall prescribe for its executive Employees.
 - (b) The Company, upon approval of the Board of Directors, may pay additional compensation to members of the management, including the Board of Directors beyond that amount set forth in Sections 5(a) and 5(b) below. The Board may approve such additional compensation if it views such additional compensation to be in the best interest of, and fair to the Company. Such additional compensation may be the form of, without limitation, stock options, warrants, or performance bonuses.

5. Compensation.

(a)

[REDACTED]

(b)

[REDACTED]

(c)

[REDACTED]

6. Termination.

(a) The Term of this Agreement shall end on the date of the first of the following events to occur:

(i) Close of business on the second anniversary of the Effective Date.

(ii) The date on which the Employee shall have received written notice from the Board of Directors of the Company that it has decided to terminate his employment for cause, which notice shall specify the nature of such cause. For purposes of this subsection, "cause" shall mean any of the following:

(A) The breach of any term of this Agreement.

(B) The repeated, deliberate or intentional failure, refusal, or the habitual neglect of the Employee to perform his duties to the standard required under this Agreement (except by reason of short term or long term disability).

(C) Acts constituting negligence in the performance of his duties.

(D) Criminal misconduct.

- (E) An act of dishonesty by the Employee intended to result in gain or personal enrichment of the Employee at the Company's expense.
 - (F) Any act or omission that violates the Act or Regulations, or would result in a penalty, fine or suspension or revocation of the Company's license to produce marijuana under its license or the Act.
- (iii) In the event that the Employee is unable for a period of one hundred eighty (180) consecutive days to substantially perform his duties and services under this Agreement by reason of illness or incapacity, the thirtieth (30th) day after the date on which Employee shall have received written notice from the Board of Directors of the Company that it has decided to terminate his employment because of such disability.
 - (iv) The date on which the Employee shall have received written notice from the Board of Directors of the Company that it has decided to terminate his employment without cause.
 - (v) Death of the Employee.
- (b) Termination of the Employee's employment pursuant to Section 6(a) shall not affect Employee's obligation under Sections 9 (Confidentiality) and 10 (Restrictive Covenants).
 - (c) In the event of termination without cause as provided in subsection (iv) the Company will continue to pay the Employee an amount equal to his pay for six (6) months or the amount equal to his pay for the number of biweekly installments remaining under this Agreement, whichever is less.

9. Confidentiality.

- (a) The Employee may now and in the future have access to, and may be given information with respect to the special business techniques, concepts, designs, drawings, ideas, models, inventions, molds, forms, software programs, other intangible work product and tangible deliverables, patents, copyrights, trade secrets, other intellectual property, systems, know-how, financial, accounting and production policies, procedures, records and infrastructure, lists of customers, and all other information regarding manufacture, implementation or distribution of the products, plans and technology (the "Confidential Information") of the Company and its members, employees, agents, subsidiaries or affiliates (the "Protected Party"), which is not generally known to the public and gives the Protected Party an advantage over its respective competitors who do not know or use the Confidential Information. The Employee acknowledges that all of such Confidential Information as it now or in the future exists:
- (1) Belongs to the Company, its shareholders, subsidiaries and affiliates;
 - (2) Constitutes specialized and highly confidential information not generally known in the industry; and
 - (3) Constitutes a valuable asset of the Company.

Accordingly, the Employee recognizes and acknowledges that it is essential to the Company to protect the confidentiality of such Confidential Information.

- (b) The Employee agrees to act as a trustee of such Confidential Information and of any other confidential information he acquires in connection with his association with the Company. Further, as an inducement to the Company to retain him as an employee, he will hold all such Confidential Information, in trust and confidence for the use and benefit solely of the Company.
- (c) The Employee agrees to refrain from divulging or disclosing any Confidential Information to others and from using such Confidential Information, except for the benefit of the Company as contemplated hereunder. The Employee further agrees to refrain from taking any other actions, which would tend to destroy or reduce the value of the Confidential Information to the Protected Party.
- (d) Upon the Employee's termination (for any reason), the Employee shall deliver, or cause to be delivered in the case of termination because of incapacity, to the Company all documents and data of any nature pertaining to his work with the Company. The Employee shall not take any documents or data of any description or any reproduction of any description containing or pertaining to any Confidential Information.

- (e) The confidentiality provisions of this Section 9 are intended to supplement and not supersede the applicable provisions of the Uniform Trade Secrets Act and any duty of loyalty owed by Employee, to the fullest extent applicable.
- (f) During the term hereof, and thereafter, the Employee shall not disclose such Confidential to any person, firm, association, or other entity for any reason or purpose whatsoever, unless such information has already become common knowledge or unless the Employee is required to disclose it by judicial process. The Employee shall notify the Company in writing of such judicial process prior to disclosure, and allow the Company a reasonable opportunity to defend and protect its rights therein.

10. Restrictive Covenants.

- (a) For a period of twenty-four (24) months after the expiration or termination of this Agreement for any reason whatsoever, the Employee shall not, directly or indirectly, within the State of Connecticut engage in activities (similar or reasonably related to those in which the Employee shall have engaged during the 24 months or part thereof immediately preceding the termination of the Employee's employment with the Company) for, nor render services (similar or reasonably related to those in which the Employee shall have rendered to the Company) to, any person, entity, firm, business organization which directly or indirectly competes with the Company, whether now existing or hereafter established, nor shall the Employee entice, induce or encourage any of the Company's employees to engage in any activity which, were it done by the Employee, would violate any provision of the this section.
- (b) For a period of two (2) years after the expiration or termination of this Agreement for any reason whatsoever, the Employee shall not, directly or indirectly, solicit the Company's employees or independent contractors to leave their employ or terminate their contracts with the Company. Further, the Employee shall not offer or cause to be offered employment or an independent contract to any person who was employed by or under contract with the Company at any time during the twelve (12) months prior to the termination of his employment with the Company.
- (c) The parties acknowledge that they have attempted to limit the Employee's right to compete only to the extent necessary to protect the Company from unfair competition. However, the parties hereby agree that, if the scope or enforceability of the restrictive covenant is in any way disputed at any time, a court or other trier of fact may modify and enforce the covenant to the extent that the covenant is reasonable under the circumstances existing at the time.
- (d) The Employee further acknowledges that: (1) in the event his contract with the Company terminates for any reason, he will be able to earn a livelihood without violating the foregoing restrictions; and (2) that his ability to earn a livelihood

without violating such restrictions is a material condition to his retention by the Company.


- (e) The Employee's duties under this Section 10 shall survive termination of the Employee's employment with the Company. The Employee acknowledges that a remedy at law for any breach or threatened breach by the Employee of this Section 10 would be inadequate, and the Employee therefore agrees that the Company shall be entitled to injunctive relief in case of any such breach or threatened breach.
11. Warranty Against Prior Existing Restriction. The Employee represents and warrants to the Company that he is not a party to any agreement containing a non-competition clause or other restriction with respect to: (a) the services which he is required to perform hereunder; or (b) the use or disclosure of any information directly or indirectly related to the Company's business, or to the services he is required to render pursuant hereto.
12. Inventions.
- (a) The Employee agrees to promptly disclose to the Company, or any persons designated by it, all improvements, inventions, formulae, processes, techniques, know-how and data, whether or not patentable, made or conceived or reduced to practice or learned by the Employee, either alone or jointly with others, during the period of the Employee's employment which are related to or useful in the business of the Company, or result from tasks assigned to the Employee by the Company, or result from use of premises owned, leased or contracted for by the Company (all said improvements, inventions, formulae, processes, techniques, know-how and data shall be collectively hereinafter called "Inventions").
 - (b) All Inventions shall be the sole property of the Company and its assigns, and the Company and its assigns shall be the sole owner of all patents and other rights in connection therewith. The Employee hereby assigns to the Company any rights he may have or acquire in all Inventions. The Employee further agrees as to all Inventions to assist the Company in every proper way (but at the Company's expense) to obtain and from time to time enforce patents, copyrights, trademarks, and other rights and protections and enforcing the same, as the Company may desire, together with any assignments thereof to the Company or persons designated by it. The Employee's obligation to assist the Company in obtaining and enforcing patents, copyrights, trademarks and other rights and protections relating to the Inventions in any and all countries shall continue beyond the termination of the Employee's employment, but the Company shall compensate the Employee at a reasonable rate after such termination for time actually spent by Employee at the Company's request on such assistance.
 - (c) In the event the Company is unable after reasonable effort, to secure the Employee's signature on any document or documents needed to apply for or


prosecute any patent, copyright, other right or protection relating to an Invention, for any reason whatsoever, the Employee hereby irrevocably designate and appoint the Company and its duly authorized officers and agents as the Employee's agent and attorney-in-fact to act for and on the Employee's behalf to execute and file any such application or applications and to do all other lawfully permitted acts to further the prosecution and issuance of patents, copyrights, or similar protections thereon with the same legal force and effect as if executed by the Employee.

- (d) The Company makes no claim to any intellectual property or product developed or invented by the Employee unrelated to the Company's business, provided such intellectual property or product does not violate any terms of Section 9 (Confidentiality) or Section 10 (Restrictive Covenants) set forth in this Agreement.

13. Severability. It is the desire and intent of the parties hereto that the provisions of this Agreement shall be enforced to the fullest extent permissible under the laws and public policy of each jurisdiction in which enforcement is sought. Accordingly, if any particular provision, section, or subsection of this Agreement is adjudged by any court of law to be void or unenforceable, in whole or in part, such adjudication shall not be deemed to affect the validity of the remainder of the Agreement, including any other provision, section, or subsection. In addition, if any one or more of the provisions contained in this Agreement shall for any reason be held to excessively broad as to duration, geographical scope, activity or subject, it shall be construed by limiting and reducing it, so as to be enforceable to the extent compatible with the applicable law as it shall then appear. Each provision, section, and subsection of this Agreement is declared to be separable from every other provision, section, and subsection and constitutes a separate and distinct covenant.
14. Entire Agreement; Amendment. This Agreement contains the entire understanding of the parties and supersedes all previous verbal and written agreements. There are no other agreements, representations, or warranties not set forth herein. This Agreement cannot be amended except by a writing signed by the Employee and the Company.
15. Notices. All notices or other documents under this Agreement shall be in writing and delivered personally or mailed by certified mail, return receipt requested postage prepaid, addressed to the Company or Employee at their last known addresses. Addresses are as follows:

If to Company: Canamed, Inc.
 400 Park Road
 Watertown, Connecticut

If to Employee: Christopher Gregg Wershoven
 

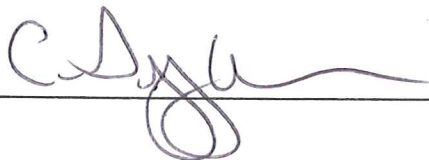
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16. Non-waiver. No delay or failure by either party to exercise any right under this Agreement, and no partial or single exercise of that right, shall constitute a waiver of that or any other right, unless otherwise expressly provided herein.
 17. Headings. Headings in this Agreement are for convenience only and shall not be used to interpret or construe its provisions.
 18. Governing Law. This Agreement shall be construed in accordance with and governed by the laws of the State of Connecticut.
 19. Counterparts. This Agreement may be executed in two or more counterparts, each of which shall be deemed an original but all of which together shall constitute one and the same instrument.
 20. Binding Effect. The provisions of this Agreement shall be binding upon and inure to the benefit of each of the parties and their respective successors and assigns.
 21. Remedies. The parties agree that in addition to any other rights and remedies available to the Company for any breach by the Employee of his obligations hereunder, the Company shall be entitled to enforce the Employee's obligations hereunder by court injunction, or court ordered affirmative action, which injunction or ordered action may restrain a future breaking of this Agreement if there is reasonable ground to believe that such a breach is threatened. The Employee further agrees to allow the Company to enjoin future use or disclosure of its Confidential Information if it has reasonable grounds to believe such action is necessary to protect such Confidential Information.
 22. Attorney's Fees. If either party hereto shall breach any of the terms hereof, such breaching party shall pay to the non-defaulting party all of the non-defaulting party's costs and expenses, including reasonable attorney's fees and costs, incurred by such party in enforcing the terms of this Agreement.
 23. Prohibition Against Assignment. The Employee agrees, for himself and on behalf of his successors, heirs, executors, administrators, and any person or persons claiming under him by virtue hereof, that this Agreement and the rights, interests, and benefits hereunder cannot be assigned, transferred, pledged, or hypothecated in any way and shall not be subject to execution, attachment, or similar process. Any such attempt to do so, contrary to the terms hereof, shall be null and void and shall relieve the Company of any and all obligations or liability hereunder.
 24. Interpretation of Agreement. The Employee acknowledges that he and his counsel have had adequate time to review, comment upon and fully understand this Agreement. Consequently, this Agreement shall not be interpreted for or against a party hereto based on its role or the role of its counsel in drafting this Agreement.

[Signatures to follow.]

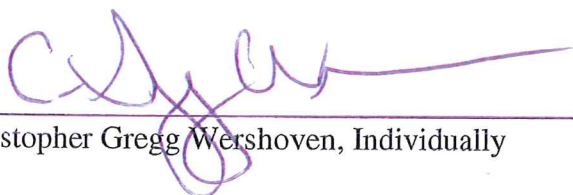
IN WITNESS WHEREOF, I have on the date set forthwith unto my signature and seal

For Company:

Canamed, Inc.

By: 
Its

For Employee:


Christopher Gregg Wershoven, Individually

[Signature Page to Employment Agreement By and Between
Canamed, Inc. and Christopher Gregg Wershoven]

EXHIBIT A

**JOB DESCRIPTION
OF
CHRISTOPHER GREGG WERSHOVEN**

PRESIDENT:

Oversee and work hands-on for the build-out of phase one grow set-up using technical plan developed during our research and planning.

Hire and develop the team needed for botanical end of production. Secure strain selected for first year production.

Work closely with CTO to support business development and support needs.

Work with technical director and board to hire required management team to handle all phases of corporate development.

Initial and continued training of botanical / grow division labor force. Production flow and post-harvest curing and preparation.

Work with Chief of security on employee rules and regulations. Incorporate into production a employee training class and continuing education regarding security and company rules.

Communicate with Board weekly on progress and development of program. Institute Board's recommended changes.

EMPLOYMENT AGREEMENT

THIS AGREEMENT made this 04th day of November, 2013, by and between **CANAMED, INC.**, a Connecticut Corporation with a principal place of business at 400 Park Road, Watertown, CT (hereafter "Canamed" or the "Company"), and **DR. EVAN KOSLOW**, an individual residing at 6063 Willow Wood Lane, Dallas, TX 75252 (hereafter "Koslow" or "Employee").

RECITALS:

WHEREAS, Canamed is engaged or will be engaged in the business of applying for a license to produce or producing medical marijuana pursuant to Connecticut Public Act 12-55 (the "Act") and the regulations (the "Regulations") promulgated by the Connecticut Department of Consumer Protection;

WHEREAS, Canamed desires to employ Employee to provide certain services related to the production of medical marijuana; and

WHEREAS, Employee desires to render such services.

NOW THEREFORE, in consideration of the mutual promises and covenants contained herein and other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, the parties agree as follows:

1. Employment.

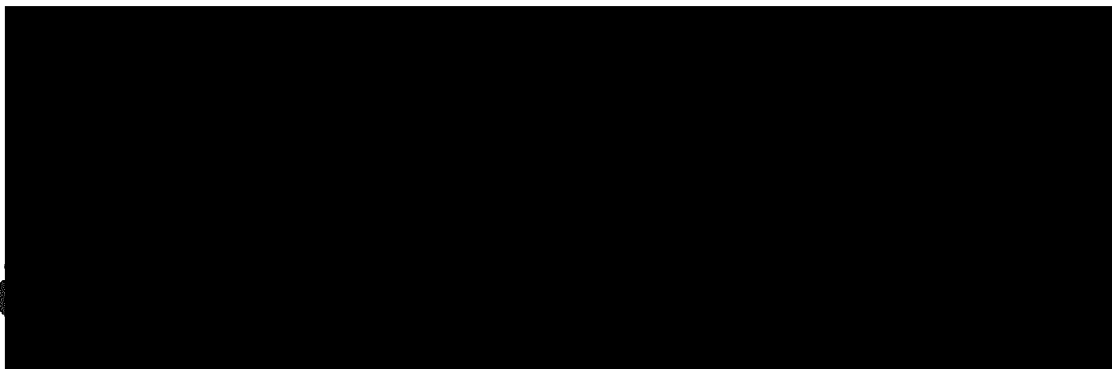
- (a) Canamed hereby employs Employee as its Chief Technology Officer, and Employee hereby accepts the appointment to serve in such capacity at Canamed. During the term of this agreement, Employee will be responsible for, assume and perform the duties set forth on Exhibit A hereto and assume other duties required by him from time to time.
- (b) The Employee hereby accepts such appointment subject to the provisions and conditions of this Agreement.
- (c) The Employee shall work on a full time basis; however, Canamed has a flextime work policy and Employee will be deemed to meet this requirement so long as he devotes the time necessary to attend to and fulfill the duties set forth on Exhibit A.

2. Duration of Agreement. This Agreement shall become effective on the day that Canamed is awarded a license to produce medical marijuana under the Act and Regulations (the "Effective Date") and shall extend until the second anniversary of the Effective Date if not sooner terminated pursuant to Section 6 below. The parties may agree by written amendment to continue this Agreement after that date on a year to year basis.

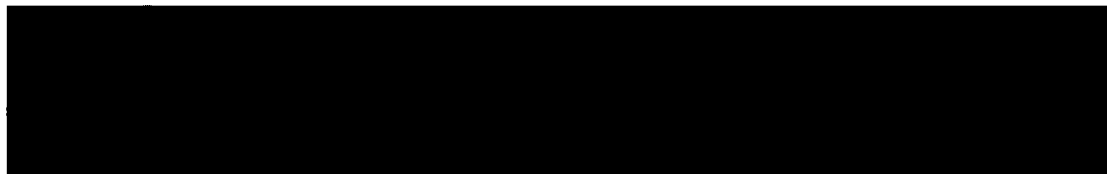
3. Employee's Duties. The Employee shall devote so much of his time and attention to the affairs of the Company as are necessary to effectively carry out his assigned duties. Nothing in this Agreement shall restrict Employee, however from expending his personal time on his own ventures or investments so long as: (i) such activities are consistent with the Employee's duties with the Company; (ii) such activities and time commitments do not impair the effective performance of his duties for the Company; and (iii) such activities do not, directly or indirectly, compete with the Company. The Employee shall comply in all respects with the Act and the Regulations, and immediately report any violations thereof that come to his attention to his superior or to the Board of Directors of the Company as may be appropriate under the circumstances.
4. Company's Duties.
- (a) The Company shall:
 - (i) Compensate Employee as set forth in Section 6 below.
 - (ii) Furnish the Employee with a suitable office, and such equipment, supplies, instruments, and clerical and staff support as are reasonable and necessary to fulfill his responsibilities as set forth in this Agreement.
 - (iii) Furnish the Employee with such data, materials, documents and other information as are reasonable and necessary to fulfill his responsibilities and duties as set forth in this Agreement.
 - (iv) Reimburse the Employee for all reasonable out of pocket business expenses he incurs to fulfill the terms of this Agreement, approved by the Company in accordance with its policies, rules, standards, and/or procedures governing such expenses, including without limitation, those for travel, lodging, food, telephone, internet and other electronic voice or data transmissions. The Employee shall submit periodic reports of such expenses on forms with supporting documentation as the Company shall prescribe for its executive Employees.
 - (b) The Company, upon approval of the Board of Directors, may pay additional compensation to members of the management, including the Board of Directors beyond that amount set forth in Sections 5(a) and 5(b) below. The Board may approve such additional compensation if it views such additional compensation to be in the best interest of, and fair to the Company. Such additional compensation may be the form of, without limitation, stock options, warrants, or performance bonuses.

5. Compensation.

(a)



(b)



(c)



6. Termination.

- (a) The Term of this Agreement shall end on the date of the first of the following events to occur:
 - (i) Close of business on the second anniversary of the Effective Date.
 - (ii) The date on which the Employee shall have received written notice from the Board of Directors of the Company that it has decided to terminate his employment for cause, which notice shall specify the nature of such cause. For purposes of this subsection, "cause" shall mean any of the following:
 - (A) The breach of any term of this Agreement.
 - (B) The repeated, deliberate or intentional failure, refusal, or the habitual neglect of the Employee to perform his duties to the standard required under this Agreement (except by reason of short term or long term disability).
 - (C) Acts constituting negligence in the performance of his duties.
 - (D) Criminal misconduct.

- (E) An act of dishonesty by the Employee intended to result in gain or personal enrichment of the Employee at the Company's expense.
- (F) Any act or omission that violates the Act or Regulations, or would result in a penalty, fine or suspension or revocation of the Company's license to produce marijuana under its license or the Act.
- (iii) In the event that the Employee is unable for a period of one hundred eighty (180) consecutive days to substantially perform his duties and services under this Agreement by reason of illness or incapacity, the thirtieth (30th) day after the date on which Employee shall have received written notice from the Board of Directors of the Company that it has decided to terminate his employment because of such disability.
- (iv) The date on which the Employee shall have received written notice from the Board of Directors of the Company that it has decided to terminate his employment without cause.
- (v) Death of the Employee.
- (b) Termination of the Employee's employment pursuant to Section 6(a) shall not affect Employee's obligation under Sections 9 (Confidentiality) and 10 (Restrictive Covenants).
- (c) In the event of termination without cause as provided in subsection (iv) the Company will continue to pay the Employee an amount equal to his pay for six (6) months or the amount equal to his pay for the number of biweekly installments remaining under this Agreement, whichever is less.

9. Confidentiality.

- (a) The Employee may now and in the future have access to, and may be given information with respect to the special business techniques, concepts, designs, drawings, ideas, models, inventions, molds, forms, software programs, other intangible work product and tangible deliverables, patents, copyrights, trade secrets, other intellectual property, systems, know-how, financial, accounting and production policies, procedures, records and infrastructure, lists of customers, and all other information regarding manufacture, implementation or distribution of the products, plans and technology (the "Confidential Information") of the Company and its members, employees, agents, subsidiaries or affiliates (the "Protected Party"), which is not generally known to the public and gives the Protected Party an advantage over its respective competitors who do not know or use the Confidential Information. The Employee acknowledges that all of such Confidential Information as it now or in the future exists:

- (1) Belongs to the Company, its shareholders, subsidiaries and affiliates;
- (2) Constitutes specialized and highly confidential information not generally known in the industry; and
- (3) Constitutes a valuable asset of the Company.

Accordingly, the Employee recognizes and acknowledges that it is essential to the Company to protect the confidentiality of such Confidential Information.

- (b) The Employee agrees to act as a trustee of such Confidential Information and of any other confidential information he acquires in connection with his association with the Company. Further, as an inducement to the Company to retain him as an employee, he will hold all such Confidential Information, in trust and confidence for the use and benefit solely of the Company.
- (c) The Employee agrees to refrain from divulging or disclosing any Confidential Information to others and from using such Confidential Information, except for the benefit of the Company as contemplated hereunder. The Employee further agrees to refrain from taking any other actions, which would tend to destroy or reduce the value of the Confidential Information to the Protected Party.
- (d) Upon the Employee's termination (for any reason), the Employee shall deliver, or cause to be delivered in the case of termination because of incapacity, to the Company all documents and data of any nature pertaining to his work with the Company. The Employee shall not take any documents or data of any description or any reproduction of any description containing or pertaining to any Confidential Information.
- (e) The confidentiality provisions of this Section 9 are intended to supplement and not supersede the applicable provisions of the Uniform Trade Secrets Act and any duty of loyalty owed by Employee, to the fullest extent applicable.
- (f) During the term hereof, and thereafter, the Employee shall not disclose such Confidential to any person, firm, association, or other entity for any reason or purpose whatsoever, unless such information has already become common knowledge or unless the Employee is required to disclose it by judicial process. The Employee shall notify the Company in writing of such judicial process prior to disclosure, and allow the Company a reasonable opportunity to defend and protect its rights therein.

10. Restrictive Covenants.

- (a) For a period of twenty-four (24) months after the expiration or termination of this Agreement for any reason whatsoever, the Employee shall not, directly or

indirectly, within the State of Connecticut engage in activities (similar or reasonably related to those in which the Employee shall have engaged during the 24 months or part thereof immediately preceding the termination of the Employee's employment with the Company) for, nor render services (similar or reasonably related to those in which the Employee shall have rendered to the Company) to, any person, entity, firm, business organization which directly or indirectly competes with the Company, whether now existing or hereafter established, nor shall the Employee entice, induce or encourage any of the Company's employees to engage in any activity which, were it done by the Employee, would violate any provision of the this section.

- (b) For a period of two (2) years after the expiration or termination of this Agreement for any reason whatsoever, the Employee shall not, directly or indirectly, solicit the Company's employees or independent contractors to leave their employ or terminate their contracts with the Company. Further, the Employee shall not offer or cause to be offered employment or an independent contract to any person who was employed by or under contract with the Company at any time during the twelve (12) months prior to the termination of his employment with the Company.
- (c) The parties acknowledge that they have attempted to limit the Employee's right to compete only to the extent necessary to protect the Company from unfair competition. However, the parties hereby agree that, if the scope or enforceability of the restrictive covenant is in any way disputed at any time, a court or other trier of fact may modify and enforce the covenant to the extent that the covenant is reasonable under the circumstances existing at the time.
- (d) The Employee further acknowledges that: (1) in the event his contract with the Company terminates for any reason, he will be able to earn a livelihood without violating the foregoing restrictions; and (2) that his ability to earn a livelihood without violating such restrictions is a material condition to his retention by the Company.
- (e) The Employee's duties under this Section 10 shall survive termination of the Employee's employment with the Company. The Employee acknowledges that a remedy at law for any breach or threatened breach by the Employee of this Section 10 would be inadequate, and the Employee therefore agrees that the Company shall be entitled to injunctive relief in case of any such breach or threatened breach.

11. Warranty Against Prior Existing Restriction. The Employee represents and warrants to the Company that he is not a party to any agreement containing a non-competition clause or other restriction with respect to: (a) the services which he is required to perform hereunder; or (b) the use or disclosure of any information directly or indirectly related to the Company's business, or to the services he is required to render pursuant hereto.

12. Inventions.


- (a) The Employee agrees to promptly disclose to the Company, or any persons designated by it, all improvements, inventions, formulae, processes, techniques, know-how and data, whether or not patentable, made or conceived or reduced to practice or learned by the Employee, either alone or jointly with others, during the period of the Employee's employment which are related to or useful in the business of the Company, or result from tasks assigned to the Employee by the Company (all said improvements, inventions, formulae, processes, techniques, know-how and data shall be collectively hereinafter called "Inventions").
- (b) All Inventions shall be the sole property of the Company and its assigns, and the Company and its assigns shall be the sole owner of all patents and other rights in connection therewith. The Employee hereby assigns to the Company any rights he may have or acquire in all Inventions. The Employee further agrees as to all Inventions to assist the Company in every proper way (but at the Company's expense) to obtain and from time to time enforce patents, copyrights, trademarks, and other rights and protections and enforcing the same, as the Company may desire, together with any assignments thereof to the Company or persons designated by it. The Employee's obligation to assist the Company in obtaining and enforcing patents, copyrights, trademarks and other rights and protections relating to the Inventions in any and all countries shall continue beyond the termination of the Employee's employment, but the Company shall compensate the Employee at a reasonable rate after such termination for time actually spent by Employee at the Company's request on such assistance.
- (c) In the event the Company is unable after reasonable effort, to secure the Employee's signature on any document or documents needed to apply for or prosecute any patent, copyright, other right or protection relating to an Invention, for any reason whatsoever, the Employee hereby irrevocably designate and appoint the Company and its duly authorized officers and agents as the Employee's agent and attorney-in-fact to act for and on the Employee's behalf to execute and file any such application or applications and to do all other lawfully permitted acts to further the prosecution and issuance of patents, copyrights, or similar protections thereon with the same legal force and effect as if executed by the Employee.
- (d) The Company makes no claim to any intellectual property or product developed or invented by the Employee unrelated to the Company's business, provided such intellectual property or product does not violate any terms of Section 9 (Confidentiality) or Section 10 (Restrictive Covenants) set forth in this Agreement.

13. Severability. It is the desire and intent of the parties hereto that the provisions of this Agreement shall be enforced to the fullest extent permissible under the laws and public

policy of each jurisdiction in which enforcement is sought. Accordingly, if any particular provision, section, or subsection of this Agreement is adjudged by any court of law to be void or unenforceable, in whole or in part, such adjudication shall not be deemed to affect the validity of the remainder of the Agreement, including any other provision, section, or subsection. In addition, if any one or more of the provisions contained in this Agreement shall for any reason be held to excessively broad as to duration, geographical scope, activity or subject, it shall be construed by limiting and reducing it, so as to be enforceable to the extent compatible with the applicable law as it shall then appear. Each provision, section, and subsection of this Agreement is declared to be separable from every other provision, section, and subsection and constitutes a separate and distinct covenant.

14. Entire Agreement; Amendment. This Agreement contains the entire understanding of the parties and supersedes all previous verbal and written agreements. There are no other agreements, representations, or warranties not set forth herein. This Agreement cannot be amended except by a writing signed by the Employee and the Company.
15. Notices. All notices or other documents under this Agreement shall be in writing and delivered personally or mailed by certified mail, return receipt requested postage prepaid, addressed to the Company or Employee at their last known addresses. Addresses are as follows:

If to Company: Canamed, Inc.
 400 Park Road
 Watertown, Connecticut

If to Employee: Dr. Evan Koslow
 
16. Non-waiver. No delay or failure by either party to exercise any right under this Agreement, and no partial or single exercise of that right, shall constitute a waiver of that or any other right, unless otherwise expressly provided herein.
17. Headings. Headings in this Agreement are for convenience only and shall not be used to interpret or construe its provisions.
18. Governing Law. This Agreement shall be construed in accordance with and governed by the laws of the State of Connecticut.
19. Counterparts. This Agreement may be executed in two or more counterparts, each of which shall be deemed an original but all of which together shall constitute one and the same instrument.

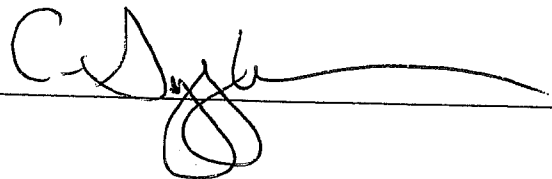
20. Binding Effect. The provisions of this Agreement shall be binding upon and inure to the benefit of each of the parties and their respective successors and assigns.
21. Remedies. The parties agree that in addition to any other rights and remedies available to the Company for any breach by the Employee of his obligations hereunder, the Company shall be entitled to enforce the Employee's obligations hereunder by court injunction, or court ordered affirmative action, which injunction or ordered action may restrain a future breaking of this Agreement if there is reasonable ground to believe that such a breach is threatened. The Employee further agrees to allow the Company to enjoin future use or disclosure of its Confidential Information if it has reasonable grounds to believe such action is necessary to protect such Confidential Information.
22. Attorney's Fees. If either party hereto shall breach any of the terms hereof, such breaching party shall pay to the non-defaulting party all of the non-defaulting party's costs and expenses, including reasonable attorney's fees and costs, incurred by such party in enforcing the terms of this Agreement.
23. Prohibition Against Assignment. The Employee agrees, for himself and on behalf of his successors, heirs, executors, administrators, and any person or persons claiming under him by virtue hereof, that this Agreement and the rights, interests, and benefits hereunder cannot be assigned, transferred, pledged, or hypothecated in any way and shall not be subject to execution, attachment, or similar process. Any such attempt to do so, contrary to the terms hereof, shall be null and void and shall relieve the Company of any and all obligations or liability hereunder.
24. Interpretation of Agreement. The Employee acknowledges that he and his counsel have had adequate time to review, comment upon and fully understand this Agreement. Consequently, this Agreement shall not be interpreted for or against a party hereto based on its role or the role of its counsel in drafting this Agreement.

[Signatures to follow.]

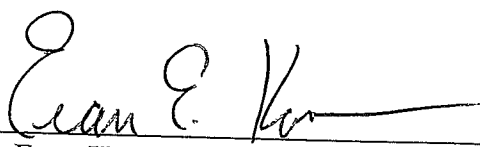
IN WITNESS WHEREOF, I have on the date set forthwith unto my signature and seal

For Company:

Canamed, Inc.

By: 
Its

For Employee:


Dr. Evan Koslow, Individually

[Signature Page to Employment Agreement By and Between
Canamed, Inc. and Dr. Evan Koslow]

EXHIBIT A

JOB DESCRIPTION OF DR. EVAN KOSLOW

CHIEF TECHNOLOGY OFFICER:

Carry out the development of medical marijuana agronomic plan, including development of all methods for growing, breeding, and developing marijuana including control of pathogens, optimization of nutrients, design of growing conditions and supporting systems. Develop methods to enhance yield, reduce variance, and enhance potency of product.

Develop means to carry out quality control and quality assurance of marijuana products, including detection of cannabinoid chemical profile and concentrations, terpene chemical profile and concentrations, detection of trace metals, detection of target aflatoxins, detection of pesticide residues, and detection of microbiological contamination.

Carry out the development of methods for the extraction of active cannabinoid ingredients from botanical marijuana, the production of intermediate concentrates, and the further purification of these products into cannabinoid and terpene fractions through distillation, chromatography, and other means. Also, the means to recycle process materials and solvents.

Research methods for the disposal of vegetative waste emerging from the production process. Reduce solid waste from the process. Reduce energy consumption for the various growing and chemical purification processes. Investigate the use of supplemental power provided by solar energy or through the production of electrical power from natural gas fired diesel engines with ORC topping cycles and with possible catalytic purification of exhaust gases to make them suitable for use in the growing of indoor crops.

Develop methods for the packaging of marijuana products including, their homogenization, capsule filling, loading of oils, thermal treatment of select products to decarboxylate them for cold aerosol production, for enhanced tamper evident design, improved labels, and more accurate dose development.

Develop technical literature on products including working with various regulatory bodies to define labels, Data Sheets, brochures, and public information. Develop dosage form and dose recommendations against the major medical conditions approved for treatment. Support the development of marketing materials used to educate users.

**Appendix Q. Tax Returns for All Backers and
Management of Canamed**

**Evidence of Financial Capacity to Meet
Obligations**

(HELD IN SPECIAL PRIVATE FOIA BINDERS)

**CONFIDENTIAL INFORMATION
FREEDOM OF INFORMATION ACT NOTICE**

The Applicant hereby requests confidential treatment of the following appendix pursuant to Section 1-210 of the Connecticut General Statutes. Specifically the Applicant submits that the following appendix includes tax returns which constitute “[s]tatements of personal worth or personal financial data required by a licensing agency and filed by an applicant with such licensing agency to establish the applicant’s personal qualification for the license, certificate or permit applied for;” and is therefore exempt from public disclosure pursuant to C.G.S. 1-210(b)(8).

**Appendix R. Audited Financial Statement for
Partial Year 2013 and Letter from Auditor.**