TO ANNUAL STATEMENT CONTACT PERSON OF ALL INSURANCE COMPANIES DOMESTIC SURPLUS LINES INSURERS, FRATERNAL BENEFIT SOCIETIES AND HEALTH CARE CENTERS AUTHORIZED TO DO BUSINESS IN THE STATE OF CONNECTICUT

SUBJECT 2018 ANNUAL STATEMENT

Section 38a 53(a) of the Connecticut General Statutes requires that each domestic insurance company or health care center shall annually on or before the first day of March submit to the commissioner and electronically to the National Association of Insurance Commissioners (NAIC) a true and complete report prepared in accordance with the NAIC Annual Statement Instructions Handbook and following those accounting procedures and practices prescribed by the NAIC Accounting Practices and Procedures Manual. The securities owned by each such entity must be valued in accordance with those standards promulgated by the NAIC’s Capital Markets and Investment Analysis Office. An electronically filed report in accordance with section 38a 53a that is timely submitted to the NAIC does not exempt a domestic insurance company or health care center from timely filing a true and complete paper copy with the commissioner.

Section 38a 53(b) of the Connecticut General Statutes requires that each foreign insurance company shall annually on or before the first day of March submit to the commissioner by electronically filing with the NAIC a true and complete report prepared in accordance with the NAIC Annual Statement Instructions Handbook and following those accounting procedures and practices prescribed by the NAIC Accounting Practices and Procedures Manual. An electronically filed report in accordance with section 38a 53a that is timely submitted to the NAIC is deemed to have been submitted to the commissioner in accordance with this section.

Each of the addressed entities will also be required to report their financial condition on a quarterly basis during 2019 to this Department in the same manner as noted above. The format to be used is the 2018 edition of the quarterly blank adopted by the NAIC for the 2019 reporting year. Statements are due 45 days subsequent to the end of each quarter:

- March 31, 2019
- June 30, 2019
- September 30, 2019
- May 15, 2019
- August 15, 2019
- November 15, 2019

Section 38a 53a of the Connecticut General Statutes requires that each of the addressed corporations shall file an electronic copy of its Annual Statement and Quarterly Statements to the NAIC’s Support Services Office.
The appropriate filing checklist to be completed and submitted with each Financial Statement filed with this Department and the NAIC must be downloaded from the Department’s website at www.ct.gov/cid for your particular company type as follows: Fraternal Societies checklist notes general instructions, Health Insurers checklist notes general instructions, Life, Accident and Health Insurers checklist notes general instructions, Property and Casualty Insurers checklist notes general instructions, and Title Companies checklist notes and general instructions.

All DOMESTIC insurers must attach a statement with respect to the physical location(s) of all invested assets owned by the company (held by the company or under custodial or safekeeping agreement in Connecticut or out of state) including those securities on deposit with governmental agencies and book entry securities. Assets held outside of the United States under custodial or sub custodial agreements must be separately identified. Companies with separate account operations will be required to submit two Retention of Assets Statements—one for the general account and one for the separate account. A sample Retention of Assets Statement is attached for your reference.

All DOMESTIC companies must comply with the provisions of sections 38a 85 through 38a 88 of the Connecticut General Statutes and sections 38a 88 1 through 38a 88 12 of the Regulations of Connecticut State Agencies outlining the criteria which must be met to allow a domestic insurer to take credit either as an asset or deduction from a liability for ceded reinsurance. The list of licensed companies accredited reinsurers and certified reinsurers in Connecticut is located on the Department’s website. Please note that this listing is updated on a quarterly basis.

If you have any questions on this or any of the enclosed documents, you may contact the Financial Regulation Division at (860) 297 3814 or ctmsdept.financial@ct.gov.

Katharine L. Wade
Insurance Commissioner
Retention of Assets Statement

Physical Location of All Invested Assets

(Excludes Short term Investments) (Other includes assets used in the ordinary course of business such as letters of credit or funds held in trust to allow credit for reinsurance)

December 31 2018

Refer to CT Bulletin FS 4 18 for additional information

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<th>Invested Assets Owned by Company</th>
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<th>Bonds Par Value</th>
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Total Bonds Page 2

Total Common Stocks Page 2

Total Preferred Stocks Page 2

Total Other Page 2

Total Page 2