

Medical Marijuana Dispensary Facility License Application

Department of Consumer Protection
Drug Control
Medical Marijuana Program
RFA #2013-109377
165 Capital Ave, Room 145
Hartford, CT 06106

Submitted by



Angelo DeFazio, R.Ph.
President and Chief Executive Officer
Arrow Alternative Care

500 Farmington Avenue, Hartford, Connecticut
06105 860.570.0543

November 15, 2013

Proposed Dispensary Facility Location
92 Weston Street, Hartford, Connecticut 06120

TABLE OF CONTENTS

A. BUSINESS INFORMATION OF APPLICANT3

 1. Dispensary Facility Information Form3

 2. Summary: Qualifications, Experience and Industry4

 3. Financial Statement – Expenses related to Application.....10

B. LOCATION AND SITE PLAN11

 1. Proposed Dispensary Location.....11

 2. Documents: Authorized to Conduct Business in CT; Compliance with Local Ordinances.....12

 3. Letter of Acknowledgement Permitting Medical Marijuana Dispensary.....17

 4. Exterior Text and Graphic Materials19

 5. Photographs of Surrounding Neighborhood and Businesses20

 6. Site Plan Showing Streets, Property Lines, Buildings etc...20

 7. Map Identifying Religious Worship, Public or Private Schools etc.....28

 8. Dispensary Facility Floor Plan / Layout31

C. PROPOSED BUSINESS PLAN33

 1. Products Offered by Dispensary33

 2. Services Offered by Dispensary35

 3. Security Process - Access to Dispensary Facility48

 4. ADA Accessibility.....51

 5. Air Treatment Systems52

 6. Medical Marijuana and Marijuana Products Delivery Process53

 7. Dispensary Facility Employee Training and Continuing Education Opportunities.....56

 8. Processes and Controls to Prevent Diversion, Theft, Loss of Medical Marijuana.....58

D. PROPOSED MARKETING PLAN.....62

 1. Proposed Marketing Plan63

E. FINANCIAL STATEMENTS AND ORGANIZATIONAL STRUCTURE68

 1. Articles of Incorporation.....68

 2. Organizational Chart.....74

 3. Persons Responsible for Information Security.....76

 4. Compensation Agreements - Facility Backers, Directors, Owners, Officers, High Level Employees.....76

 5. Indebtedness in Connection with Opening Dispensary Facility.....77

 6. Audited Financial Statements.....77

 7. Federal, State Tax Returns Filed by Applicant - Last 3 Years92

 8. Federal, State Tax Returns Filed by Applicant – Most Recent93

F. BONUS POINTS.....94

 1. Employee Working Environment Plan94

 2. Compassionate Need Plan95

 3. Research Plan98

 4. Community Benefits Plan101

 5. Substance Abuse Prevention Plan102

APPENDIX.....103

APPENDIX A – Dispensary Facility License Information Form.....103

APPENDIX A.1 – Question 27: Sales and Use Tax Permit.....103

APPENDIX A.2 – Question 34: Property Documents – Lease Term Sheet, Use Acknowledgment.....103

APPENDIX A.3 – Question 43 – 46: Names under which applicant has conducted business103

APPENDIX A.4 – Question 71: Security Plan from Command Corporation.....103

APPENDIX B – Dispensary Facility Backer Information Form: Angelo DeFazio103

APPENDIX B.1 – Question 13 – 20: Licenses, Permits and Registrations: Angelo DeFazio103

APPENDIX C – High-Level Emps Background Info Form: Angelo DeFazio103

APPENDIX C.1 – Question 23: Pharmacies Associated with: Angelo DeFazio.....103

APPENDIX C.2 – Question 28: Other relevant business experience: Angelo DeFazio.....103

APPENDIX C.3 – Question 29 - 36: Licenses, Permits and Registrations: Angelo DeFazio103

APPENDIX C – High-Level Employees Background Info Form: Mary Graikoski103

APPENDIX C.1 – Question 23: Pharmacies Associated with: Mary Graikoski103

APPENDIX C.2 – Question 28: Other relevant business experience: Mary Graikoski103

APPENDIX C.3 – Question 29 - 36: Licenses, Permits and Registrations: Mary Graikoski.....103

APPENDIX C – High-Level Employees Background Info Form: Jill St. Germain103

APPENDIX C.1 – Question 23: Pharmacies Associated with: Jill St. Germain.....103

APPENDIX C.2 – Question 28: Other relevant business experience: Jill St. Germain.....103

APPENDIX C.3 – Question 29 - 36: Licenses, Permits and Registrations: Jill St. Germain103

APPENDIX C – High-Level Employees Background Info Form: Wendy Dean103

APPENDIX C.1 – Question 23: Pharmacies Associated with: Wendy Dean.....103

APPENDIX C.2 – Question 28: Other relevant business experience: Wendy Dean.....103

APPENDIX C.3 – Question 29 - 36: Licenses, Permits and Registrations: Wendy Dean103

APPENDIX D – Dispensary Facility Manager Information Form: Mary Graikoski.....103

APPENDIX D.1 – Question 23: Pharmacies Associated with: Mary Graikoski.....103

APPENDIX E – Backer Members Authorization for Release of Personal History Form: Angelo DeFazio103

A. BUSINESS INFORMATION OF APPLICANT

1. Complete the Dispensary Facility Information Form, attached as Appendix A.

1. Dispensary Facility Information Form

See Appendix A

2. Provide a brief summary (no longer than five double-spaced pages) of the applicant's qualifications, experience and industry knowledge relevant to the development and operation of a dispensary facility.

2. Summary: Qualifications, Experience and Industry

See Next Pages

INTRODUCTION: Arrow Alternative Care (AAC) is an Arrow Pharmacy family company. Arrow Pharmacies have been serving the pharmacy needs of patients, caregivers, prescribers and the Health Care Community of the City of Hartford and surrounding area for 24 years. Arrow Pharmacy's 5 locations are specialized pharmacies providing centers of wellness with healthcare products and services.

MISSION FOR THE DISPENSARY FACILITY: Arrow Alternative Care will provide registered patients and caregivers with access to medical cannabis for palliative use, pharmacist directed compassionate care aimed at improving overall health and quality of life, in a safe healing environment.

Arrow Alternative Care qualifications, experience, industry knowledge and financial stability set us apart from other applicants and uniquely position us for success to develop and operate a viable, sustainable Medical Marijuana Dispensary Facility. Key differentiators include:

- The Arrow Alternative Care business model mirrors that of our Arrow Pharmacy business model with all of the operational and security protocols, controls, technology and systems required to ensure the:
 - Security and Safety of our Patients, Employees and Community
 - Prevention of diversion, theft and loss of pharmaceutical inventory (medical cannabis)
 - Focus on serving all in the Health Care Community to promote overall health and well being in the form of: Education, Research, Compassion Programs etc...
- Trusted and Respected by the communities that we serve and the Healthcare Community with 24 Years of experience in the ownership, management and operations of Dispensary Facility-like Pharmacies in both retail and Healthcare settings: headquarter pharmacy and management company ANG INC. are located at 500 Farmington Avenue in Hartford, St. Francis Hospital and Medical Center, Burgdorf Clinic at Mt. Sinai Hospital, Hartford Hospital, Community Health Services-Hartford, Charter Oak Health Center-Hartford, UCONN Health Center Ambulatory Care Center (pending build out 2014).

- Arrow Alternative Care will operate a Medical Marijuana Dispensary Facility independent of any Grower(s) partnership, ownership or association (not vertically integrated), in a free market environment to ensure:
 - Highest quality products at fair market pricing to our registered patients, caregivers and prescribers
 - Availability of product to meet the needs of our registered patients driven by knowledge of efficacy of medical cannabis for disease states approved for palliative use.
 - Objective, unbiased data and analysis of products from multiple growers will provide a unique repository of data for use in the Arrow Alternative Care Medication Therapy Management (MTM) services that we provide to registered patients; and for collaboration with the Healthcare industry to better understand the impact of palliative use of medical marijuana on positive patient outcomes.

- Arrow Alternative Care will have the same commitment to, and belief in, the value of education as Arrow Pharmacy. Arrow Alternative Care believes that we can play a major role in the development of intellectual capital regarding the development and operation of a Medical Marijuana Dispensary and the palliative use of medical marijuana and become a source for information, education and training for all stakeholders.

We will achieve our mission as required by the RFA and State Laws, by implementing the following:

1. **Pharmacy / Medical Office / Dispensary Operating Model:** The Arrow Alternative Care Dispensary Facility will operate using the Arrow retail pharmacy operation model within a clinical setting utilizing the Arrow Pharmacy state of the art technology, security systems, protocols, controls and best practices; and will implement requirements for administering and dispensing controlled substances under the Controlled Substances Act; to:
 - Ensure the safe handling and dispensing of medical marijuana to prevent dispensing errors, the misuse of confidential patient information and to protect against the diversion, theft or loss of marijuana;

 - Provide Pharmacist directed patient care, including therapeutic evaluations, recommendations and physician
-

collaboration; specialized therapeutic knowledge, experience, and judgment to optimize medication therapy, promote health and wellness, positive patient outcomes and identify potential substance, abuse or fraud within the delivery system.

2. **Security and Safety:** Our Security Plan is designed for the security of the physical building, premises, equipment and inventory; and the security, safety and confidentiality of our people and patients.

 3. **Health Care System Collaboration:** Arrow Alternative Care will participate in, and conduct research, monitor, gather and analyze medical marijuana usage and impact data by demographic and disease state; collaborate with State Agencies, Associations, Universities and the Health Care System, and Growers to provide an objective, evidenced base source of scientifically valid information, advice and education on the therapeutic use of medical marijuana to ensure and advance the rational usage of medical marijuana to directly contribute to positive patient outcomes. Beneficiaries of this collaboration can include, but not limited too, Associations, Physicians, Supply Chain Entities, Licensed Dispensary Facility Teams, Educational Institutions, Patients, Caregivers.

 4. **Education Commitment:** Arrow Alternative Care will participate in, develop and deliver educational programs to patients, caregivers, Medical Marijuana Dispensary Facility and Grower personnel, and other members of the Medical Marijuana delivery system based on our experience, knowledge and research. We will work to develop and implement an industry approved Medical Marijuana Certification program for pharmacists and technician such as the existing pharmacist Diabetes Management certification program, pharmacist Immunization certification program etc. to ensure the highest quality of care to patients.

 5. **Independent of Grower(s):** Arrow Alternative Care is not affiliated with a grower(s), owned wholly or partially, directly or indirectly by any grower. As a result, we will not be limited to access or product that we feel is imperative to access by our patient population. We plan on initially carrying all brands and dosage forms of medical marijuana. Arrow
-

Alternative Care believes that the success of the program in the State of Connecticut depends on a mix of integrated and non integrated Dispensary Facilities and Growers to avoid allowing monopolists to keep prices artificially high and potentially negatively affecting the quality and quantities of medical marijuana available to Dispensary Facilities needed serve patients in the State of Connecticut. Our data will be pure and unadulterated and not used for economic gain to increase supply chain of grower.

QUALIFICATIONS

- Angelo DeFazio, RPh is the President and CEO of Arrow Alternative Care and Arrow Pharmacies and has owned, operated and developed pharmacies in the Greater Hartford Area since 1989. He has established invaluable relationships with prescriber communities at our major locations: St. Francis Hospital and Medical Center, Hartford, and UCONN.
 - All of the Arrow Alternative Care Dispensary Employees are highly qualified in the operations of dispensing controlled substances such as medical marijuana.
 - Dispensary Facility Manager will be Mary Graikoski, who has been a licensed CT pharmacist since 1988
 - Dispensary Pharmacists (4) have worked for Arrow Pharmacies for over 5 years
 - Dispensary Pharmacist Technicians have worked at Arrow Pharmacies for over 5 years
 - The same business processes, systems and controls required by law to dispense narcotics that are currently used at Arrow Pharmacies including any additional requirements will be implemented at Arrow Alternative Care to prevent the diversion, theft and loss of medical marijuana.
 - Arrow Pharmacy systems, which will be installed at Arrow Alternative Care, are set up for turnkey use for dispensing, monitoring and inventory control of medical marijuana. These include POS Systems with integrated peripherals such as label printers, barcode scanners, specialized report generators.
 - State of Connecticut drug control agents are familiar with our systems and reports.
 - Mr. DeFazio is actively involved in the Connecticut Pharmacists Association, University of Connecticut School of Pharmacy, National Community Pharmacist Association, American Pharmacist Association and various community
-

organizations serving the healthcare needs of the poor and sick population of Hartford. He is an Advisory Board member of Cardinal Health and is VP of Community Specialty Pharmacy Network a niche limited distribution drugs and diseases similar to chronic medical conditions listed to receive palliative medical marijuana ie., cancer, HIV, MS etc...

EXPERIENCE RELEVANT TO THE DEVELOPMENT AND OPERATION OF A DISPENSARY FACILITY

- Developed 6 Dispensary Facility-like pharmacies from design build-out into long term sustainable, scalable businesses.
- Implemented high security systems and plans as required by both the nature of a pharmacy business and in accordance with the Controlled Substances Act (CSA).
- Dispensary Facility Manager, Dispensary Pharmacists and Technicians have all worked together as a team for more than 5 years and have successfully, safely and professional operated pharmacy businesses.
- All Dispensary Pharmacists currently deliver Patient Centered Care which goes beyond dispensing prescriptions and extends to providing disease state counseling, medication therapy management and compassionate care.

INDUSTRY KNOWLEDGE RELEVANT TO THE DEVELOPMENT AND OPERATION OF A DISPENSARY FACILITY

- Working, in-depth understanding of the distribution system of pharmaceuticals.
 - Our brand, reputation and industry relationships will help create a positive understanding for the safe and professional use of medical marijuana and help to ease prescriber trepidations.
 - Our unique relationships with the Gray Cancer Center, St. Francis Cancer Center, UCONN Health Center NEAG Cancer Center as well as Ryan White programs and Connecticut AIDS Resource Coalition (CARC) will help with many of the chronic medical conditions cleared for the palliative use of medical marijuana and give us an understanding of the special needs and symptom relief that these compromised patients need and require.
 - Physician Community, Hospital Community acceptance of Arrow Pharmacy and its team as a leader, Healthcare team participant and ACO and medical home provider for decreased readmissions and increase patient outcomes.
 - Expert in Federal and State regulations, security requirements, operations and best practices required preventing the diversion, theft or loss of pharmaceuticals.
-

3. Provide a financial statement setting forth the elements and details of all business transactions connected with your application.

3. Financial Statement – Expenses related to Application

Expense	Amount
Application Fee	\$1,000
Attorney Fees	\$2,718
Accountant Fees	\$3,000
Lease Negotiations	\$15,500
Gladson Pharmacy Design Group	\$1,500
Printing	\$2,000

B. LOCATION AND SITE PLAN

Please provide the following information:

1. The location of the proposed dispensary facility;

1. Proposed Dispensary Location

92 Weston Street, Hartford Connecticut Units 16, 17, 18

2. Documents sufficient to establish that the applicant is authorized to conduct business in Connecticut and that state and local building, fire and zoning requirements and local ordinances are met for the proposed location of the dispensary facility;

2. Documents: Authorized to Conduct Business in CT; Compliance with Local Ordinances

Arrow Alternative Care sole stockholder (C Corporation) owns and operates multiple Connecticut Corporations. Refer to Appendix A.

City of Hartford proposed dispensary regulations. 92 Weston Street fully conforms to I-2 Zone with conditional Medical Marijuana Dispensary Use (See next page). Local ordinances are also met by 92 Weston Street (See Proposed City of Hartford Medical Marijuana Dispensary Regulations on following pages). Fire Code will be met on build out and fire inspection to receive Certificate of Occupancy.

ARTICLE 1. IN GENERAL Sec. 2. Definitions

Medical marijuana dispensary facility means a place of business where marijuana may be dispensed or sold at retail to qualifying patients and primary caregivers and for which the Connecticut Department of consumer Protection has issued a dispensary facility permit.

Medical marijuana production facility means a secure, indoor facility where the production of marijuana occurs and is operated by a person to whom the Connecticut Department of Consumer Protection has issued a production facility permit.

Sec. 875 Medical Marijuana Dispensary and Production

The purpose of this section is to regulate the location and operation of medical marijuana dispensary facilities and production facilities in accordance with the State of Connecticut Act Concerning the Palliative use of Marijuana, signed into law on May 31, 2012 codified at Connecticut General Statute, Sections 21a-408 to 21a-408z with all subsequent amendments.

(a) Limitations & Restrictions

- (1) No medical marijuana dispensary facility or medical marijuana production facility shall be permitted within the same building, structure or portion thereof that is used for residential purposes, or that contains another medical marijuana dispensary facility or medical marijuana production facility.
- (2) No medical marijuana dispensary facility or medical marijuana production facility shall be permitted on a site that is less than 1000 feet from any site containing a church, school, public building, public or private park or recreation area, residential property; unless the above referenced land uses are separated by a physical barrier such as an interstate highway or railroad.
- (3) All distances contained in this section shall be measured by taking the nearest straight line between the respective lot boundaries of each site.
- (4) The total number of medical marijuana distribution and medical marijuana production facilities in the city shall be limited to one (1) medical marijuana distribution facility and one (1) medical marijuana production facility.
- (5) Hours of operation for dispensary facilities shall be between the hours of 8:00 AM and 5:00 PM.

(b) Sign and exterior display requirements

- (1) No exterior text or graphic material shall be permitted on the proposed facility.
- (2) Exterior signage shall be restricted to a single name plate with the address of the dispensary or the address of the production facility no larger than four (4) square feet. No illuminated, electronic or motion signage of any kind shall be permitted.

To be modified:**ARTICLE 1. IN GENERAL Sec 1. History and purpose; plan of conservation and development. (a) paragraph 2** to be modified to read as follows:

The planning and zoning commission has adopted these zoning regulations, in accordance with the general statutes, in order to carry out its powers and duties and to provide for the public health, safety and welfare; and in order to 1) protect and preserve the city's neighborhoods, commercial districts, property values and quality of life, and 2) to minimize any adverse impacts on adjacent properties, residential neighborhoods, schools, public and private recreational areas, community centers, churches, and other uses in the community.

To be modified:**ARTICLE IV. PERMITTED USES DIVISION 1. GENERALLY** to be modified as follows:

Sec. 855 – 874 Reserved.

COMMERCIAL, BUSINESS AND INDUSTRIAL

USES	I-1	I-2	C-1	B1	B2	B3	B4
Meats – retail		I	I	P	P	P	P
Other retail trade – food		I	I	P	P	P	P
Retail Trade – Furniture, Home Furnishing and Equipment							
Furniture, home furnishings And equipment – retail			P	P	P	P	P
Household appliances – Retail			P	P	P	P	P
Music supplies – retail		I	P	P	P	P	P
Radios and television – Retail			P	P	P	P	P
Retail Trade General Merchandise							
Department stores – retail			P	P	P	P	P
Direct selling organizations - retail			P	P	P	P	P
Dry goods and general Merchandise – retail			P	P	P	P	P
General Stores – Retail			P	P	P	P	P
Limited price variety Stores – retail			P	P	P	P	P

Mail order house – retail			P	P	P	P	P
Merchandise vending			P			P	
Machine operators – retail							
Other retail Trade							
Adult establishments	C	C					
Antiques – retail		I	P	P	P	P	P
Bicycles – retail		I	P	P	P	P	P
Books – retail		I	I	P	P	P	P
Bottled gas – retail		P	P			P	
Cameras and photographic Supplies – retail		I	I	P	P	P	P
Cigars, cigarettes and Tobacco – retail		I	I	P	P	P	P
Drug and proprietary – retail		I	I	P	P	P	P
Medical Marijuana Dispensary		C					
Farm and garden supplies - retail		P	P			P	P
Florists – retail		I	P	P	P	P	P
Fuel oil – retail		P	P			P	
Gifts, novelties, and Souvenirs – retail		I	I	P	P	P	P
Jewelry – retail		I	I	P	P	P	P
Liquor – retail			C	C	C	C	C

P= Permitted
 A= Accessory
 C= Conditional
 I= Industrial Overlay District Required

SITE/BUILDING DATA

PROPERTY: Weston Square
ADDRESS: 92 Weston Street, Hartford, CT 06120
OWNER: Weston Square Associates, LLC

SITE INFORMATION

ZONING: I - 2	ALLOWED USE: Industrial
ACREAGE: 4.54 Acres - Frontage = 432.33'	COVERAGE: 25% (50-70% Allowed)
FLOOD MAP: Zone X #095080 005B(12/4/86)	IMPROVEMENTS: 47,957 S.F. Building
PARKING: 170 Spaces	PARKING RATIO: 3/1,000 s.f.

BUILDING INFORMATION

Year Built: 1978
Foundation: Reinforced concrete slab.
Frame: Steel frame supports and masonry bearing walls.
Exterior Walls: Brick finish over concrete block load bearing walls.
Roof: Slight pitch with a corrugated metal deck.
Windows: Fixed metal casement windows.
Interior Walls: Combination of painted sheet rock in most of the building. Some areas have unfinished walls...varies per unit.
Floors: Smooth finish concrete subfloors with wall-to-wall carpet or vinyl tile covering.
Ceiling: 18' Clearance - (Provides for Storage and/or Mezzanine level).
 Suspended acoustic tile throughout most of the building.
 Exposed steel joists and metal deck in sections of the building.
Lighting: Recessed fluorescent lighting in most of the units.
Plumbing: Originally one toilet room per 1,000 square feet of building
Docks: One (1) Common Dock located next to unit 45
Drive-In: 12' rear roll-up doors for drive-in truck deliveries/ # Varies per unit
Miscellaneous: The building is U-shaped, with an opening along the rear accessing an interior courtyard. There are metal insulated overhead doors to individual units within the courtyard that provide for truck loading/unloading. The building is presently divided into 20 tenant spaces ranging from 450 to 5,500 square feet. There are private entrance doors to individual spaces along all four sides of the building. The spaces have roll-up & man-doors to the center courtyard. The tenants vary from wholesale/retail, service, storage and office. There is an estimated 50% finished office space.

MECHANICAL INFORMATION

ELECTRIC: Estimated 1,000 amperage electrical panels with individual metering.	HVAC: Varies per unit
GAS: Natural Gas Available	SEWER: City
HEAT: Varies per unit	SECURITY SYSTEMS: Varies per unit
WATER: City	FIRE ALARM SYSTEMS: Central
SPRINKLER: Yes	

3. If the property is not owned by the applicant, provide a written statement from the property owner and landlord certifying that they have consented to the applicant operating a dispensary facility on the premises;

3. Letter of Acknowledgement Permitting Medical Marijuana Dispensary

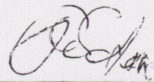
The property located at 92 Weston Street, Hartford, CT is owned by Albemarle Weston LLC. The owner has signed a Letter Of Acknowledgement of Use granting Arrow Alternative Care permission to operate a Medical Marijuana Dispensary Facility at the proposed site.

SEE NEXT PAGE for the Letter of Acknowledgement.

Landlord Acknowledgement of Property Use**92 Weston Street
Units 16, 17, 18
Hartford, Connecticut**

To whom it may concern:

Please accept this letter as verification that a Medical Marijuana Dispensary is a permitted use for the property located at 92 Weston Street, Hartford, Connecticut, Units 16, 17 and 18.

Permission is granted to Arrow Alternative Care to use this property to develop and operate a Medical Marijuana Dispensary Facility in accordance with the requirements as set forth in Chapter 420f of the Connecticut General Statutes, "*An Act Concerning The Palliative Use of Marijuana*".

Authorized Landlord signature

Philip Schonberger

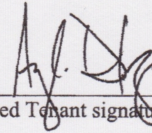
Landlord Name (print)

Albemarle Weston Street, LLC

Landlord Trade Name (print)

11/13/13

Date signed



Authorized Tenant signature

Angelo DeFazio

Tenant Name (print)

ANG INC.

Tenant Trade Name (print)

11/12/2013

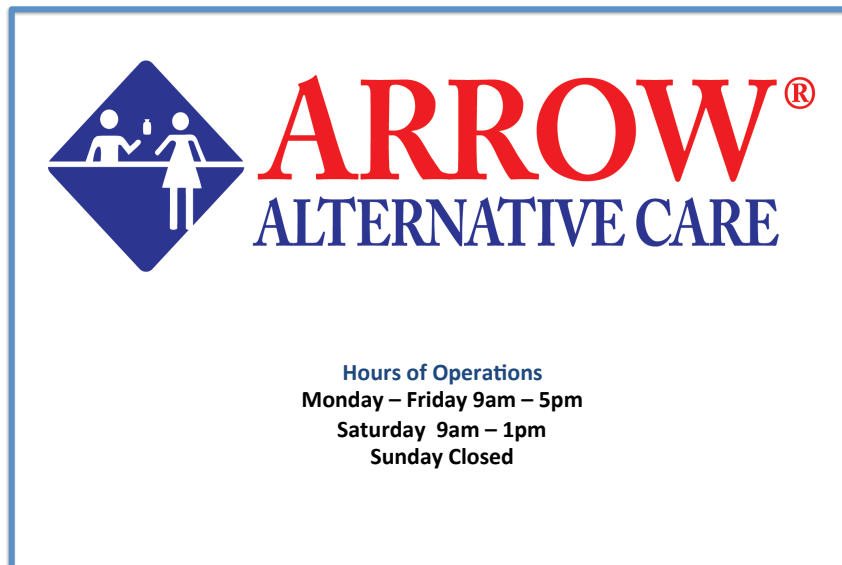
Date signed

4. Any text and graphic materials that will be shown on the exterior of the proposed dispensary facility;**4. Exterior Text and Graphic Materials**

The Arrow Alternative Care signage will be small and indiscreet, yet professional. The only signage will be a sign announcing the name of our Dispensary with our operating hours. There will be no other graphics or text.

Per city of Hartford Zoning Regulations, the exterior sign on a Medical Marijuana Dispensary shall not be greater than 4 square feet. However, per **Sec. 21a-408-68** a single sign may not be larger than 16 x 18 inches. Our exterior sign shall be 16 x 18 inches per **Sec. 21a-408-68**.

B. Location and Site Plan Signage Question 4.
External Signage will be no larger than 16 x 18 inches per code



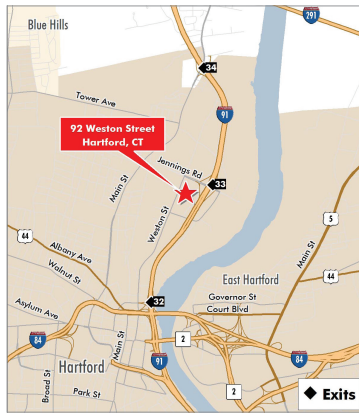
5. Photographs of the surrounding neighborhood and businesses sufficient to evaluate the proposed dispensary facility's compatibility with commercial or residential structures already constructed, or under construction, within the immediate neighborhood;

6. A site plan drawn to scale of the proposed dispensary facility showing streets, property lines, buildings, parking areas, and outdoor areas, if applicable, that are within the same block as the dispensary facility;

5. Photographs of Surrounding Neighborhood and Businesses

6. Site Plan Showing Streets, Property Lines, Buildings etc...

We have presented our response to questions 5 and 6 in the following documents prepared by our Real Estate company.



CBRE/NE has been exclusively retained to lease space at Weston Square - 92 Weston Street in Hartford. The facility is ideal for tenants seeking to be in a flex, office, retail, or service environment in a premier location within the City of Hartford. The property, designed in a U shape, has an opening along the rear to access an interior courtyard containing drive-in doors and a shared dock for loading.

The available space ranges in size from 3,500 SF up to 5,000 SF with private entrances and ample parking around the building. Units are improved with office area, restrooms, and warehouse space and include 12' overhead/drive-in door loading in the rear. Warehouse areas are very functional in size and have 18' clear heights for maximum space efficiency.

The property is located in the North Meadows with excellent access to downtown Hartford, area highways (I-91 and I-84) and neighborhood amenities including a variety of hotels, restaurants, shopping and other service/retail destinations nearby.

**FOR MORE INFORMATION
PLEASE CONTACT**

Jennifer Gosselin
 T 860.987.4734
 F 860.249.7916
 jennifer.gosselin@cbre-ne.com

David Barnes
 T 860.987.4782
 F 860.249.7916
 david.barnes@cbre-ne.com

CBRE | New England

CB Richard Ellis - N.E. Partners, LP, a CBRE Joint Venture

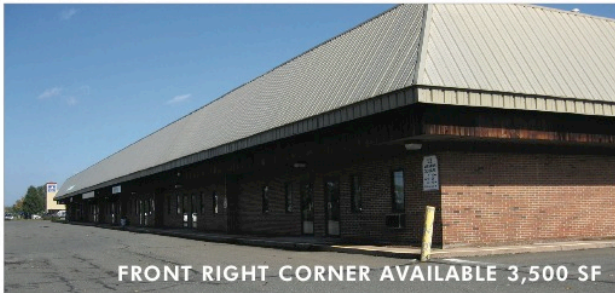
WESTON SQUARE

92 WESTON STREET

HARTFORD, CONNECTICUT

AVAILABLE FOR LEASE

For additional information, please visit the website:
www.cbre.com/92westonstreet



FOR MORE INFORMATION PLEASE CONTACT

David Barnes
T 860.987.4782
F 860.249.7916
david.barnes@cbre-ne.com

Jennifer Gosselin
T 860.987.4734
F 860.249.7916
jennifer.gosselin@cbre-ne.com

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**Weston Square
 92 Weston Street
 Hartford, CT**

www.cbre.com/92WestonStreet

Building Information

Total Square Feet:	46,500 SF	Number of Floors:	1
Availability:	8,500 SF	Drive-in Doors:	10' x 12'
Parking Ratio:	3/1,000 + 32 secured spaces		
Building Comments:	Excellent access to I-91 and I-84, via Exit #33 (Jennings Road). Office/flex park setting with retail exposure. Functional 18' clear heights in warehouses, drive-in doors, and a shared loading dock.		

Vacancy Information

<u>Floor</u>	<u>Available Space</u>	<u>Quoted Rent</u>	<u>Date Available</u>
1	3,500 SF	\$12.00 Gross + Util.	Immediately
1	5,000 SF	\$12.00 Gross + Util.	Immediately

Contact Information:

Jennifer R. Gosselin
 Senior Associate
 860.987.4734
jennifer.gosselin@cbre-ne.com

Information concerning this offering is from sources deemed reliable, but no warranty is made to the accuracy thereof and is submitted subject to error, change of price or other condition, sale or lease or withdrawal notice.



SITE/BUILDING DATA

PROPERTY: Weston Square
ADDRESS: 92 Weston Street, Hartford, CT 06120
OWNER: Weston Square Associates, LLC

SITE INFORMATION

ZONING: I - 2	ALLOWED USE: Industrial
ACREAGE: 4.54 Acres - Frontage = 432.33'	COVERAGE: 25% (50-70% Allowed)
FLOOD MAP: Zone X #095080 005B(12/4/86)	IMPROVEMENTS: 47,957 S.F. Building
PARKING: 170 Spaces	PARKING RATIO: 3/1,000 s.f.

BUILDING INFORMATION

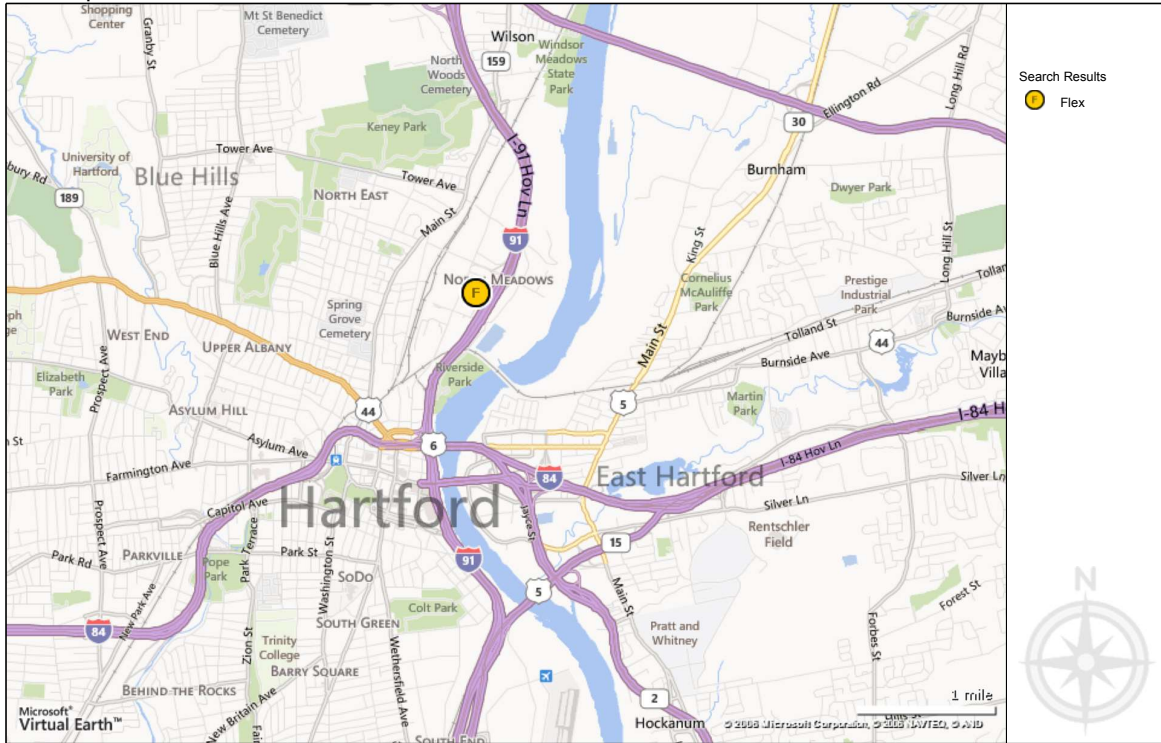
Year Built: 1978
Foundation: Reinforced concrete slab.
Frame: Steel frame supports and masonry bearing walls.
Exterior Walls: Brick finish over concrete block load bearing walls.
Roof: Slight pitch with a corrugated metal deck.
Windows: Fixed metal casement windows.
Interior Walls: Combination of painted sheet rock in most of the building.
 Some areas have unfinished walls...varies per unit.
Floors: Smooth finish concrete subfloors with wall-to-wall carpet or vinyl tile covering.
Ceiling: 18' Clearance - (Provides for Storage and/or Mezzanine level).
 Suspended acoustic tile throughout most of the building.
 Exposed steel joists and metal deck in sections of the building.
Lighting: Recessed fluorescent lighting in most of the units.
Plumbing: Originally one toilet room per 1,000 square feet of building
Docks: One (1) Common Dock located next to unit 45
Drive-In: 12' rear roll-up doors for drive-in truck deliveries/ # Varies per unit
Miscellaneous: The building is U-shaped, with an opening along the rear accessing an interior courtyard. There are metal insulated overhead doors to individual units within the courtyard that provide for truck loading/unloading. The building is presently divided into 20 tenant spaces ranging from 450 to 5,500 square feet. There are private entrance doors to individual spaces along all four sides of the building. The spaces have roll-up & man-doors to the center courtyard. The tenants vary from wholesale/retail, service, storage and office. There is an estimated 50% finished office space.

MECHANICAL INFORMATION

ELECTRIC: Estimated 1,000 amperage electrical panels with individual metering.	
GAS: Natural Gas Available	HVAC: Varies per unit
HEAT: Varies per unit	SEWER: City
WATER: City	SECURITY SYSTEMS: Varies per unit
SPRINKLER: Yes	FIRE ALARM SYSTEMS: Central

92 Weston Street, Hartford

92 Weston St, Hartford, CT 06120
Weston Square



CBRE New England

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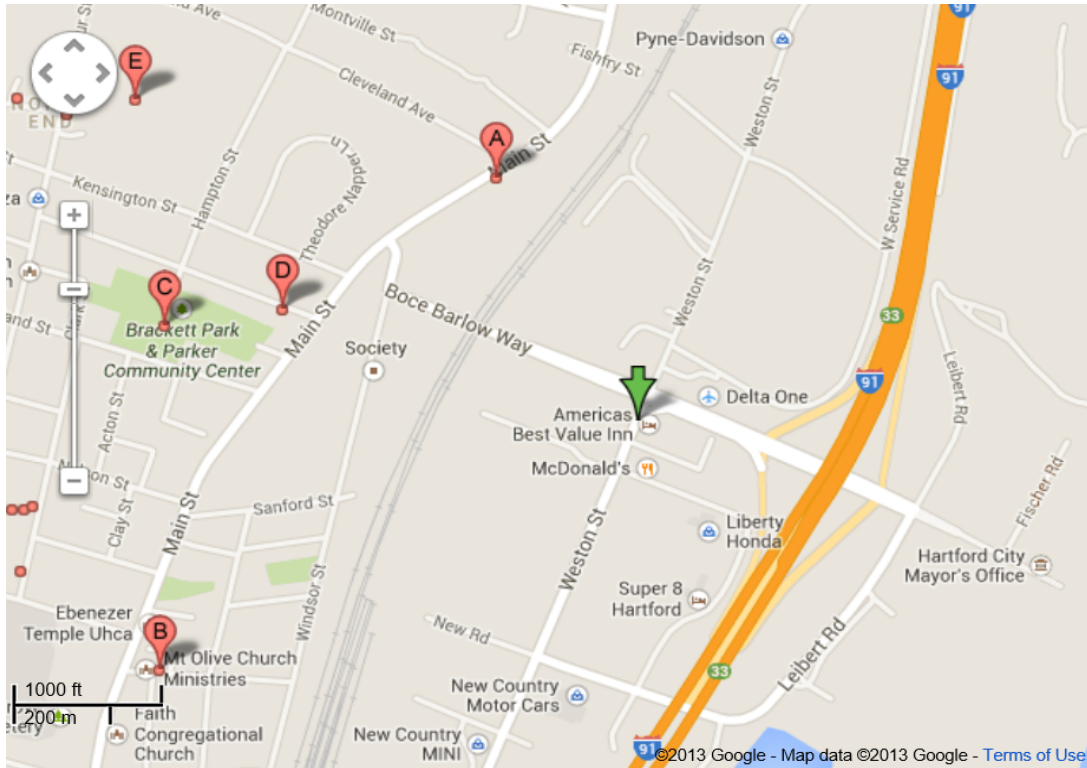
7. A map that identifies all places used primarily for religious worship, public or private school, convent, charitable institution, whether supported by private or public funds, hospital or veterans' home or any camp or military establishment that are within 1000 feet of the proposed dispensary facility location;

7. Map Identifying Religious Worship, Public or Private Schools etc...

The following maps will show school and church locations in the area of the Arrow Alternative Care building at 92 Weston Street, Hartford, Ct. There are no religious worship, public or private school, convent, charitable institution, whether supported by private or public funds, hospital or veterans' home or any camp or military establishment that are within 1000 feet of the proposed dispensary facility location.

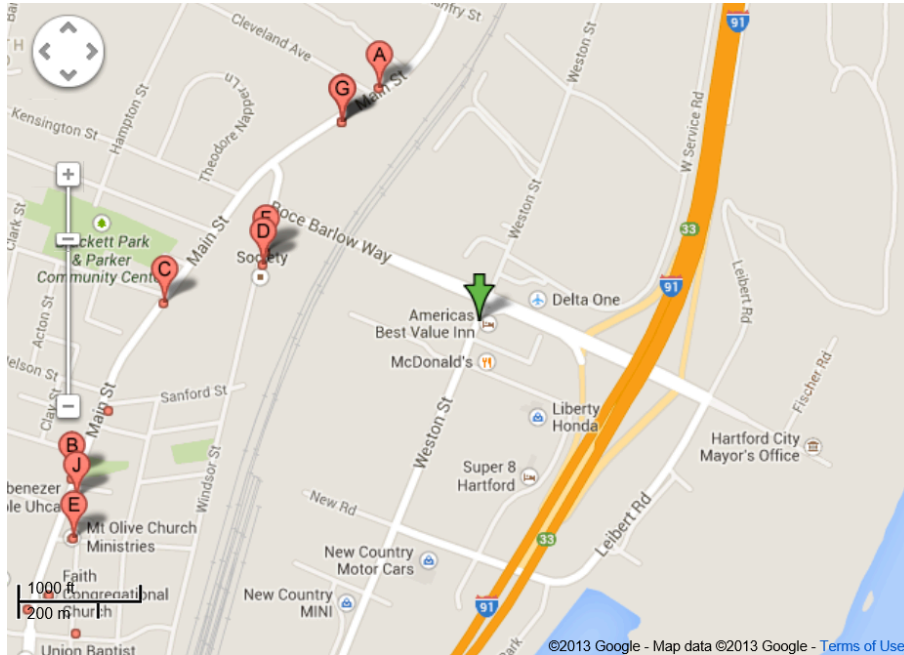
Please note from aerial photograph that none of the places listed in question 7 are within 1,000'.

Schools near 92 Weston Street Hartford, CT 06120:



- | | | | |
|----|---|----|---|
| A. | Cultural Dance Troupe of the West Indies, Inc.
2998 Main St, Hartford, CT
Distance from Alternative Care Center: 0.4mi NW | B. | Mt Olive Child Development Center
30 Battles St, Hartford, CT
Distance from Alternative Care Center: 0.7mi SW |
| C. | Northeast Junior High School
Hartford, CT
Distance from Alternative Care Center: 0.6 mi W | D. | Nicole's Daycare
23 Earle St, Hartford, CT
Distance from Alternative Care Center: 0.8 mi W |
| E. | Fred D Wish School
Hartford, CT
Distance from Alternative Care Center: 0.8mi NW | | |

Churches nearby 92 Weston Street Hartford, CT 06120



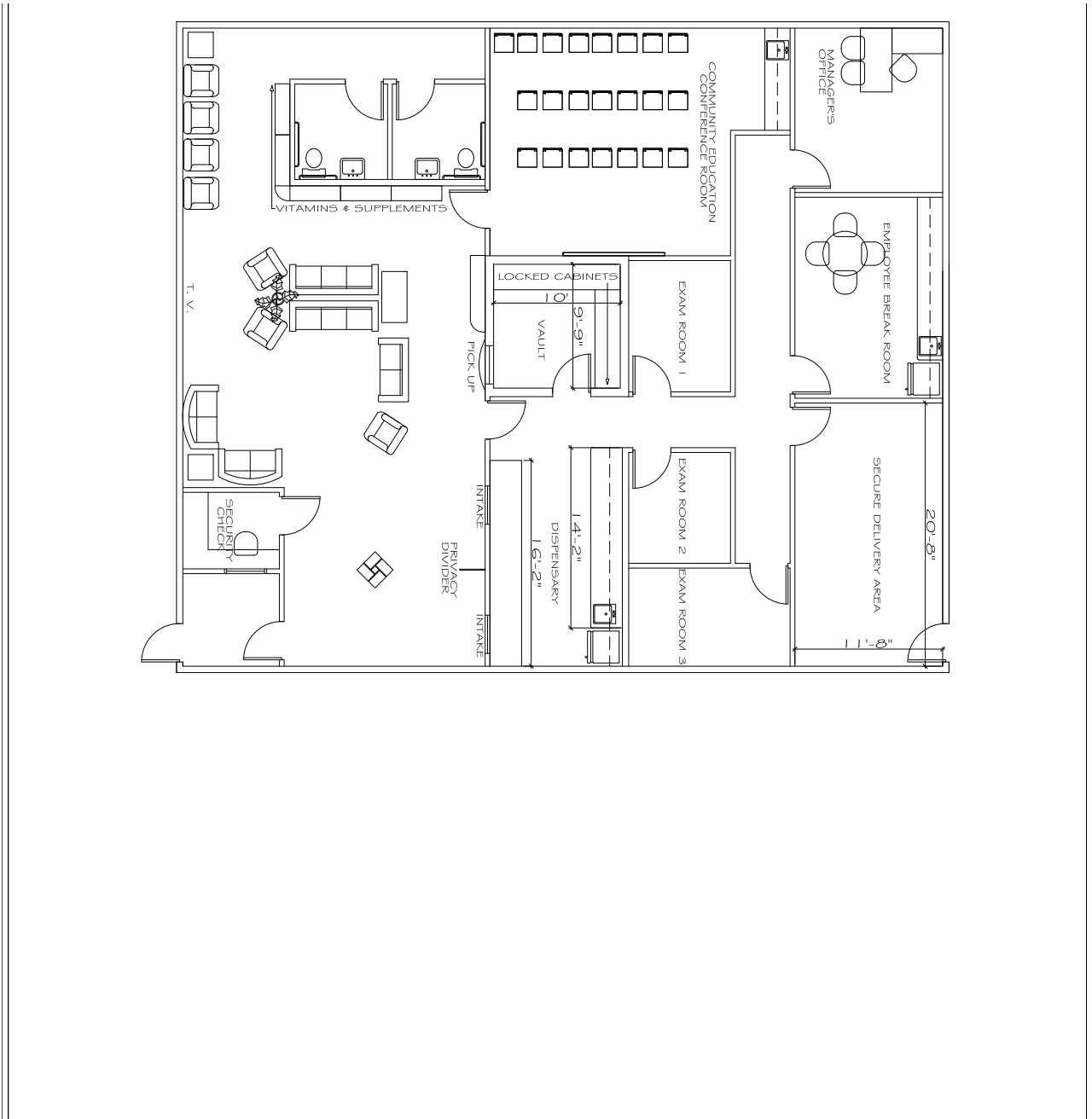
92 Weston Street, Hartford, CT 06120

- | | |
|---|--|
| <p>A. Bible Way Temple Nation
 3053 Main St, Hartford, CT
 Distance from Alternative Care Center: 0.4mi</p> | <p>B. Allen Chapel AME Church
 2233 Main St, Hartford, CT
 Distance from Alternative Care Center: 0.7mi NW</p> |
| <p>C. Abundant Family Center
 2550 Main St. #2, Hartford, CT
 Distance for Alternative Care Center 0.5mi W</p> | <p>D. Healing and Deliverance Ministries
 806 Windsor St, Hartford, CT
 Distance from Alternative Care Center: 0.4mi W</p> |
| <p>E. Mt Olive Church Ministries
 20 Battles St, Hartford, CT
 Distance from Alternative Care Center: 0.7mi
 NW</p> | <p>J. Ebenezer Temple Uhca
 2194 Main St, Hartford, CT
 Distance from Alternative Care Center: 0.7mi SW</p> |

- 8. A blueprint, or floor plan drawn to scale, of the proposed dispensary facility, which shall, at a minimum, show and identify the following:**
- a. The location and square footage of the area which will constitute the dispensary department from which marijuana and marijuana products will be sold;**
 - b. The square footage of the overall dispensary facility;**
 - c. The square footage and location of areas used as storerooms or stockrooms within the dispensary department;**
 - d. The size of the counter that will be used for selling marijuana and marijuana products within the dispensary department;**
 - e. The location of the dispensary facility sink and refrigerator, if any;**
 - f. The location of all approved safes and approved vaults that will be used to store marijuana and marijuana products;**
 - g. The location of the toilet facilities;**
 - h. The location of a break room and location of personal belonging lockers;**
 - i. The location and size of patient counseling areas, if any;**
 - j. The locations where any other products or services, in addition to marijuana and marijuana products, will be offered, if any; and**
 - k. The location of all areas that may contain marijuana and marijuana products showing the location of walls, partitions, counters and all areas of ingress and egress**

8. Dispensary Facility Floor Plan / Layout

Arrow Alternative Care has designed a Dispensary Facility with security, comfort and functionality in mind. Having designed over 10 Pharmacies we have taken into consideration the needs of our registered patients and caregivers and our employees, our business model and the requirements of the state regulations. For the details on security please refer to the Appendix C Question 71 Security Plan.



FLOOR PLAN	
Job no. 8413	Date 11/14/13
Scale 1/4" = 1'-0"	Sheet 1 OF 1
designer T. COTTIAN	

ARROW ALTERNATIVE CARE

ADDRESS TO BE DETERMINED - CONNECTICUT

WRITTEN DIMENSIONS ON THESE DRAWINGS HAVE PRECEDENCE OVER SCALED DIMENSIONS, CONTRACTORS SHALL VERIFY AND BE RESPONSIBLE FOR ALL DIMENSIONS AND CHANGES ON THE JOB. CONTRACTORS SHALL NOTIFY THIS OFFICE OF ANY VARIATION FROM THE DRAWING. CONTRACTORS FURTHERMORE WILL BE RESPONSIBLE FOR COMPLIANCE WITH STATE AND LOCAL CODES AND ORDINANCES THAT WILL SUPERCEDE DRAWN DETAILS. ALL DRAWINGS, SPECIFICATIONS, DESIGNS AND MERCHANDISING CONCEPTS PRESENTED SHALL REMAIN THE SOLE PROPERTY OF GLADSON & ASSOC., INC AND NO PART THEREOF MAY BE COPIED, DISCLOSED TO OTHERS, OR USED WITHOUT THE WRITTEN CONSENT OF GLADSON & ASSOC., INC.



C. PROPOSED BUSINESS PLAN

A dispensary facility shall operate in accordance with the business plan submitted to, and approved by, the Department as part of the application.

Provide the following information, using bullet points wherever possible:

1. A detailed description of all products intended to be offered by the dispensary facility during the first year of operation;

1. Products Offered by Dispensary

Arrow Alternative Care, within the first year of operation, will first and foremost offer only Medical Marijuana and essential usage products, referred to as paraphernalia and a small assortment of wellness products like vitamins and supplements that are associated with the treatment of the chronic medical conditions treated with medical marijuana for palliative use. Overtime, we would like to expand our products, as allowed by law, to include other health and well being products such as vitamins or other high end nutritional supplements that we currently offer at Arrow Pharmacy locations. Our product approach is based on the fact that the Arrow Alternative Care Dispensary Facility will be serving patients who are very ill, compromised or most likely suffers from one or more complex disease states. These patients will benefit from such health and wellness products.

Medical Marijuana Products

Arrow Alternative Care is not affiliated with a grower, owned wholly or partially, directly or indirectly by any grower. As a result, we hope to have access to different marijuana strains and plan on initially carrying all brands and dosage forms available in the market. Within the first year we will be able to determine which products appear to have a significant market share based on clinical patient outcomes, demands, price points and pharmacist /physician recommendations. Our patient needs will drive what strains and types of marijuana we will carry.

Based on availability from growers, our initial products will include the most common species:

- Cannabis Sativa
- Cannabis Indica
- Cannabis Hybrids (cross mix of Indica and Sativa)

Based on availability from growers, we will carry medical marijuana for different methods of consumption:

- Smoking Medical Marijuana
- Medical Marijuana Vaporizers
- Medical Marijuana Edibles (We will apply for Food License if product is available)
- Medical Marijuana Tinctures & Concentrates
- Medical Marijuana Salves

Medical Marijuana Paraphernalia

Arrow Alternative Care will provide a small selection of medical marijuana paraphernalia required to administer/use the medical marijuana. The type of medical marijuana products dispensed will determine what paraphernalia we will sell. Our approach to determining product selection is that same as the approach used at Arrow Pharmacy. For example, we fill prescriptions for asthma medication that requires a nebulizer to administer the medication therefore we carry nebulizers. Examples of the products we will sell include:

- Vaporizers
- Storage Containers
- Pipes
- Rolling papers

Personal Home Safety Systems

Arrow Alternative Care will provide education and products to patients aimed at proper storage, keeping those at home safe and prevention of theft. We will offer small safe systems for home use.

- Small Home Safe Systems

2. A detailed description of all services to be offered by the dispensary facility during the first year of operation;**2. Services Offered by Dispensary**

Arrow Alternative Care will offer those services directly associated with the actual dispensing of Medical Marijuana and related usage products, referred to as paraphernalia; and pharmacist consultation services for wellness programs and Medication Therapy Management (MTM) as allowed by law, to match the right medical marijuana to relieve our patients symptoms, aimed at improving overall health and well being of registered patients.

Wellness Care and Healing Consultation – Pharmacist driven

As in integral part of the Medical Marijuana dispensing process, Arrow Alternative Care Dispensary Pharmacists will conduct a mandatory Wellness Care and Healing Consultation with each and every registered patient and primary caregiver wishing to purchase medical marijuana from our Dispensary Facility. This consultation will provide both the Dispensary Pharmacist and patient/primary caregiver, with a holistic view of the patients medical history and care therapies. The outcome of this consultation will be a pharmacist directed recommendation of the appropriate medical marijuana product, dosage and usage to treat the disease state and symptoms aimed at improving health and overall quality of life.

Arrow Alternative Care has developed a **MEDICAL MARIJUANA DISPENSING FORM** that will be used during the Wellness Care and Healing Consultation to gather data to assist in the recommendation process, maintain registered patient profile and history and to provide to the registered patients physician.

The Wellness Care and Healing Consultation will include the following:

1. Basic vital assessment: Dispensary Pharmacist Technician will screen blood pressure and weight
2. Medical History Profile: Registered patients and caregivers will be asked to update their patient and medical profiles using standardized forms. All data will be updated in our pharmacy management systems
3. Medication Therapy Management: A process conducted by the Dispensary Pharmacist aimed at producing positive patient outcomes, and with the Medical Home and ACO models, decrease unnecessary institutional readmissions. It is the process of taking a comprehensive look at all medication and therapies that a patient is taking in addition to medical marijuana inclusive of over the counter medicines, supplemental and other alternative care products. Through this process the pharmacist can identify:
 - Duplicative therapy
 - Synergistic or antagonistic therapy between medicines and/or prescribers
 - Medication therapy adherence and compliance
 - Improper techniques of using inhaler, nebulizers or durable medical equipment
 - Warning signs of abuse

- Early Refill Requests
4. Recommendation – Medical Marijuana recommendation of the most effective product and dosage for the disease state registered with the state, based on MTM, physician collaboration and evidenced based scientific analysis.
 5. Patient Education – Patient will receive education and information in the following areas:
 - Guide to using Medical Marijuana
 - Potential risks and benefits of the palliative use of marijuana
 - Proper storage of Medical Marijuana
 - Medical Marijuana Law Overview
 - Patients Consent to share information for research
 6. Follow-Up Calls - Within 48 Hours a Dispensary Pharmacist or Technician will contact the patient to determine adherence compliance and adverse reactions. An additional 7 day follow-up phone call will be also be made to reassess symptom relief, adverse reaction, compliance and any other patient issues associated with product or Dispensary Pharmacist recommendations.

The next several pages provide examples of tools and screenshots that support the Arrow Alternative Care Wellness Care and Healing Consultations and enable data gathering for research.

These include:

- Medical Marijuana Dispensing Form
- Patient Intake Form
- Dispensing System Screenshots



CT MEDICAL MARIJUANA DISPENSING FORM


Patient Name, Street Address, Home Tel, Patient weight, Current Medications, DOB, Apt #, City, State, Zip, Allergies, Male/Female, Date, Comorbidities

PATIENT QUALIFICATIONS, PHYSICIAN QUALIFICATIONS, PRESCRIPTION table, MEDICAL CONDITIONS, DISPENSARY USE, Patient Signature

Prescriber's Signature, Dispensary Pharmacist Signature, Date, Date, DEA, CT License #

By signing above, you abide fully by the terms and laws and regulations by the CT Department of Consumer Protection regarding Palliative Use of Medical Marijuana. The information contained in this fax is legal privileged information intended only for use of the individual named above.

Patient Intake Form:


ARROW[®]
 ALTERNATIVE CARE
 Patient Extended History Form

Patient Name: _____ Date of Birth: _____

Marital Status

Single Married Civil Union Divorced Widow(er)

Tobacco Use

No Yes _____ ppd x _____ years

Alcohol Use

No Yes: how often _____

Occupation: _____

Level of Activity (Exercise)


None Occasional Regular Vigorous

Type of exercise: _____

Family History

Mother	Alive, Age _____	Father	Alive, Age _____
	Deceased, Age _____		Deceased, Age _____
	_____ of _____		_____ of _____
Sisters(s)	Alive, Age _____	Brother(s)	Alive, Age _____
	Deceased, Age _____		Deceased, Age _____
	_____ of _____		_____ of _____
	Alive, Age _____		Alive, Age _____
	Deceased, Age _____		Deceased, Age _____
	_____ of _____		_____ of _____
	Alive, Age _____		Alive, Age _____
	Deceased, Age _____		Deceased, Age _____
	_____ of _____		_____ of _____

ACC Form 0002 Revised 11/13/2013 Page 2 of 12


ARROW[®]
 ALTERNATIVE CARE
 Patient Demographic Form

Patient Name: _____ Social Security Number: _____

Date of Birth: _____ Sex: M/F _____

Address: _____ (City/State/Zip) _____

Home Phone: () _____ E-Mail Address: _____

Cell Phone: () _____

Would you be interested in having communications sent to you via your e-mail address? (Examples: Refill reminders, updates, and health bulletins)

Yes No

Employer Name: _____ Employer Phone Number: () _____

Primary Care Physician: _____ (Name) _____ Copy Amount \$ _____

Emergency Contact Information

Name: _____ Relation: _____

Home Phone: _____ Cell phone: _____

Primary Insurance Information

Plan Name: _____ I.D. Number: _____

Address: _____ Group Number: _____

Policy Holder: _____ Effective Date: _____

Policy Holder's Social Security Number: _____ Sex: M / F _____

Secondary Insurance Information

Plan Name: _____ I.D. Number: _____

Address: _____ Group Number: _____


Policy Holder: _____ Effective Date: _____

Policy Holder's Social Security Number: _____ Sex: M / F _____

I authorize the release of any medical information necessary to process this bill to my insurance company, and request payment of benefits to Arrow Alternative Care. I acknowledge that I am financially responsible for payment whether or not covered by insurance.

Signature: _____ Date: _____

ACC Form 0002 Revised 11/13/2013 Page 1 of 12



Patient Name: _____ Date of Birth: _____

Do you have ANY of the following? Please circle Yes or No. Additional information: _____

Chest Pain	Yes	No	_____
Headache	Yes	No	_____
Dizziness	Yes	No	_____
Palpitations/ racing heart	Yes	No	_____
Irregular heartbeat	Yes	No	_____
Weight loss	Yes	No	_____
Eyes: Itchy/watery	Yes	No	_____
Fever/chills	Yes	No	_____
Dry cough	Yes	No	_____
Productive cough	Yes	No	_____
Difficulty breathing	Yes	No	_____
Night sweats	Yes	No	_____
Sweating in legs	Yes	No	_____
Lack of appetite	Yes	No	_____
Nausea	Yes	No	_____
Vomiting	Yes	No	_____
Diarrhea	Yes	No	_____
Constipation	Yes	No	_____
Abdominal cramping	Yes	No	_____
Varicose veins	Yes	No	_____
Bruising	Yes	No	_____
Bleeding	Yes	No	_____
Nose bleeds	Yes	No	_____
Joint pain/ stiffness	Yes	No	_____
Muscle pain/ muscle cramps	Yes	No	_____
Difficulty seeing	Yes	No	_____
Difficulty hearing	Yes	No	_____
Difficulty swallowing	Yes	No	_____
Difficulty sleeping	Yes	No	_____

Previous surgeries:

1) _____ Date: _____

2) _____ Date: _____


3) _____ Date: _____

4) _____ Date: _____

Any previous broken bones? _____ When? _____

Page 4 of 12

ACC Form 0002 Revised 11/13/2013



Patient Name: _____ Date of Birth: _____

Do you have ANY allergies?

- No known allergies
- Penicillin
- Tetracycline
- Sulfa
- Morphine
- Erythromycin
- Codeine
- Iodine/Betadine
- Radiographic Dyes
- Adhesive Tape
- Other (Please specify): _____

What medical conditions do you have?

- Liver disease
- Parkinson's Disease
- Hypertension
- Coronary Artery disease
- Wasting Syndrome
- Post-Traumatic Stress Disorder
- Peripheral vascular disease
- Adult onset diabetes
- Child onset diabetes
- Past heart attack
- Asthma
- Ulcers
- Hepatitis A/B/C
- Tuberculosis
- Seizure disorder/Epilepsy
- Thyroid disease
- HIV
- Acquired Immune Deficiency Syndrome (AIDS)
- Multiple Sclerosis
- Cachexia
- Crohn's Disease
- Damage to Nervous Tissue f Spinal Cord
- Emphysema
- COPD/Lung problem
- Immune disorder
- Overweight
- Osteomyelitis
- Blood clot (DVT)
- Osteoporosis
- Cancer- Type: _____
- Other: _____


What condition above are you using medical marijuana for? _____

Has anyone in your immediate family ever had any of the following? If so, who?

- None known
- Leukemia
- Stroke
- Hypertension
- Coronary artery disease
- Diabetes
- Other: _____
- Hypothyroidism
- Colitis
- Bleeding
- Tuberculosis
- Seizure disorder
- Alcoholism
- Other: _____

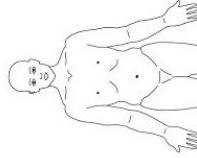
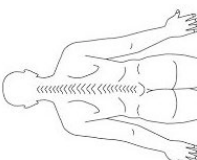
Page 3 of 12

ACC Form 0002 Revised 11/13/2013

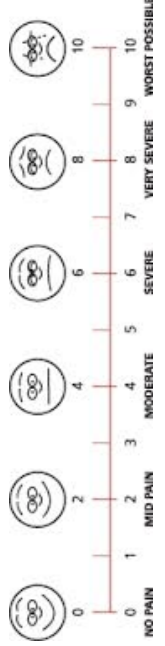


Patient Name: _____ Date of Birth: _____

Please mark the areas where you have pain below:

Please mark where your pain is **BEFORE** treatment with medical marijuana:




On average, how much does your pain interfere with activities? Circle number.

0	1	2	3	4	5	6	7	8	9	10
---	---	---	---	---	---	---	---	---	---	----

Page 6 of 12

ACC Form 0002 Revised 11/13/2013



Pain Assessment PRIOR to Medical Marijuana Treatment

Patient Name: _____ Date of Birth: _____

Below is a list of words that may describe your pain. Please place a check mark in the box that describes each pain type.

Pain Type	None	Mild	Moderate	Severe
Throbbing				
Shooting				
Stabbing				
Sharp				
Cramping				
Grasping				
Hot-Burning				
Aching				
Heavy				
Tender				
Splitting				
Tiring-Exhausting				
Sickening				
Fearful				
Punishing-Cruel				

Is your pain: Continuous Intermittent

If your pain is intermittent, how often does it occur?


Several times a day Several times a week Less than once per week
 Once per day Once per week Never
 Other _____

How long does your pain last?

Seconds Minutes Hours Days Weeks None

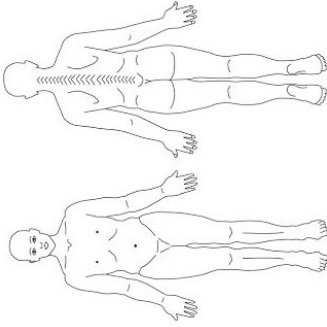
Page 5 of 12

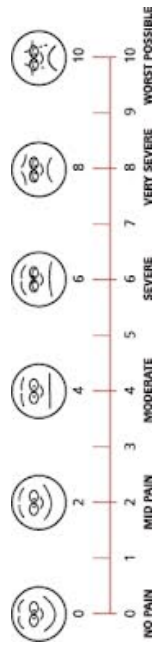
ACC Form 0002 Revised 11/13/2013



Patient Name: _____ Date of Birth: _____

Please mark the areas where you have pain below:






Please mark where your pain if AFTER treatment with medical marijuana:

On average, how much does your pain interfere with activities? Circle number.

0	1	2	3	4	5	6	7	8	9	10
No pain	Mild pain		Moderate pain		Severe pain		Very severe		Worst possible	

ACC Form 0002 Revised 11/13/2013 Page 8 of 12



Pain Assessment AFTER Medical Marijuana Treatment

Patient Name: _____ Date of Birth: _____

Below is a list of words that may describe your pain. Please place a check mark in the box that describes each pain type.

Pain type	None	Mild	Moderate	Severe
Throbbing				
Shooting				
Stabbing				
Sharp				
Cramping				
Gnawing				
Hot-Burning				
Aching				
Heavy				
Tender				
Splitting				
Tiring-Exhausting				
Sickening				
Fearful				
Punishing-Cruel				

No pain
intense
imaginable

Mild pain

Moderate pain

Severe pain

Most
pain

Is your pain: Continuous Intermittent

If your pain is intermittent, how often does it occur?

Several times a day Several times a week Less than once per week
 Once per day Once per week Never
 Other _____

How long does your pain last?

Seconds Minutes Hours Days Weeks None

ACC Form 0002 Revised 11/13/2013 Page 7 of 12

Patient Immunization Record

Vaccine	Type of vaccine	Site & Route given	Date given	Vaccine Lot & Mfr.
Tetanus, diphtheria, pertussis				
Hepatitis A				
Hepatitis B				
Human papillomavirus				
Measles, mumps, rubella				
Pneumococcal				
Varicella				
Meningococcal				
Zoster				
Influenza				

ACC Form 0002 Revised 11/13/2013 Page 10 of 12



Patient Medication Record

Patient Name: _____ Date of Birth: _____

Allergies: No Yes What: _____

Date									
Height/Weight									
Blood Pressure									
Pulse									
Temperature/RR									
Tobacco: C, F, N/Counseling (Current, Former, Never) (Y/N)									
Fingerstick/HbA1c									
Total Chol./LDL/HDL									
Medication Dose/Route									
Frequency									
daily bid tid qid nightly prn									
daily bid tid qid nightly prn									
daily bid tid qid nightly prn									
daily bid tid qid nightly prn									
daily bid tid qid nightly prn									
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ACC Form 0002 Revised 11/13/2013 Page 9 of 12

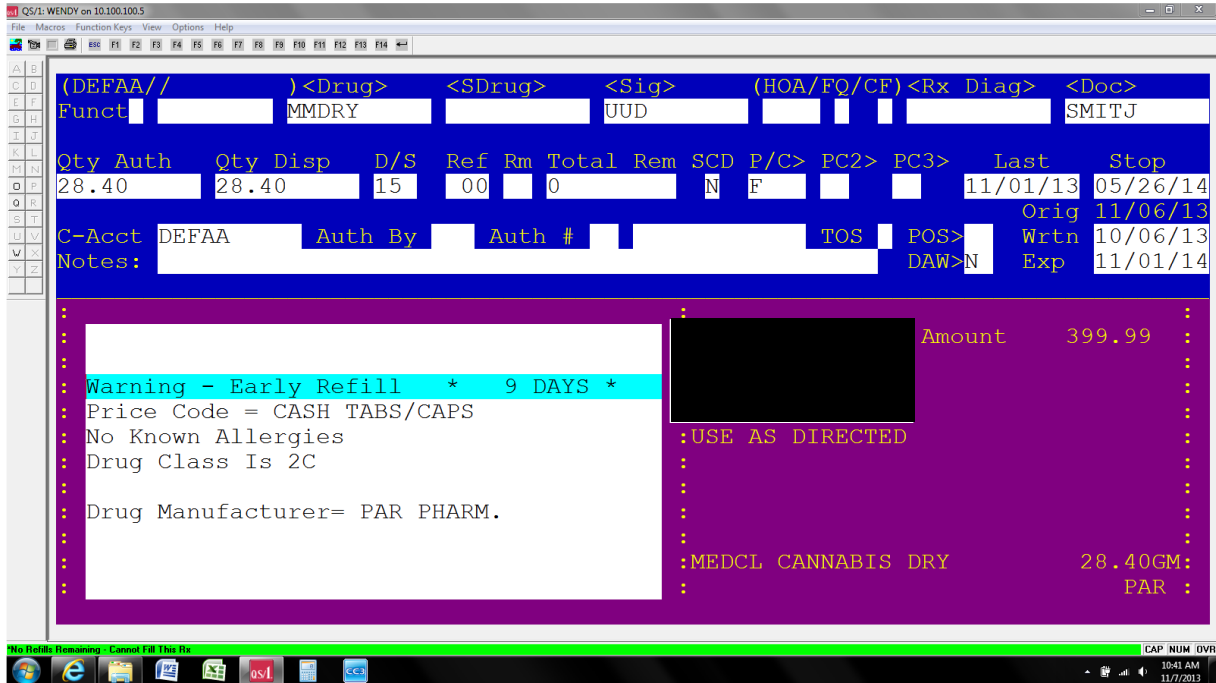
<div style="text-align: center;">  ARROW[®] ALTERNATIVE CARE </div> <p>Confidential Communications: To receive correspondence of confidential information by alternate means or location such as phoning you at work rather than at home or mailing your health information to a different address. To do this, contact the Dispensary Manager. We will take reasonable actions to accommodate your request.</p> <p>Access: To inspect or receive copies of your protected health information (PHI). To do this, contact the Dispensary Manager. In certain circumstances you may not have the right to access your records if it is reasonably believed (or has reason to believe) that such access would cause harm. Examples include, but are not limited to, certain psychotherapy notes, information compiled in reasonable anticipation of or for use in civil, criminal or administrative actions or proceedings, or information obtained from someone other than a healthcare provider under a promise of confidentiality and the access requested would be reasonably likely to reveal the source of the information.</p> <p>Amendments / Corrections: To request changes be made to your protected health information (PHI). To do this, contact the HIPAA Privacy and Security Officer. We are not required to grant your request if we did not create the record or the record is accurate and complete. If we deny your request for amendment / correction, we will notify you why, how you can attach a statement of disagreement to your records (which we may rebut), and how you can complain. If we agree to the request, we will make the correction within 60 days and will send the corrected information to persons we know who got the wrong information, and others you specify.</p> <p>Accounting: To receive an accounting of the disclosures by us of your protected health information (PHI) in the six years (or shorter time) prior to your request. By law, the list will not include disclosures for purposes of treatment, payment or health care operations; disclosures with your authorization; incidental disclosures; disclosures required by law; and some other limited disclosures. You are entitled to one such list per year without charge. If you want more frequent lists, you will have to pay for them in advance. We will usually respond to your request within 60 days of receiving it, but by law, we can have one 30-day extension of time if we notify you of the extension in writing. We are not required to give you a list of disclosures that occurred before April 14, 2003.</p> <p>Complaints: To complain to us or the U.S. Department of Health & Human Services if you feel your privacy rights have been violated. To register a complaint with us, contact Arrow Alternative Care. The law forbids us from taking retaliatory action against you if you complain.</p> <p>Our Duties: We are required by law to maintain the privacy of your protected health information (PHI). We must abide by the terms of this notice or any update of this notice. Please contact Arrow Alternative Care with any questions.</p>	<div style="text-align: center;">  ARROW[®] ALTERNATIVE CARE </div> <p>THIS NOTICE DESCRIBES HOW MEDICAL INFORMATION ABOUT YOU MAY BE USED AND DISCLOSED AND HOW YOU CAN GET ACCESS TO THIS INFORMATION. PLEASE REVIEW IT CAREFULLY.</p> <p>Uses and Disclosures: We will use and disclose elements of your protected health information (PHI) in the following ways:</p> <p>Without your signed authorization:</p> <ul style="list-style-type: none"> • Treatment: including, but not limited to, inpatient, outpatient or psychiatric care. • To your treating physician(s). • Payment: including, but not limited to, asking you about your health care plan(s), or other sources of payment; preparing and sending bills or claims; and collecting unpaid amounts, either ourselves or through a collection agency or attorney. <p>Health care operations: including, but not limited to, financial or billing audits; internal quality assurance; Personnel decisions; Participation in managed care plans; defense of legal matters; business planning; and outside storage of our records.</p> <ul style="list-style-type: none"> • Disclosures when release is authorized by law: including, but not limited to, judicial settings and to health oversight regulatory agencies, law enforcement and correctional institutions. • Uses or disclosures for specialized government functions: including, but not limited to, the protection of the President or high-ranking government officials; for lawful national intelligence activities; for military purposes; or for the evaluation and health of members of the foreign services. • In emergency situations or to avert serious health / safety situations. • Disclosures of de-identified information. • To public health organizations or federal organizations in the event of a communicable disease or to report a defective device or unoward event to a biological product (food or medication). • Disclosures to "business associates", who perform health care operations for us and who commit to respect the privacy of your health information. <p>Personal Privacy Protection Directive: In accordance with Arrow Alternative Care's Notice of Privacy Practices and to protect the confidentiality of my protected health information, I hereby direct that disclosure of my protected health information be restricted. Specifically, no documentation of any information related to my stay or treatment, including but not limited to, any documents or other materials prepared for peer review, risk management, or quality assurance purposes, is to be disclosed under any circumstances, redacted or otherwise, to anyone not affiliated, for any purpose other than payment or legitimate health care operations, without my express written consent or the express written consent of my authorized representative.</p> <p>Other Uses and Disclosures: Other uses and disclosures of medical information not covered by this notice or the laws that apply to us will be made only with your written authorization. If you provide us authorization to use or disclose medical information about you, you may revoke that authorization, in writing, at any time. If you revoke your authorization, we will no longer use or disclose medical information about you for the reasons covered by your written authorization. You understand that we are unable to take back any disclosure we have already made with your authorization, and that we are required to retain our records of the care that we provided to you.</p> <p>Your Rights: You have the following rights concerning your protected health information (PHI):</p> <p>Restrictions: To request restricted access to all or part of your protected health information (PHI). To do this, contact the Dispensary Manager. We are not required to grant your request and you do not have the right to restrict disclosures required by law. If we do agree, we must honor the restrictions you request.</p>
<p>Signature _____ Date _____</p> <p>Printed full name _____</p>	<div style="text-align: center;"> Release Form </div> <p>By signing below, I have read the privacy statements above and hereby authorize Arrow Alternative Care and its affiliates, its employees and agents to release my personal health information maintained by Arrow Alternative Care.</p>

Page 12 of 12

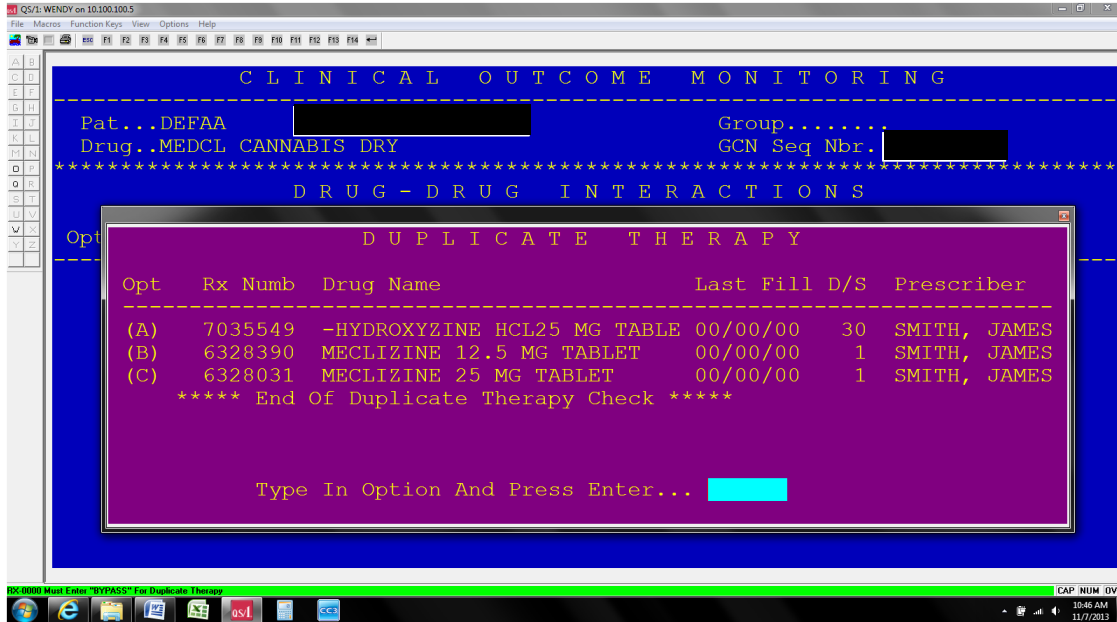
ACC Form 0002 Revised 11/13/2013

Page 11 of 12

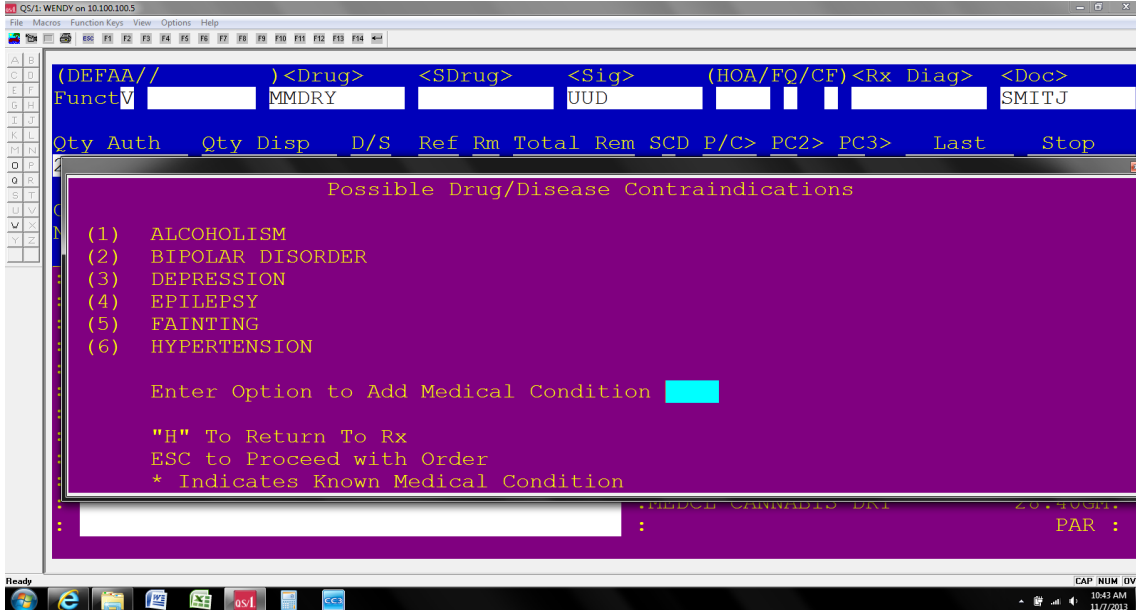
ACC Form 0002 Revised 11/13/2013



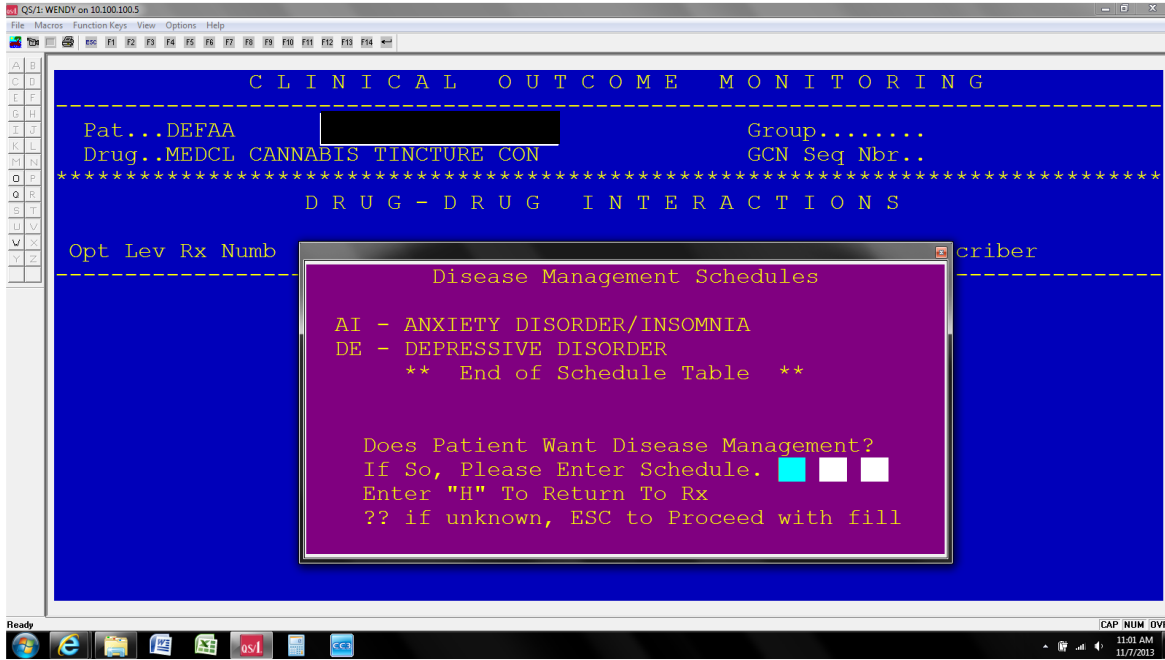
Example of an early warning refill too soon. Pharmacist intervention here can gatekeep abuse, misuse and dosage titration or change of product to produce clinical positive patient outcomes.



Example snapshot of our clinical outcomes monitoring show a possible drug-drug interaction as a result of pharmacist medication therapy management of patient.



Example snapshot screen of coded disease contraindications with products that would require pharmacist override or intervention.



Example snapshot screen of disease state management intervention by pharmacist to help alleviate therapeutic disease state contraindication in patient.

Automated Teller Machine

Given the higher cost of medical marijuana and the fact that health insurance will not provide coverage, many of our patients will pay using cash. In order to provide an element of safety to our patients, we will install an ATM in our common waiting area allowing our patients the ability to not have to carry cash into the building and have the funds available within the Dispensary Facility to obtain their orders.

Potential Services to be offered in the future**Wellness Care and Healing Services**

Patients requiring palliative care have needs that go beyond palliative medicine. Their physical, emotional and spiritual needs are to be considered, with an emphasis on preserving dignity and improving quality of life. In the future, additional Arrow Alternative Care services aimed at the overall health and well being of our patients will be added over time and as allowed by Law. These services may include the following:

1. Immunization Services – Dispensary Pharmacist are certified Immunizers allowing them to deliver certain immunization such as flu vaccinations. Arrow Pharmacy provides this service to patients both in-house and offsite at clinics in places such as Hospitals, Schools, and other Healthcare facilities as well as Senior Residential Housing.
2. Holistic Healing Services which may include on-site services provided to our registered patients such as:
 - Chiropractic Services
 - Massage Therapy
 - Reiki Healing Touch
 - Nutritional counseling
 - Social Service counseling
 - Acupuncture

Arrow Alternative Care would notify DCP with any plan to expand scope of services to qualify service as authorized under current regulations.

3. A detailed description of the process that a dispensary facility will take to ensure that access to the dispensary facility premises will be limited only to employees, qualifying patients and primary caregivers;

3. Security Process - Access to Dispensary Facility

Access to the Arrow Alternative Care Dispensary Facility will have the highest level of security made up of a combination of a secure physical building design, security guard protection, security systems and technology, controls and processes. Access to the dispensary facility premises will be limited only to employees, qualifying patients and primary caregivers.

Secure Physical Building Design

The physical layout of the building and building security will have the following attributes and controls (Refer APPENDIX A.4 for a detailed description of security hardware and locations):

1. There will be only 2 entrance / exit points to the building: one front entrance and one rear entrance
2. 24/7 Surveillance systems will monitor both the front and rear entrances and surrounding areas (refer to section for details)
3. Front and rear doors will be secured with electronic locking systems operated by a combination of key card and code entry security access
4. Rear door will be made up of a bullet resistant solid panel.
5. Front Entrance Layout includes the following:
 - a. Front door leading into an enclosed secure space with a Security Guard Station and a secure entrance door leading into the common dispensary facility waiting area.
 - b. Security Guard Station consists of a secure enclosed, windowed area and access door fashioned after Bank drive up teller station or the service stations found at the U.S. Passport Agency locations with bullet resistive glass, voice box and secure document exchange drawer
 - c. Panic Alarm installed in Security Guard Station
 - d. Coat check area will be available in the initial secured space before entrance to the dispensary facility.
6. Rear Entrance layout includes the following:
 - a. Single solid door leading into a secure enclosed delivery vestibule space where all deliveries will be dropped (Refer to Question 6 Response of this Section for detailed description of secure delivery process).

Security Guard Protection

Arrow Alternative Care will enter into a service contract with a local security company or hire a retired police officer as allowed by law, to provide security guard coverage for the front entrance at all times during hours of operation and/or anytime the building is occupied by Dispensary Facility personnel. The security guard will also accompany Dispensary Pharmacist during all deliveries into the building (Refer Question 6 Response of this Section for a detailed description of the delivery process).

Security Systems and Technology

State of the art security systems will be installed throughout the Dispensary Facility. The entire premises will have 24/7 Surveillance and other security systems. (Refer APPENDIX A.4 – Question 71: Security Plan from Command Corporation, for a detailed description of our plan, security equipment and technology.)

Controls

1. All persons requesting access to the Dispensary Facility must present a valid CT Medical Marijuana registration certificate issued by the DCP, a valid U.S. issued photo identification ie., license, passport and proof of CT residency.
2. All deliveries must be prescheduled and delivered through rear secured delivery vestibule.
3. All persons must enter through the front entrance only including: registered patients, registered primary caregivers, with the exception of delivery personnel and dispensing employees.

Processes

FRONT ENTRANCE ACCESS PROCESS		
	Person / Responsibility	Process
1	Registered Patient / Caregiver, Employee	<ul style="list-style-type: none"> • Enter through front entrance • Present PROOF OF ELIGIBILITY REQUIRED DOCUMENTATION (see above) to security guard using secure document exchange drawer
2	Security Guard	<ul style="list-style-type: none"> • Validate proof of identity and registration for medical marijuana use by checking documents • Cross reference in computer system • Permit entry by using automated door locking system (buzzer) to unlock interior door leading into common waiting area or Deny Entry
3	Registered Patient / Caregiver, Employee	<ul style="list-style-type: none"> • Enter common waiting area and approach sign-in window for in-take process

REAR ENTRANCE ACCESS PROCESS		
	Person / Responsibility	Process
1	Delivery Personnel	<ul style="list-style-type: none"> • Approach rear delivery door of building • Request entry using rear door call box to announce delivery (system will sound an alert in Dispensary Facility identifying that there is someone at the rear entrance) • Present CT Medical Marijuana ID Card and Photo ID via monitor
2	Dispensary Pharmacist	<ul style="list-style-type: none"> • Notify Security Guard that delivery has arrived • Wait for Security Guard to accompany to delivery station

REAR ENTRANCE ACCESS PROCESS		
	Person / Responsibility	Process
3	Security Guard	<ul style="list-style-type: none"> Secure facility – No persons will be able to enter or exit facility at this time. Since all deliveries are scheduled the staff will be prepared. Go to Delivery Station in rear
4	Dispensary Pharmacist / Security Guard	<ul style="list-style-type: none"> Viewing security monitors located in the dispensary area, make visual and verbal contact verifying identity, credentials and delivery documents Unlock rear door to delivery vestibule electronically
6	Delivery Personnel	<ul style="list-style-type: none"> Interior door is electronically unlocked and deliveries are placed with accompanying documentation in secure delivery vestibule area Exit
		For additional detail beyond this point of verifying access only to registered personnel, refer to Question 6 Response of this Section for detailed description of secure delivery process)

4. A detailed description of the features, if any, that will provide accessibility to qualifying patients and primary caregivers beyond what is required by the Americans with Disabilities Act;

4. ADA Accessibility

The Arrow Alternative Care Dispensary Facility will be designed in accordance with the requirements of the American with Disabilities Act. Arrow Pharmacy locations have all been designed to comply so we are knowledgeable of the requirements and have successfully designed and built complying facilities. In addition to the ADA requirements, the Arrow Alternative care will also include the following features:

- Strategic handicapped parking
- All Security pads, call buttons, key card swipe units will be installed for wheelchair access
- Non-threshold doorways with ramp access from parking lot
- Extra-wide doorways, entrances and hallways to accommodate wheelchair access and turning radius
- Patient check-in, counseling counters and sales areas will be designed at 2 differing heights for comfort and ease for patients in wheel chairs
- Restrooms facilities will be wheelchair accessible and handicap usable
- Interior doors will have handicap push button access for entry and exit

Arrow Pharmacy is a provider of a large selection of Durable Medical Equipment to patients. As such, we will have access to items such as wheelchairs, walkers etc... to use in our design and build-out of the dispensary facility. Our experience with supplies to handicapped patients gives us a unique perspective for design and build-out projects from table heights, water fountains and counseling areas and countertops.

5. A detailed description of any air treatment or other system that will be installed and used to reduce off-site odors;**5. Air Treatment Systems**

Air treatment systems will be installed in the Arrow Alternative Care Dispensary Department and storage vault. The goal of the systems will be to both keep the medical marijuana products sterile and fresh as delivered by growers, and to control odors from the product. Given that the product will be stored and dispensed as prepackaged by the grower and is never opened at the dispensary facility, odor control requirements will be minimal as compared to those required by a grow room. Arrow Alternative Care will install a combination of the following to reduce odors:

DISPENSARY DEPARTMENT**HEPA AIR PURIFIER -T.R.A.C.S. – TOTAL ROOM AIR CLEANING SYSTEM WITH ULTRAVIOLET LIGHT SYSTEM**

TRACS HEPA air purifiers / cleaners uses an activated carbon zeolite blend and non-ozone producing germicidal ultraviolet light system that will also destroy mold spores, bacteria, dangerous (TB) tuberculosis and killer influenza flu viruses while removing dangerous out-gassing chemicals and odors. The TRACS will clean the air in open rooms and areas up to 1000 square feet. This system will be installed in the Dispensary Department. It is typically used in hospitals, office spaces, government buildings, evidence storage rooms in police departments and in places where patients are at risk due to compromised immune systems. This system will not only eliminate off-site odors, it will keep the environment clean for employees and patients.

MAIN FACILITY WAITING AREA**ALLERAIR 5000 EXEC AIR PURIFIER**

Custom made to order. Suited for 1,500 square feet. Featuring a 3 stage filtration system which uses 18 lbs of activated MAC-B carbon for odor removal and heap filter to eliminate airborne elements.

DISPENSARY AREA VAULT**HONEYWELL 17005 AIR CLEANER**

Our vault will be prewired for power and will use a Honeywell 17005 Air Cleaner, compact air purifier with HEPA filtration suited for spaces up to 200 square feet.

6. A detailed description of the process by which marijuana and marijuana products will be delivered to a dispensary facility from the producer, including the protocols that will be used to avoid any diversion, theft or loss of marijuana;

6. Medical Marijuana and Marijuana Products Delivery Process

Arrow Pharmacy has extensive experience with accepting narcotics through rear entrances of pharmacies that has given us perspective and insight into various diversion and burglary tactics. We have created and implemented processes and controls which minimize the risks of diversion, theft, forced entry by delivery personnel held against their will or loss of pharmaceuticals during and after the delivery process. Arrow Alternative Care will implement a similar process.

Secure Physical Building Design

The physical layout of the delivery area is made up of two separate alarmed areas and has been designed for security and safety with the following attributes and controls.

Refer to APPENDIX A.4 – Question 71: Security Plan from Command Corporation for a detailed description of security technology installation;

1. There will be only 1 entrance / exit point at the rear of the building that will be used for all deliveries
2. 24/7 Surveillance systems will monitor the rear entrances and surrounding area
3. Rear door will be secured with an electronic locking system operated by a combination of key card and code entry security access from within the dispensary department
4. Rear door will be made up of a bullet resistant solid panel
5. Rear door will lead into a secure enclosed delivery vestibule space where all deliveries will be dropped
6. A security document exchange drawer will be built into the wall adjacent to the rear door
7. A monitor display station of exterior door area at the delivery vestibule will be located in the dispensary
8. The interior door will be electronically locked and opened by a Keypad/Fingerprint Combination Security System located inside delivery vestibule and Dispensary Facility
9. A secure vault will be located in the dispensary department that will store all medical marijuana products and will-call prefilled orders or refills.
10. Secure Vault will be locked/unlocked by a key card and code combination.

Security Guard Protection

The Security Guard will accompany the Dispensary Pharmacist to accept and process all deliveries but will not enter dispensary department. Only Dispensary Pharmacist and Technicians will have access to the dispensary department.

Security Systems and Technology

State of the art security systems will be installed throughout the delivery areas and the Dispensary Facility. Refer to APPENDIX A.4 – Question 71: Security Plan from Command Corporation for a detailed description of security technology installation.

Controls

1. ALL Deliveries must be scheduled in advance. Unscheduled deliveries will not be accepted.
2. Dispensary Facility will be closed during all deliveries and reopened once Dispensary Pharmacist has securely stored product in vault.
3. Deliveries will be accepted only through rear delivery entrance only (as described above).
4. Deliveries will be accepted and processed exclusively by Dispensary Pharmacist.
5. Each Dispensary Pharmacist will have their own personal combination and key code and/or fingerprint coding for access to ensure security and provide a security trail of vault access
6. Products delivered will be sealed in tamper proof child resistant packaging. There will be no unpackaged, loose product anywhere on the premises.

Process

Our process does not allow the dispensary pharmacist and any delivery personnel to share a common space when drug product is delivered. Our process creates a quarantine type area where each individual performs his or her specific duty protecting the dispensary facility from illegally being entered.

DELIVERY PROCESS		
	Person / Responsibility	Process
1	Dispensary Pharmacist or Technician	<ul style="list-style-type: none"> • Places order with Grower(s) or other vendors • Schedules delivery date and time
2	Delivery Personnel	<ul style="list-style-type: none"> • Approach rear delivery door of building • Request entry using rear door call box to announce delivery (system will sound an alert in Dispensary Facility identifying that there is someone at the rear entrance) • Place verification documents in the secure document exchange drawer
3	Dispensary Pharmacist	<ul style="list-style-type: none"> • Notify Security Guard that delivery has arrived • Wait for Security Guard to accompany to delivery station
4	Security Guard	<ul style="list-style-type: none"> • Secure facility – No persons will be able to enter or exit facility at this time. Since all deliveries are scheduled the staff will be prepared. • Go to Delivery Station in rear

DELIVERY PROCESS		
	Person / Responsibility	Process
5	Dispensary Pharmacist / Security Guard	<ul style="list-style-type: none"> With Security Guard present, unlock interior door in Pharmacy Dispensary area leading to enclosed, secure delivery vestibule Viewing monitor located in the delivery area, make visual and verbal contact verifying identity and credentials requesting valid CT medical marijuana registration and photo Identification and delivery documents
6	Delivery Personnel	<ul style="list-style-type: none"> Place proof of identity and state registration document into secure document exchange drawer
7	Dispensary Pharmacist / Security Guard	<ul style="list-style-type: none"> Verify identification documents and delivery documents Exit delivery vestibule Unlock rear door to delivery vestibule electronically
8	Delivery Personnel	<ul style="list-style-type: none"> Enters secured delivery vestibule Places order and delivery documents in delivery vestibule Exits through rear door which will automatically lock
9	Dispensary Pharmacist / Security Guard	<ul style="list-style-type: none"> Enters secured delivery vestibule Verifies order, checks for tampering and accepts or denies order Signs invoice noting any discrepancies Places signed paperwork into secure document exchange drawer for Delivery Personnel
10	Dispensary Pharmacist / Security Guard	<ul style="list-style-type: none"> Once outside delivery area is clear of delivery personnel and vehicle, move product to Dispensary department Vault. Security guard returns to front of facility, patient Front entrance may now reopen.
11	Dispensary Pharmacist	<ul style="list-style-type: none"> Unpacks delivery, enters delivery into Inventory Control and Perpetual Inventory System inside of dispensary department Unlock Vault and place order in vault

7. A detailed description of the training and continuing education opportunities that will be provided to dispensary facility employees; and**7. Dispensary Facility Employee Training and Continuing Education Opportunities****Education and Training Philosophy**

Arrow Alternative Care recognizes that advancements, innovations and changes occur rapidly within our industry and that providing the highest levels of patient care requires ongoing professional development for all of our employees.

At Arrow Alternative Care, given that the use of medical marijuana for palliative use is in its infancy stages, we understand that the opportunity and challenge for all of our employees is to maintain a leading edge of knowledge in terms of our products, our business model and the advancements and changes of the industry at large.

We believe that over time, since this is such a niche specialized pharmaceutical care model, that a specialty practice with certification opportunities and requirements in the field of Medical Marijuana Use will emerge, just as it has in Diabetes, Asthma, Ostomy and Immunization Therapy. We will work jointly with the Connecticut Pharmacists Association, UCONN School of Pharmacy and St. Joseph School of Pharmacy to create a Medical Marijuana certification.

Arrow Alternative Care Employee Training will consist of:

1. **Continuing education** as required by law to retain licensure ie, Pharmacist CE annual requirements (15hrs of CE), Pharmacy Technician CE requirements, and future requirements set forth by the law to obtain and retain certification status to work as a dispensary facility manager, dispensary pharmacist, technician or other employees.

All Dispensary Facility Pharmacists and Technicians will be required to attend CE credits in the field of Medical Marijuana.

- Mary Graikoski, Dispensary Facility Manager, is attending CE being held 11/13/2013 at Trumbull Marriott 1-6:30pm Connecticut on Palliative Medical Marijuana sponsored by the Connecticut Pharmacist Association.
2. **Operational training** - All dispensary facility employees will be required to attend training to stay current with the Arrow Alternative Care mission, business model, systems, operational policies and procedures, products and services and best practices
 3. **Industry Training** - All dispensary facility employees will be presented with opportunities to participate in available industry training.
 4. **Resources** – Arrow Alternative Care will use the following resources to create education and training programs for employees, registered patients and primary caregivers and registered physicians...

- Canadian consortium for the Investigation of Cannabinoids www.ccic.net
- Health Canada <http://www.hc-sc.gc.ca>
- The International Cannabinoid Research Society www.icrs.co/
- International Association for Cannabinoid Medicines www.cannabis-med.org
- Patients Out of Time www.medicalcannabis.com
- Medical Marijuana: Therapeutic Uses and Legal Status
http://www.uspharmacist.com/continuing_education/ceviewtest/lessonid/106975/

8. A detailed description of any processes or controls that will be implemented to prevent the diversion, theft or loss of marijuana.**8. Processes and Controls to Prevent Diversion, Theft, Loss of Medical Marijuana**

Arrow Alternative Care will implement the same processes, controls and technology systems that are used within Arrow Pharmacy to prevent diversion, theft and loss of narcotics (medical marijuana). They will be enhanced as required by Medical Marijuana Laws. Our Dispensary Pharmacists and Technicians use these processes, controls and systems everyday at our Arrow Pharmacy locations and are knowledgeable and proficient in the execution and use of these tools. These turnkey processes, controls and technology systems will be implemented and in place from the moment the Dispensary Facility opens.

Arrow Alternative Care has identified 5 contact points in our dispensary facility model that present the highest risk of potential diversion, theft or loss of marijuana. These contact points mirror those that occur in the Arrow Pharmacy business model for the dispensing of scheduled pharmaceuticals. Processes and controls will be implemented at each of the 5 contact points:

1. Delivery of Marijuana from Growers to Dispensary Facility
2. Stocking / Handling of Marijuana in Dispensary Facility
3. Dispensing of Marijuana to the Patient
4. Sale of Marijuana to Patient
5. Patient use of Marijuana

Controls

1. Secure Entrances into Dispensary – Defined above in this section - Response to Question 3. Security Process - Access to Dispensary Facility.
2. Secure Dispensary Department – Access to Dispensary Department is restricted to Dispensary Pharmacist, Dispensary Facility Manager, Dispensary Facility Owner, exception will be that the Security Guard will be present at the time of marijuana deliveries. Defined above in this section - Response to Question 6. Medical Marijuana and Marijuana Products Delivery Process.
3. Secure Product Storage - Dispensary Department Vault will be accessible solely using keypad access. All marijuana will be stored solely in the vault including will-call orders filled on a refill basis. Refer to APPENDIX A.4 – Question 71: Security Plan from Command Corporation for a detailed description of the Vault that will be installed.

We will install a vault designed specifically for Pharmaceuticals that is approved by D.E.A DESIGNED TO HOLD SCHEDULE 1 & 11 DRUGS UNDER SEC 1301.72 from Vault Systems.

Features of the Pharmaceutical Vault will include:

- Most of the vaults require the need of forklifts and pallet loaders to easily move the materials within the vault. The necessary door(s) size to accommodate the above machinery should have a clear opening of 60"W x 85"H or greater. The door(s) must meet D.E.A. standards under SEC. 1301.72.
 - With the use of steel beams, columns, and U.L. approved panels, it is essential that all the components fit together in such a way that nothing can be "passed through" any opening to the outside. This is extremely important in the design of D.E.A. vaults as the stored products are extremely small, potentially dangerous, and very valuable on the illegal market. With this in mind, the steel selection is critical and the panels must fit correctly within the beams.
 - The pharmaceutical vaults must provide equal protection on all sides, roof, and floor. When the existing floor does not meet D.E.A. standards for generic construction, it may be substituted with a generic floor or U.L. listed floor similar to the walls and roof. The local D.E.A. agent will be helpful in providing advice if the existing floor needs to be replaced. Today, the floor panel may be a U.L. listed panel as thin as 2".
 - Air ventilation is handled through U.L.- approved vault vent ports. The vent ports have a built- in trap to prevent any pass through attempt in the event that the vent ports are located in any area that might be reached by personnel.
 - The need for fire suppression systems is a major requirement for pharmaceutical vaults and can be accomplished without compromising the integrity of the vault. The use of a main line within the vault and the running of "feeders" are possible. An alternative is the pre-positioning of 1 1/2" holes (maximum) within the panels for "sprinkler heads".
 - Conduit connections between the inside and outside of the vault are provided for the panels prior to construction. These locations allow the electric, alarm, cameras, computer, phone, and other electronic communication systems to be easily connected. The normal size for the conduit normally is 1"-1 1/2" in diameter. These are grouped in various panels.
4. Prescription Dispensing Technology Station will be located within the dispensary department. Access will be limited solely to Dispensary Pharmacist, Dispensary Facility Manager, Pharmacy Technician. Refer to Process Control below 3. Secure Dispensing Process.
5. Patients will be admitted into patient consultation area one at a time.
6. Patients will place order within consultation area as part of the Wellness Care and Healing Consultation with the Dispensary Pharmacist.
-

7. No open product or sample products will be allowed anywhere within the dispensary facility.
8. Marijuana will be pre packaged in tamper proof containers.
9. Dispensary Facility employees are required to wear pocket less pharmacy lab jackets.
10. No baggage, purses, deep pockets etc. allowed by any employee in dispensary department.
11. All employee bags, purses, coats etc prior to opening the dispensary department.


Process Controls

1. **Secure Medical Marijuana Delivery Process** from the Growers – Refer Question 6 Response of this Section for a detailed description of the delivery process.
 2. **Narcotic Like Perpetual Inventory Process** – Nightly, Dispensary Pharmacist will run a report from the pharmacy system listing all medical marijuana dispensed during the day. A physical inventory will be conducted matching product to report, it will be signed and filed. This is the identical process drug control requires us to use today at our Arrow Pharmacy locations.
 3. **Secure Dispensing Process** - The physical dispensing of medical marijuana to registered patients and primary caregivers will occur only after the patient and/or primary caregiver has participated in the Wellness Care and Healing Consultation. Refer above to Response to Question 2 Services Offered by Dispensary for a description of this consultation.
 - At no time will a patient or care giver enter or have access to Dispensary Vault Area
 - Order will be handed to patient or caregiver through a secured pass through window
 - All patient, product and order specifics ie., strain, form, dosage, total amount dispensed... will be processed using the QS/1 Prescription Dispensing module as described below.
 - The dispensing process will be as follows:
 - a. Patient, after going through front entrance security process will enter common waiting area
 - b. Patient will approach a secure patient sign-in window
 - c. Pharmacist Technician will check patient in cross checking credentials with State System and QS/1 (see description below).
 - d. Pharmacist Technician will provide patient or caregiver with a series of required forms to be completed ie., patient profile, medical history, consent to Arrow Alternative Care procedures forms
 - e. Patient will complete forms and return to Pharmacy technician.
 - f. Patient will be called into consultation area, one at a time.
 - g. Dispensary Pharmacist will conduct a Wellness Care and Healing Consultation.
 - h. Dispensary Pharmacist will be make recommendation of appropriate product, form and dosage that
-

will most effectively treat the patient.

- i. Patient will make choice and place order with Dispensary Pharmacist
- j. Patient will return to the common waiting area
- k. Dispensary Pharmacist will return to Dispensary Department and process the order using the QS/1 Prescription Dispensing Module.

Process for will-call or orders received from certified physician, If patient comes in with a medical marijuana order from a certified physician, all of the above steps will be followed with the exception of making recommendation.

	ARROW [®] ALTERNATIVE CARE	40B Weston St, Hartford, CT 06112 Angelo DeFazio, RPh Owner Mary Graikoski, RPh Manager	860-555-0123 DISPENSARY LICENSE NUMBER 0001
CAUTION: Connecticut state law prohibits transfer of this drug to any person other than the patient for whom prescribed.			
Order number:	[REDACTED]	Dispensing Pharmacist: MG	Prescribing MD: J. SMITH, MD
[REDACTED]		Date Filled:	11/06/13
INHALE 2 TO 4 TIMES A DAY AS DIRECTED			
MEDICAL CANNABIS VAPORIZED			30 gms
LOT: 123456			ABC GROWER
EXPIRES: 11/06/14			2 REFILLS

Dispensary label to be affixed to all products dispensed

4. **Secure Sales Process** - The physical delivery of the order to the patient and the financial transaction will take place through a secure "Delivery Station" which is made up of bullet resistant glass and a pass through security drawer.
 - At no time will a patient or care giver enter or have access to Dispensary Vault Area.
 - Order will be delivered to patient from behind a protected window/counter that allows a secure physical pass through of order from Dispensary Department to patient much like the window system at the US Passport Agency Facilities. (Refer to APPENDIX A.4 – Question 71: Security Plan from Command Corporation for layout and security measures)
 - The QS/1 POS System (see description below) will be used to store all transaction information.
 - The only exchange of payment will be made at this time.
 - Patient must present registration card and photo ID to purchase their order as a secondary source of verification for dispensing.

The Sales Process will be as follows:

- a. Patient will wait for their order in the common waiting area
- b. Dispensary Pharmacist will bring order to window station of dispensary department and call the

- patient to the window
- c. Patient will approach the window, provide registration card and photo ID for verification
 - d. Pharmacy Technician, using bar codes will process the transaction and communicate cost of order to patient or primary caregiver
 - e. Patient will remit payment through secure transaction drawer
 - f. Pharmacy technician will accept cash, check, credit card or combination of, once processed will then place medical marijuana order in secure drawer
 - g. Patient will take order and exit through the secure Dispensary Facility front entrance

5. Patient Education Process – Safe Use and Storage of Medical Marijuana

Once the medical marijuana leaves the Dispensary Facility it will not be possible to track its whereabouts. However, the Dispensary Facility will have detailed records of all products and amounts of medical marijuana that have been dispensed to a patient. This data will be cross referenced every time an additional order is placed. Before any product is dispensed, potential diversion may be detected by comparing the order that the patient or primary caregiver is requesting against our records of what has been dispensed previously.

All registered patients will receive training on how to safely use medical marijuana at home and how to safely store medical marijuana to alleviate theft, misuse by others and diversion. They will be instructed to immediately contact Dispensary Facility if the medical marijuana is lost, stolen or diverted.

Technology Controls to prevent the diversion, theft or loss of marijuana include:

1. QS/1 Pharmacy Management System – This system is used at all of our Arrow locations and will be used for the following:
2. Inventory Management – Delivery data will be input into the QS/1 System. In real time, every dosage form will have an accurate exact count whether milliliters, grams, units, etc.... that is traceable via bar code. All products and services sold at the Dispensary Facility will be bar coded or entered into the system including such items as over the counter products, ancillary items associated with the prescribed use of medical marijuana, consultations and interventions.
3. QS/1 Prescription dispensing system will be used to dispense and track all orders including complete patient profile and historical data.
4. Point of Sale System (POS)– All sales will be processed using the QS/1 POS including medical marijuana, related ancillary products and services. This system will keep track of the exact time of patient pickup, what was picked up, what the patients possession ratio is, transaction payment data, what the payment form is and have electronic signature logs of patient receiving order. As bar codes are created from both the original system and transactions systems from fill to the point of sale, in essence there are two systems that mirror image patient data to better gate keep all activities associated with purchases from dispensing to transactions.

D. PROPOSED MARKETING PLAN

1. Provide a copy of the applicant's proposed marketing plan and include any web templates and educational materials such as brochures, posters, or promotional items.

1. Proposed Marketing Plan

MARKETING PLAN INTRODUCTION

Arrow Alternative Care is an Arrow Pharmacy family company of which has been serving the pharmacy needs of patients, caregivers and the Health Care Community of the City of Hartford and surrounding area for over 25 years. Arrow Pharmacy's five locations are neighborhood pharmacies providing centers of wellness with healthcare products and services aimed at keeping our community healthy.

This Marketing Plan sets forth a proposed plan for marketing including a description of our:

- Mission
- Products and Services
- Target Market
- Marketing Philosophy
- Marketing Goals
- Plan, Goals and Delivery forms and methods for Target Market

MISSION FOR THE DISPENSARY FACILITY

Arrow Alternative Care will provide registered patients and caregivers with access to medical marijuana for palliative use and associated pharmacist directed compassionate care aimed at improving overall health and quality of life, in a safe healing environment akin to picking up a prescription at a neighborhood Arrow Pharmacy.

ARROW ALTERNATIVE CARE PRODUCTS

Arrow Alternative Care, within the first year of operation, will first and foremost offer only Medical Marijuana and essential usage products, referred to as paraphernalia. Overtime, we would like to expand our products, as allowed by law, to include other health and well being products such as vitamins or other high end nutritional supplements that we currently offer at Arrow Pharmacy locations. Our product approach is based on the fact that the Arrow Alternative Care Dispensary Facility will be serving patients who are very ill, compromised or most likely suffers from one or more complex disease states. These patients will benefit from such health and wellness products.

Medical Marijuana Products

Arrow Alternative Care is not affiliated with a grower, owned wholly or partially, directly or indirectly by any grower. As a result, we hope to have access to different marijuana strains and plan on initially carrying all brands and dosage forms available in the market. Within the first year we will be able to determine which products appear to have a significant market share based on clinical patient outcomes, demands, price points and pharmacist /physician recommendations. Our patient needs will drive what strains and types of marijuana we will carry.

Based on availability from growers, our initial products will include the most common species:

- Cannabis Sativa
- Cannabis Indica

- Cannabis Hybrids (cross mix of Indica and Sativa)

Based on availability from growers, we will carry medical marijuana for different methods of consumption:

- Smoking Medical Marijuana
- Medical Marijuana Vaporizers
- Medical Marijuana Edibles
- Medical Marijuana Tinctures & Concentrates
- Medical Marijuana Salves

Medical Marijuana Paraphernalia

Arrow Alternative Care will provide a small selection of medical marijuana paraphernalia required to administer/use the medical marijuana. The type of medical marijuana products dispensed will determine what paraphernalia we will sell. Our approach to determining product selection is that same as the approach used at Arrow Pharmacy. For example, we fill prescriptions for asthma medication that requires a nebulizer to administer the medication therefore we carry nebulizers. Examples of the products we will sell include:

- Vaporizers
- Pipes
- Storage Containers
- Rolling papers

Personal Home Safety Systems

Arrow Alternative Care will provide education and products to patients aimed at proper storage, keeping those at home safe and prevention of theft. We will offer small safe systems for home use.

ARROW ALTERNATIVE CARE SERVICES

Arrow Alternative Care, within the first year of operation, will offer only those services directly associated with the actual dispensing of Medical Marijuana and related usage products, referred to as paraphernalia. Overtime, we would like to expand our products, as allowed by law, to include other services aimed at the overall health and well being of registered patients. The only service to be offered within the first year will be the Wellness Care and Healing Consultation.

Wellness Care and Healing Consultation

As an integral part of the Medical Marijuana dispensing process, Arrow Alternative Care Dispensary Pharmacists will conduct a mandatory Wellness Care and Healing Consultation with each and every registered patient and primary caregiver wishing to purchase medical marijuana from our Dispensary Facility. This consultation will provide both the Dispensary Pharmacist and patient/primary caregiver, with a holistic view of the patient's medical history and care therapies. The outcome of this consultation will be a pharmacist directed recommendation of the appropriate medical marijuana product, dosage and usage to treat the disease state and symptoms aimed at improving health and overall quality of life.

Wellness Care and Healing Services

Patients requiring palliative care have needs that go beyond palliative medicine. Their physical, emotional and spiritual needs are to be considered, with an emphasis on preserving dignity and improving quality of life. In the future, additional Arrow Alternative Care services aimed at the overall health and well being of our patients will be added over time and as allowed by Law. These services may include the following:

- Immunization Services
- Chiropractic Services
- Massage Therapy
- Reiki Healing Touch
- Nutritional counseling
- Social Service counseling

Marketing Philosophy

The use of Medical Marijuana for palliative care is a new alternative care treatment option using products that have been stigmatized and frowned upon by many in our community as a result of not fully being understood. Our philosophy initially is to introduce medical marijuana as a treatment option for palliative care by providing as much informational and educational tools to all stakeholders in this new area of treatment as is possible.

Our hope is provide the information and education needed by stakeholders to understand the value and impact that this treatment can have in improving the overall health and well being and quality of life for chronically ill patients as opposed to marketing products or services to gain customers

In the longer term, after the business has been established and paradigms have shifted positively our marketing plan will be revised.

Marketing Goals

1. Create awareness aimed at legitimizing the use of Medical Marijuana as a treatment for chronically ill patients.
2. All messages and materials will discreet and professional.
3. Educate Physicians, Patients, Caregivers and other Healthcare providers about this treatment.
4. Give ALL registered patients the choice of “opting in” or not, to receiving any communication from Arrow Alternative Care.

Target Market

Our marketing will be aimed at the following stakeholders in the surrounding areas of our dispensary facility:

Stakeholders	Marketing Messages
Registered Patients	<u>Industry and Product Specific</u> <ul style="list-style-type: none"> • Risks and Benefits of using Medical Marijuana • What are the different types of Medical Marijuana, forms, dosages etc... and the disease states that each type is most effective in treating • Proper usage, potential side effects

Stakeholders	Marketing Messages
	<ul style="list-style-type: none"> • Proper storage • Understanding Medical Marijuana Laws in the State of Ct • Personal and Home Safety <p><u>Arrow Alternative Care Specific</u></p> <ul style="list-style-type: none"> • Medical Marijuana products available at our dispensary facility • Understanding the process of purchasing Medical Marijuana at our dispensary facility • Overall wellbeing services including importance of holistic view of health, impact of vitamin and/or nutritional supplements.
Physicians and Registered Physicians	<p>In addition to all of the above:</p> <ul style="list-style-type: none"> • Disease states approved for palliative use of marijuana • Up to date information about efficacy, contraindications etc... • Arrow Alternative Care business model and services • Medication Management Therapy collaboration
Students	<ul style="list-style-type: none"> • Through student rotation programs (Mr. DeFazio is an adjunct professor at the UCONN and St. Joseph Schools of Pharmacy) students will become familiar with the Medical Marijuana Laws, operation of a Medical Marijuana Dispensary Facility and patient outcomes related to the palliative use of medical marijuana. •

Marketing Delivery Vehicles

Delivery Method	Target Audience	Marketing Messages
Brochures and Posters – Located inside of the Dispensary Facility Waiting Room and Consultation Area	Registered Patients and Registered Primary Caregivers	<ul style="list-style-type: none"> • Disease State Information Brochures, for each of the chronic disease states approved for the palliative use of medical marijuana, with targeted messages describing treatment options, products, usage etc... • Information about Arrow Alternative Care Products, Services, Operations • Information to help patients, caregivers and physicians navigate the State of Connecticut registration process and regulations
Internet Web Site		<ul style="list-style-type: none"> • Arrow Alternative Care Products, Services, Operations • Online order request, appointment scheduling • Information to help patients, caregivers and physicians navigate the State of Connecticut registration process and regulations
Email	Registered Patients /Registered Primary Caregivers	<ul style="list-style-type: none"> • Wellness Care and Healing Consultation follow-up information reminding of proper usage and safety • Updates and Information on chronic disease states conditions and medical marijuana – If patient opts into such communication • Product recall notices
Direct Mail	Registered Patients /Registered Primary Caregivers	<ul style="list-style-type: none"> • Wellness Care and Healing Consultation follow-up information reminding of proper usage and safety • Updates and Information on chronic disease states conditions and medical marijuana – If patient opts into such communication • Product recall notices
Office	Registered Physicians	<ul style="list-style-type: none"> • Dispensary Pharmacists will meet with registered physicians and their teams to keep them informed of new scientific research, disease state studies, processes... • Educational updates • New Product notification

E. FINANCIAL STATEMENTS AND ORGANIZATIONAL STRUCTURE

Please provide the following information or copies of the following documents:

1. Documents such as the articles of incorporation, articles of association, charter, by-laws, partnership agreement, agreements between any two or more members of the applicant that relate in any manner to the assets, property or profit of the applicant or any other comparable documents that set forth the legal structure of the applicant or relate to the organization, management or control of the applicant;

1. Articles of Incorporation

SEE NEXT 5 Pages

CERTIFICATE OF INCORPORATION
Arrow Alternative Care Inc.

FIRST. The name of the corporation is: **Arrow Alternative Care Inc.**

SECOND. Total number of authorized shares: 20,000

The designation of each class of shares, the authorized number of shares of each such class and the par value of each share thereof, are as follows:

The corporation shall have one (1) class of stock consisting of Twenty Thousand (20,000) authorized shares, no par value.

THIRD. The terms, limitations and relative rights and preferences of each class of shares and series thereof pursuant to Section 33-665 of the Connecticut Business Corporation Act, or an express grant of authority to the board of directors pursuant to Section 33-666 of the Connecticut Business Corporation Act are as follows:

None.

FOURTH. Appointment of Registered Agent

Agent: Robert A. Feiner, Esquire

Business/initial registered office address:

FEINER WOLFSON LLC
One Constitution Plaza – Suite 900
Hartford, Connecticut 06103

Residence address:

110 Norwood Road
West Hartford, Connecticut 06117

Acceptance of appointment



Signature of agent

Page 1 of 3

FIFTH. To the extent permitted and in accordance with applicable notice requirements imposed, if any, by the Connecticut Business Corporation Act, as it may hereafter be amended, any action required by the Connecticut Business Corporation Act, as it may hereafter be amended, to be taken at any annual or special meeting of shareholders of the corporation, or any action which may be taken at any annual or special meeting of such shareholders, may be taken without a meeting, and without a vote, if a consent or consents in writing, setting forth the action so taken, shall be signed by the holders of outstanding shares having not less than the minimum number of votes that would be necessary to authorize or take such action at a meeting at which all shares entitled to vote thereon were present and voted and shall be delivered to the corporation by delivery to its registered office in Connecticut, its principal place of business, or an officer or agent of the corporation having custody of the book in which proceedings of meetings of shareholders are recorded. Delivery made to the corporation's registered office shall be by hand or by certified or registered mail, return receipt requested.

SIXTH. The personal liability of a director to the corporation or its shareholders for monetary damages for breach of duty as a director shall be limited to an amount equal to the amount of compensation received by the director for serving the corporation during the calendar year in which the violation occurred (and if the director received no such compensation from the corporation during the calendar year of the violation, such director shall have no liability to the corporation or its shareholders for breach of duty) if such breach did not:

- (A) involve a knowing and culpable violation of law by the director;
- (B) enable the director or an Associate, as defined in Section 33-840 of the Connecticut Business Corporation Act as in effect at the time of the violation, to receive an improper personal economic gain;

- (C) show a lack of good faith and a conscious disregard for the duty of the director to the corporation under circumstances in which the director was aware that his conduct or omission created an unjustifiable risk of serious injury to the corporation;
- (D) constitute a sustained and unexcused pattern of inattention that amounted to an abdication of the director's duty to the corporation; or
- (E) create liability under Section 33-757 of the Connecticut Business Corporation Act as in effect at the time of the violation.

Any repeal or modification of this Article Sixth shall not adversely affect any right or protection of a director of the corporation existing at the time of such repeal or modification.

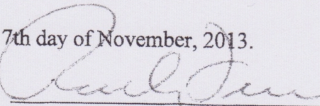
Nothing contained in this Article Sixth shall be construed to deny to the directors of the corporation any of the benefits provided or available to the directors pursuant to Sections 33-770 through 33-778, inclusive, of the Connecticut Business Corporation Act, as in effect at the time of the violation.

SEVENTH. The corporation shall indemnify a director for "liability" as defined in subdivision (3) of Section 33-770 of the Connecticut Business Corporation Act, for any action taken, or any failure to take any action, as a director, to the maximum extent permitted by law.

Any repeal or modification of this Article Seventh shall not adversely affect any right or protection of a director of the corporation existing at the time of such repeal or modification.

Nothing contained in this Article Seventh shall be construed to deny to the directors of the corporation any of the benefits provided or available to the directors pursuant to Sections 33-770 through 33-778, inclusive, of the Connecticut Business Corporation Act, as in effect at the time of the violation.

Dated at Hartford, Connecticut, this 7th day of November, 2013.



Robert A. Feiner, Incorporator
Feiner Wolfson LLC
One Constitution Plaza – Suite 900
Hartford, CT 06103

Page 3 of 3

**SECRETARY OF THE STATE OF CONNECTICUT**

MAILING ADDRESS: COMMERCIAL RECORDING DIVISION, CONNECTICUT SECRETARY OF THE STATE, P.O. BOX 150470, HARTFORD, CT 06115-0470

DELIVERY ADDRESS: COMMERCIAL RECORDING DIVISION, CONNECTICUT SECRETARY OF THE STATE, 30 TRINITY STREET, HARTFORD, CT 06106

PHONE: 860-509-6003

WEBSITE: www.concord-sats.ct.gov**ORGANIZATION AND FIRST REPORT
STOCK OR NON-STOCK CORPORATIONS**

USE INK. COMPLETE ALL SECTIONS. PRINT OR TYPE. ATTACH 8 1/2 X 11 SHEETS IF NECESSARY.

FILING PARTY (CONFIRMATION WILL BE SENT TO THIS ADDRESS):		FILING FEE: \$150 <i>EXCEPTION: \$50.00 FILING FEE FOR NONSTOCK (NONPROFIT) CORPORATIONS. MAKE CHECKS PAYABLE TO "SECRETARY OF THE STATE"</i>	
NAME:	Robert A. Feiner, Esq.		
ADDRESS:	Feiner Wolfson LLC One Constitution Plaza - Suite 900		
CITY:	Hartford		
STATE:	CT	ZIP:	06103
1. NAME OF CORPORATION: Arrow Alternative Care Inc.			
2. DATE OF ORGANIZATION MEETING: November 7, 2013			
3. ADDRESS OF PRINCIPAL OFFICE: (P.O. BOX UNACCEPTABLE) ADDRESS: 500 Farmington Avenue CITY: Hartford STATE: CT ZIP: 06103			
4. MAILING ADDRESS (IF OTHER THAN PRINCIPAL OFFICE ADDRESS): ADDRESS: CITY: STATE: ZIP:			
5. OFFICERS:			
A. OFFICER'S NAME: Angelo DeFazio		TITLE: President/Secretary	
RESIDENCE ADDRESS: (P.O. BOX UNACCEPTABLE)		BUSINESS ADDRESS: (P.O. BOX UNACCEPTABLE)	
ADDRESS:	120 Indian Hill Road	ADDRESS:	500 Farmington Avenue
CITY:	Collinsville	CITY:	Hartford
STATE:	CT ZIP: 06022	STATE:	CT ZIP: 06105

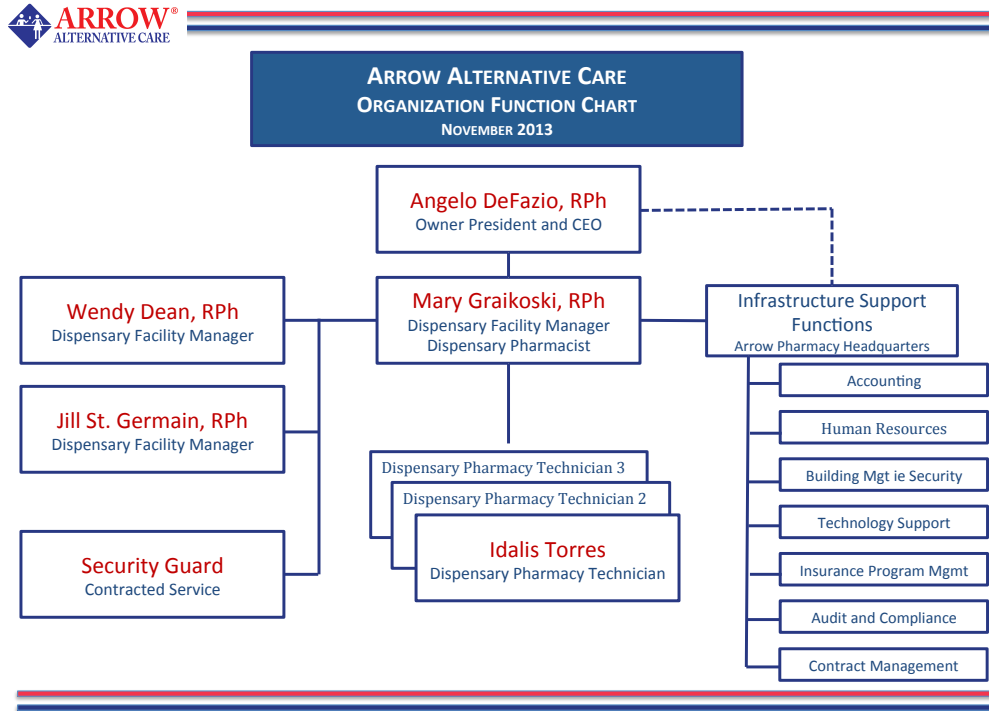


B. OFFICER'S NAME: Rachael J. Mischke		TITLE: Assistant Secretary	
RESIDENCE ADDRESS: (P.O.BOX UNACCEPTABLE)		BUSINESS ADDRESS: (P.O.BOX UNACCEPTABLE)	
ADDRESS:	68 Knollwood Road	ADDRESS:	500 Farmington Avenue
CITY:	West Hartford	CITY:	Hartford
STATE:	CT ZIP: 06110	STATE:	CT ZIP: 06103
C. OFFICER'S NAME:		TITLE:	
RESIDENCE ADDRESS: (P.O.BOX UNACCEPTABLE)		BUSINESS ADDRESS: (P.O.BOX UNACCEPTABLE)	
ADDRESS:		ADDRESS:	
CITY:		CITY:	
STATE:	ZIP:	STATE:	ZIP:
6. DIRECTORS:			
A. DIRECTOR'S NAME: Angelo DeFazio			
RESIDENCE ADDRESS: (P.O.BOX UNACCEPTABLE)		BUSINESS ADDRESS: (P.O.BOX UNACCEPTABLE)	
ADDRESS:	120 Indian Hill Road	ADDRESS:	500 Farmington Avenue
CITY:	Collinsville	CITY:	Hartford
STATE:	CT ZIP: 06022	STATE:	CT ZIP: 06105
B. DIRECTOR'S NAME:			
RESIDENCE ADDRESS: (P.O.BOX UNACCEPTABLE)		BUSINESS ADDRESS: (P.O.BOX UNACCEPTABLE)	
ADDRESS:		ADDRESS:	
CITY:		CITY:	
STATE:	ZIP:	STATE:	ZIP:
7. ELECTRONIC MAIL (EMAIL) ADDRESS: RJMischke@aol.com			
8. EXECUTION:			
DATED THIS <u>6</u>		DAY OF <u>November</u> , 20 <u>13</u>	
NAME OF SIGNATORY (print/type)	CAPACITY/TITLE OF SIGNATORY	SIGNATURE	
Angelo DeFazio	President		

2. A current organizational chart that includes position descriptions and the names and resumes of persons holding each position to the extent such positions have been filled. To the extent such information is not revealed by their resume, include additional pages with each resume setting out the employee's particular skills, education, experience or significant accomplishments that are relevant to owning or operating a dispensary facility;

2. Organizational Chart

The following organizational chart represents the job functions required to operate and support Arrow Alternative Care. The Arrow Pharmacy centralized support services will supply resources for Infrastructure and Administrative Support to Arrow Alternative Care. People for the positions of Dispensary Facility Manager, Dispensary Pharmacists and one of 3 Pharmacy Technician positions have been identified. The security position will be filled through a security agency. The resumes for each of the Dispensary Employees can be found in Appendix C with the exception of the Pharmacy Technician resume which mimetically follows this chart.



REVISION DATE 11/12/2013

PROPRIETARY AND CONFIDENTIAL

1

3. The name, title and a copy of the resume of the person who will be responsible for all information security requirements, including the requirement that patient information remain confidential;

3. Persons Responsible for Information Security

- Angelo DeFazio, RPh
Arrow Alternative Care President

Resume: Refer to APPENDIX C – Directors, Owners, Officers, Other High-Level Employees Background Info Form: Angelo DeFazio

- Mary Graikoski, RPh
Arrow Alternative Care
Dispensary Facility Manager / Dispensary Pharmacist

Resume: Refer to APPENDIX C – Directors, Owners, Officers, Other High-Level Employees Background Info Form: Mary Graikoski

4. A copy of all compensation agreements with dispensary facility backers, directors, owners, officers, other high-level employees or any other person required to complete Appendices B, C or E. For purposes of this RFA, a compensation agreement includes any agreement that provides, or will provide, a benefit to the recipient whether in the form of salary, wages, commissions, fees, stock options, interest, bonuses or otherwise;

4. Compensation Agreements - Facility Backers, Directors, Owners, Officers, High Level Employees

High Level Employees

- Salary / Wages: Arrow Alternative Care will compensate dispensary facility employees with salaries based on fair market value.
- Commissions / Bonuses: We do not believe in a bonus system or commission structure based on volume, profit etc... We believe that this type of structure is inappropriate for a pharmacy like business model and can lead to waste, fraud and abuse.
- Stock Options / Interest: There will be no such compensation program.

Dispensary Facility Owner

Arrow Alternative Care is independently owned. Owner compensation will be based on profitability of business. Refer to Proforma Financials on page 79 for more information.

5. Describe the nature, type, terms, covenants and priorities of all outstanding bonds, loans, mortgages, trust deeds, pledges, lines of credit, notes, debentures or other forms of indebtedness issued or executed, or to be issued or executed, in connection with the opening or operating of the proposed dispensary facility;

5. Indebtedness in Connection with Opening Dispensary Facility

Not applicable – Personal and ongoing operation funds to be used.

6. Provide audited financial statements for the previous fiscal year, which shall include, but not be limited to, an income statement, balance sheet, statement of retained earnings or owners' equity, statement of cash flows, and all notes to such statements and related financial schedules, prepared in accordance with generally accepted accounting principles, along with the accompanying independent auditor's report. If the applicant was formed within the year preceding this application, provide certified financial statements for the period of time the applicant has been in existence and any pro forma financials used for business planning purposes; and

6. Audited Financial Statements

Arrow Alternative Care is a newly formed entity. Financials have been created by our accountant and are inserted here: Pages 1 - 14

MUGFORD & CO., LLC
CERTIFIED PUBLIC ACCOUNTANTS

18 VINE STREET • NEW BRITAIN, CT 06052
PHONE (860) 223-2701 • FAX (860) 229-7214

INDEPENDENT ACCOUNTANT'S COMPILATION REPORT

Arrow Alternative Care, Inc.
Hartford, CT

We have compiled the accompanying projected statement of revenue and expenses – income tax basis of Arrow Alternative Care, Inc (a Corporation) for the years ending December 31, 2014 – December 31, 2018. The statement was compiled in accordance with attestation standards established by the American Institute of Certified Public Accountants. The accompanying projection was prepared to present the Department of Consumer Protection with a projection to represent the operations of a State of Connecticut approved medical marijuana dispensary.

A compilation is limited to presenting in the form of a projection, information that is the representation of management and does not include evaluation of the support for the assumptions underlying the projection. We have not examined the projection and, accordingly, do not express an opinion or any other form of assurance on the accompanying statement or assumptions. Furthermore, even if the license for the State of Connecticut approved medical marijuana dispensary is granted there will usually be differences between the projected and actual results, because events and circumstances frequently do not occur as expected, and those differences may be material. We have no responsibility to update this report for events and circumstances occurring after the date of this report.

As described in the summary of significant assumptions management of Arrow Alternative Care, Inc. has elected to portray projected revenue and expenses for an annual patient head count range of 200 to 388 patients rather than as a single head count estimate. Accordingly, the accompanying projection presents projected results of operations at such patient head counts. However, there is no assurance that the actual results will fall within the range of the patient head counts presented.

The accompanying projection and this report are intended solely for the information and use of Arrow Alternative Care, Inc. and the Department of Consumer Protection and are not intended to be and should not be used by anyone other than the specified parties.

Management has elected to omit the summary of significant accounting policies required by guidelines for presentation of a projection established by the American Institute of Certified Public Accountants. If the omitted disclosures were included in the projection, they might influence the user's conclusions about the Company's results of operations for the projected periods. Accordingly, this projection is not designed for those who are not informed about such matters.

Mugford & Co., LLC
Mugford & Co., LLC
November 11, 2013

ARROW ALTERNATIVE CARE, INC.					
PROJECTED STATEMENT OF REVENUE AND EXPENSES - INCOME TAX BASIS					
(PROJECTED BASED UPON ESTIMATED HARTFORD COUNTY USER HEADCOUNT)					
FOR THE YEARS ENDING DECEMBER 31, 2014 - DECEMBER 31, 2018					
	<u>2014</u>	<u>2015</u>	<u>2016</u>	<u>2017</u>	<u>2018</u>
MONTHLY HEADCOUNT	200	280	336	370	388
INCOME					
SALES MEDICAL PRODUCT/ MEDICAL CONSULTATION	\$960,000	\$1,344,000	\$1,612,800	\$1,774,080	\$1,862,784
SALES SUPPLIES	12,000	13,800	15,870	18,251	20,988
TOTAL INCOME	<u>972,000</u>	<u>1,357,800</u>	<u>1,628,670</u>	<u>1,792,331</u>	<u>1,883,772</u>
COST OF GOODS SOLD					
MEDICAL PRODUCT	660,000	924,000	1,108,800	1,219,680	1,280,664
SUPPLIES	6,000	6,900	7,935	9,125	10,494
TOTAL COST OF GOOS SOLD	<u>666,000</u>	<u>930,900</u>	<u>1,116,735</u>	<u>1,228,805</u>	<u>1,291,158</u>
GROSS PROFIT	<u>306,000</u>	<u>426,900</u>	<u>511,935</u>	<u>563,525</u>	<u>592,614</u>
OPERATING EXPENSES					
PAYROLL	197,796	303,996	353,997	353,997	353,997
RENT	49,200	49,200	50,676	52,196	53,762
PAYROLL TAXES	21,758	33,440	38,940	38,940	38,940
EMPLOYEE BENEFITS	19,200	19,584	19,976	20,375	20,783
PROFESSIONAL EXPENSES	6,000	6,120	6,242	6,367	6,495
LICENSING FEE	6,000	5,000	5,000	5,000	5,000
INSURANCE	3,600	3,672	3,745	3,820	3,897
ALARM	3,000	3,060	3,121	3,184	3,247
TOTAL OPERATING EXPENSES	<u>306,554</u>	<u>424,072</u>	<u>481,696</u>	<u>483,878</u>	<u>486,119</u>
NET INCOME (LOSS) BEFORE TAXES	<u>(554)</u>	<u>2,828</u>	<u>30,239</u>	<u>79,647</u>	<u>106,495</u>
LESS: INCOME TAXES					
STATE	250	250	2,268	5,974	7,987
FEDERAL	0	424	4,536	15,330	24,783
TOTAL INCOME TAXES	<u>250</u>	<u>674</u>	<u>6,804</u>	<u>21,304</u>	<u>32,770</u>
NET INCOME	<u>(\$804)</u>	<u>\$2,154</u>	<u>\$23,435</u>	<u>\$58,343</u>	<u>\$73,725</u>

SEE ACCOUNTANT'S COMPILATION REPORT

 MUGFORD & CO., LLC
 CERTIFIED PUBLIC ACCOUNTANTS

ARROW ALTERNATIVE CARE, INC.
NOTES TO PROJECTED STATEMENT OF REVENUE AND EXPENSES – INCOME TAX
BASIS - December 31, 2014 through December 31, 2018

NOTE A – SUMMARY OF SIGNIFICANT ASSUMPTIONS

This financial projection presents, to the best of management's knowledge and belief, the Company's expected financial results of operations, for the projected period. Accordingly, the projection reflects management's judgment as of November 11, 2013, the date of this projection, of the expected conditions and its expected course of action. The assumptions disclosed herein are those that management believes are significant to the projection. There will usually be differences between the projected and actual results, because events and circumstances frequently do not occur as expected, and those differences may be material.

Projection Period

This projection is based upon the first 5 full years of operations with the assumption that operations will commence at the beginning of 2014.

Net Sales

Management developed the gross sales projections by unit sales of one ounce per month per patient for estimated registered users in Hartford County, Connecticut. Unit sales were then projected for the year ending December 31, 2014 based upon operations commencing January 1, 2014. Subsequent years were projected using increases in registered users in Hartford County of 40% (of the previous year) in year two and corresponding increases of 20% in year three, 10% in year four and 5% in year five. The projected retail price is \$400 per ounce.

Cost of Sales

Product costs are estimated at \$275 per ounce.

Salaries are the most significant operating cost and account for approximately 65% (or more) of all operating expenses. Salaries were estimated by listing each employee with his or her salary projection.

All other operating expenses are based on estimated amounts and adjusted for known variations from changes in policies and plans.

Income taxes

Projected federal income taxes for 2014 and beyond were based on statutory rates in effect at the date of this projection.

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ARROW ALTERNATIVE CARE, INC.
NOTES TO PROJECTED STATEMENT OF REVENUE AND EXPENSES – INCOME TAX
BASIS - December 31, 2014 through December 31, 2018

NOTE A – (Continued)

Revenue Recognition

Sales are recognized upon receipt of payment. All products and services are payment upon receipt.

Leased Facilities

The company is attempting to lease a 3,500 square foot facility with an annual rental of \$49,200 under a five-year operating lease. The rent would increase by 3% for each of the third, fourth and fifth years.

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ARROW ALTERNATIVE CARE, INC.
FINANCIAL STATEMENTS
NOVEMBER 13, 2013

MUGFORD & CO., LLC
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ARROW ALTERNATIVE CARE, INC.**Financial Statements****November 13, 2013****INDEX****Independent Auditor's Report****Financial Statements**

Exhibit "A"	Statements of Assets, Liabilities and Stockholder's Equity Income Tax Basis November 13, 2013
Exhibit "B"	Statements of Assets, Liabilities and Stockholder's Equity Income Tax Basis November 13, 2013
Exhibit "C"	Statement of Revenue and Expenses Income Tax Basis For the period from inception (November 12, 2013) to November 13, 2013
Exhibit "D"	Statements of Stockholder's Equity Income Tax Basis For the period from inception (November 12, 2013) to November 13, 2013

Notes to the Financial Statements

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18 VINE STREET • NEW BRITAIN, CT 06052
PHONE (860) 223-2701 • FAX (860) 229-7214

Independent Auditor's Report

To the Stockholders
Arrow Alternative Care, Inc.
Hartford, Connecticut

We have audited the accompanying financial statements of Arrow Alternative Care, Inc., as of November 13, 2013, which comprise the statement of assets, liabilities and stockholder's equity – income tax basis as of November 13, 2013, and the related statements of revenue and expenses – income tax basis, and changes in stockholder's equity – income tax basis for the period from inception (November 12, 2013) to November 13, 2013, and the related notes to the financial statements.

Management's Responsibility for the Financial Statements

Management is responsible for the preparation and fair presentation of these financial statements in accordance with the income tax basis of accounting described in Note 1; this includes determining that the income tax basis of accounting is an acceptable basis for the presentation of financial statements in the circumstances. Management is also responsible for the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

Auditor's Responsibility

Our responsibility is to express an opinion on these financial statements based on our audit. We conducted our audit in accordance with auditing standards generally accepted in the United States of America. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free from material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the financial statements. The procedures selected depend on the auditor's judgment, including the assessment of risks of material misstatement of the financial statements, whether due to fraud or error. In making those risk assessments, the auditor considers internal control relevant to the entity's preparation and fair presentation of the financial statements in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the entity's internal control. Accordingly, we express no such opinion. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluating the overall presentation of the financial statements.

We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinion.

Arrow Alternative Care, Inc.
November 13, 2013
Page 2

Opinion

In our opinion, the financial statements referred to above present fairly, in all material respects, the assets, liabilities and stockholder's equity of Arrow Alternative Care, Inc. as of November 13, 2013 and its revenues and expenses and changes in stockholder's equity for the initial period then ended in accordance with the basis of accounting Arrow Alternative Care, Inc. uses for income tax purposes, as described in Note 1.

Basis of Accounting

We draw attention to Note 1 of the financial statements, which describes the basis of accounting. The financial statements are prepared on the basis of accounting Arrow Alternative Care, Inc. uses for income tax purposes, which is a basis of accounting other than principles generally accepted in the United States of America. Our opinion is not modified with respect to that matter.

Mugford & Co., LLC

Mugford & Co., LLC
November 13, 2013

MUGFORD & CO., LLC
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EXHIBIT "A "

ARROW ALTERNATIVE CARE, INC.
STATEMENT OF ASSETS, LIABILITIES, AND STOCKHOLDER'S EQUITY - INCOME TAX BASIS
NOVEMBER 13, 2013

ASSETS	
	2013
CURRENT ASSETS	
Cash	<u>\$15,000</u>
TOTAL CURRENT ASSETS	<u>15,000</u>
OTHER ASSETS	
Intangibles, Net	<u>5,781</u>
TOTAL OTHER ASSETS	<u>5,781</u>
TOTAL ASSETS	<u><u>\$20,781</u></u>

MUGFORD & CO., LLC
CERTIFIED PUBLIC ACCOUNTANTS

SEE INDEPENDENT AUDITOR'S REPORT AND NOTES TO FINANCIAL STATEMENTS

EXHIBIT "B"

ARROW ALTERNATIVE CARE, INC.
STATEMENT OF ASSETS, LIABILITIES, AND STOCKHOLDER'S EQUITY - INCOME TAX BASIS
NOVEMBER 13, 2013

LIABILITIES AND STOCKHOLDER'S EQUITY

	<u>2013</u>
CURRENT LIABILITIES	
Accounts Payable	\$5,781
Demand Note Payable	<u>14,000</u>
TOTAL LIABILITIES	<u>19,781</u>
STOCKHOLDER'S EQUITY	
Common Stock, 100 Shares authorized and issued	1,000
Retained Earnings	<u>0</u>
TOTAL STOCKHOLDER'S EQUITY	<u>1,000</u>
TOTAL LIABILITIES AND STOCKHOLDER'S EQUITY	<u><u>\$20,781</u></u>

MUGFORD & CO., LLC
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SEE INDEPENDENT AUDITOR'S REPORT AND NOTES TO FINANCIAL STATEMENTS

EXHIBIT " C "

ARROW ALTERNATIVE CARE, INC.
STATEMENT OF REVENUES AND EXPENSES - INCOME TAX BASIS
FOR THE PERIOD FROM INCEPTION (NOVEMBER 12, 2013) TO NOVEMBER 13, 2013

	<u>2013</u>
NET SALES	\$0
OPERATING EXPENSES	<u>0</u>
NET INCOME	<u><u>\$0</u></u>

MUGFORD & CO., LLC

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SEE INDEPENDENT AUDITOR'S REPORT AND NOTES TO FINANCIAL STATEMENTS

EXHIBIT " D "

ARROW ALTERNATIVE CARE, INC.
STATEMENT OF CHANGES IN STOCKHOLDER'S EQUITY - INCOME TAX BASIS
FOR THE PERIOD FROM INCEPTION (NOVEMBER 12, 2013) TO NOVEMBER 13, 2013

	<u>2013</u>
COMMON STOCK	
Balance at Inception of Company	\$0
Initial Issuance of Common Stock	<u>1,000</u>
BALANCE - NOVEMBER 31, 2013	<u><u>\$1,000</u></u>
RETAINED EARNINGS	
Balance at Inception of Company	\$0
Current Period Net Income	<u>0</u>
BALANCE - NOVEMBER 31, 2013	<u><u>\$0</u></u>
TOTAL STOCKHOLDER'S EQUITY	<u><u>\$1,000</u></u>

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SEE INDEPENDENT AUDITOR'S REPORT AND NOTES TO FINANCIAL STATEMENTS

ARROW ALTERNATIVE CARE, INC.
NOTES TO THE FINANCIAL STATEMENTS
November 13, 2013

NOTE 1 - SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES

This summary of significant accounting policies of Arrow Alternative Care, Inc. is presented to assist in understanding the Company's financial statements. The financial statements and notes are representations of the Company's management, which is responsible for their integrity and objectivity.

NATURE OF OPERATIONS

The company been formed to become a licensee to distribute medical marijuana in Hartford County, Connecticut. Operations of the company have not commenced as of the date of these financial statements.

BASIS OF ACCOUNTING

The Company prepares its financial statements on the income tax basis of accounting whereby income and expenses are recorded in accordance with principles and practices to be used in reporting to the federal government for income tax purposes. Accordingly, the accompanying financial statements are not intended to present financial position and results of operations in conformity with generally accepted accounting principles.

CASH

At November 13, 2013, cash consists of monies held in a checking account.

FIXED ASSETS

These assets are stated at cost. Additions and improvements are charged to their respective accounts, while maintenance and repairs, which do not improve or extend the useful life of the respective assets, are charged to operations as incurred. Depreciation is computed using the straight-line and accelerated methods. There are no fixed assets as of the date of these financial statements

INCOME TAXES

The Company evaluates all significant tax positions. As of the date of these financial statements, the Company has not completed its initial reportable period for filing income taxes.

USE OF ESTIMATES

The preparation of financial statements in conformity with the income tax basis of accounting requires management to make estimates and assumptions that affect certain reported amounts and disclosures. Accordingly, actual results could differ from those estimates.

NOTE 2 – DEMAND NOTE PAYABLE

At November 13, 2013 the Company was liable for an unsecured non-interest bearing demand note payable to the sole shareholder.

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ARROW ALTERNATIVE CARE, INC.
NOTES TO THE FINANCIAL STATEMENTS
November 13, 2013

NOTE 3 - INTANGIBLE ASSETS

Intangible Assets represent organization costs. The Company is amortizing the organization costs over 60 months.

NOTE - 4- SUBSEQUENT EVENTS

Management has evaluated events through November 13, 2013, the date which the financial statements were available to be issued.

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7. Provide complete copies of all federal, state and foreign (with translation) tax returns filed by the applicant for the last three years, or for such period the applicant has filed such returns if less than three years.

7. Federal, State Tax Returns Filed by Applicant - Last 3 Years

8. Provide complete copies of the most recently filed federal, state and foreign (with translation) tax returns filed by each: (i) dispensary facility backer; and (ii) each backer member identified in Section B of Appendix B.

8. Federal, State Tax Returns Filed by Applicant – Most Recent

F. BONUS POINTS

1. Employee Working Environment Plan: Describe any plans you have to provide a safe, healthy and economically beneficial working environment for your employees, including, but not limited to, your plans regarding workplace safety and environmental standards, codes of conduct, healthcare benefits, educational benefits, retirement benefits, and wage standards.

1. Employee Working Environment Plan

Arrow Alternative Care will create a safe, healthy and economically beneficial working environment for our employees fashioned after our Arrow Pharmacy Employee Working Environment plan and enhanced to address the specific needs of employees of the dispensary facility.

Refer to the attached Arrow Pharmacy Employee Handbook for more information.

Elements of the Arrow Alternative Care Employee Working Environment plan include:

Workplace Safety and Environmental Standards	In addition to following OSHA Guidelines we will: <ul style="list-style-type: none"> • Provide security guard protection both inside the facility as well as outside the facility to include escorts to vehicles in parking lot
Codes of Conduct	<ul style="list-style-type: none"> • The Arrow Pharmacy Employee Handbook will be the basis for the Arrow Alternative Care Employee Handbook. It will be modified as needed to make accommodations as required by the laws governing the Dispensary Facility. The handbook is reviewed with all new employees. An acknowledgement of receipt, review, policies and procedures is obtained in writing. See Appendix for Excerpt from Employee Handbook.
Equal Opportunity Employer	<ul style="list-style-type: none"> • Arrow Alternative will follow in the footsteps of the Arrow Pharmacies in its commitment in Minority Hiring and bilingual employees.
401K Plan	<ul style="list-style-type: none"> • Employees are offered to opt in to our 401K Plan with an employee match
Healthcare benefits	<p>Medical/Dental Insurance</p> <p>Eligible full-time employees may enroll in a single, a single plus one dependent, or a family contract on the first of the month after completing their introductory period. Eligibility may be defined by state law and/or by the insurance contract.</p> <p>Our company pays the full cost of a single contract. If you elect dependent coverage, you are responsible for paying the difference through payroll deduction. Participating employees are also covered under our medical insurance plan's life insurance, prescription drug and vision care programs</p>
Education benefits	<ul style="list-style-type: none"> • Continuing education expenses are paid in full



Table with 2 columns: Wage Standards, and a list of bullet points: Employees are paid at or above fair market standards; Annual performance reviews are conducted and appropriate merit increases are given.

Medical/Dental Insurance

Eligible full-time employees may enroll in a single, a single plus one dependent, or a family contract on the first of the month after completing their introductory period. Eligibility may be defined by state law and/or by the insurance contract.

Our company pays the full cost of a single contract. If you elect dependent coverage, you are responsible for paying the difference through payroll deduction. Participating employees are also covered under our medical insurance plan's life insurance, prescription drug and vision care programs

2. Compassionate Need Plan: Describe any compassionate need program you intend to offer. Include in your response: The protocols for determining which patients will qualify for the program; The discounts available to patients eligible for the compassionate need program; The names of any other organizations, if any, with which you intend to partner or coordinate in connection with the compassionate need program, including any producer applicant; and Any other information you think may be helpful to the Department in evaluating your compassionate need program.

2. Compassionate Need Plan

Compassionate Need Program Experience

Arrow Alternative Care, under the same umbrella and management as the Arrow Pharmacy's will be as committed to Compassionate Need programs as Arrow Pharmacy has been from its inception and will emulate the same philosophy and approach to compassionate need. In order to understand how we will develop these types of programs you can look at the history and experience in this area of Arrow Pharmacy.

Arrow Pharmacy has participated in, and has established indigent drug programs for eligible patients since its inception in 1989. We have been true to our mission statement established 24 years ago.

Arrow Pharmacy Mission

To improve health and access to the highest levels of pharmacy care in the communities that we serve, regardless of socio economic status.

We see these programs as essential to our mission to improve health and health equity by providing quality patient care and access within our community, regardless of ability to pay. Arrow Pharmacy is committed to continually identifying opportunities to establish indigent programs for eligible patients.

Establishing Indigent Drug Programs

Arrow Pharmacy has the most experience in this venue in Hartford having established the following indigent programs:

- St. Francis indigent Charge Accounts – Based on formulary of drugs and social worker approval and a meds2go uninsured generic discount program.
- Community Health Services (CHS) Indigent Program – Created a sliding scale to eligible needy patients.
- Malta House of Care – Established complete pharmacy program including a \$6 generic drug option for eligible patients
- Charter Oak Healthcare – Implemented various sliding scales for indigent patients varying on ability to pay
- Provide discounted medication as well as participate manufacturer programs and procurement of free drug
- Arrow assists patients with navigation in the needy meds program
- We serve patients of Federally Qualified Health Centers in Hartford, Ryan White Program, CARC, Malta House of Care and Medical Mobile Unit

Indigent Drug Program Participation

Arrow Pharmacy participates in hundreds of indigent drug programs:

- Coupons - We have the ability to honor, scan and process over 800 coupons and drugs.
- Pharmacy Sample Program - Arrow Pharmacy currently administers a sample program specific to a covered entity. Upon request we may look to expand this service to CHS.
- Patient Assisted Programs (PAP) – We participate in all major pharmaceutical brand name PAPs, both manually and electronically. These programs are typically supplied by, or enrolled in, by pharmaceutical sales representatives, of which we have access to all major companies.
- Specialty Pharmacy Provider - We participate in specialty pharmacy patient assistance programs bringing access to these extremely expensive and limited drugs to the indigent population.
- Pfizer Indigent Program - We have recently started managing the Pfizer indigent program. This program ships certain Pfizer products at no charge to be dispensed to eligible indigent patients at no charge

Compassionate Need Protocols

Arrow Alternative Care will ask our patients about their ability to pay during our Arrow Alternative Care Wellness Care and Healing Consultation process. We will determine eligibility as a percentage of poverty level that determination will be calculated from intake information to create such a program. For example, a Medicaid card could be the starting point of a compassionate care program with 3-5 levels within it. Based on the patients ability to pay, we will assess each situation independently to determine options for the registered patient. We have extensive experience in developing compassionate need programs and will use the information that we gather from our registered patient population to design programs.

Discounts Available

We plan on creating a compassionate care discount card similar to the Pfizer Share The Care programs and the many discount pharmaceutical card programs that we process on a daily basis. The discount program that we envision is a card specific to a grower based in increments of \$0, \$25, \$50, \$75 and \$100. These would be copay cards where the grower would donate the cost of medication and would donate the product at no charge and the patient would pay the copay associated with their ability to pay. The mechanism should be uniform for all

growers and all dispensary facilities. If awarded a license we would immediately.

We would also begin the process to collaborate with the CT Pharmacist Association to create an academy of dispensary pharmacist within the CT Pharmacist Association. This academy would be made up of a committee of the dispensary facility managers and pharmacists. We would use the academy within the CT Pharmacist Association to help determine meeting times and agendas. We believe this to be important with the uniqueness of medical marijuana. Collaboration with all stakeholders is key to determine a uniform process. The stakeholders that should be included; growers, dispensary owners, facility managers and dispensary pharmacist and DCP. We would spearhead this program with our vast knowledge of working with the poor from the Malta House of Care to sliding scale indigent programs at our city's Federally Qualified Health Centers.

Potential Compassionate Need Partners

Arrow Alternative Care believes that potential partners will include: American Cancer Society, Hospitals and Medical Centers, Indigent Funds and Malta House of Care and non-profit associations related to the chronic medical conditions associated with the palliative use of the medical marijuana program.

3. Research Plan: Provide the Department with a detailed proposal to conduct, or facilitate, a scientific study or studies related to the medicinal use of marijuana. To the extent it has been determined, include in your proposal, a detailed description of:

- The methodology of the study;**
- The issue(s) you intend to study;**
- The method you will use to identify and select study participants;**
- The identify of all persons or organizations you intend to work with in connection with the study, including the role of each;**
- The duration of the study; and**
- The intended use of the study results.**

3. Research Plan

Arrow Alternative Care is committed to research in this field of palliative use of medical marijuana. Arrow Alternative Care will participate in research programs, monitor, gather and analyze medical marijuana usage and impact data by demographic and disease state.

We have been contacted by and/or reached out to collaborate research and data gathering to provide an objective, evidenced base source of scientifically valid information, advice and education on the therapeutic use of medical marijuana to ensure and advance rational usage and positive patient outcomes with the following:

- Ct Pharmacists Association
- State, Universities and the Health Care Systems
- E-Connecticut Health
- Potential Growers

Beneficiaries of this collaboration can include, but not limited too, Associations, Physicians, Supply Chain Entities, Licensed Dispensary Facility Teams, Educational Institutions, Patients, Caregivers.

Arrow has collaborated with Dr. Gerald Gianutsos and other professors at the University of Connecticut School of Pharmacy. We provide unique unbiased data. Our data is purely driven by outcomes rather than grower information based on any vertical integration that limits the pipeline for distribution, strains available in the marketplace and focuses on proprietary product lines. Our goal is to also measure symptom relief during our pharmacist directed Wellness Care and Healing Consultations. We believe with our high touch, face to face encounters coupled with our data gathering we can predict over time which strains, dosage forms and frequencies work best in each ICD9(10) codes (disease states). This could lead to specific marketing to product to specific disease state. Angelo DeFazio, RPh Owner and Mary Graikoski, RPh Dispensary Manager attended lectures given by Dr. Mark Ware on evidenced based use of Medical Marijuana and current research data and trends.

Arrow Alternative Care has already committed, if awarded a dispensary facility license, to collaborate with the Ct Pharmacist Association and their proposed partnership with the Canadian Consortium for the Investigation of Cannabinoids (CCIC) to quantify the doses and modes of the cannabis administration and to explore the

associations between cannabis products and patient phenotypes.

See the following Letter from CPA describing the potential study:



November 15, 2013

To Whom It May Concern:

The purpose of this letter is to inform the State of Connecticut Department of Consumer Protection(DCP) that the **Connecticut Pharmacists Association (CPA)**, a 501(c)6 professional organization representing pharmacists in the State of Connecticut since 1876, will be conducting a Research Monitoring Program in the State of Connecticut related to the medicinal use of cannabis.

It is the intent of the CPA to partner with the **Canadian Consortium for the Investigation of Cannabinoids (CCIC)** in order to conduct this proposed research monitoring program with the marijuana growers and dispensaries that receive licenses from the State of Connecticut. CCIC is a federally registered Canadian nonprofit organization of basic and clinical researchers and health care professionals established to promote evidence-based research and education concerning the endocannabinoid system and therapeutic applications of endocannabinoid and cannabinoid agents.

Please note that the Arrow Alternative Care, the subject of this application, has committed to the CPA that it fully supports and will cooperate in the data collection efforts that are needed to support this Research Plan and study initiative if their company is selected by the State of Connecticut to dispense medical cannabis.

The Research Plan will be designed independently by CPA and CCIC after soliciting input from, and working with, the DCP, growers, dispensaries and other stakeholders.

The objectives will be to quantify the doses and modes of the cannabis administration and to explore the associations between cannabis products and patient phenotypes. At this time, it is not known how long a study such as this will take to complete.

In general, the overall goal will be to systematically collect long-term data on the safety and efficacy of herbal cannabis used for medical purposes. What makes this study unique is that with this **international collaboration**, data collection from each country can be compared and studied to provide a very in-depth analysis and outcomes summary.

It is our estimation that the results and data gleaned from the study will be used to inform policy-makers and regulatory agencies about safety aspects of medical cannabis; clinicians will be better informed about best practice guidelines and safety issues, and the medical cannabis producers will receive beneficial information about the

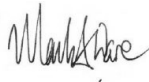
efficacy of their products in real world situations. Most importantly, due to how the Connecticut regulations are written, the pharmacists, who are an integral piece to both the data collection and dispensing activities, will have a comprehensive and data driven approach when educating patients about their medical use of cannabis.

The CPA has a strong and positive history of working with state agencies, universities and the pharmacists we represent in programs that involve both pharmacists and patient outcomes. It is due to this synergy and focus that the CPA feels that it is well-positioned to be the critical component to ensure that the Research Plan reflects the highest quality evidence-based "best practices" and continuing education for all those involved in this, emerging sector of patient care in Connecticut.

Sincerely,



Margherita R. Giuliano, RPh
Executive Vice President
Connecticut Pharmacists Association



Mark A. Ware MD MSc
Executive Director
Canadian Consortium of the Investigation of Cannabinoids



The Arrow Alternative Care business model will enable us to provide key data points. Our pharmacy system has a robust therapeutic component that coupled with our Specialty Pharmacy referral form will create tracking by disease state and ICD9 and ICD10 codes. Symptoms will be measured using a universally accepted method. Our data will include outcome analysis data points for research regarding medical marijuana products based on strain, dosage form and utilization that can be traced to ICD9 codes.

4. Community Benefits Plan: Provide the Department with a detailed description of any plans you have to give back to the community either at a state and local level if awarded a dispensary facility license.

4. Community Benefits Plan

Arrow Alternative Care will continually be open to supporting our local and state communities in the same fashion as Arrow Pharmacy. Arrow Pharmacy has always been open to supporting our local and state communities as opportunities are presented. Arrow Pharmacy has been extremely philanthropic to our communities as a major donor to hospitals and educational institutions such as UCONN. Mr. DeFazio, Arrow Pharmacy President and CEO has established an endowed scholarship to assist minority pharmacy students in financial need at the University of Connecticut School of Pharmacy. Some of the ways in which we will give back include:

- Student involvement with rotations at both UCONN and St. Joseph Schools of Pharmacy
- Community Educational programs at no charge
- Participation in forums
- Fund scholarships at the University of Connecticut and St. Joseph Schools of Pharmacy for students interested in dispensary positions.

5. Substance Abuse Prevention Plan: Provide a detailed description of any plans you will undertake, if awarded a dispensary facility license, to combat substance abuse in Connecticut, including the extent to which you will partner, or otherwise work, with existing substance abuse programs

5. Substance Abuse Prevention Plan

In addition to providing Medication Management Therapy (MTM), the identification of potential Substance Abuse is one of the primary reasons for implementing the Wellness Care and Healing Consultation Service. This process coupled with the Arrow Alternative Care registered patient intake process which requires registered patients to complete a detailed profile form provides key indicator flags that will assist the Dispensary Pharmacists in identifying potential substance abuse.

Processes and things to identify potential substance abuse include the following:

- Monitor early refills
- Fully participate in PMP
- Look for warning signs of excessive use:
 - Driving Under Influence Citation
 - Psychosis
 - Sedation
 - Anxiety
 - Tolerance
 - Dependence
 - Cognitive Functions
 - Cardiovascular effects
 - Euphoria
- Precautions and Contraindications
 - Validate that desire for and use for is “medical”
 - Ask about history of legal issues and criminal charges
 - Screening for other addictive drug use
 - Prior recreational cannabis use
- Monitor dose
 - Average joint is 0.5g
 - Average dose is 2g/day
 - Equates to 20-50mg THC/day
 - “Watchful” dose 5g/day
 - (CT maximum is 2.5 oz/month – 70g)

If abuse, fraud, or diversion by registered patient, caregiver or provider is suspected, immediate referral to DCP and drug control and possible to referral to rehab center such as ADRC.

APPENDIX

APPENDIX A – Dispensary Facility License Information Form

APPENDIX A.1 – Question 27: Sales and Use Tax Permit

APPENDIX A.2 – Question 34: Property Documents – Lease Term Sheet, Use Acknowledgment

APPENDIX A.3 – Question 43 – 46: Names under which applicant has conducted business

APPENDIX A.4 – Question 71: Security Plan from Command Corporation

APPENDIX B – Dispensary Facility Backer Information Form: Angelo DeFazio

APPENDIX B.1 – Question 13 – 20: Licenses, Permits and Registrations: Angelo DeFazio

APPENDIX C – High-Level Emps Background Info Form: Angelo DeFazio

APPENDIX C.1 – Question 23: Pharmacies Associated with: Angelo DeFazio

APPENDIX C.2 – Question 28: Other relevant business experience: Angelo DeFazio

APPENDIX C.3 – Question 29 - 36: Licenses, Permits and Registrations: Angelo DeFazio

APPENDIX C – High-Level Employees Background Info Form: Mary Graikoski

APPENDIX C.1 – Question 23: Pharmacies Associated with: Mary Graikoski

APPENDIX C.2 – Question 28: Other relevant business experience: Mary Graikoski

APPENDIX C.3 – Question 29 - 36: Licenses, Permits and Registrations: Mary Graikoski

APPENDIX C – High-Level Employees Background Info Form: Jill St. Germain

APPENDIX C.1 – Question 23: Pharmacies Associated with: Jill St. Germain

APPENDIX C.2 – Question 28: Other relevant business experience: Jill St. Germain

APPENDIX C.3 – Question 29 - 36: Licenses, Permits and Registrations: Jill St. Germain

APPENDIX C – High-Level Employees Background Info Form: Wendy Dean

APPENDIX C.1 – Question 23: Pharmacies Associated with: Wendy Dean

APPENDIX C.2 – Question 28: Other relevant business experience: Wendy Dean

APPENDIX C.3 – Question 29 - 36: Licenses, Permits and Registrations: Wendy Dean

APPENDIX D – Dispensary Facility Manager Information Form: Mary Graikoski

APPENDIX D.1 – Question 23: Pharmacies Associated with: Mary Graikoski

APPENDIX E – Backer Members Authorization for Release of Personal History Form: Angelo DeFazio

APPENDIX ? – 401K Plan

APPENDIX ? – Medical Marijuana Incident Review

APPENDIX ? – Employee Handbook