

# STATE OF CONNECTICUT

## DEPARTMENT OF CONSUMER PROTECTION

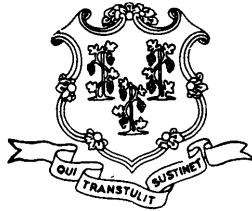
From the Office of John Suchy

Director, Liquor Control Division

July 1, 2019

### Out-of-State Retailer Shipper's Permit for Wine

- Public Act 19-24, Section 26 creates the “Out-of-State Retailer Shipper’s Permit for Wine” with an effective date of July 1, 2019.
- The Out-of-State Retailer Shipper’s Permit for Wine allows the sale and shipment of wine only directly to a consumer in this state. Persons accepting deliveries must be at least 21 years of age and must sign for the wine.
- The credential prefix is LOR.
- The permit application for the Out-of-State Retailer Shipper’s Permit for Wine is available to download at the DCP website: <https://portal.ct.gov/DCP/Liquor-Control-Division/Liquor-Permit-Applications-and-Forms>
- The initial non-refundable application fee is \$100.00 and the initial permit fee is \$600.00. The first year cost is \$700.00. The permit is renewed annually for \$600.00. Checks and/or money orders need to be made payable to “Treasurer, State of Connecticut”.
- The Out-of-State Retailer Shipper’s Permit for Wine application may not be filed online at this time.
- No placarding or newspaper publishing requirement; no recording of permit required unless home state requires it.
- All wine brand labels being shipped or delivered to consumers in this state must be registered with DCP.
- For searching brands, you can go to the online lookup website: <https://www.license.ct.gov/Lookup/LicenseLookup.aspx> and for registering brands, the Liquor Brand Label registration webpage: <https://portal.ct.gov/DCP/Liquor-Control-Division/Liquor-Brand-Label-Registration>
- DCP IT is working on creating a more consumer-friendly webpage for easy product location.
- Out-of- State Retailer Shipper’s Permit for Wine must be registered to conduct business in the State of Connecticut (Certificate of Authority from the state Secretary of the State) and provide such proof.
- Out-of-State Retailer Shipper’s Permit for Wine must be registered with the state Department of Revenue Services (DRS) and provide such proof. See Instruction Sheet on Application.
- If the holder of the Out-of-State Retailer Shipper’s Permit for Wine” wishes to make its own deliveries, then it must obtain an In-State Transporter’s Permit. If it does not wish to transport its product, it must use a business entity which has an In-State Transporter’s permit (section 30-19f) issued by DCP.
- May not ship more than five (5) gallons of wine in any two-month period to any person in this state
- The holder of this permit, when advertising or offering wine for direct wine shipment to a consumer in this state via the Internet or any other on-line computer network shall clearly and conspicuously state such liquor permit number in its advertising.



## Instructions for Completing the Application for Out-of-State Retailer Shipper's Permit for Wine

Enclosed is the application for an Out-of-State Retailer Shipper's Permit for Wine allowing the sale of wine directly to consumers in Connecticut.

**Definition of Permit:** (covered under Public Act 19-24)

**An out of state retailer shipper's permit for wine shall allow the sale and shipment of wine directly to a consumer in this state.**

Please note the following when filing the application:

- **Retailer Information** – List the name of the out of state retailer and their corresponding home state permit type and number (if applicable). This is the business that operates/manages an establishment holding a liquor permit.
- **Permittee/Applicant** – This is the individual who operates/manages an establishment holding a liquor permit. The permittee may, in some cases, also be the owner/backer of the business that holds the permit.
- **Backer** – An individual or legal business entity that **owns** the business to which the liquor permit is issued.

In the event that you must attach additional sheets of explanation for certain items on the application, additional sheets should be identified with the first and last name of the permittee, the name of the business, the city and state in which the business will operate.

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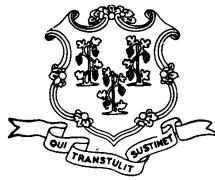
- **Home State Permit** – Every application for an out-of-state shipper's permit shall be accompanied by photostatic or other documentary evidence of a permit or license issued by the state in which the applicant derives authority to sell wine directly to consumers, where the retailer primarily does business and is domiciled.
- **Federal Permit** – Every application shall be accompanied by proof of federal filing and acceptance as a Retail Beverage Alcohol Dealer (TTB 5630.5d-Alcohol Dealer Registration).
- **Connecticut State Permits** - Proof of filing and acceptance as an entity doing business in the State of Connecticut from the Office of the Connecticut Secretary of the State and copy of the Connecticut Sales and Use Tax Permit and the Alcoholic Beverage Tax and Alcoholic Beverage Tax-Addendum D issued by the State of Connecticut Department of Revenue Services (DRS). For questions regarding beverage tax issues, please contact DRS Excise Tax Unit at (860) 541-3224.
- **Fees & Form of Payment** – The application must be accompanied by the application filing fee and the initial permit fee. Please refer to the chart below for the appropriate fee. Checks or money orders should be made payable to **“Treasurer, State of Connecticut.”** The application filing fee of \$100.00 is not refundable.

Type of Permit	Application Filing Fee	Initial Permit Fee	Total Fee Due
<b>Out-of-State Retailer Shipper's Permit for Wine</b>	\$100.00 +	\$600.00 =	<b>\$700.00</b>

⇒ Return the completed application, appropriate documentation and fee to:

 **Department of Consumer Protection**  
**License Services Division**  
**450 Columbus Blvd, Ste. 801**  
**Hartford, CT 06103**

STATE OF CONNECTICUT  
 DEPARTMENT OF CONSUMER PROTECTION  
 LIQUOR CONTROL DIVISION  
 Telephone: (860) 713-6200  
 WebSite: [www.ct.gov/dcp](http://www.ct.gov/dcp)



## Application for Out-of-State Shipper's Retailer Permit for Wine

### INSTRUCTIONS:

All spaces must be completed - please print in ink or type. A check or money order for the appropriate fee must accompany this application made payable to "Treasurer, State of Connecticut"

### Out of State Retailer Information

Name of Out of State Retailer		Home State Permit Type and Number (if applicable)	
Business Street Address (No P.O. Box)		City	State
Business Telephone Number	Business Email Address		

### Permittee/Applicant Information

First Name		Middle Initial	Last Name	
Residence Street Address		City		State
Telephone Number	Date of Birth	Permittee Email Address		

### Certification

*I affirm, under penalty of false statement, that my statements and answers to all questions in this application are true and complete.*

Signature of Employer (Officer or Authorized Agent)	Date
Subscribed and sworn to before me, this _____ day of _____ 20_____	
Signature of Notary Public	My Commission Expires

### Backer Information

Indicate Organizational Structure:				
<input type="checkbox"/> Sole Proprietor	<input type="checkbox"/> Corporation	<input type="checkbox"/> Partnership	<input type="checkbox"/> Limited Partnership	<input type="checkbox"/> Limited Liability Company
Name of Backer (The owner or proprietor of the business)				
Street Address		City	State	Zip Code
Telephone Number	Email Address			
If a corporation, give date of incorporation and name of state where incorporated				
If not a Connecticut corporation, date of authorization to conduct business in the State of Connecticut				

Has the permittee, backer and/or any individual member of the backer ever been denied a permit or had a permit suspended or revoked by their home state liquor control authority or any other state or territory of the United States?  Yes  No If yes, please attach name(s) and date(s) of individuals and entities and permit type/state/ dates on a separate sheet of paper.

Has the permittee, backer and/or any individual member of the backer ever been convicted of violating any of the liquor laws of this or any other state or territory the liquor laws of the United States or has been convicted of a felony as such term is defined in section 53a-25 or has such a criminal record that the department reasonably believes he is not a suitable person to hold a permit, provided no refusal shall be rendered under this subdivision except in accordance with the provisions of sections 46a-80 and 46a-81?

Yes  No If yes, please attach name(s) and date(s) on a separate sheet of paper.

### Certification

*I affirm, under penalty of false statement, that my statements and answers to all questions in this application are true and complete.*

Signature of Employer (Officer or Authorized Agent)

Date

Subscribed and sworn to before me, this \_\_\_\_\_ day of \_\_\_\_\_ 20 \_\_\_\_\_

Signature of Notary Public

My Commission Expires

### FOR CORPORATION, PARTNERSHIP, LLP OR LLC (Attach additional sheet if necessary)

List the names, titles and signatures of all persons associated in the ownership.

Name	Title	% interest/# of shares
Name	Title	% interest/# of shares
Name	Title	% interest/# of shares
Name	Title	% interest/# of shares

### Preferred Mailing Address (Please check (✓) the appropriate box below)

Permittee/Applicant Address  Backer Address  Other (as indicated below)

Name			
Street Address	City	State	Zip Code
Email Address for Business			

The following **MUST** accompany your license application:

**Permit Fee:** A check or money order made payable to: ***"Treasurer, State of Connecticut"***

Type of Permit	Application Fee	Initial Permit Fee	Total Fee Due
Out-of-State Retailer Shipper's Permit for Wine	\$100.00	\$600.00	<b>\$700.00</b>

→ Return your completed application and fee to:

 **License Services Division**  
**Department of Consumer Protection**  
**450 Columbus Blvd**  
**Hartford, CT 06103**