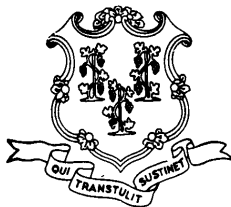


**STATE OF CONNECTICUT
DEPARTMENT OF CONSUMER PROTECTION**

License Services Division
450 Columbus Blvd, Ste. 801
Hartford, CT 06103
Email: dcp.licenseservices@ct.gov
Website: www.ct.gov/dcp



Real Estate Broker Business Entity Instructions

This application is for a Corporation, Limited Liability Company, or Partnership to obtain a Connecticut broker license.

All Applicants Must:

- The individual holds a Connecticut real estate broker license, or the individual recently passed the CT real estate broker licensing examination **OR**
- The individual holds an active broker license in a reciprocal state issued by examination. (Note: Reciprocal applicants from OH, FL, IL, IN will be required to take the State Portion of the Connecticut Real Estate Licensing Examination) **OR**
- The business entity holds a broker’s license in a reciprocal state and the designated broker is licensed by examination.

AND

- Register the entity with the Connecticut Secretary of the State (www.business.ct.gov) and attach a copy of one of the following: Partnership Agreement, Articles of Organization or Articles of Incorporation
- If licensed in another state, obtain a written certificate of license history/license verification directly from the licensing authority in every state in which you currently hold a real estate license.
- Except for a publicly traded corporation there must be one or more licensed broker(s) who own at least 51% of the legal entity. No salesperson may have a controlling interest in the legal entity.

Fees:

- \$1,270.00** Legal Entity License Fees (\$120.00 application fee, \$1,130.00 license fee, \$20.00 guaranty fund fee) &
- \$120.00** Application Fee for the designated broker (not required if designee is currently licensed as a Connecticut individual broker or recently passed the Connecticut broker licensing examination)

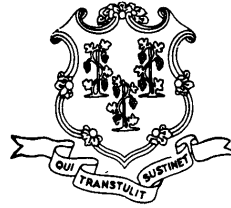
All payments must be in the form of a check or money order made payable to, *“Treasurer, State of Connecticut.”* Application fees are non-refundable.

Regarding Salespeople Who Wish to Affiliate with This Entity

- Connecticut licensed salespeople who wish to be affiliated with this legal entity must complete a Real Estate Salesperson Transfer Form. The \$25.00 transfer fee is required for each salesperson.
- Salespeople and brokers not licensed in Connecticut must apply for a Connecticut license if they will engage in the real estate business in Connecticut under the name of the business entity.

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For Official Use Only

Real Estate Broker Business Entity Application

Please be sure you have read and understood all licensing requirements prior to applying. Return this completed application, required documentation and applicable fee(s) to the address above. Application fees are non-refundable.

Section I: Business Entity Information

Name of Business Entity			
Office Address	City	State	Zip Code
Mailing Address (if different from above)	City	State	Zip Code
Telephone Number	Email Address to be used for all correspondence		
Will you be using a Trade Name? <input type="checkbox"/> YES <input type="checkbox"/> NO If Yes, attach a copy of the Trade Name Certificate.			

Section II: Designated Broker for this Entity

Name of Designated Broker			
CT Broker License # (if applicable)	Date of Birth	Social Security Number	Telephone Number
Residence Address	City	State	Zip Code

Section III: Professional & Criminal History

1. Has the designee or any officers/ members of the business entity ever had a professional license or certification refused, suspended, or revoked in any state or D.C.? <input type="checkbox"/> YES <input type="checkbox"/> NO If Yes, attach a statement providing the dates and state(s) where the action was taken and a copy of the disciplinary order(s).
2. Has the designee or any of the officers/ members of the entity ever been convicted of a crime related to forgery, embezzlement, obtaining money under false pretenses, extortion, criminal conspiracy to defraud or any like offenses? <input type="checkbox"/> YES <input type="checkbox"/> NO If Yes, attach a detailed statement providing the date(s) and nature of conviction(s) and where cases were decided.
3. Has the designee or any officers/ members of the business entity ever been convicted of a felony? <input type="checkbox"/> YES <input type="checkbox"/> NO If Yes, attach a statement providing the dates and state(s) where the action was taken and a copy of the disciplinary order(s).
4. Has any complaint been filed the designee or any officer/ member of this business entity with this office or any other State or D.C.? <input type="checkbox"/> YES <input type="checkbox"/> NO If Yes, please provide details regarding the complaint(s).

Section IV: Consent for Service for All Non- CT Residents

The undersigned _____ county of _____
Printed Name of Applicant

State of _____ I, applicant hereby file irrevocably consent that suits and actions may be commenced against such applicant in the proper court in any judicial district of the state in which a cause of action may arise or in which the plaintiff may reside, and that in the event proper service of process cannot be made upon such applicant in any such proceeding, service may be made by service of process or pleading authorized by the laws of Connecticut on the Chairperson of the Connecticut Real Estate Commission, hereby stipulating and agreeing that such service of such process or pleading upon the said Chairperson of the Connecticut Real Estate Commission shall be taken and held in all courts to be as valid and binding as if service had been made upon said applicant in the State of Connecticut. In accordance with Section 20-317(b) CGS.

Signature of Designated Broker

Date

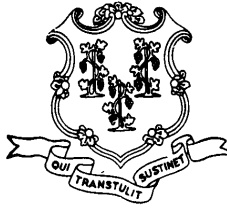
Section V: Attestation- All Applicants

I, _____ being duly sworn according to law, hereby affirm that the answers
Printed Name of Designated Broker
given in this application are true to the best of my knowledge and belief and that this application is made for the sole purpose of obtaining a Real Estate license.

Signature of Designated Broker

Date

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Real Estate Business Entity Affidavit of Ownership

List all members and officers of the legal entity (attach additional forms as needed). Except for a publicly traded corporation there must be one or more licensed broker(s) who owns 51% or more of the entity. No salesperson may have a controlling interest in the legal entity.

Name of Legal Entity	Date Affidavit Completed
Name of Designated Broker	Email Address

Check (✓) the appropriate box: Publicly Traded Corporation Non-Stock Corporation Closed Stock Corporation
 Partnership Limited Liability Company

Members & Officers				
First Name	Middle Initial	Last Name		
Mailing Address	City	State	Zip Code	
Title	% Ownership /Stock	Is the Member/Officer: <input type="checkbox"/> Salesperson <input type="checkbox"/> Broker <input type="checkbox"/> Unlicensed	State/License Number	
First Name	Middle Initial	Last Name		
Mailing Address	City	State	Zip Code	
Title	% Ownership /Stock	Is the Member/Officer: <input type="checkbox"/> Salesperson <input type="checkbox"/> Broker <input type="checkbox"/> Unlicensed	State/License Number	
First Name	Middle Initial	Last Name		
Mailing Address	City	State	Zip Code	
Title	% Ownership /Stock	Is the Member/Officer: <input type="checkbox"/> Salesperson <input type="checkbox"/> Broker <input type="checkbox"/> Unlicensed	State/License Number	

All changes in ownership or control of a legal entity shall be reported within 30 days of such change by filing a new Affidavit of Ownership.

Attestation
<p>I hereby attest under penalty of Law the information contained in this Affidavit of Ownership is accurate to the best of my knowledge.</p> <p>_____</p> <p><i>Signature of Designated Broker</i></p>