

February 6, 2019

Important Notice

Connecticut to Add New License Classes and Convert Lines of Authorities

Effective Friday March 15, 2019 Connecticut will add new license classes to the Gateway and convert lines of authority on the Producer Database. Please see the details of the implementation below.

State(s) Impacted:	Connecticut	Effective Date:	03/15/2019
New Offering/Implementation:	Yes	Modify Existing Offering(s)/Rule(s):	Yes
Producer Database (PDB) Impact:	Yes	Appointment & Terminations:	No
PDB Alerts On:	Yes	Transactions Declined:	N/A
Existing PDB Records Updated:	Yes		

New Offering(s)/Implementation(s):

- License Class and/or Line of Authority (LOA) to be added:

Application Type	Entity Type (Individual / Business Entity/ Both)	License Class	Line of Authority	PDB Codes	PIN Codes	Fee
RL, NRL, PDB	Both	PA 187 Travel	Travel	11712/64	11712/64	\$750 \$750 total for reinstatements
RL, NRL	Both	Insurance Producer	Travel	3/64	3/64	\$140 \$130 total for reinstatements and amendments Please note the new fee for Insurance Producer Travel is a license fee not LOA fee.

Note: Please visit <https://wiki.nipr.com> to subscribe to receive notification alerts via email and view support contact information, PDB/Gateway Services Documentation, security information, and responses to Frequently Asked Questions (FAQ's)

RL, NRL	Both	Certified Insurance Consultant	Life, Accident and Health or Sickness	65/ 12253	65/ 12253	\$300 \$300 total for reinstatements and amendments
RL, NRL	Both	Certified Insurance Consultant	Property and Casualty	65/ 624	65 / 624	\$300 \$300 total for reinstatements and amendments
RL, NRL	Bus	Premium Finance Company	Premium Finance	63/149	63/149	\$100 \$100 total for reinstatements
RL, NRL	Indiv	Fraternal Agent	Accident and Health or Sickness	51/935	51/935	\$130 \$130 total for reinstatements and amendments
RL, NRL	Indiv	Fraternal Agent	Life	51/16	51/16	\$130 \$130 total for reinstatements and amendments
RL, NRL	Indiv	Fraternal Agent	Variable Life and Variable Annuity	51/6952	51/6952	\$130 \$130 total for reinstatements and amendments
RL, NRL	Both	Reinsurance Intermediary Broker	Other	43/504	43/408	\$675 \$675 total for reinstatements
RL, NRL	Both	Reinsurance Intermediary Manager	Other	229/504	229/408	\$675 \$675 total for reinstatements
Renewals						
RLR., NRR	Both	PA 187 Travel	Travel	11712/64	11712/64	\$650 \$1300 total for late renewal.
RLR, NRR	Both	Insurance Producer	Travel	3/64	3/64	\$160 \$320 total for late renewal. Please note the

						new fee for Insurance Producer Travel is a license fee not LOA fee.
RLR., NRR	Both	Certified Insurance Consultant	Life, Accident and Health or Sickness	65/ 12253	65/ 12253	\$250 (No late renewals)
RLR., NRR	Both	Certified Insurance Consultant	Property and Casualty	65/ 624	65 / 624	\$250 (No late renewals)
RLR., NRR	Bus	Premium Finance Company	Premium Finance	63/149	63/149	\$50 (No late renewals)
RLR., NRR	Indiv	Fraternal Agent	Accident and Health or Sickness	51/935	51/935	\$80 (No late renewals)
RLR., NRR	Indiv	Fraternal Agent	Life	51/16	51/16	\$80 (No late renewals)
RLR., NRR	Indiv	Fraternal Agent	Variable Life and Variable Annuity	51/6952	51/6952	\$80 (No late renewals)
RLR., NRR	Both	Reinsurance Intermediary Broker	Other	43/504	43/408	\$625 (No late renewals)
RLR., NRR	Both	Reinsurance Intermediary Manager	Other	229/504	229/408	\$625 (No late renewals)



Modify Existing Offering/Rules RL/NRL:

- Designated Responsible Licensed Individual is not required for Connecticut Third Party Administrator, Premium Finance Companies.
- Certified Insurance Consultant will require at least one Designated Responsible Individual with an active qualifying license type.
- PA 187 Travel requires at least one Designated Responsible Individual that either holds the same active PA 187 Travel license type OR an Insurance Producer (PIN Code 3) license at least one of the following active authorities
 - Property (PIN 12)
 - Casualty (PIN 11)
 - Life (PIN 16)
 - Accident and Health or Sickness (PIN 935)
 - Personal Lines (PIN 928)
- Reinsurance Intermediary Manager requires at least one Designated Responsible Individual that either holds the same Reinsurance Intermediary Manager active license type OR an Insurance Producer (PIN Code 3) license at least one of the following active authorities

- Property (PIN 12)
 - Casualty (PIN 11)
 - Life (PIN 16)
 - Accident and Health or Sickness (PIN 935)
 - Personal Lines (PIN 928)
- Reinsurance Intermediary Broker requires at least one Designated Responsible Individual that either holds the same Reinsurance Intermediary Broker active license type OR an Insurance Producer (PIN Code 3) license at least one of the following active authorities
 - Property (PIN 12)
 - Casualty (PIN 11)
 - Life (PIN 16)
 - Accident and Health or Sickness (PIN 935)
 - Personal Lines (PIN 928)
- Certified Insurance Consultant (PIN 65) and Motor Vehicle Physical Damage Appraiser (PIN 64) must pass the required exam.
- Applicants amending a license must not be in a renewal or late renewal period.
- Certified Insurance Consultant, Fraternal Agents, Premium Finance Company, Reinsurance Intermediary Broker and Reinsurance Intermediary Manager applicants may reinstate the day after expiration date (no late renewals).
- PA 187 Travel reinstatement applicants that have been inactive for more than one year past expiration date and have the following status reason codes may reinstate.
 - Non-Renewal (13)
- PA 187 Travel, Certified Insurance Consultant, Fraternal Agents, Premium Finance Company, Reinsurance Intermediary Broker and Reinsurance Intermediary Manager applicants cannot enter 'Doing Business As' (DBA) name.
- Insurance Producer Travel and PA 187 Travel applicants will need to visit http://www.nipr.com/rules_ct_rl.htm for the distinction between the Insurance Producer Travel PA 187 Travel before applying.
- Premium Finance Company applicants will need to complete required forms located on the Connecticut State Specific Requirements Page https://www.nipr.com/rules_ct_rl.htm
Send completed forms to: cid.financial@ct.gov. Premium Finance Company Questions can be directed to Ken Cotrone (860) 297-3994.
- Reinsurance Intermediary Brokers and Reinsurance Intermediary Managers will need to complete required forms located on the Connecticut State Specific Requirements Page.
https://www.nipr.com/rules_ct_rl.htm. Send completed form to: cid.licensing@ct.gov ✉
Please direct Reinsurance Intermediary Broker and Reinsurance Intermediary Manager questions to cid.licensing@ct.gov ✉ attn: Reinsurance Application.
- Fraternal Agent applicants applying for Variable Life and Variable Annuity applicants must supply FINRA CRD number on application.
- Applicant applying for Fraternal Agent Variable Life and Variable Annuity must actively hold or apply for Fraternal Agent Life.

Modify Existing Offering/Rules RLR/NRR:

- Designated Responsible Licensed Individual is not required for Connecticut Third Party Administrator, Premium Finance Companies.
- Certified Insurance Consultant will require at least one Designated Responsible Individual with an active qualifying license type.

- PA 187 Travel requires at least one Designated Responsible Individual that either holds the same active PA 187 Travel license type OR an Insurance Producer (PIN Code 3) license at least one of the following active authorities
 - Property (PIN 12)
 - Casualty (PIN 11)
 - Life (PIN 16)
 - Accident and Health or Sickness (PIN 935)
 - Personal Lines (PIN 928)
- Reinsurance Intermediary Manager requires at least one Designated Responsible Individual that either holds the same Reinsurance Intermediary Manager active license type OR an Insurance Producer (PIN Code 3) license at least one of the following active authorities
 - Property (PIN 12)
 - Casualty (PIN 11)
 - Life (PIN 16)
 - Accident and Health or Sickness (PIN 935)
 - Personal Lines (PIN 928)
- Reinsurance Intermediary Broker requires at least one Designated Responsible Individual that either holds the same Reinsurance Intermediary Broker active license type OR an Insurance Producer (PIN Code 3) license at least one of the following active authorities
 - Property (PIN 12)
 - Casualty (PIN 11)
 - Life (PIN 16)
 - Accident and Health or Sickness (PIN 935)
 - Personal Lines (PIN 928)
- Certified Insurance Consultant, Fraternal Agents, Premium Finance Company, Reinsurance Intermediary Broker and Reinsurance Intermediary Manager applicants may reinstate the day after expiration date (no late renewals).
- CT allows a late renewal period for PA 187 Travel up to one year after expiration date. After one year, applicant will need to reapply.
- PA 187 Travel, Certified Insurance Consultant, Fraternal Agents, Premium Finance Company, Reinsurance Intermediary Broker and Reinsurance Intermediary Manager applicants cannot enter 'Doing Business As' (DBA) name.
- Premium Finance Company applicants will need to complete required forms located on the Connecticut State Specific Requirements Page https://www.nipr.com/nrr_states.htm. Send completed forms to: cid.financial@ct.gov. Premium Finance Company questions can be directed to Ken Cotrone (860) 297-3994.
- Reinsurance Intermediary Brokers and Reinsurance Intermediary Managers will need to complete required forms located on the Connecticut State Specific Requirements Page. https://www.nipr.com/rules_ct_rl.htm. Send completed form to: cid.licensing@ct.gov  Reinsurance Intermediary Broker and Reinsurance Intermediary Manager questions can be directed to cid.licensing@ct.gov  attn: Reinsurance Application.
- If renewing Fraternal Agent Variable Life and Variable Annuity, applicant must also already actively hold, or renew Fraternal Agent Life line of authority simultaneously.

New Non-Uniform Questions

Description	Key Value	Value	Applicable For	Rule Action	Applications

All Fraternal Agent Variable applicants must provide FINRA CRD number.	3	FINRA CRD Number (Numeric characters, Limit = 10)	Individual Fraternal Agent Variable Life and Variable Annuity (52/6952)	Reject/Decline	RLR, NRR
--	---	---	---	----------------	----------

Producer Database (PDB) Impact:

- The state will display the new line of authority on PDB under the codes listed in the chart above.
- PDB Alert notifications will be sent.
- Certified Insurance Consultant line of authorities will be converted. See table below for details.

License Class	License Class PDB/PIN	Old LOA	Old PDB/ PIN	New LOA	New PDB/PIN
Certified Insurance Consultant	65	Accident and Health or Sickness	935/935	Life, Accident and Health or Sickness	12253/12253
		Casualty	11/11	Property and Casualty	624/624
		Life	16/16	Life, Accident and Health or Sickness	12253/12253
		Property	12/127	Property and Casualty	624/624

Please contact NIPR Support at support@nipr.com with any questions or for additional information.