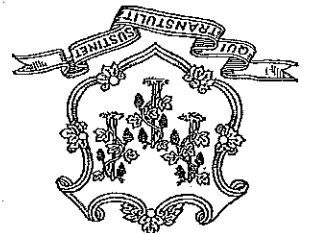


STATE OF CONNECTICUT  
DEPARTMENT OF SOCIAL SERVICES

CONTRACT AMENDMENT



Contractor: SOUTHWESTERN CONNECTICUT AGENCY ON AGING, INC.  
 Contractor Address: 1000 LAFAYETTE BLVD, 9TH FLOOR, BRIDGEPORT CT 06604  
 Contract Number: 0155SWA-CHC-04 / 13DSS6501XX  
 Amendment Number: A2  
 Amount as Amended: \$22,143,347.00  
 Contract Term as Amended: 07/01/13 - 06/30/18

The contract between Southwestern Connecticut Agency on Aging, Inc. (the Contractor) and the Department of Social Services (the Department), which was last executed by the parties and approved by the office of the Attorney General on 07/02/2015 is hereby further amended as follows:

1. The total maximum amount payable under this contract is increased by \$ 9,961,656.00 from \$12,181,691.00 to \$22,143,347.00 This increase is due to the two (2) year extension of the Personal Care Assistance (PCA) Waiver and Care Management services found in Amendment 1, (A1) of the Original Contract and two (2) year extension of the Connecticut Home Care Program (CHCP) of the Original Contract
2. Page one, the cover page, of the A1 of the Original Contract and the cover page one(1) of the Original Contract is hereby amended by:  
 a. replacing address of the Southwestern Connecticut Agency on Aging, Inc. shown as "10 Middle Street, Bridgeport CT 06604" with "1000 Lafayette Blvd, 9th Floor, Bridgeport CT 06604";
3. Part I, SECTION FOUR, labeled THE PCA WAIVER/CARE MANAGEMENT SUMMARY OF SERVICES, subsection B, labeled TERM of A1 of the Original Contract shall be amended, extending the term of the PCA Waiver Program for two (2) years, from June 30, 2016 to June 30, 2018.
4. Page one (1) labeled Contract Term of the Original Contract shall be amended, extending the term of the CHCP for two (2) years, from June 30, 2016 to June 30, 2018.
5. Part I, SECTION FOUR labeled THE PCA WAIVER/CARE MANAGEMENT SUMMARY OF SERVICES, subsection D, labeled SCOPE OF WORK 6, Contractor Service/Applicant and Client Assessment a. The Contractor shall conduct assessments adhering to specific requirements of A1 of the Original Contract shall be supplemented to include:
- 5) Complete the assessment process within ten working days of receiving the referral.
- 6) Request additional time from the Department when more than ten working days are needed to complete the assessment process, including the development of the plan of care, by submitting to the Department in advance.

6. Part I, SECTION TWO labeled SCOPE OF WORK A. CONTRACTOR RESPONSIBILITIES 3. Processes for Contractor Eligibility and Client Eligibility c. Applicant and Client Assessments and Reassessments of the Original Contract shall be amended as follows:

(17) Complete the assessment process within ten working days of receiving the referral.

(18) Request additional time from the Department when more than ten working days are needed to complete the assessment process, including the development of the plan of care, by submitting to the Department in advance.

7. Part I, SECTION E. labeled BUDGET AND PAYMENT of A1 of the Original Contract shall be supplemented with the following:

a. The budget for the services related to the PCA Waiver Program, is supplement to include the period between July 1, 2016 to June 30, 2018, shown on page four (4) of this agreement.

8. Part I, SECTION THREE labeled BUDGET AND PAYMENT of the Original Contract shall be supplemented with the following:

a. The budget for the services related to the CHCP, is supplement to include the period between July 1, 2016 to June 30, 2018, shown on page three (3) of this agreement.

Line #	Item/Total	SFY 2017	SFY 2018
1	CONTRACTUAL SERVICES		
	State		
	Waiver		
2	ADMINISTRATION		
	Administrative and General Staff	\$ 344,031.16	\$ 711,102.38
	Administrative and General Non-Salary	\$ 66,508.10	\$ 137,470.31
	TOTAL ADMINISTRATION	\$ 410,539.26	\$ 848,572.69
3	DIRECT PROGRAM STAFF		
	Direct services staff	\$ 3,436,188.13	\$ 7,174,564.95
	Direct Services non-Salary	\$ 664,285.62	\$ 1,386,990.48
	TOTAL DIRECT PROGRAM	\$ 4,100,473.74	\$ 8,561,555.44
4	OTHER COSTS		
	TOTAL OTHER COSTS	\$ -	\$ -
5	EQUIPMENT		
	Equipment maintenance	\$ 15,996.00	\$ 32,503.87
	PROGRAM INCOME	\$ 15,996.00	\$ 32,503.87
6	PROGRAM INCOME		
	Equipment maintenance	\$ 15,996.00	\$ 32,503.87
	TOTAL PROGRAM INCOME	\$ -	\$ -
7	TOTAL NET PROGRAM COST	\$ 4,527,009.00	\$ 9,442,632.00

(Sum of 1 through 5, minus Line 6)

TWO YEARS EXTENSION	TWO YEAR BUDGET
---------------------	-----------------

01SSWA-CHC-04/13DSS6501XX

PROGRAM NAME: Connecticut Home Care Programs

PROGRAM NAME:

TWO YEARS EXTENSION	TWO YEAR BUDGET
---------------------	-----------------

PROGRAM NAME:

CHC - PCA Waiver

Southwestern CT Agency on Aging, Inc.

SFY 2017	SFY 2018
7/1/16 -6/30/17	7/1/17 -6/30/18
Budget Year 4	Budget Year 5
12 months	12 months
24 months	24 months

\$ 246,150	\$ 272,874	\$ 519,024
<b>TOTAL CONTRACTUAL SERVICES</b>		

\$ 19,692	\$ 21,830	\$ 41,522
Administrative and General Staff		
\$ 4,923	\$ 5,457	\$ 10,380
Administrative and General Non-Salary		
\$ 24,615	\$ 27,287	\$ 51,902
<b>TOTAL ADMINISTRATION</b>		

\$ 177,228	\$ 196,469	\$ 373,697
Direct services staff		
\$ 44,307	\$ 49,117	\$ 93,424
Direct Services non-Salary		
\$ 221,535	\$ 245,587	\$ 467,122
<b>TOTAL DIRECT PROGRAM</b>		

\$ -	\$ -	\$ -
<b>TOTAL OTHER COSTS</b>		
<b>EQUIPMENT</b>		
Equipment maintenance		
<b>PROGRAM INCOME</b>		
Equipment maintenance		

\$ 246,150	\$ 272,874	\$ 519,024
<b>TOTAL NET PROGRAM COST</b>		

Line #	Item/Total
1	CONTRACTUAL SERVICES
2	ADMINISTRATION
3	DIRECT PROGRAM STAFF
4	OTHER COSTS
5	EQUIPMENT
6	PROGRAM INCOME
7	TOTAL PROGRAM INCOME
7	TOTAL NET PROGRAM COST

(Sum of 1 through 5, minus Line 6)



### Notice to Executive Branch State Contractors and Prospective State Contractors of Campaign Contribution and Solicitation Limitations

This notice is provided under the authority of Connecticut General Statutes §9-612(e)(2), as amended by P.A. 10-1, and is for the purpose of informing state contractors and prospective state contractors of the following law (italicized words are defined on the reverse side of this page).

#### CAMPAIGN CONTRIBUTION AND SOLICITATION LIMITATIONS

No state contractor, prospective state contractor, principal of a state contractor or principal of a prospective state contractor, with regard to a state contract or state contract solicitation with or from a state agency in the executive branch or a quasi-public agency or a holder, or principal of a holder of a valid prequalification certificate, shall knowingly solicit contributions from the state contractor's or prospective contractor's employees or from a subcontractor or principal of (i) an exploratory committee or candidate committee established by a candidate for nomination or election to the office of Governor, Lieutenant Governor, Attorney General, State Comptroller, Secretary of the State or State Treasurer, (ii) a political committee authorized to make contributions or expenditures to or for the benefit of such candidates, or (iii) a party committee. In addition, no holder or principal of a holder of a valid prequalification certificate, shall make a contribution to (i) an exploratory committee or candidate committee established by a candidate for nomination or election to the office of State senator or State representative, (ii) a political committee authorized to make contributions or expenditures to or for the benefit of such candidates, or (iii) a party committee. On and after January 1, 2011, no state contractor, prospective state contractor, principal of a state contractor or principal of a prospective state contractor, with regard to a state contract or state contract solicitation with or from a state agency in the executive branch or a quasi-public agency or a holder, or principal of a holder of a valid prequalification certificate, shall knowingly solicit contributions from the state contractor's or prospective contractor's employees or from a subcontractor or principal of (i) an exploratory committee or candidate committee established by a candidate for nomination or election to the office of Governor, Lieutenant Governor, Attorney General, State Comptroller, Secretary of the State or State Treasurer, (ii) a political committee authorized to make contributions or expenditures to or for the benefit of such candidates, or (iii) a party committee.

On and after January 1, 2011, no state contractor, prospective state contractor, principal of a state contractor or principal of a prospective state contractor, with regard to a state contract or state contract solicitation with or from a state agency in the executive branch or a quasi-public agency or a holder, or principal of a holder of a valid prequalification certificate, shall knowingly solicit contributions from the state contractor's or prospective contractor's employees or from a subcontractor or principal of (i) an exploratory committee or candidate committee established by a candidate for nomination or election to the office of Governor, Lieutenant Governor, Attorney General, State Comptroller, Secretary of the State or State Treasurer, (ii) a political committee authorized to make contributions or expenditures to or for the benefit of such candidates, or (iii) a party committee.

#### DUTY TO INFORM

State contractors and prospective state contractors are required to inform their principals of the above prohibitions, as applicable, and the possible penalties and other consequences of any violation thereof.

#### PENALTIES FOR VIOLATIONS

Contributions or solicitations of contributions made in violation of the above prohibitions may result in the following civil and criminal penalties:

**Civil penalties**—Up to \$2,000 or twice the amount of the prohibited contribution, whichever is greater, against a principal or a contractor. Any state contractor or prospective state contractor which fails to make reasonable efforts to comply with the provisions regarding notice to twice the amount of the prohibited contributions made by their principals.

**Criminal penalties**—Any knowing and willful violation of the prohibition is a Class D felony, which may subject the violator to imprisonment of not more than 5 years, or not more than \$5,000 in fines, or both.

#### CONTRACT CONSEQUENCES

In the case of a state contractor, contributions made or solicited in violation of the above prohibitions may result in the contract being voided.

In the case of a prospective state contractor, contributions made or solicited in violation of the above prohibitions shall result in the contract described in the state contract solicitation not being awarded to the prospective state contractor, unless the State Elections Enforcement Commission determines that mitigating circumstances exist concerning such violation.

The State shall not award any other state contract to anyone found in violation of the above prohibitions for a period of one year after the election for which such contribution is made or solicited, unless the State Elections Enforcement Commission determines that mitigating circumstances exist concerning such violation.

Additional information may be found on the website of the State Elections Enforcement Commission, [www.ct.gov/sec](http://www.ct.gov/sec). Click on the link to "Lobbyist/Contractor Limitations."



SIGNATURES AND APPROVALS

0155WA-CHC-04 / 13DSS6501XX A2

The Contractor IS a Business Associate under the Health Insurance Portability and Accountability Act of 1996 as amended.

Documentation necessary to demonstrate the authorization to sign must be attached.

CONTRACTOR - SOUTHWESTERN CONNECTICUT AGENCY ON AGING, INC.

*Marie L. Allen*  
Marie L. Allen, Executive Director  
Date 6/31/2015

DEPARTMENT OF SOCIAL SERVICES

*Roderick L. Bremby*  
Roderick L. Bremby, Commissioner  
Date 6/30/2014

OFFICE OF THE ATTORNEY GENERAL

*Robert W. Clark*  
ASST. / ASSOC. ATTORNEY GENERAL (Approved as to form)  
Date 7/14/16



# STATE OF CONNECTICUT GIFT AND CAMPAIGN CONTRIBUTION CERTIFICATION

Written or electronic certification to accompany a State contract with a value of \$50,000 or more, pursuant to C.G.S. §§ 4-250, 4-252(c) and 9-612(f)(2) and Governor Dannel P. Malloy's Executive Order 49.

### INSTRUCTIONS:

Complete all sections of the form. Attach additional pages, if necessary, to provide full disclosure about any lawful campaign contributions made to campaigns of candidates for statewide public office or the General Assembly, as described herein. Sign and date the form, under oath, in the presence of a Commissioner of the Superior Court or Notary Public. Submit the completed form to the awarding State agency at the time of initial contract execution and if there is a change in the information contained in the most recently filed certification, such person shall submit an updated certification either (i) not later than thirty (30) days after the effective date of such change or (ii) upon the submittal of any new bid or proposal for a contract, whichever is earlier. Such person shall also submit an accurate, updated certification not later than fourteen days after the twelve-month anniversary of the most recently filed certification or updated certification.

**CHECK ONE:**  Initial Certification  12 Month Anniversary Update (Multi-year contracts only.)

Updated Certification because of change of information contained in the most recently filed certification or twelve-month anniversary update.

### GIFT CERTIFICATION:

As used in this certification, the following terms have the meaning set forth below:

- 1) "Contract" means that contract between the State of Connecticut (and/or one or more of its agencies or instrumentalities) and the Contractor, attached hereto, or as otherwise described by the awarding State agency below;
- 2) If this is an Initial Certification, "Execution Date" means the date the Contract is fully executed by, and becomes effective between, the parties; if this is a twelve-month anniversary update, "Execution Date" means the date this certification is signed by the Contractor;
- 3) "Contractor" means the person, firm or corporation named as the contractor below;
- 4) "Applicable Public Official or State Employee" means any public official or state employee described in C.G.S. §4-252(c)(1)(i) or (ii);
- 5) "Gift" has the same meaning given that term in C.G.S. § 4-250(1);
- 6) "Principals or Key Personnel" means and refers to those principals and key personnel of the Contractor, and its or their agents, as described in C.G.S. §§ 4-250(5) and 4-252(c)(1)(B) and (C).

I, the undersigned, am a Principal or Key Personnel of the person, firm or corporation authorized to execute this certification on behalf of the Contractor. I hereby certify that, no gifts were made by (A) such person, firm, corporation, (B) any principals and key personnel of the person firm or corporation who participate substantially in preparing bids, proposals or negotiating state contracts or (C) any agent of such, firm, corporation, or principals or key personnel who participate substantially in preparing bids, proposals or negotiating state contracts, to (i) any public official or quasi-public agency soliciting bids or proposals for state contracts or the negotiation or award of state contracts or (ii) any public official or state employee of any other state agency, who has supervisory or appointing authority over such state agency or quasi-public agency.

I further certify that no Principals or Key Personnel know of any action by the Contractor to circumvent (or which would result in the circumvention of) the above certification regarding Gifts by providing for any other Principals, Key Personnel, officials, or employees of the Contractor, or its or their agents, to make a gift to any Applicable Public Official or State Employee. I further certify that the Contractor made the bid or proposal for the Contract without fraud or collusion with any person.



**CAMPAIGN CONTRIBUTION CERTIFICATION:**

I further certify that, on or after January 1, 2011, neither the Contractor nor any of its principals, as defined in C.G.S. § 9-612(f)(1), has made any **campaign contributions** to, or solicited any contributions on behalf of, any exploratory committee, candidate committee, political committee, or party committee established by, or supporting or authorized to support, any candidate for statewide public office, in violation of C.G.S. § 9-612(f)(2)(A). I further certify that all **lawful campaign contributions** that have been made on or after January 1, 2011 by the Contractor or any of its principals, as defined in C.G.S. § 9-612(f)(1), to, or solicited on behalf of, any exploratory committee, candidate committee, political committee, or party committee established by, or supporting or authorized to support any candidates for statewide public office or the General Assembly, are listed below:

**Lawful Campaign Contributions to Candidates for Statewide Public Office:**

Contribution	Date	Name of Contributor	Recipient	Value	Description
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**Lawful Campaign Contributions to Candidates for the General Assembly:**

Contribution	Date	Name of Contributor	Recipient	Value	Description
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Sworn as true to the best of my knowledge and belief, subject to the penalties of false statement.

*SUSTA Agency Consulting Inc*  
Printed Contractor Name  
*Maria Allen*  
Printed Name of Authorized Official

Signature of Authorized Official

Subscribed and acknowledged before me this 27 day of June, 2016

Commissioner of the Superior Court (or Notary Public)

**RACHAEL HARVEY**  
My Commission Expires Jan 31, 2020  
My Commission Expires Jan 31, 2020  
My Commission Expires Jan 31, 2020

# STATE OF CONNECTICUT CONSULTING AGREEMENT AFFIDAVIT



Affidavit to accompany a bid or proposal for the purchase of goods and services with a value of \$50,000 or more in a calendar or fiscal year, pursuant to Connecticut General Statutes §§ 4a-81(a) and 4a-81(b). For sole source or no bid contracts the form is submitted at time of contract execution.

### INSTRUCTIONS:

If the bidder or vendor has entered into a consulting agreement, as defined by Connecticut General Statutes § 4a-81(b)(1): Complete all sections of the form. If the bidder or contractor has entered into more than one such consulting agreement, use a separate form for each agreement. Sign and date the form in the presence of a Commissioner of the Superior Court or Notary Public. If the bidder or contractor has not entered into a consulting agreement, as defined by Connecticut General Statutes § 4a-81(b)(1): Complete only the shaded section of the form. Sign and date the form in the presence of a Commissioner of the Superior Court or Notary Public.

Submit completed form to the awarding State agency with bid or proposal. For a sole source award, submit completed form to the awarding State agency at the time of contract execution.

This affidavit must be amended if there is any change in the information contained in the most recently filed affidavit not later than (i) thirty days after the effective date of any such change or (ii) upon the submittal of any new bid or proposal, whichever is earlier.

**AFFIDAVIT:** [Number of Affidavits Sworn and Subscribed On This Day: \_\_\_\_\_]

I, the undersigned, hereby swear that I am a principal or key personnel of the bidder or contractor awarded a contract, as described in Connecticut General Statutes § 4a-81(b), or that I am the individual awarded such a contract who is authorized to execute such contract. I further swear that I have not entered into any consulting agreement in connection with such contract, except for the agreement listed below:

Consultant's Name and Title	Start Date	End Date	Cost	Name of Firm (if applicable)
D. Jordan	10/1/11	Open Ended	Varies	Intaden, LLC

Description of Services Provided: Computer Maintenance

Is the consultant a former State employee or former public official?  YES  NO

IF YES:

Name of Former State Agency \_\_\_\_\_  
Termination Date of Employment \_\_\_\_\_

Sworn as true to the best of my knowledge and belief, subject to the penalties of false statement.

Printed Name of Bidder or Contractor Marie K. Allen/Sueann Signature of Principal or Key Personnel [Signature] Date 10/17/16

Printed Name (of above) Marie Allen Awarding State Agency \_\_\_\_\_

Sworn and subscribed before me on this 27 day of June, 2016.

Commissioner of the Superior Court

or Notary Public

**RACHAEL HARVEY**  
 Notary Public, State of Connecticut  
 My Commission Expires Jan. 31, 2020



STATE OF CONNECTICUT

AFFIRMATION OF RECEIPT OF STATE ETHICS LAWS SUMMARY

Written or electronic affirmation to accompany a large state construction or procurement contract, having a cost of more than \$500,000, pursuant to Connecticut General Statutes §§ 1-101mm and 1-101qq

INSTRUCTIONS:

Complete all sections of the form. Submit completed form to the awarding State agency or contractor, as directed below.

CHECK ONE:

I am a person seeking a large State construction or procurement contract. I am submitting this affirmation to the awarding State agency with my bid or proposal. [Check this box if the contract will be awarded through a competitive process.]

I am a contractor who has been awarded a large State construction or procurement contract. I am submitting this affirmation to the awarding State agency at the time of contract execution. [Check this box if the contract was a sole source award.]

I am a subcontractor or consultant of a contractor who has been awarded a large State construction or procurement contract. I am submitting this affirmation to the contractor.

I am a contractor who has already filed an affirmation, but I am updating such affirmation either (i) no later than thirty (30) days after the effective date of any such change or (ii) upon the submission of any new bid or proposal, whichever is earlier.

IMPORTANT NOTE:

Within fifteen (15) days after the request of such agency, institution or quasi-public agency for such affirmation contractors shall submit the affirmations of their subcontractors and consultants to the awarding State agency. Failure to submit such affirmations in a timely manner shall be cause for termination of the large State construction or procurement contract.

AFFIRMATION:

I, the undersigned person, contractor, subcontractor, consultant, or the duly authorized representative thereof, affirm (1) receipt of the summary of State ethics laws\* developed by the Office of State Ethics pursuant to Connecticut General Statutes § 1-81b and (2) that key employees of such person, contractor, subcontractor, or consultant have read and understand the summary and agree to comply with its provisions.

\* The summary of State ethics laws is available on the State of Connecticut's Office of State Ethics website.

Signature: Maria L. Allen

Printed Name: Maria L. Allen

Firm or Corporation (if applicable): Sus CT Agency on Aging, Inc

Street Address: 1000 Lafayette Blvd. gm floor

City: Bridgport

State: CT

Zip: 06604

Awarding State Agency



STATE OF CONNECTICUT

Written or electronic PDF copy of the written certification to accompany a large state contract pursuant to P.A. No. 13-162 (Prohibiting State Contracts with Entities Making Certain Investments in Iran)

INSTRUCTIONS:

CHECK ONE:  Initial Certification,  Amendment or renewal.

A. Who must complete and submit this form. Effective October 1, 2013, this form must be submitted for any large state contract, as defined in section 4-250 of the Connecticut General Statutes. This form must always be submitted with the bid or proposal, or if there was no bid process, with the resulting contract, regardless of where the principal place of business is located. Pursuant to P.A. No. 13-162, upon submission of a bid or prior to executing a large state contract, the certification portion of this form must be completed by any corporation, general partnership, limited liability partnership, joint venture, nonprofit organization or other business organization whose principal place of business is located outside of the United States. United States subsidiaries of foreign corporations are exempt. For purposes of this form, a "foreign corporation" is one that is organized and incorporated outside the United States of America.

Check applicable box:

Respondent's principal place of business is within the United States or Respondent is a United States subsidiary of a foreign corporation. Respondents who check this box are not required to complete the certification portion of this form, but must submit this form with its Invitation to Bid ("ITB"), Request for Proposal ("RFP") or contract package if there was no bid process.  Respondent's principal place of business is outside the United States and it is not a United States subsidiary of a foreign corporation. CERTIFICATION required. Please complete the certification portion of this form and submit it with the ITB or RFP response or contract package if there was no bid process.

B. Additional definitions.

- 1) "Large state contract" has the same meaning as defined in section 4-250 of the Connecticut General Statutes;
2) "Respondent" means the person whose name is set forth at the beginning of this form; and
3) "State agency" and "quasi-public agency" have the same meanings as provided in section 1-79 of the Connecticut General Statutes.

C. Certification requirements.

No state agency or quasi-public agency shall enter into any large state contract, or amend or renew any such contract with any Respondent whose principal place of business is located outside the United States and is not a United States subsidiary of a foreign corporation unless the Respondent has submitted this certification. Complete all sections of this certification and sign and date it, under oath, in the presence of a Commissioner of the Superior Court, a Notary Public or a person authorized to take an oath in another state.

CERTIFICATION:

I, the undersigned, am the official authorized to execute contracts on behalf of the Respondent. I certify that:
[ ] Respondent has made no direct investments of twenty million dollars or more in the energy sector of Iran on or after October 1, 2013, as described in Section 202 of the Comprehensive Iran Sanctions, Accountability and Divestment Act of 2010, or Respondent has either made direct investments of twenty million dollars or more in the energy sector of Iran on or after October 1, 2013, as described in Section 202 of the Comprehensive Iran Sanctions, Accountability and Divestment Act of 2010, or Respondent made such an investment prior to October 1, 2013 and has now increased or renewed such an investment on or after said date, or both.
Sworn as true to the best of my knowledge and belief, subject to the penalties of false statement.

Printed Respondent Name

Printed Name of Authorized Official

Signature of Authorized Official

Subscribed and acknowledged before me this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_.

Commissioner of the Superior Court (or Notary Public)

My Commission Expires

STATE OF CONNECTICUT  
NONDISCRIMINATION CERTIFICATION - New Resolution  
By Entity  
For Contracts Valued at \$50,000 or More



Documentation in the form of a corporate, company, or partnership policy adopted by resolution of the board of directors, shareholders, managers, members or other governing body of a contractor that certifies the contractor complies with the nondiscrimination agreements and warranties under Connecticut General Statutes §§ 4a-60(a)(1) and 4a-60a(a)(1), as amended

INSTRUCTIONS:

For use by an entity (corporation, limited liability company, or partnership) when entering into any contract type with the State of Connecticut valued at \$50,000 or more for any year of the contract. Complete all sections of the form. Submit to the awarding State agency prior to contract execution.

CERTIFICATION OF RESOLUTION:

I, Marie K. Allen, Executive Director, of Southwestern Agency on Aging, Inc., Authorized Signatory, Title Executive Director, Name of Entity Southwestern Agency on Aging, Inc., an entity duly formed and existing under the laws of Connecticut, Name of State or Commonwealth

certify that the following is a true and correct copy of a resolution adopted on the 19 day of November, 2015 by the governing body of Southwestern Agency on Aging, Inc., Name of Entity

in accordance with all of its documents of governance and management and the laws of Connecticut, Name of State or Commonwealth, and further certify that such resolution has not been modified or revoked, and is in full force and effect.

RESOLVED: That the policies of Southwestern Agency on Aging, Inc., Name of Entity, comply with the nondiscrimination agreements and warranties of Connecticut General Statutes

§§ 4a-60(a)(1) and 4a-60a(a)(1), as amended.

The undersigned has executed this certificate this 27 day of June, 2016.

Authorized Signatory M. Allen  
Date 6/27/16

Printed Name Marie K. Allen

STATE OF CONNECTICUT  
NONDISCRIMINATION CERTIFICATION — Prior Resolution



By Entity  
For Contracts Valued at \$50,000 or More

Documentation in the form of a corporate, company, or partnership policy adopted by a prior resolution of the board of directors, shareholders, managers, members or other governing body of a contractor that certifies the contractor complies with the nondiscrimination agreements and warranties under Connecticut General Statutes § 4a-60(a)(1) and 4a-60a(a)(1), as amended.

INSTRUCTIONS:

For use by an entity (corporation, limited liability company, or partnership) when entering into any contract type with the State of Connecticut valued at \$50,000 or more for any year of the contract. Complete all sections of the form. Attach copy of previously adopted resolution (State of CT, Nondiscrimination Certification, Form D: New Resolution). Submit all documentation to the awarding State agency prior to contract execution.

CERTIFICATION OF PRIOR RESOLUTION:

I, the undersigned, am a duly authorized corporate officer or member of SUD CT Agency Staffing Inc Name of Entity  
I have reviewed the attached prior resolution. I certify that:

(1) the attached prior resolution complies with the nondiscrimination agreements and warranties of Connecticut General Statutes § 4a-60(a)(1) and 4a-60a(a)(1), as amended; and  
(2) the prior resolution remains in full force and effect on the date this documentation is submitted to the awarding State agency.

awarding State agency.  
Authorized Signatory Marie K Allen  
Printed Name  
Date 6/27/14  
Title Executive Director

RESERVED FOR STATE USE

I, the undersigned head of the awarding State agency, or designee, certify that the attached prior resolution complies with the nondiscrimination agreements and warranties of Connecticut General Statutes § 4a-60(a)(1) and 4a-60a(a)(1), as amended.

Signature of Agency Head (or designee)

Date

Awarding State Agency

Atlix Corporate Seal

Eiva N. Thompson, Secretary

*Eiva N. Thompson*

Dated at Bridgeport, Connecticut this 21 day of June 2016.

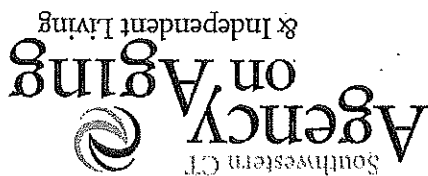
November 19, 2015.

I HEREBY CERTIFY THAT THE FOREGOING RESOLUTION WAS DULY ADOPTED AND IS IN FULL FORCE AND EFFECT AND WAS IN EFFECT ON

Resolved, that effective November 19, 2015, either Samuel Deibler, Chairman of the Board of Directors, or Phillip Zolla, Vice Chairman or Marie L. Allen, Executive Director, acting with both joint and several authority, is authorized to execute all contracts, amendments and agreements, on behalf of the corporation.

At a duly called meeting of the Board of Directors of the Southwestern Connecticut Agency on Aging, Inc., a Connecticut non-stock corporation held on November 19, 2015, at which a quorum was present, the following motion was made and unanimously passed:

**BOARD OF DIRECTORS RESOLUTION  
CONTRACT EXECUTION**



WORKERS COMPENSATION AND EMPLOYERS LIABILITY POLICY

INSURER: HARTFORD UNDERWRITERS INSURANCE COMPANY

ONE HARTFORD PLAZA, HARTFORD, CONNECTICUT 06155

NCCI Company Number: 10456

Company Code: 6



WB

POLICY NUMBER: 31 WB CG6875

Previous Policy Number: 31 WB CG6875

HOUSING CODE: K1

1. Named Insured and Mailing Address: SOUTHWESTERN CONNECTICUT AGENCY ON AGING INC

(No., Street, Town, State, Zip Code)

1000 LABAYETTE BLVD FL 9 BRIDGEPORT, CT 06604

FEIN Number: 060016607

State Identification Number(s):

UN:

The Named Insured is: CORPORATION

Business of Named Insured: CONSULTANTS

Other workplaces not shown above: 1000 LABAYETTE BLVD FL 9 BRIDGEPORT CT 06604

2. Policy Period: From 09/30/15 To 09/30/16

12:01 a.m., Standard time at the insured's mailing address.

Producer's Name: SBERRA & DELVACHCHIO LLC

386 MAIN STREET MIDDLETOWN, CT 06457

804746

Producer's Code: 804746

THE HARTFORD

301 WOODS PARK DRIVE

CLINTON

(800) 962-6170

NY 13323

Total Estimated Annual Premium:

Deposit Premium:

Policy Minimum Premium: (INCLUDES INCREASED LIMIT MIN. PREM.)

Audit Period: ANNUAL

The policy is not binding unless countersigned by our authorized representative.

Countersigned by

*Susan F. Castagna*

Authorized Representative

Date 07/10/15