

***Juan F.* v. Malloy Exit Plan  
Status Report  
April 1, 2016 – September 30, 2016  
Civil Action No. 2:89 CV 859 (SRU)**

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## **Juan F. v Malloy Exit Plan Status Report** **April 1, 2016 – September 30, 2016**

### **Highlights**

- The Court Monitor’s findings regarding the 2006 Revised Exit Plan Outcome Measures indicate that the Department maintained compliance with 16 of the 22 measures during the Second Quarter 2016 and 16 of 22 measures for the Third Quarter 2016. The measures met were not the same 16 measures for both quarters. The summary chart on page 12 provides the overall performances and percentages. Of the measures that did not meet the established standards in the Second and Third Quarters, the most critical are similar to those as reported in the last status report and involve the Department’s case planning process, meeting children and families service needs, appropriate visitation with household and family members of the agency’s in-home cases, and excessive caseloads for Social Work staff.
- The ongoing meetings between the *Juan F.* parties referenced in the previous report resulted in an agreement on a 2016 Revised Exit Plan. The agreement was made possible due to the revitalized effort, progress and commitment by DCF to address longstanding deficiencies and the recognition that an adequate stable workforce and consistent appropriate services were necessary to address identified areas needing improvement and make additional progress and thus exit from the *Juan F.* case. A copy of the proposed agreement is attached as Appendix A.

The proposed 2016 Revised Exit Plan reflected the considered agreement of the parties as to what actions would be necessary, and at what minimum resource level for the State to finally deliver the relief ordered by the Court. It was then, and remains clear now, that the State’s past and present unwillingness to consistently commit the necessary resources to the agency has been one of the principal undisputed reasons the current 22 Outcome Measures have not been met and sustained. The Court Monitor’s Quarterly Reports have repeatedly identified that the insufficiency and instability of staffing along with the lack of readily available critical and essential services have resulted in unmet needs for children and families. Inadequate staffing and resource issues result in staff with excessive workloads that render even the most dedicated personnel unable to provide quality case management services. Thorough investigations, sufficient visitation, adequate care coordination, focused and inclusive case planning, standardized assessment, appropriate court interventions, sufficient service provision, effective permanency work and timely and comprehensive foster care licensing and support work are all currently undermined by excessive workloads and inadequate services.

The revised plan was approved and supported by the Governor, the Secretary of the Office of Policy and Management (OPM), the Attorney General’s Office, the Federal Court Monitor and the *Juan F.* Plaintiffs. The Court reviewed the proposed 2016 Revised Exit Plan on September 28, 2016 and expressed its support. The State requested the Court’s formal approval be delayed until the plan could be submitted for approval by the Connecticut General Assembly pursuant to Conn. Gen. Stat. Sect. 3-125. The

Plaintiffs did not agree that the state law is applicable, and the Court has not ruled on the issue.

The parties' agreement was rejected by the General Assembly on February 1, 2017. Thus, the parties continue to operate under the terms of the 2006 Juan F. Revised Exit Plan (Order 569).

On February 1, 2017, acting under the 2005 Revised Monitoring Order, the plaintiffs provided notice of actual or likely non-compliance with the 2006 Juan F. Revised Exit Plan. Under the terms of that Order, the parties are to confer for 30 days (extendable on consent of the parties) and see if they can resolve the issue of noncompliance. (See Appendix B for a copy of the letter).

On February 9, 2017, the Governor announced his budget calling for funding for DCF in fiscal year 2017-18 of \$807,819,400 and for fiscal year 2018-2019 of \$811,700,124.

- The standards set forth for the Department are comprehensive, necessary and achievable yet extremely challenging. The Department has areas of their work that can be deemed as strengths and areas that clearly need improvement. These determinations are established and have been articulated through years of Court Monitor's reporting but also via the considerable data the Department collects and the internal DCF Quality Assurance (QA) work that is done both in a qualitative and quantitative manner. This information is confirmed and/or informed by the analysis and findings advanced by external entities such as the Office of the Child Advocate, Legislative Review efforts, federal review activities, the Annie E. Casey Foundation, etc. The dilemma faced when reviewing the agency's systemic or case specific actions is how to correctly ascertain the root causes that lead to positive outcomes vs. negative outcomes for children and families.

Additional staffing and community resources are sorely needed. They must be utilized in conjunction with the implementation of significant practice improvements that are also required. Improving the Department's efforts in areas like formal assessments, purposeful visitation, effective supervision, service provision, care coordination, and case planning require additional staffing and services as well as an adherence to best practice standards and protocols. What has become abundantly clear is that the expectation that the Department can routinely meet all of the *Juan F.* requirements is not feasible under the current conditions. As of the writing of this report, there are:

- 94 Social Workers over the 100% caseload limit.
- 10 staff have been over the 100% limit more than 30 days and 15 others will cross that line in the next few days.
- 590 Social Workers are working with caseloads more than 80% of the maximum limit.
- 58% of the Intake workers are carrying more than 12 cases at this time.
- 52.9% of the Ongoing Workers are over 80% of the maximum caseload limit.
- The current utilization rate which is defined as the average caseload of all caseload carrying Social Workers is 80.29%.

These workload conditions and the current caseload utilization rate are inadequate to achieve full implementation and compliance with *Juan F.* obligations.

- The positive impact of hiring of Social Workers and Social Work Supervisors during the last review period has been offset by rising caseloads, the impact of excessive workload, and challenges with the availability of community resources. In addition, a current hold on hiring is resulting in the agency not filling necessary positions for critical staff such as: foster care, case aide, clinical, clerical or fiscal positions.
- Last year a request was made by AFSCME Local 2663 and DCF for the Court Monitor's Office to conduct a time study of the agency workforce. The Office will be releasing this report to both parties in the next week. The study has four components which include: focus groups, a time study review of 30 Social Workers, an in-depth review of a 12-person subsample of the 30 staff, and a mathematically calculated model for the total time it would take a worker to comply with fundamental required tasks for one month for both Investigations and Ongoing Services. The primary component included data gathered from 30 social work staff over a one month period using a formal tool that allowed for coding their work every 15 minutes.

The key finding from this study is that none of the 30 Social Workers was able to meet the multiple basic standards of case management set forth by statute, regulation, and policy i.e. visitation, documentation, case planning, investigation, court work, collateral contacts, supervision, internal and external meetings etc. within a 40-hour work week. In fact, the basic standards could not be met by staff even with considerable amounts of paid and unpaid overtime recorded. The quantity and quality their work was clearly compromised when caseload levels exceeded 75-80%.

- A recent arbitration decision restored the ability of DCF staff to transfer from one DCF site to another. This impacts over 120 staff on the transfer list at the time of this report. During the time period it takes to facilitate these transfers the Department is unable to fill the approximately current vacancies (approximately 60) that exist and that number of vacancies will grow by 7-10 staff each month due to regular attrition. The shifting of 120+ staff will likely cause over 3000 cases to be re-assigned statewide. While a strong case must be made for the ability of staff to transfer, studies and information gleaned from the day-to-day review of DCF cases demonstrate that a primary negative impact on children and family outcome measures is largely determined by the number of times their case is re-assigned to a new Social Worker. Implementing this many social worker transfers at one moment will have detrimental effects on the children and families served by DCF. It will be similar to the previously documented drop in performance that outcome measures suffered when lengthy hiring freezes are followed by a mass hiring of 100+ new staff.
- Over the last few quarters of 2016, the Court Monitor's Office worked collaboratively with the DCF on a quality assurance initiative involving the Differential Response (DRS) work of the agency. This includes both the Investigation and Family Assessment Response (FAR) work that is conducted across the state. More than 150 cases have been

reviewed statewide utilizing a draft version of a formal tool to ascertain the baseline of performance related to strengths and weaknesses in the Department's Differential Response efforts. This effort is being utilized by DCF to improve this area of service to children and families. This system review detailed that many cases had appropriate investigation or DRS efforts, but also revealed other cases where inconsistencies and challenges exist. A number of these areas included; timely assessment utilizing the Structured Decision Making model (SDM), family and collateral contacts, supervision, workload and documentation. The Department is continuing this statewide review utilizing their own QA on an ongoing basis in each office. In addition, the Court Monitor has been briefed on revised investigation and FAR policy and training is set to be implemented as well as revisions followed by training regarding SDM. As with Ongoing Services work, the impact on quality associated with high caseload/workload is well documented but staffing increases have not been fully addressed, as indicated earlier in this summary.

- The following information regarding a Department's QA effort is being repeated from the last quarterly report. "Connecticut is one of only a handful of states that has received permission and successfully transformed from a federal Child and Family Services Review (CFSR) process that was conducted by external federal reviewers to a CFSR process conducted by DCF staff. This process involves a thoroughly tested review instrument being used by the federal government in all states. This was a huge undertaking, but underscored the value this administration has placed on becoming less dependent on outside review of their work. This new process will be posed to provide a good portion of the evaluation and analysis currently conducted by the Court Monitor's Office at the conclusion of the *Juan F.* Consent Decree. This new process has allowed DCF, the Federal Children's Bureau at the Administration for Children and Families, and the Court Monitor's Office to work jointly during every step of the conversion. The Department has leveraged the work of the Administrative Case Review (ACR) process as one of the primary foundation pillars for this effort along with the expertise and efforts of the Department's Office and Research and Evaluation. They have been critical to the success of this project. The ACR staff produce a huge volume of work (15,000 cases reviewed annually) and the considerable experience of the ACR staff with the CFSR process and other evaluation methodologies has proven invaluable. Along with the ACR staff, Court Monitor staff, and federal Children's Bureau staff the transformation has also focused the integration into this process of the regional QA staff, regional CPS staff, Juvenile Justice staff from CJTS, and regional Clinical and Systems staff. Extensive training and support has been provided and the multi-layered QA structure put in place for this new process ensures that reliability and dependability are being fully addressed. All of this has led to improved communication, clarity in the use of the CFSR protocol, improved utilization of the review findings, a growing QA capacity within the agency and most importantly a process that will lead to significant improvements in the outcomes for children and families."
- The Department continued to show positive gains similar to the performance in the First Quarter 2016 and again posted encouraging results for the Second Quarter 2016 on the key measures involving Case Planning (OM 3) and Children's Needs Met (OM 15). The

findings for the Third Quarter 2016 were not as strong which coincided with caseload/workload pressures deteriorating throughout the agency.

The results for the 53 case blind-sample of Outcome Measure 3 (Case Planning) and Outcome Measure 15 (Needs Met) for the Second Quarter 2016 and the 55 case blind-sample for Third Quarter 2016 are detailed below:

**According to the, blind-samples conducted for the Second Quarter 2016 and Third Quarter 2016, the Department's statewide result for Outcome Measure 3 (Case Plans), is 64.2% (n=53 cases) and 52.7% (n=55 cases) respectively. Table 5: Summary of Domains for Outcome Measure 3 for 4/1/2016-9/30/2016 can be found on page 22.**

Danbury, Meriden, New Britain, Norwalk, and Willimantic Offices each surpassed the benchmark standard of 90% or higher in one or both quarters with 100% of reviewed cases meeting the standards set forth in the methodology during one of the quarters reported. Region VI achieved the measure in 3<sup>rd</sup> Quarter with both offices reaching 100% compliance. The lowest regional performance during the six-month period was reported by Region I with 14.3% of case plans achieving compliance in the 3<sup>rd</sup> Quarter 2016.

In the last six months we have reviewed 108 cases. There were five cases that had no case plan initialized or approved by a SWS at the time of our review.

The data regarding Outcome Measure 3 (Case Plans), indicates that the Department's assessment work is an area that still needs improvement along with a continued emphasis on better engagement of all family members and stakeholders. The Department's utilization of the formal Structured Decision Making (SDM) process is inconsistent and the quality of this work will remain a point of emphasis in ongoing reviews. The Department has committed to updating the Connecticut SDM model and providing training. The timeframe for completing this work had not been determined at the time of this report.

**Outcome Measure 15** requires that all needs be met within the case for 80% of the children and families served. **The Department's statewide result for Outcome Measure 15 (Needs Met), within the sample for the Second Quarter 2016 and Third Quarter 2016 is calculated at a rate of 69.8% (n=53 cases) and 52.7% (n=55 cases) respectively. Table 8: Summary of Domains Outcome Measure 15 for 4/1/2016-9/30/2016 can be found on page 25.**

Danbury, Meriden, Middletown, New Britain Norwalk, Norwich and Torrington each met the measure during the 2<sup>nd</sup> quarter 2016. New Britain was the only office to achieve the measure in the 3<sup>rd</sup> Quarter. New Britain's performance allowed Region VI to achieve the measure in both consecutive quarters at 85.7%. This is the third quarter the region has achieved this level.

There are 154 unmet needs captured in the Second Quarter 2016 and 190 in the Third Quarter 2016 samples reviewed during each quarter of the period. This is a total of 344 unmet needs; remaining somewhat consistent or slightly higher with the level of needs reported in the prior report which included 336 unmet needs during the period reviewed.

There were 122 instances across the 108 cases reviewed in the two quarters, where reviewers pointed to specific needs that were significant and should have been captured within the case planning process. There were two cases in the third quarter in which there was not an approved case plan at the time of our review. This is a slight decrease in the number of needs not incorporated in comparison to the last period, when 139 were identified. However, the lack of approved case plans resurfacing in the Third Quarter is something that will bear monitoring if the numbers continue to rise.

As with prior reports, the reported barrier to appropriate service provision was the result of wait-lists and internal provider issues, client refusal, or the lack of/delayed referrals. As previously reported, interviews and e-mail exchanges with Social Workers and Social Work Supervisors continues to indicate that some percentage of the categories of “lack of referral” or “delayed referral” are due to staff having knowledge that certain services are not readily available. Thus, the number of cases with unmet needs due to waitlists and provider issues is understated.

Service needs noted through this methodology on Table 9:Unmet Needs during the Second Quarter 2016 (n=53) and Third Quarter (n=55), beginning on page 26, and Table 10:Needs Not Incorporated into the Case Plan Developed for Upcoming Six Month Period, beginning on page 34, as well as other review activities and discussions with staff and state stakeholders indicate that services that are not readily available in areas of the state include: in-home services (including the most intensive services), domestic violence services, mentoring, substance abuse services, supportive housing vouchers, foster and adoptive care resources, and outpatient mental health services.

- Outcome Measure 10 (Sibling Placement) did not meet the measure for either quarter under the definitions set forth in the 2004 Exit Plan. However, with the expansion of the exception group to include sibling groups of three (3) or more siblings that was detailed in previous reports; the findings of the review of this cohort indicate that the Department met the measure for both the Second Quarter 2016 and Third Quarter 2016.
- The Division of Foster Care's monthly report for December 2016 indicates that there are 2096 licensed DCF foster homes. This is an increase of 101 homes when compared with the previous status report. The number of approved private provider foster care homes is 832 which is a decrease of 21 homes from the previous status report. The number of private provider foster homes currently available for placement is 104.
- The number of children with the goal of Other Planned Permanent Living Arrangement (OPPLA) has continued to decrease over the last two quarters. In May 2016, there were 185 children with an OPPLA goal and as of November 2016 there are now 136 children



with this goal. While this goal may be appropriate for some youth, it is not a preferred goal due to its lack of formal permanent and stable relationships with an identified adult support, be it relative or kin. This has been an ongoing point of focus by the Department.

- As of November 2016, there were 90 *Juan F.* children placed in residential facilities. This is a decrease of 9 children compared with May 2016. The number of children residing in residential care for greater than 12 months was 19 which is an improvement over the 32 children reported in May 2016.
- The Department continues to focus on the number of *Juan F.* children residing and receiving treatment in out-of-state residential facilities. As of February 16, 2017, there are 3 children in out-of-state residential facilities
- The number of children age 12 years old or younger in congregate care as of November 2016 was 16 children which is 4 more than May 2016. Of the current total, 8 are placed in residential care, 2 children are placed in group homes, 2 are in shelter service, 2 a place in SFIT, and 2 are placed in a DCF facility.
- As of November 2016, there were six children aged 1 to 5 years of age residing in a Congregate Care placement. All six of the children were placed in medical care settings due to complex medical conditions.
- The number of children utilizing Short-term Family Integrated Treatment (SFIT) has increased as the Department has broadened access for referrals from Emergency Mobile Psychiatric Service and others. SFIT is a residential crisis-stabilization program for children ages 12-17 with a goal of stabilizing a youth and their family, guardian or fictive kin to coordinate a reintegration back into the homes. The intended length of stay is 15 days or less. The data for April – September 2016 is found below.

Client Status	Q4 SFY 2016	Q1 SFY 2017	Total April 1 – Sept 30 2016
	Apr - Jun 2016	July - Sept 2016	
In-Care at Period Start	41	42	
Admitted in Period	71	77	
Discharged in Period	70	78	
Remaining in Care at Period End	42	41	
Episodes Served in Period	112	119	231 (includes repeats)
Distinct Clients Served in Period	108	112	220
Mean LOS (Discharges)	1.3	1	
Median LOS (Discharges)	0.5	0.5	
Maximum LOS (Discharges)	24.8	9	

- Data source: PIE
- \*PIE tracks length of stay data by months (not days)

Note: During the timeframes noted above there were youth remaining from the Safe Home programs and that skewed length of stay. Since that time the length of stay has decreased to an average of 17 days. There are also instances in which episodes are not being closed in PIE by a provider when a child leaves SFIT. That is also affecting LOS.

- There were 24 youth in STAR/Shelter programs as of November 2016. This is 5 less than the 29 reported in May 2016. Thirteen (44.8% of these youth in STAR programs were in overstay status (>60 days) as of November 2016. There were five children with lengths of stay longer than six months as of November 2016. In the past, the lack of sufficient and appropriate treatment/placement services, especially family-based settings for older youth, hampered efforts to reduce the utilization of STAR services. Yet, over the couple years diversion efforts have reduced the utilization of STAR services. The question that remains unanswered is whether the children diverted from this service are receiving appropriate and timely community-based services.
  
- The Monitor’s quarterly review of the Department for the period of April 1, 2016 through September 30, 2016 indicates that as of the end of the Third Quarter (September 2016) the Department did not achieve compliance with six (6) measures:
  - Case Planning (52.7%)
  - Adoption (29.5%)
  - Placement Within Licensed Capacity (92.9%)
  - Children's Needs Met (52.7%)
  - Worker-Child Visitation In-Home (N/A)<sup>1</sup>
  - Caseload Standards (95.6%)
  
- The Monitor’s quarterly review of the Department for the period of April 1, 2016 through September 30, 2016 indicates the Department has achieved quantitative compliance with the following 16 Outcome Measures:
  - Commencement of Investigations (94.8%)
  - Completion of Investigations (86.4%)
  - Search for Relatives (93.1%)
  - Repeat Maltreatment (6.8%)
  - Maltreatment of Children in Out-of-Home Cases (0.2%)
  - Reunification (62.1%)
  - Sibling Placement (90.1%)
  - Re-Entry into DCF Custody (6.4%)
  - Multiple Placements (96.2%)
  - Foster Parent Training (100.0%)
  - Worker-Child Visitation Out-of-Home Cases (96.3% Monthly/99.5% Quarterly)
  - Residential Reduction (2.3%)
  - Discharge of Adolescents (96.5%)
  - Discharge to Adult Services (100.0%)
  - Multi-disciplinary Exams (92.7%)

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<sup>1</sup> Outcome Measure 17 Worker-Child Visitation In-Home - Current automated reporting indicates the measure as statistically achieved, however this does not accurately reflect performance findings. The Outcome Measure 17 Pre-Certification Review indicated that compliance is not achieved. While DCF reports are numerically accurate based upon the algorithms utilized, user error in selection of narrative entry types, and a failure to demonstrate that workers are meeting the specific steps called for with the definition of 'visit' calls into question the automated report findings. As such, the Monitor will not indicate achievement of the measure based solely on the current reporting.

- The Department has maintained compliance for at least two (2) consecutive quarters<sup>2</sup> with 13 of the Outcome Measures reported as achieved this quarter:
  - Commencement of Investigations
  - Search for Relatives
  - Repeat Maltreatment of In-Home Children
  - Maltreatment of Children in Out-of-Home Care
  - Reunification
  - Transfer of Guardianship
  - Re-entry into DCF Custody
  - Multiple Placements
  - Foster Parent Training
  - Visitation Out-of-Home
  - Residential Reduction
  - Discharge of Youth (graduated , GED, working, or military)
  - Discharge of Youth to Adult Services
  - Multi-disciplinary Exams

A full copy of the Department's Second Quarter 2016 and Third Quarter 2016 submission including the Commissioner's Highlights may be found on page 50.

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<sup>2</sup> The Defendants must be in compliance with all of the outcome measures, and in sustained compliance with all of the outcome measures for at least two consecutive quarters (six-months) prior to asserting compliance and shall maintain compliance through any decision to terminate jurisdiction.

Statewide Juan F. Exit Plan Report Outcome Measure Overview																														
Measure	Measure	Base-line	Q3 2016	Q2 2016	Q1 2016	Q4 2015	Q3 2015	Q2 2015	Q1 2015	Q4 2014	Q3 2014	Q2 2014	Q1 2014	Q4 2013	Q3 2013	Q2 2013	Q1 2013	Q4 2012	Q3 2012	Q2 2012	Q1 2012	Q4 2011	Q3 2011	Q2 2011	Q1 2011	Q4 2010	Q3 2010	Q2 2010	Q1 2010	
1: Commencement of Investigation	>=90%	X	94.8%	94.6%	95.2%	95.8%	95.7%	95.2%	95.1%	94.5%	93.8%	93.2%	93.6%	94.7%	96.0%	96.2%	95.5%	94.9%	95.7%	96.1%	96.6%	97.1%	97.3%	97.2%	97.2%	96.8%	97.4%	97.6%	97.4%	
2: Completion of the Investigation	>=85%	73.7%	86.4%	82.7%	85.8%	88.9%	86.0%	88.9%	85.6%	81.9%	78.6%	77.3%	77.6%	83.7%	92.5%	92.2%	89.1%	90.2%	92.5%	92.4%	91.9%	93.3%	94.0%	94.4%	92.7%	90.0%	91.5%	92.9%	93.7%	
3: Treatment Plans	>=90%	X	52.7%	64.2%	66.7%	48.1%	53.7%	37.0%	47.2%	41.5%	46.3%	46.3%	51.9%	N/A	65.5%	63.0%	56.4%	53.7%	47.8%	63.0%	39.6%	44.4%	50.9%	N/A	81.1%	67.9%	66.0%	75.5%	86.5%	
4: Search for Relatives	>=85%	58%	93.1%	96.0%	98.9%	98.3%	92.9%	92.9%	93.4%	89.3%	86.9%	85.1%	86.6%	88.3%	90.2%	85.3%	92.2%	87.3%	87.5%	89.5%	89.3%	92.8%	94.5%	94.5%	90.1%	88.8%	90.9%	91.2%	92.0%	
5: Repeat Maltreatment of In-Home Children	<=7%	9.3%	6.8%	6.6%	6.6%	6.1%	5.4%	5.0%	5.7%	6.7%	6.5%	5.8%	6.3%	4.5%	4.9%	5.7%	4.4%	4.9%	4.3%	4.1%	4.3%	6.0%	6.1%	5.4%	5.7%	6.2%	6.5%	6.5%	5.8%	
6: Maltreatment of Children in Out-of-Home Care	<=2%	1.2%	0.2%	0.2%	0.2%	0.2%	0.2%	0.2%	0.2%	0.2%	0.3%	0.1%	0.2%	0.2%	0.2%	0.2%	0.2%	0.2%	0.2%	0.2%	0.1%	0.1%	0.2%	0.1%	0.1%	0.4%	0.2%	0.1%	0.2%	
7: Reunification	>=60%	57.8%	62.1%	66.7%	65.6%	57.4%	52.7%	64.2%	59.8%	65.2%	71.3%	73.9%	60.2%	62.5%	62.4%	62.8%	56.3%	57.6%	52.0%	61.1%	58.9%	65.8%	65.3%	73.1%	61.7%	64.9%	68.3%	67.1%	61.2%	
8: Adoption	>=32%	12.5%	29.5%	40.4%	38.5%	31.1%	35.5%	31.0%	32.9%	31.7%	30.2%	34.2%	44.0%	33.9%	32.8%	31.6%	29.5%	25.9%	39.0%	34.3%	23.7%	33.6%	40.0%	32.7%	35.6%	38.5%	25.8%	36.0%	34.7%	
9: Transfer of Guardianship	>=70%	60.5%	76.5%	72.5%	67.2%	69.6%	75.7%	66.7%	77.8%	72.5%	73.2%	65.2%	67.6%	63.8%	77.3%	65.6%	77.6%	76.5%	84.0%	76.7%	81.4%	83.1%	83.6%	78.4%	86.2%	87.3%	78.6%	74.6%	82.3%	
10: Sibling Placement	>=95%	57%	90.1%	89.8%	91.7%	92.1%	92.0%	91.4%	90.9%	90.6%	88.7%	89.3%	90.6%	89.9%	92.5%	88.0%	89.5%	87.5%	87.5%	89.2%	88.5%	91.8%	89.3%	85.8%	86.7%	83.3%	81.9%	84.8%	85.6%	
11: Re-Entry into DCF Custody	<=7%	6.9%	6.4%	5.8%	3.8%	3.7%	4.1%	5.8%	5.0%	3.8%	7.7%	8.0%	4.8%	4.9%	5.5%	8.6%	7.4%	7.0%	9.1%	6.8%	5.8%	6.4%	7.2%	4.4%	7.7%	6.3%	7.3%	6.7%	8.4%	
12: Multiple Placements	>=85%	X	96.2%	96.5%	96.7%	96.7%	96.5%	96.8%	96.7%	96.4%	96.5%	96.7%	96.8%	97.1%	96.6%	96.7%	96.4%	96.5%	96.4%	96.6%	96.6%	96.4%	96.4%	96.1%	96.1%	96.1%	96.1%	95.7%	95.8%	95.9%
13: Foster Parent Training	100%	X	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%
14: Placement Within Licensed Capacity	>=96%	94.9%	92.9%	92.9%	93.5%	94.3%	95.5%	94.9%	95.4%	96.3%	95.3%	95.4%	96.0%	95.7%	96.2%	96.4%	97.1%	96.7%	95.8%	95.3%	97.7%	96.1%	95.2%	95.6%	96.8%	96.8%	95.4%	95.1%	96.9%	
15: Children's Needs Met	>=80%	X	52.7%	69.8%	70.4%	63.0%	57.4%	44.4%	47.2%	52.8%	64.8%	59.3%	57.4%	N/A	67.3%	74.1%	61.8%	53.7%	53.6%	61.1%	60.4%	55.6%	60.4%	N/A	58.5%	56.6%	58.5%	52.8%	67.3%	
16: Worker-Child Visitation (Out-of-Home)	>=85% (M)	X	96.3%	95.6%	96.7%	96.1%	94.9%	96.5%	94.9%	92.6%	93.4%	94.3%	94.9%	95.4%	94.6%	95.8%	95.9%	94.2%	93.6%	92.7%	95.1%	92.3%	95.0%	95.1%	95.8%	95.3%	95.3%	95.7%	96.2%	
	=100% (Q)	X	99.5%	99.1%	99.3%	99.4%	99.0%	99.6%	99.0%	98.4%	98.4%	98.9%	98.8%	99.0%	98.8%	99.0%	99.2%	99.1%	98.7%	98.7%	99.2%	98.6%	99.0%	99.2%	99.2%	98.9%	98.9%	99.3%	99.6%	
17: Worker-Child Visitation (In-Home)	>=85%	X	86.9%	86.1%	88.2%	88.7%	87.5%	89.2%	86.1%	83.3%	83.3%	83.9%	83.0%	85.3%	86.1%	88.6%	88.1%	84.1%	87.0%	85.8%	84.8%	85.9%	86.3%	89.7%	88.5%	89.7%	89.4%	89.7%	89.6%	
18: Caseload Standards	100%	69.2%	95.6%	94.2%	98.1%	99.7%	99.8%	100.0%	90.6%	87.3%	84.5%	83.6%	94.5%	97.6%	99.9%	99.9%	99.8%	99.9%	100.0%	99.7%	99.8%	100.0%	100.0%	100.0%	100.0%	100.0%	99.9%	100.0%	100.0%	
19: Reduction in the Number of Children Placed in Residential Care	<=11%	13.5%	2.3%	2.2%	2.5%	2.6%	2.8%	2.7%	2.8%	2.7%	2.7%	3.4%	4.0%	4.2%	4.3%	4.9%	5.1%	5.8%	6.3%	6.9%	7.5%	8.5%	8.8%	9.8%	10.0%	9.9%	9.4%	10.1%	10.0%	
20: Discharge Measures	>=85%	61%	96.5%	95.9%	86.9%	88.9%	95.5%	90.9%	83.7%	94.6%	93.8%	97.1%	90.9%	94.5%	85.7%	86.3%	86.5%	95.9%	89.2%	85.7%	86.9%	76.5%	88.0%	79.4%	82.9%	87.2%	88.5%	87.9%	86.0%	
21: Discharge of Mentally Ill or Mentally Retarded Children	100%	X	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	95.7%	92.0%	97.0%	96.1%	97.3%	98.1%	100.0%	
22: Multi-disciplinary Exams (MDE)	>=85%	5.6%	92.7%	93.0%	95.7%	97.5%	90.6%	96.4%	91.2%	93.3%	96.0%	91.8%	85.4%	85.1%	94.1%	93.6%	95.0%	89.7%	95.5%	93.8%	90.0%	93.4%	93.3%	96.3%	91.9%	97.5%	96.1%	96.4%	95.7%	

## **Juan F. Pre-Certification Review-Status Update (April 1, 2016 – September 30, 2016)**

The Department continues to operate under the Revised Exit Plan (¶5), in which the Court Monitor is required to conduct what the parties and the Court Monitor refer to as a “Certification” reviews as follows:

*The Defendants must be in compliance with all of the outcome measures, and in sustained compliance with all of the outcome measures for at least two quarters (six months) prior to asserting compliance and shall maintain compliance through any decision to terminate jurisdiction. The Court Monitor shall then conduct a review of a statistically significant valid sample of case files at a 96% confidence level, and such other measurements as are necessary, to determine whether Defendants are in compliance. The Court Monitor shall then present findings and recommendations to the District Court. The parties shall have a meaningful opportunity to be heard by the Court Monitor before rendering his findings and recommendations.*

In recognition of the progress made and sustained by the Department with respect to a number of Outcome Measures, and the fact that the well-being of the *Juan F.* class members will be promoted by the earliest possible identification and resolution of the any quantitative or qualitative problems affecting class members that may be identified by the review required by Revised Exit Plan (¶5), the parties and the Court Monitor agree that it is in the best-interests of the *Juan F.* class members to create a “Pre-Certification” review process. It is expected that this “pre-certification” process may, in certain instances, obviate the need to implement the full certification review for certain outcome measures after sustained compliance is achieved for all Outcome Measures.

The “Pre-Certification” process that parties and the Court Monitor have created, and to which they have agreed, is as follows:

If DCF has sustained compliance as required by the Revised Exit Plan for at least two consecutive quarters (6 months) for any Outcome Measure (“OM”), the Court Monitor may, in his discretion, conduct a “pre-certification review” of that OM (“Pre-Certification Review”). The purpose of the Pre-Certification Review is to recognize DCF’s sustained improved performance, to identify and provide a prompt and timely opportunity to remedy any problem areas that are affecting the well-being of *Juan F.* class members, and to increase the efficiency of DCF’s eventual complete compliance and exit from the Consent Decree.

Other than conducting the Pre-Certification Review earlier than the review mandated by Revised Exit Plan (¶5), the Pre-Certification Review will be conducted in accordance with the provision for review as described in the Revised Exit Plan (¶5) unless otherwise agreed upon by the parties and the Court Monitor.

If the Pre-Certification Review does not identify any material issues requiring remediation, and no assertions of noncompliance with the specific Outcome

Measures(s) at issue are pending at the time Defendants assert sustained compliance with all Outcome Measures, the Parties agree that the full review as per paragraph 5 of the Revised Exit Plan will not be required after the Defendants assert sustained compliance with all Outcome Measures. Upon Defendants' assertion of sustained compliance with all Outcome Measures, the parties, with the involvement and consent of the Court Monitor, agree to present for the Court's review, any agreement to conduct less than the full review process required by Revised Exit Plan (§5) for any specific Outcome Measures, as a proposed modification of the Revised Exit Plan.

As of this Status Report no additional measures have been pre-certified but preliminary review work has been shared with the Department regarding the two investigation measures OM 1 and OM 2. There are 15 Outcome Measures certified thus far.

<b>Juan F. Pre-Certification Review</b>		
<b>Outcome Measure</b>	<b>Statement of Outcome</b>	<b>Status</b>
<b>OM 4: Search for Relatives</b>	If a child(ren) must be removed from his or her home, DCF shall conduct and document a search for maternal and paternal relatives, extended formal or informal networks, friends of the child or family, former foster parents, or other persons known to the child. The search period shall extend through the first six (6) months following removal from home. The search shall be conducted and documented in at least 85.0% of the cases.	Pre-Certified October 2013
<b>OM 5: Repeat Maltreatment of Children</b>	No more than 7% of the children who are victims of substantiated maltreatment during any six-month period shall be the substantiated victims of additional maltreatment during any subsequent six-month period. This outcome shall begin to be measured within the six-month period beginning January 1, 2004.	Pre-Certified* July 2014
<b>OM6: Maltreatment of Children in Out-of-Home Care</b>	No more than 2% of the children in out of home care on or after January 1, 2004 shall be the victims of substantiated maltreatment by substitute caregivers while in out of home care.	Pre-Certified October 2014
<b>OM 7: Reunification</b>	At least 60% of the children, who are reunified with their parents or guardians, shall be reunified within 12 months of their most recent removal from home.	Pre-Certified April 2015
<b>OM 8: Adoption</b>	At least 32% of the children who are adopted shall have their adoptions finalized within 24 months of the child's most recent removal from his/her home.	Pre-Certified January 2013
<b>OM 9: Transfer of Guardianship</b>	At least 70% of all children whose custody is legally transferred shall have their guardianship transferred within 24 months of the child's most recent removal from his/her home.	Pre-Certified January 2013
<b>OM 10: Sibling Placement</b>	At least 95% of siblings currently in or entering out-of-home placement shall be placed together unless there are documented clinical reasons for separate placements. Excludes Voluntary cases and children for whom TPR has been granted.	Pre-Certified April 2015

\* Pre-Certification granted subject to verification of correction to ROM system reporting - release delayed to June 2014.

<b>OM 11: Re-Entry into DCF Care</b>	Of the children who enter DCF custody, seven (7) percent or fewer shall have re-entered care within 12 months of the prior out-of-home placement.	Pre-Certified January 2016
<b>OM 12: Multiple Placements</b>	Beginning on January 1, 2004, at least 85% of the children in DCF custody shall experience no more than three (3) placements during any twelve month period.	Pre-Certified April 2012
<b>OM 14: Placement within Licensed Capacity</b>	At least 96% of all children placed in foster homes shall be in foster homes operating within their licensed capacity, except when necessary to accommodate sibling groups.	Pre-Certified April 2012
<b>OM 16: Worker/ Child Visitation (Child in Placement)</b>	DCF shall visit at least 85% of all out-of-home children at least once a month, except for probate, interstate, or voluntary cases. All children must be seen by their DCF Social Worker at least quarterly.	Pre-Certified April 2012
<b>OM 17: Worker-Child Visitation (In-Home)</b>	DCF shall visit at least 85% of all in-home family cases at least twice a month, except for probate, interstate or voluntary cases. Definitions and Clarifications: 1. Twice monthly visitation must be documented with each active child participant in the case. Visitation occurring in the home, school or other community setting will be considered for Outcome Measure 17.	Not Pre-Certified January 2012
<b>OM 19: Reduction in the Number of Children Placed in Residential Care</b>	The number of children placed in privately operated residential treatment care shall not exceed 11% of the total number of children in DCF out-of-home care. The circumstances of all children in-state and out-of-state residential facilities shall be assessed after the Court's approval of this Exit Plan on a child specific basis to determine if their needs can be met in a less restrictive setting.	Pre-Certified December 2014
<b>OM 20: Discharge Measures</b>	At least 85.0% of all children age 18 or older shall have achieved one or more of the following prior to discharge from DCF custody: (a) Graduation from High School; (b) Acquisition of GED; (c) Enrollment in or completion of college or other post-secondary training program full-time; (d) Enrollment in college or other post-secondary training program part-time with part-time employment; (e) Full-time employment; (f) Enlistment full-time member of the military.	Pre-Certified September 2011
<b>OM 21: Discharge of Mentally Ill or Developmentally Disabled Youth</b>	DCF shall submit a written discharge plan to either/or DMHAS or DDS for all children who are mentally ill or developmentally delayed and require adult services.	Pre-Certified September 2011
<b>OM22: Multi-disciplinary Exams</b>	At least 85% of the children entering the custody of DCF for the first time shall have an MDE conducted within 30 days of placement.	Pre-Certified January 2013

## Quarterly DCF Court Monitor Case Review Reporting for Outcome Measure 3 and Outcome Measure 15: Second Quarter 2016 and Third Quarter 2016 Results

Statewide, the DCF performance result for Outcome Measure 3 (OM3) - Case Plans is 64.2% Second Quarter 2016 and 52.7% for the Third Quarter 2016. The combined six month result is 58.3% appropriate case planning.

<b>Crosstabulation 1: What is the social worker's area office assignment? * Overall Score for OM3</b>				
<b>Area Office</b>		<b>“Appropriate Case Plan” 2<sup>nd</sup> Quarter 2016 (n=53)</b>	<b>“Appropriate Case Plan” 3<sup>rd</sup> Quarter 2016 (n=55)</b>	<b>“Appropriate Case Plan” Combined 6- Month Results (n=108)</b>
<b>Region I</b>	<b>Bridgeport</b>	25.0%	0.0%	12.5%
	<b>Norwalk</b>	<b>100.0%</b>	33.3%	60.0%
<b>Region I</b>		50.0%	14.3%	30.8%
<b>Region II</b>	<b>Milford</b>	75.0%	25.0%	50.0%
	<b>New Haven</b>	75.0%	75.0%	75.0%
<b>Region II</b>		75.0%	50.0%	62.5%
<b>Region III</b>	<b>Middletown</b>	50.0%	0.0%	25.0%
	<b>Norwich</b>	60.0%	80.0%	70.0%
	<b>Willimantic</b>	<b>100.0%</b>	66.7%	83.3%
<b>Region III</b>		70.0%	60.0%	60.0%
<b>Region IV</b>	<b>Hartford</b>	87.5%	25.0%	56.3%
	<b>Manchester</b>	50.0%	75.0%	62.5%
<b>Region IV</b>		75.0%	41.7%	58.3%
<b>Region V</b>	<b>Danbury</b>	<b>100.0%</b>	<b>100.0%</b>	<b>100.0%</b>
	<b>Torrington</b>	50.0%	33.3%	40.0%
	<b>Waterbury</b>	0.0%	66.7%	33.3%
<b>Region V</b>		30.0%	63.6%	47.6%
<b>Region VI</b>	<b>Meriden</b>	<b>100.0%</b>	<b>100.0%</b>	<b>100.0%</b>
	<b>New Britain</b>	80.0%	<b>100.0%</b>	<b>90.0%</b>
<b>Region VI</b>		85.7%	<b>100.0%</b>	<b>92.9%</b>
<b>Statewide</b>		64.2%	52.7%	58.3%

Danbury, Meriden, New Britain, Norwalk, and Willimantic Offices each surpassed the benchmark standard of 90% or higher in one or both quarters with 100% of reviewed cases meeting the standards set forth in the methodology during one of the quarters reported. Region VI achieved the measure in 3<sup>rd</sup> Quarter with both offices reaching 100% compliance. The lowest regional performance during the six-month period was reported by Region I with 14.3% of case plans achieving compliance in the 3<sup>rd</sup> Quarter 2016.

In the last six months we have reviewed 108 cases. There were five cases that had no case plan initialized or approved by a SWS at the time of our review.



<b>Table 1: Outcome Measure OM3 Regional Quarterly Performance Comparison</b>							
<i>Standard: 90%</i>							
	<b>Region I</b>	<b>Region II</b>	<b>Region III</b>	<b>Region IV</b>	<b>Region V</b>	<b>Region VI</b>	<b>Statewide</b>
<b>3<sup>rd</sup> Quarter 2016</b>	14.3%	50.0%	60.0%	41.7%	63.6%	100.0%	52.7%
<b>2<sup>nd</sup> Quarter 2016</b>	50.0%	75.0%	70.0%	75.0%	30.0%	85.7%	64.2%
<b>1<sup>st</sup> Quarter 2016</b>	83.3%	66.7%	70.0%	50.0%	60.0%	85.7%	66.7%
<b>4<sup>th</sup> Quarter 2015</b>	33.3%	50.0%	45.5%	50.0%	60.0%	42.9%	48.1%
<b>3<sup>rd</sup> Quarter 2015</b>	66.7%	66.7%	50.0%	50.0%	40.0%	57.1%	53.7%
<b>2<sup>nd</sup> Quarter 2015</b>	16.7%	44.4%	66.7%	41.7%	40.0%	28.6%	37.0%
<b>1<sup>st</sup> Quarter 2015</b>	50.0%	50.0%	90.0%	41.7%	20.0%	28.6%	47.2%
<b>4<sup>th</sup> Quarter 2014</b>	33.3%	11.1%	70.0%	41.7%	11.1%	71.4%	41.5%
<b>3<sup>rd</sup> Quarter 2014</b>	28.6%	55.6%	40.0%	41.7%	44.4%	71.4%	46.3%
<b>2<sup>nd</sup> Quarter 2014</b>	71.4%	33.3%	80.0%	25.0%	33.3%	42.9%	46.3%
<b>1<sup>st</sup> Quarter 2014</b>	28.6%	66.7%	80.0%	41.7%	22.2%	71.4%	51.9%
<b>4<sup>th</sup> Quarter 2013</b>	28.6%	50.0%	50.0%	50.0%	33.3%	75.0%	48.1%
<b>3<sup>rd</sup> Quarter 2013</b>	57.1%	77.8%	90.0%	46.2%	67.7%	57.1%	65.5%
<b>2<sup>nd</sup> Quarter 2013</b>	42.9%	88.9%	60.0%	50.0%	66.7%	71.4%	63.0%
<b>1<sup>st</sup> Quarter 2013</b>	37.5%	77.8%	70.0%	41.7%	55.6%	71.4%	58.2%
<b>4<sup>th</sup> Quarter 2012</b>	71.4%	55.6%	60.0%	46.2%	50.0%	57.1%	55.6%
<b>3<sup>rd</sup> Quarter 2012</b>	55.6%	54.5%	33.3%	64.3%	36.4%	55.6%	49.3%
<b>2<sup>nd</sup> Quarter 2012</b>	57.1%	66.7%	80.0%	45.5%	77.8%	50.0%	63.0%

The tables below provide a case by case summary of the individual scores for each area office/region. The eight domains and an indication related to supervisory approval are provided for reference. Court Monitor overrides are signified by an overall score reported in italics. The past two quarters there were 31 overrides granted for Outcome Measure 3. There were 16 during the Second Quarter and 15 during the Third Quarter. The majority were granted related to family engagement due to missing family feedback from the parents within the case plan document or lack of representation of family input on the case plan when it was clear from narrative that there was engagement during the period from the documentation. Page 18 represents those sampled cases from the Second Quarter 2016, and page 19 includes those sampled during Third Quarter 2016.

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DCF Region	Area Office	Case Type	Has the Case plan been approved by the SWS?	Was the family or child's language needs accommodated?	Reason for DCF Involvement	Identifying Information	Engagement of Child and Family (formerly Strengths, Needs and Other Issues)	Present Situation and Assessment to Date of Review	Determining the Goals/Objectives	Progress	Action Steps to Achieving Goals Identified for the Upcoming Six Month Period	Planning for Permanency	Overall Score for OMB (Italics indicates override)
Region I	Bridgeport	CPS In-Home Family	yes	yes	Very Good	Very Good	Marginal	Very Good	Very Good	Marginal	Very Good	Very Good	Not an Appropriate Case Plan
Region I	Bridgeport	CPS CIP	no	yes	Very Good	Very Good	Marginal	Marginal	Very Good	Very Good	Very Good	Marginal	Not an Appropriate Case Plan
Region I	Bridgeport	CPS CIP	yes	yes	Optimal	Optimal	Marginal	Very Good	Optimal	Very Good	Very Good	Very Good	Appropriate Case Plan
Region I	Bridgeport	CPS In-Home Family	yes	yes	Optimal	Very Good	Marginal	Marginal	Marginal	Very Good	Very Good	Optimal	Not an Appropriate Case Plan
Bridgeport 2nd Quarter Results			75.0%	100.0%	100.0%	100.0%	0.0%	50.0%	75.0%	75.0%	100.0%	75.0%	25.0%
Region I	Norwalk	CPS CIP	yes	yes	Very Good	Very Good	Marginal	Marginal	Very Good	Very Good	Very Good	Very Good	Appropriate Case Plan
Region I	Norwalk	CPS In-Home Family	yes	yes	Very Good	Very Good	Marginal	Very Good	Very Good	Very Good	Optimal	Very Good	Appropriate Case Plan
Norwalk 2nd Quarter Results			100.0%	100.0%	100.0%	100.0%	0.0%	50.0%	100.0%	100.0%	100.0%	100.0%	100.0%
REGION I 2ND QUARTER 2016 RESULT			83.3%	100.0%	100.0%	100.0%	0.0%	50.0%	83.3%	83.3%	100.0%	83.3%	50.0%
Region II	Milford	CPS In-Home Family	yes	UTD	Optimal	Optimal	Very Good	Very Good	Marginal	Very Good	Very Good	Optimal	Appropriate Case Plan
Region II	Milford	CPS In-Home Family	yes	yes	Optimal	Very Good	Optimal	Very Good	Very Good	Very Good	Very Good	Very Good	Appropriate Case Plan
Region II	Milford	CPS CIP	yes	yes	Very Good	Very Good	Very Good	Very Good	Very Good	Very Good	Very Good	Very Good	Appropriate Case Plan
Region II	Milford	CPS CIP	yes	yes	Very Good	Very Good	Marginal	Marginal	Marginal	Marginal	Very Good	Very Good	Not an Appropriate Case Plan
Milford 2nd Quarter Results			100.0%	75.0%	100.0%	100.0%	75.0%	75.0%	50.0%	75.0%	100.0%	100.0%	75.0%
Region II	New Haven	CPS CIP	yes	yes	Optimal	Very Good	Marginal	Very Good	Very Good	Very Good	Very Good	Very Good	Appropriate Case Plan
Region II	New Haven	CPS CIP	yes	yes	Very Good	Very Good	Very Good	Very Good	Very Good	Very Good	Marginal	Very Good	Appropriate Case Plan
Region II	New Haven	CPS In-Home Family	yes	yes	Very Good	Very Good	Very Good	Very Good	Very Good	Very Good	Very Good	Optimal	Appropriate Case Plan
Region II	New Haven	CPS In-Home Family	yes	UTD	Very Good	Very Good	Marginal	Marginal	Marginal	Very Good	Marginal	Very Good	Not an Appropriate Case Plan
New Haven 2nd Quarter Results			100.0%	75.0%	100.0%	100.0%	50.0%	75.0%	75.0%	100.0%	50.0%	100.0%	75.0%
REGION II 2ND QUARTER 2016 RESULTS			100.0%	75.0%	100.0%	100.0%	62.5%	75.0%	62.5%	87.5%	75.0%	100.0%	75.0%
Region III	Middletown	CPS In-Home Family	yes	yes	Very Good	Optimal	Marginal	Very Good	Marginal	Optimal	Very Good	Optimal	Not an Appropriate Case Plan
Region III	Middletown	CPS CIP	yes	yes	Very Good	Very Good	Very Good	Very Good	Optimal	Very Good	Very Good	Very Good	Appropriate Case Plan
Middletown 2nd Quarter Results			100.0%	100.0%	100.0%	100.0%	50.0%	100.0%	50.0%	100.0%	100.0%	100.0%	50.0%
Region III	Norwich	CPS In-Home Family	yes	yes	Very Good	Optimal	Very Good	Very Good	Optimal	Very Good	Very Good	Optimal	Appropriate Case Plan
Region III	Norwich	CPS CIP	yes	yes	Optimal	Very Good	Very Good	Optimal	Optimal	Optimal	Very Good	Optimal	Appropriate Case Plan
Region III	Norwich	CPS In-Home Family	yes	yes	Very Good	Marginal	Marginal	Marginal	Very Good	Marginal	Very Good	Very Good	Not an Appropriate Case Plan
Region III	Norwich	Voluntary Services In-Home Family	yes	yes	Very Good	Very Good	Marginal	Marginal	Marginal	Very Good	Marginal	Very Good	Not an Appropriate Case Plan
Region III	Norwich	CPS CIP	yes	yes	Very Good	Very Good	Marginal	Marginal	Very Good	Very Good	Marginal	Marginal	Appropriate Case Plan
Norwich 2nd Quarter Results			100.0%	100.0%	100.0%	100.0%	40.0%	40.0%	80.0%	80.0%	60.0%	80.0%	60.0%
Region III	Willimantic	CPS CIP	yes	yes	Optimal	Very Good	Optimal	Very Good	Very Good	Very Good	Very Good	Very Good	Appropriate Case Plan
Region III	Willimantic	CPS In-Home Family	yes	yes	Very Good	Optimal	Very Good	Optimal	Very Good	Very Good	Very Good	Optimal	Appropriate Case Plan
Region III	Willimantic	CPS CIP	yes	yes	Very Good	Optimal	Very Good	Very Good	Marginal	Very Good	Very Good	Optimal	Appropriate Case Plan
Willimantic 2nd Quarter Results			100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	66.7%	100.0%	100.0%	100.0%	100.0%
REGION III 2ND QUARTER 2016 RESULTS			100.0%	100.0%	100.0%	100.0%	60.0%	70.0%	70.0%	80.0%	80.0%	90.0%	70.0%
Region IV	Hartford	CPS In-Home Family	yes	yes	Very Good	Very Good	Marginal	Marginal	Optimal	Optimal	Marginal	Optimal	Appropriate Case Plan
Region IV	Hartford	CPS CIP	yes	yes	Very Good	Very Good	Very Good	Very Good	Very Good	Very Good	Very Good	Very Good	Appropriate Case Plan
Region IV	Hartford	CPS CIP	yes	yes	Optimal	Very Good	Very Good	Optimal	Very Good	Very Good	Optimal	Very Good	Appropriate Case Plan
Region IV	Hartford	CPS CIP	yes	yes	Very Good	Very Good	Optimal	Very Good	Very Good	Very Good	Very Good	Very Good	Appropriate Case Plan
Region IV	Hartford	CPS In-Home Family	yes	yes	Very Good	Optimal	Marginal	Very Good	Very Good	Very Good	Very Good	Optimal	Appropriate Case Plan
Region IV	Hartford	CPS CIP	yes	yes	Very Good	Very Good	Marginal	Marginal	Very Good	Very Good	Very Good	Optimal	Appropriate Case Plan
Region IV	Hartford	CPS In-Home Family	yes	yes	Marginal	Marginal	Marginal	Marginal	Marginal	Marginal	Marginal	Marginal	Not an Appropriate Case Plan
Region IV	Hartford	CPS In-Home Family	yes	yes	Very Good	Very Good	Marginal	Very Good	Very Good	Very Good	Very Good	Very Good	Appropriate Case Plan
Hartford 2nd Quarter Results			100.0%	100.0%	87.5%	87.5%	37.5%	75.0%	87.5%	87.5%	75.0%	87.5%	87.5%
Region IV	Manchester	CPS CIP	yes	yes	Optimal	Optimal	Very Good	Very Good	Very Good	Very Good	Very Good	Very Good	Appropriate Case Plan
Region IV	Manchester	CPS In-Home Family	yes	yes	Very Good	Very Good	Very Good	Marginal	Marginal	Very Good	Very Good	Very Good	Not an Appropriate Case Plan
Region IV	Manchester	CPS CIP	yes	yes	Optimal	Very Good	Very Good	Very Good	Optimal	Optimal	Very Good	Very Good	Appropriate Case Plan
Region IV	Manchester	CPS In-Home Family	yes	yes	Very Good	Very Good	Marginal	Marginal	Marginal	Very Good	Marginal	Optimal	Not an Appropriate Case Plan
Manchester 2nd Quarter Results			100.0%	100.0%	100.0%	100.0%	75.0%	50.0%	50.0%	100.0%	75.0%	100.0%	50.0%
REGION IV 2ND QUARTER 2016 RESULTS			100.0%	100.0%	91.7%	91.7%	50.0%	66.7%	75.0%	91.7%	75.0%	91.7%	75.0%
Region V	Danbury	CPS In-Home Family	yes	yes	Very Good	Very Good	Very Good	Very Good	Very Good	Very Good	Very Good	Very Good	Appropriate Case Plan
Region V	Danbury	CPS CIP	yes	yes	Very Good	Very Good	Very Good	Very Good	Very Good	Very Good	Very Good	Very Good	Appropriate Case Plan
Danbury 2nd Quarter Results			100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%
Region V	Torrington	CPS CIP	yes	yes	Very Good	Very Good	Very Good	Very Good	Very Good	Very Good	Very Good	Very Good	Appropriate Case Plan
Region V	Torrington	CPS In-Home Family	no	yes	Very Good	Very Good	Marginal	Very Good	Very Good	Very Good	Very Good	Very Good	Not an Appropriate Case Plan
Torrington 2nd Quarter Results			50.0%	100.0%	100.0%	100.0%	50.0%	100.0%	100.0%	100.0%	100.0%	100.0%	50.0%
Region V	Waterbury	CPS CIP	yes	yes	Very Good	Very Good	Marginal	Very Good	Marginal	Very Good	Very Good	Marginal	Not an Appropriate Case Plan
Region V	Waterbury	CPS In-Home Family	yes	yes	Very Good	Very Good	Marginal	Marginal	Very Good	Very Good	Marginal	Very Good	Not an Appropriate Case Plan
Region V	Waterbury	SPM CIP	no	yes	Very Good	Very Good	Very Good	Marginal	Very Good	Very Good	Very Good	Very Good	Not an Appropriate Case Plan
Region V	Waterbury	CPS In-Home Family	UTD	UTD	Absent/Averse	Absent/Averse	Absent/Averse	Absent/Averse	Absent/Averse	Absent/Averse	Absent/Averse	Absent/Averse	Not an Appropriate Case Plan
Region V	Waterbury	CPS In-Home Family	yes	yes	Very Good	Very Good	Marginal	Marginal	Marginal	Very Good	Marginal	Very Good	Not an Appropriate Case Plan
Region V	Waterbury	CPS CIP	yes	no	Very Good	Very Good	Marginal	Marginal	Marginal	Very Good	Marginal	Very Good	Not an Appropriate Case Plan
Waterbury 2nd Quarter Results			66.7%	66.7%	83.3%	83.3%	16.7%	16.7%	33.3%	83.3%	66.7%	66.7%	0.0%
REGION V 2ND QUARTER 2016 RESULTS			70.0%	80.0%	90.0%	90.0%	40.0%	50.0%	60.0%	90.0%	60.0%	80.0%	30.0%
Region VI	Meriden	CPS CIP	yes	yes	Optimal	Very Good	Very Good	Marginal	Very Good	Very Good	Very Good	Optimal	Appropriate Case Plan
Region VI	Meriden	CPS In-Home Family	yes	yes	Very Good	Very Good	Very Good	Marginal	Very Good	Very Good	Very Good	Very Good	Appropriate Case Plan
Meriden 2nd Quarter Results			100.0%	100.0%	100.0%	100.0%	100.0%	0.0%	100.0%	100.0%	100.0%	100.0%	100.0%
Region VI	New Britain	CPS In-Home Family	yes	yes	Optimal	Very Good	Very Good	Very Good	Very Good	Optimal	Very Good	Very Good	Appropriate Case Plan
Region VI	New Britain	CPS CIP	yes	no	Very Good	Very Good	Marginal	Very Good	Very Good	Very Good	Marginal	Marginal	Not an Appropriate Case Plan
Region VI	New Britain	CPS CIP	yes	yes	Optimal	Optimal	Optimal	Optimal	Optimal	Optimal	Very Good	Optimal	Appropriate Case Plan
Region VI	New Britain	CPS In-Home Family	no	yes	Very Good	Very Good	Very Good	Very Good	Very Good	Very Good	Very Good	Very Good	Appropriate Case Plan
Region VI	New Britain	CPS In-Home Family	yes	yes	Optimal	Very Good	Very Good	Very Good	Very Good	Very Good	Very Good	Very Good	Appropriate Case Plan
New Britain 2nd Quarter Results			75.0%	75.0%	100.0%	100.0%	80.0%	100.0%	100.0%	100.0%	80.0%	80.0%	80.0%
REGION VI 2ND QUARTER 2016 RESULTS			85.7%	85.7%	100.0%	100.0%	85.7%	71.4%	100.0%	100.0%	85.7%	85.7%	85.7%
RE 3 STATEWIDE 2ND QUARTER 2016 RESULTS			90.6%	90.6%	96.2%	96.2%	50.9%	64.2%	73.6%	88.7%	77.4%	88.7%	64.2%

Juan F. v. Malloy Exit Plan Quarterly Report  
February 2017

DCF Region	Area Office	Case Type	Has the Case plan been approved by the SWS?	Was the family or child's language needs accommodated?	Reason for DCF Involvement	Identifying Information	Engagement of Child and Family (formerly Strengths, Needs and Other Issues)	Present Situation and Assessment to Date of Review	Determining the Goals/Objectives	Progress	Action Steps to Achieving Goals Identified for the Upcoming Six Month Period	Planning for Permanency	Overall Score for OMB (Italics indicates override)
Region I	Bridgeport	CPS In-Home Family	yes	yes	Optimal	Very Good	Very Good	Marginal	Marginal	Very Good	Very Good	Optimal	Not an Appropriate Case Plan
Region I	Bridgeport	CPS CIP	yes	yes	Very Good	Very Good	Very Good	Marginal	Very Good	Very Good	Very Good	Marginal	Not an Appropriate Case Plan
Region I	Bridgeport	CPS CIP	yes	yes	Very Good	Very Good	Very Good	Marginal	Marginal	Very Good	Very Good	Very Good	Not an Appropriate Case Plan
Region I	Bridgeport	CPS In-Home Family	yes	yes	Very Good	Very Good	Marginal	Marginal	Marginal	Very Good	Marginal	Very Good	Not an Appropriate Case Plan
<b>Bridgeport 3rd Quarter Results</b>			100.0%	100.0%	100.0%	100.0%	75.0%	0.0%	25.0%	100.0%	75.0%	75.0%	0.0%
Region I	Norwalk	CPS CIP Case	yes	yes	Optimal	Optimal	Very Good	Very Good	Marginal	Very Good	Very Good	Very Good	Appropriate Case Plan
Region I	Norwalk	CPS In-Home Family	yes	yes	Very Good	Very Good	Marginal	Marginal	Poor	Marginal	Poor	Very Good	Not an Appropriate Case Plan
Region I	Norwalk	CPS In-Home Family	no	UTD	Absent/Averse	Absent/Averse	Marginal	Absent/Averse	Absent/Averse	Absent/Averse	Absent/Averse	Absent/Averse	Not an Appropriate Case Plan
<b>Norwalk 3rd Quarter Results</b>			66.7%	66.7%	66.7%	66.7%	33.3%	33.3%	0.0%	33.3%	33.3%	66.7%	33.3%
<b>REGION I 2ND QUARTER 2016 RESULT</b>			85.7%	85.7%	85.7%	85.7%	57.1%	14.3%	14.3%	71.4%	57.1%	71.4%	14.3%
Region II	Milford	CPS In-Home Family	yes	yes	Very Good	Marginal	Poor	Marginal	Very Good	Marginal	Very Good	Very Good	Not an Appropriate Case Plan
Region II	Milford	CPS In-Home Family	yes	yes	Very Good	Very Good	Very Good	Very Good	Very Good	Optimal	Very Good	Optimal	Appropriate Case Plan
Region II	Milford	CPS In-Home Family	yes	yes	Very Good	Very Good	Marginal	Marginal	Very Good	Marginal	Very Good	Very Good	Not an Appropriate Case Plan
Region II	Milford	CPS CIP	yes	yes	Very Good	Very Good	Very Good	Marginal	Very Good	Marginal	Marginal	Marginal	Not an Appropriate Case Plan
<b>Milford 3rd Quarter Results</b>			100.0%	100.0%	100.0%	75.0%	50.0%	25.0%	100.0%	25.0%	75.0%	75.0%	25.0%
Region II	New Haven	CPS CIP	yes	yes	Very Good	Very Good	Marginal	Very Good	Very Good	Very Good	Very Good	Very Good	Appropriate Case Plan
Region II	New Haven	CPS In-Home Family Case	yes	yes	Very Good	Very Good	Very Good	Marginal	Marginal	Very Good	Marginal	Very Good	Not an Appropriate Case Plan
Region II	New Haven	CPS In-Home Family	yes	yes	Optimal	Very Good	Very Good	Marginal	Very Good	Very Good	Very Good	Very Good	Appropriate Case Plan
Region II	New Haven	CPS CIP	yes	yes	Very Good	Very Good	Marginal	Marginal	Very Good	Very Good	Very Good	Marginal	Appropriate Case Plan
<b>New Haven 3rd Quarter Results</b>			100.0%	100.0%	100.0%	100.0%	50.0%	25.0%	75.0%	100.0%	75.0%	75.0%	75.0%
<b>REGION II 3RD QUARTER 2016 RESULTS</b>			100.0%	100.0%	100.0%	87.5%	50.0%	25.0%	87.5%	62.5%	75.0%	75.0%	50.0%
Region III	Middletown	CPS CIP	no	yes	Very Good	Very Good	Very Good	Marginal	Very Good	Marginal	Very Good	Very Good	Not an Appropriate Case Plan
Region III	Middletown	CPS In-Home Family	yes	yes	Very Good	Very Good	Very Good	Marginal	Marginal	Very Good	Marginal	Very Good	Not an Appropriate Case Plan
<b>Middletown 3rd Quarter Results</b>			50.0%	100.0%	100.0%	100.0%	100.0%	0.0%	50.0%	50.0%	50.0%	100.0%	0.0%
Region III	Norwich	CPS CIP	yes	yes	Optimal	Very Good	Very Good	Very Good	Very Good	Very Good	Very Good	Optimal	Appropriate Case Plan
Region III	Norwich	CPS In-Home Family	yes	yes	Optimal	Optimal	Marginal	Very Good	Optimal	Optimal	Very Good	Optimal	Appropriate Case Plan
Region III	Norwich	CPS In-Home Family	yes	yes	Very Good	Very Good	Marginal	Very Good	Very Good	Very Good	Marginal	Optimal	Not an Appropriate Case Plan
Region III	Norwich	CPS In-Home Family	yes	yes	Very Good	Optimal	Very Good	Very Good	Very Good	Very Good	Very Good	Very Good	Appropriate Case Plan
Region III	Norwich	CPS CIP	yes	yes	Optimal	Very Good	Very Good	Very Good	Very Good	Very Good	Very Good	Very Good	Appropriate Case Plan
<b>Norwich 3rd Quarter Results</b>			100.0%	100.0%	100.0%	100.0%	60.0%	100.0%	100.0%	100.0%	80.0%	100.0%	80.0%
Region III	Willimantic	CPS In-Home Family	yes	yes	Very Good	Very Good	Very Good	Marginal	Very Good	Very Good	Very Good	Very Good	Appropriate Case Plan
Region III	Willimantic	CPS CIP	yes	yes	Very Good	Optimal	Very Good	Very Good	Very Good	Very Good	Very Good	Very Good	Appropriate Case Plan
Region III	Willimantic	CPS CIP	yes	yes	Very Good	Very Good	Marginal	Very Good	Very Good	Very Good	Very Good	Optimal	Not an Appropriate Case Plan
<b>Willimantic 3rd Quarter Results</b>			100.0%	100.0%	100.0%	100.0%	66.7%	66.7%	100.0%	100.0%	100.0%	100.0%	66.7%
<b>REGION III 3RD QUARTER 2016 RESULTS</b>			90.0%	100.0%	100.0%	100.0%	70.0%	70.0%	90.0%	90.0%	80.0%	100.0%	60.0%
Region IV	Hartford	CPS CIP	yes	yes	Optimal	Very Good	Optimal	Optimal	Optimal	Optimal	Very Good	Very Good	Appropriate Case Plan
Region IV	Hartford	CPS In-Home Family	UTD	UTD	Absent/Averse	Absent/Averse	Marginal	Absent/Averse	Absent/Averse	Absent/Averse	Absent/Averse	Absent/Averse	Not an Appropriate Case Plan
Region IV	Hartford	CPS In-Home Family	yes	yes	Very Good	Very Good	Marginal	Marginal	Very Good	Very Good	Very Good	Very Good	Not an Appropriate Case Plan
Region IV	Hartford	CPS CIP	yes	yes	Very Good	Optimal	Very Good	Marginal	Marginal	Very Good	Marginal	Very Good	Not an Appropriate Case Plan
Region IV	Hartford	CPS In-Home Family	yes	yes	Very Good	Very Good	Very Good	Marginal	Marginal	Very Good	Marginal	Very Good	Not an Appropriate Case Plan
Region IV	Hartford	CPS In-Home Family	yes	yes	Marginal	Optimal	Marginal	Marginal	Very Good	Very Good	Marginal	Very Good	Not an Appropriate Case Plan
Region IV	Hartford	CPS CIP	yes	yes	Very Good	Very Good	Marginal	Marginal	Very Good	Very Good	Very Good	Very Good	Appropriate Case Plan
Region IV	Hartford	CPS CIP	yes	yes	Very Good	Very Good	Marginal	Marginal	Very Good	Very Good	Very Good	Very Good	Not an Appropriate Case Plan
<b>Hartford 3rd Quarter Results</b>			87.5%	100.0%	75.0%	87.5%	37.5%	12.5%	62.5%	75.0%	62.5%	87.5%	25.0%
Region IV	Manchester	CPS In-Home Family	yes	yes	Very Good	Optimal	Optimal	Very Good	Very Good	Very Good	Very Good	Optimal	Appropriate Case Plan
Region IV	Manchester	CPS In-Home Family	no	UTD	Absent/Averse	Absent/Averse	Absent/Averse	Absent/Averse	Absent/Averse	Absent/Averse	Absent/Averse	Absent/Averse	Not an Appropriate Case Plan
Region IV	Manchester	CPS CIP	yes	yes	Very Good	Very Good	Very Good	Very Good	Very Good	Very Good	Very Good	Very Good	Appropriate Case Plan
Region IV	Manchester	CPS CIP	yes	yes	Very Good	Very Good	Very Good	Very Good	Optimal	Very Good	Very Good	Optimal	Appropriate Case Plan
<b>Manchester 3rd Quarter Results</b>			75.0%	75.0%	75.0%	75.0%	75.0%	75.0%	75.0%	75.0%	50.0%	75.0%	75.0%
<b>REGION IV 3RD QUARTER 2016 RESULTS</b>			83.3%	83.3%	75.0%	83.3%	50.0%	33.3%	66.7%	75.0%	58.3%	83.3%	41.7%
Region V	Danbury	CPS In-Home Family Case	yes	yes	Very Good	Very Good	Marginal	Very Good	Very Good	Very Good	Very Good	Very Good	Appropriate Case Plan
Region V	Danbury	CPS CIP	yes	yes	Very Good	Very Good	Marginal	Very Good	Very Good	Optimal	Very Good	Very Good	Appropriate Case Plan
<b>Danbury 3rd Quarter Results</b>			100.0%	100.0%	100.0%	100.0%	0.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%
Region V	Torrington	CPS CIP	yes	yes	Very Good	Very Good	Very Good	Very Good	Very Good	Very Good	Very Good	Very Good	Appropriate Case Plan
Region V	Torrington	CPS In-Home Family	yes	yes	Very Good	Very Good	Marginal	Marginal	Very Good	Very Good	Very Good	Very Good	Not an Appropriate Case Plan
Region V	Torrington	CPS CIP	yes	yes	Optimal	Very Good	Marginal	Marginal	Very Good	Very Good	Marginal	Very Good	Not an Appropriate Case Plan
<b>Torrington 3rd Quarter Results</b>			100.0%	100.0%	100.0%	100.0%	33.3%	33.3%	100.0%	100.0%	66.7%	100.0%	33.3%
Region V	Waterbury	CPS CIP	yes	yes	Very Good	Very Good	Very Good	Very Good	Very Good	Very Good	Very Good	Very Good	Appropriate Case Plan
Region V	Waterbury	CPS In-Home Family	yes	yes	Optimal	Very Good	Very Good	Very Good	Very Good	Marginal	Optimal	Very Good	Appropriate Case Plan
Region V	Waterbury	CPS In-Home Family	yes	yes	Very Good	Very Good	Very Good	Very Good	Very Good	Very Good	Very Good	Very Good	Appropriate Case Plan
Region V	Waterbury	SPM CIP Case	yes	yes	Very Good	Very Good	Marginal	Marginal	Marginal	Marginal	Marginal	Marginal	Not an Appropriate Case Plan
Region V	Waterbury	CPS In-Home Family	yes	yes	Very Good	Very Good	Very Good	Very Good	Very Good	Very Good	Very Good	Very Good	Appropriate Case Plan
Region V	Waterbury	CPS CIP	yes	yes	Very Good	Marginal	Very Good	Marginal	Marginal	Very Good	Very Good	Very Good	Not an Appropriate Case Plan
<b>Waterbury 3rd Quarter Results</b>			100.0%	100.0%	100.0%	83.3%	83.3%	66.7%	50.0%	83.3%	83.3%	83.3%	66.7%
<b>REGION V 3RD QUARTER 2016 RESULTS</b>			100.0%	100.0%	100.0%	90.9%	54.5%	63.6%	72.7%	90.9%	81.8%	90.9%	63.6%
Region VI	Meriden	SPM CIP Case	yes	yes	Optimal	Very Good	Very Good	Very Good	Optimal	Very Good	Very Good	Optimal	Appropriate Case Plan
Region VI	Meriden	CPS In-Home Family	yes	yes	Very Good	Very Good	Marginal	Very Good	Very Good	Very Good	Very Good	Very Good	Appropriate Case Plan
<b>Meriden 3rd Quarter Results</b>			100.0%	100.0%	100.0%	100.0%	50.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%
Region VI	New Britain	SPM CIP Case	yes	yes	Very Good	Very Good	Optimal	Very Good	Very Good	Very Good	Very Good	Optimal	Appropriate Case Plan
Region VI	New Britain	Voluntary Services CIP	yes	yes	Very Good	Very Good	Marginal	Very Good	Very Good	Very Good	Very Good	Optimal	Appropriate Case Plan
Region VI	New Britain	CPS In-Home Family	yes	no	Optimal	Very Good	Very Good	Marginal	Very Good	Very Good	Very Good	Very Good	Appropriate Case Plan
Region VI	New Britain	CPS In-Home Family	yes	yes	Very Good	Optimal	Optimal	Very Good	Very Good	Very Good	Very Good	Optimal	Appropriate Case Plan
Region VI	New Britain	CPS CIP	yes	yes	Optimal	Very Good	Very Good	Marginal	Very Good	Very Good	Very Good	Marginal	Appropriate Case Plan
<b>New Britain 3rd Quarter Results</b>			100.0%	80.0%	100.0%	100.0%	80.0%	60.0%	100.0%	100.0%	100.0%	80.0%	100.0%
<b>REGION VI 3RD QUARTER 2016 RESULTS</b>			100.0%	85.7%	100.0%	100.0%	71.4%	71.4%	100.0%	100.0%	100.0%	85.7%	100.0%
<b>UTCOME MEASURE 3 STATEWIDE 3RD QUARTER 2016 RESULTS</b>			92.7%	92.7%	92.7%	90.9%	58.2%	47.3%	72.7%	81.8%	74.5%	85.5%	52.7%
<b>SEMI-ANNUAL COMBINED OUTCOME MEASURE 3 RESULTS</b>			91.7%	91.7%	94.4%	93.5%	54.6%	55.6%	73.2%	85.2%	75.9%	87.0%	58.3%

### Outcome Measure 15

The Statewide Second Quarter 2016 sample result of 69.8% and Third Quarter 2016 result of 52.7% show slight decline in the trend in Outcome Measure 15 for the last two quarters.

Variance continues for area offices and regions of the state. Again, the measure states:

"At least 80.0% of all families and children shall have their medical, dental, mental health and other service needs provided as specified in the most recent case plan."<sup>3</sup>

<b>Crosstabulation 2: What is the social worker's area office assignment? * Overall Score for OM15</b>				
<b>Area Office</b>		<b>"Needs Met" 2<sup>nd</sup> Quarter 2016 (n=53)</b>	<b>"Needs Met" 3<sup>rd</sup> Quarter 2016 (n=55)</b>	<b>"Needs Met" Combined 6- Month Results (n=108)</b>
<b>Region I</b>	<b>Bridgeport</b>	50.0%	50.0%	50.0%
	<b>Norwalk</b>	<b>100.0%</b>	33.3%	60.0%
<b>Region I</b>		66.7%	42.9%	53.9%
<b>Region II</b>	<b>Milford</b>	75.0%	50.0%	62.5%
	<b>New Haven</b>	75.0%	50.0%	62.5%
<b>Region II</b>		75.0%	50.0%	62.5%
<b>Region III</b>	<b>Middletown</b>	50.0%	50.0%	50.0%
	<b>Norwich</b>	<b>80.0%</b>	40.0%	60.0%
	<b>Willimantic</b>	66.7%	33.3%	50.0%
<b>Region III</b>		70.0%	40.0%	55.0%
<b>Region IV</b>	<b>Hartford</b>	75.0%	50.0%	62.5%
	<b>Manchester</b>	25.0%	50.0%	37.5%
<b>Region IV</b>		58.3%	50.0%	54.2%
<b>Region V</b>	<b>Danbury</b>	<b>100.0%</b>	50.0%	75.0%
	<b>Torrington</b>	<b>100.0%</b>	66.7%	60.0%
	<b>Waterbury</b>	50.0%	66.7%	58.3%
<b>Region V</b>		70.0%	54.6%	61.9%
<b>Region VI</b>	<b>Meriden</b>	<b>100.0%</b>	50.0%	75.0%
	<b>New Britain</b>	<b>80.0%</b>	<b>100.0%</b>	<b>90.0%</b>
<b>Region VI</b>		<b>85.7%</b>	<b>85.7%</b>	<b>85.7%</b>
<b>Statewide</b>		69.8%	52.7%	61.1%

The six month is reported along with the quarterly totals for trend comparison.

<sup>3</sup> Measure excludes Probate, Interstate and Subsidy only cases.

Danbury, Meriden, Middletown, New Britain Norwalk, Norwich and Torrington each met the measure during the 2<sup>nd</sup> quarter 2016. New Britain was the only office to achieve the measure in the 3<sup>rd</sup> Quarter. New Britain's strong performance pulled Region VI above the measure in both consecutive quarters at 85.7%. This is the third quarter the region has achieved this level.

<b>Table 4: Outcome Measure 15 Regional Quarterly Performance Comparison</b>							
<i>Standard: 80%</i>							
	<b>Region I</b>	<b>Region II</b>	<b>Region III</b>	<b>Region IV</b>	<b>Region V</b>	<b>Region VI</b>	<b>Statewide</b>
<b>3<sup>rd</sup> Quarter 2016</b>	42.9%	50.0%	40.0%	50.0%	54.6%	85.7%	52.7%
<b>2<sup>nd</sup> Quarter 2016</b>	66.7%	75.0%	70.0%	58.3%	70.0%	85.7%	69.8%
<b>1<sup>st</sup> Quarter 2016</b>	50.0%	66.7%	70.0%	58.3%	90.0%	85.7%	70.4%
<b>4<sup>th</sup> Quarter 2015</b>	50.0%	75.0%	63.6%	50.0%	70.0%	71.4%	63.0%
<b>3<sup>rd</sup> Quarter 2015</b>	83.3%	66.7%	60.0%	41.7%	40.0%	37.1%	57.4%
<b>2<sup>nd</sup> Quarter 2015</b>	66.7%	50.0%	60.0%	41.7%	40.0%	14.3%	44.4%
<b>1<sup>st</sup> Quarter 2015</b>	50.0%	37.5%	80.0%	50.0%	10.0%	42.9%	47.2%
<b>4<sup>th</sup> Quarter 2014</b>	50.0%	33.3%	70.0%	33.3%	55.6%	85.7%	52.8%
<b>3<sup>rd</sup> Quarter 2014</b>	85.7%	66.7%	60.0%	50.0%	55.6%	85.7%	64.8%
<b>2<sup>nd</sup> Quarter 2014</b>	85.7%	77.8%	80.0%	16.7%	44.4%	71.4%	59.3%
<b>1<sup>st</sup> Quarter 2014</b>	71.4%	55.6%	80.0%	25.0%	55.6%	71.4%	57.4%
<b>4<sup>th</sup> Quarter 2013</b>	28.6%	62.5%	60.0%	75.0%	33.3%	75.0%	57.4%
<b>3<sup>rd</sup> Quarter 2013</b>	57.1%	77.8%	90.0%	53.8%	66.7%	57.1%	67.3%
<b>2<sup>nd</sup> Quarter 2013</b>	85.7%	77.8%	80.0%	50.0%	100.0%	57.1%	74.1%
<b>1<sup>st</sup> Quarter 2013</b>	62.5%	77.8%	70.0%	41.7%	66.7%	71.4%	63.6%
<b>4<sup>th</sup> Quarter 2012</b>	71.4%	77.8%	50.0%	38.5%	50.0%	57.1%	55.6%
<b>3<sup>rd</sup> Quarter 2012</b>	33.3%	36.4%	60.0%	78.6%	27.3%	77.8%	53.6%
<b>2<sup>nd</sup> Quarter 2012</b>	71.4%	66.7%	70.0%	54.5%	77.8%	25.0%	61.1%

There have been 25 overrides granted for OM15 during the Second and Third Quarters 2015. The full table of case summaries is provided by area office below. The overrides are designated by individual case OM15 scores in italics.

**Table 5: SUMMARY OF DOMAINS FOR OUTCOME MEASURE 3 FOR 4/1/2016 - 9/30/2016**

<b>Period of Review</b>	<b>Has the Case plan been approved by the SWS?</b>	<b>Was the family or child's language needs accommodated?</b>	<b>Reason for DCF Involvement</b>	<b>Identifying Information</b>	<b>Engagement of Child and Family (formerly Strengths, Needs and Other Issues)</b>	<b>Present Situation and Assessment to Date of Review</b>	<b>Determining the Goals/Objectives</b>	<b>Progress</b>	<b>Action Steps to Achieving Goals Identified for the Upcoming Six Month Period</b>	<b>Planning for Permanency</b>	<b>Overall Score for OM3</b>
<b>OUTCOME MEASURE 3 STATEWIDE 2ND QUARTER 2016 RESULTS</b>	90.6%	90.6%	96.2%	96.2%	50.9%	64.2%	73.6%	88.7%	77.4%	88.7%	64.2%
<b>OUTCOME MEASURE 3 STATEWIDE 3RD QUARTER 2016 RESULTS</b>	92.7%	92.7%	92.7%	90.9%	58.2%	47.3%	72.7%	81.8%	74.5%	85.5%	52.7%
<b>SEMI-ANNUAL COMBINED OUTCOME MEASURE 3 RESULTS</b>	<b>91.7%</b>	<b>91.7%</b>	<b>94.4%</b>	<b>93.5%</b>	<b>54.6%</b>	<b>55.6%</b>	<b>73.2%</b>	<b>85.2%</b>	<b>75.9%</b>	<b>87.0%</b>	<b>58.3%</b>

Second Quarter 2016 Outcome Measure 15															
Region	Area Office	Case Type	Risk: In-Home	Risk: Child In Placement	Permanency: Securing the Permanent Placement - Action Plan for the Next Six Months	Permanency: DCF Case Mgmt - Legal Action to Achieve the Permanency Goal During the Prior Six Months	Permanency: DCF Case Mgmt - Recruitment for Placement Providers to Achieve the Permanency Goal during the Prior Six Months	Permanency: DCF Case Mgmt - Contracting or Providing Services to Achieve the Permanency Goal during the Prior Six Months	Well-Being: Medical Needs	Well-Being: Dental Needs	Well-Being: Mental Health, Behavioral and Substance Abuse Services	Well-Being: Child's Current Placement	Well-Being: Education	Overall Score for Outcome Measure 15	
Region I	Bridgeport	CPS In-Home Family	Very Good	N/A	N/A	Very Good	N/A	Marginal	Optimal	Optimal	Very Good	N/A	Very Good	Needs Not Met	
Region I	Bridgeport	CPS CIP Case	N/A	Very Good	Very Good	Very Good	Very Good	Marginal	Very Good	Very Good	Very Good	Very Good	Very Good	Needs Met	
Region I	Bridgeport	CPS CIP Case	N/A	Optimal	Optimal	Optimal	Optimal	Optimal	Optimal	Optimal	Very Good	Optimal	N/A	Needs Met	
Region I	Bridgeport	CPS In-Home Family	Very Good	N/A	N/A	Marginal	N/A	Marginal	Optimal	Optimal	Marginal	N/A	Optimal	Needs Not Met	
		<b>Bridgeport 2nd Quarter OM15 (New OM4)</b>	<b>100.0%</b>	<b>100.0%</b>	<b>100.0%</b>	<b>75.0%</b>	<b>100.0%</b>	<b>25.0%</b>	<b>100.0%</b>	<b>100.0%</b>	<b>75.0%</b>	<b>100.0%</b>	<b>100.0%</b>	<b>50.0%</b>	
Region I	Norwalk	CPS CIP Case	N/A	Very Good	Very Good	Optimal	Very Good	Very Good	Very Good	Very Good	Very Good	Very Good	N/A	Needs Met	
Region I	Norwalk	CPS In-Home Family	Very Good	N/A	N/A	Very Good	N/A	Very Good	Very Good	Optimal	Very Good	N/A	Very Good	Needs Met	
		<b>Norwalk 2nd Quarter OM15 (New OM4)</b>	<b>100.0%</b>	<b>100.0%</b>	<b>100.0%</b>	<b>100.0%</b>	<b>100.0%</b>	<b>100.0%</b>	<b>100.0%</b>	<b>100.0%</b>	<b>100.0%</b>	<b>100.0%</b>	<b>100.0%</b>	<b>100.0%</b>	
		<b>REGION I 2ND QUARTER OM15 (NEW OM4) RESULTS</b>	<b>100.0%</b>	<b>100.0%</b>	<b>100.0%</b>	<b>83.3%</b>	<b>100.0%</b>	<b>50.0%</b>	<b>100.0%</b>	<b>100.0%</b>	<b>83.3%</b>	<b>100.0%</b>	<b>100.0%</b>	<b>66.7%</b>	
Region II	Milford	CPS In-Home Family	Very Good	N/A	N/A	Very Good	N/A	Very Good	Very Good	Marginal	Marginal	N/A	Very Good	Needs Met	
Region II	Milford	CPS In-Home Family	Very Good	N/A	N/A	Optimal	N/A	Very Good	Very Good	Marginal	Very Good	N/A	Very Good	Needs Met	
Region II	Milford	CPS CIP Case	N/A	Optimal	Optimal	Very Good	Optimal	Very Good	Very Good	Optimal	Very Good	Optimal	Very Good	Needs Met	
Region II	Milford	CPS CIP Case	N/A	Very Good	Very Good	Very Good	Very Good	Very Good	Very Good	Marginal	Marginal	Very Good	Very Good	Needs Not Met	
		<b>Milford 2nd Quarter OM15 (New OM4)</b>	<b>100.0%</b>	<b>100.0%</b>	<b>100.0%</b>	<b>100.0%</b>	<b>100.0%</b>	<b>100.0%</b>	<b>100.0%</b>	<b>25.0%</b>	<b>50.0%</b>	<b>100.0%</b>	<b>100.0%</b>	<b>75.0%</b>	
Region II	New Haven	CPS CIP Case	N/A	Very Good	Very Good	Optimal	Optimal	Very Good	Marginal	Marginal	Very Good	Very Good	Very Good	Needs Met	
Region II	New Haven	CPS CIP Case	N/A	Very Good	Very Good	Optimal	Optimal	Very Good	Optimal	Very Good	Very Good	Very Good	Very Good	Needs Met	
Region II	New Haven	CPS In-Home Family	Very Good	Very Good	N/A	Optimal	N/A	Marginal	Very Good	Very Good	Very Good	N/A	Very Good	Needs Met	
Region II	New Haven	CPS In-Home Family	Marginal	N/A	N/A	Marginal	N/A	Marginal	Optimal	Very Good	Marginal	N/A	N/A	Needs Not Met	
		<b>New Haven 2nd Quarter OM15 (New OM4)</b>	<b>50.0%</b>	<b>100.0%</b>	<b>100.0%</b>	<b>75.0%</b>	<b>100.0%</b>	<b>50.0%</b>	<b>75.0%</b>	<b>75.0%</b>	<b>75.0%</b>	<b>100.0%</b>	<b>100.0%</b>	<b>75.0%</b>	
		<b>REGION II 2ND QUARTER OM15 (NEW OM4) RESULTS</b>	<b>75.0%</b>	<b>100.0%</b>	<b>100.0%</b>	<b>87.5%</b>	<b>100.0%</b>	<b>75.0%</b>	<b>87.5%</b>	<b>50.0%</b>	<b>62.5%</b>	<b>100.0%</b>	<b>100.0%</b>	<b>75.0%</b>	
Region III	Middletown	CPS In-Home Family Case	Very Good	N/A	N/A	Optimal	N/A	Very Good	Optimal	Marginal	Marginal	N/A	Very Good	Needs Not Met	
Region III	Middletown	CPS CIP Case	N/A	Very Good	Optimal	Very Good	Optimal	Marginal	Optimal	Very Good	Very Good	Optimal	Very Good	Needs Met	
		<b>Middletown 2nd Quarter OM15 (New OM4)</b>	<b>100.0%</b>	<b>100.0%</b>	<b>100.0%</b>	<b>100.0%</b>	<b>100.0%</b>	<b>50.0%</b>	<b>100.0%</b>	<b>50.0%</b>	<b>50.0%</b>	<b>100.0%</b>	<b>100.0%</b>	<b>50.0%</b>	
Region III	Norwich	CPS In-Home Family	Very Good	N/A	N/A	Optimal	N/A	Very Good	Very Good	Marginal	Very Good	N/A	Very Good	Needs Met	
Region III	Norwich	CPS CIP Case	N/A	Very Good	Very Good	Optimal	Optimal	Optimal	Optimal	Optimal	Very Good	Optimal	Optimal	Needs Met	
Region III	Norwich	CPS In-Home Family	Very Good	N/A	N/A	Very Good	N/A	Very Good	Very Good	Very Good	Very Good	N/A	Very Good	Needs Met	
Region III	Norwich	Voluntary Services In-Home Family Case	Very Good	N/A	N/A	Very Good	N/A	Marginal	Marginal	Very Good	Very Good	N/A	Very Good	Needs Not Met	
Region III	Norwich	CPS CIP Case	N/A	Very Good	Very Good	Very Good	Very Good	Very Good	Optimal	Optimal	Marginal	Very Good	Very Good	Needs Met	
		<b>Norwich 2nd Quarter OM15 (New OM4)</b>	<b>100.0%</b>	<b>100.0%</b>	<b>100.0%</b>	<b>100.0%</b>	<b>100.0%</b>	<b>80.0%</b>	<b>80.0%</b>	<b>80.0%</b>	<b>80.0%</b>	<b>100.0%</b>	<b>100.0%</b>	<b>80.0%</b>	
Region III	Willimantic	CPS CIP Case	N/A	Very Good	Optimal	Optimal	Optimal	Optimal	Optimal	Optimal	Optimal	Optimal	Optimal	Needs Met	
Region III	Willimantic	CPS In-Home Family	Very Good	N/A	N/A	Optimal	N/A	Very Good	Very Good	Very Good	Very Good	N/A	Optimal	Needs Met	
Region III	Willimantic	CPS CIP Case	N/A	Very Good	Optimal	Optimal	Optimal	Marginal	Very Good	Optimal	Very Good	Very Good	Very Good	Needs Not Met	
		<b>Willimantic 2nd Quarter OM15 (New OM4)</b>	<b>100.0%</b>	<b>100.0%</b>	<b>100.0%</b>	<b>100.0%</b>	<b>100.0%</b>	<b>66.7%</b>	<b>100.0%</b>	<b>100.0%</b>	<b>100.0%</b>	<b>100.0%</b>	<b>100.0%</b>	<b>66.7%</b>	
		<b>REGION III 2ND QUARTER OM15 (NEW OM4) RESULTS</b>	<b>100.0%</b>	<b>100.0%</b>	<b>100.0%</b>	<b>100.0%</b>	<b>100.0%</b>	<b>70.0%</b>	<b>90.0%</b>	<b>80.0%</b>	<b>80.0%</b>	<b>100.0%</b>	<b>100.0%</b>	<b>70.0%</b>	
Region IV	Hartford	CPS In-Home Family	Very Good	N/A	N/A	Optimal	N/A	Marginal	Very Good	Very Good	Marginal	N/A	Very Good	Needs Not Met	
Region IV	Hartford	CPS CIP Case	N/A	Very Good	Very Good	Very Good	Very Good	Very Good	Optimal	Optimal	Marginal	Very Good	Marginal	Needs Met	
Region IV	Hartford	CPS CIP Case	N/A	Optimal	Optimal	Very Good	Optimal	Very Good	Optimal	Optimal	Very Good	Optimal	N/A	Needs Met	
Region IV	Hartford	CPS CIP Case	N/A	Optimal	Very Good	Very Good	Very Good	Very Good	Optimal	Optimal	Very Good	Very Good	N/A	Needs Met	
Region IV	Hartford	CPS In-Home Family	Very Good	N/A	N/A	Optimal	N/A	Marginal	Optimal	Very Good	Very Good	N/A	Very Good	Needs Met	
Region IV	Hartford	CPS CIP Case	N/A	Very Good	Optimal	Very Good	Optimal	Very Good	Very Good	Optimal	Very Good	Optimal	Very Good	Needs Met	
Region IV	Hartford	CPS In-Home Family	Very Good	N/A	N/A	Very Good	N/A	Marginal	Very Good	Very Good	Marginal	N/A	Marginal	Needs Not Met	
Region IV	Hartford	CPS In-Home Family	Very Good	N/A	N/A	Optimal	N/A	Marginal	Very Good	Marginal	Very Good	N/A	Very Good	Needs Met	
		<b>Hartford 2nd Quarter OM15 (New OM4)</b>	<b>100.0%</b>	<b>100.0%</b>	<b>100.0%</b>	<b>100.0%</b>	<b>100.0%</b>	<b>50.0%</b>	<b>100.0%</b>	<b>87.5%</b>	<b>62.5%</b>	<b>100.0%</b>	<b>66.7%</b>	<b>75.0%</b>	
Region IV	Manchester	CPS CIP Case	N/A	Very Good	Very Good	Very Good	Very Good	Very Good	Very Good	Very Good	Marginal	Marginal	Very Good	Needs Not Met	
Region IV	Manchester	CPS In-Home Family	Very Good	N/A	N/A	Very Good	N/A	Very Good	Very Good	Very Good	Very Good	N/A	Very Good	Needs Met	
Region IV	Manchester	CPS CIP Case	N/A	Very Good	Very Good	Very Good	Very Good	Very Good	Optimal	Optimal	Marginal	Very Good	Very Good	Needs Not Met	
Region IV	Manchester	CPS In-Home Family	Very Good	N/A	N/A	Very Good	N/A	Very Good	Very Good	Optimal	Marginal	N/A	Very Good	Needs Not Met	
		<b>Manchester 2nd Quarter OM15 (New OM4)</b>	<b>100.0%</b>	<b>100.0%</b>	<b>100.0%</b>	<b>100.0%</b>	<b>100.0%</b>	<b>100.0%</b>	<b>100.0%</b>	<b>100.0%</b>	<b>25.0%</b>	<b>50.0%</b>	<b>100.0%</b>	<b>25.0%</b>	
		<b>REGION IV 2ND QUARTER OM15 (NEW OM4) RESULTS</b>	<b>100.0%</b>	<b>100.0%</b>	<b>100.0%</b>	<b>100.0%</b>	<b>100.0%</b>	<b>66.7%</b>	<b>100.0%</b>	<b>91.7%</b>	<b>50.0%</b>	<b>83.3%</b>	<b>80.0%</b>	<b>58.3%</b>	
Region V	Danbury	CPS In-Home Family	Very Good	N/A	N/A	Optimal	N/A	Very Good	Very Good	Very Good	Marginal	N/A	Very Good	Needs Met	
Region V	Danbury	CPS CIP Case	N/A	Very Good	Very Good	Very Good	Very Good	Very Good	Optimal	Optimal	Very Good	Very Good	Very Good	Needs Met	
		<b>Danbury 2nd Quarter OM15 (New OM4)</b>	<b>100.0%</b>	<b>100.0%</b>	<b>100.0%</b>	<b>100.0%</b>	<b>100.0%</b>	<b>100.0%</b>	<b>100.0%</b>	<b>100.0%</b>	<b>50.0%</b>	<b>100.0%</b>	<b>100.0%</b>	<b>100.0%</b>	
Region V	Torrington	CPS CIP Case	N/A	Very Good	Very Good	Optimal	Optimal	Very Good	Optimal	Optimal	Very Good	Optimal	Optimal	Needs Met	
Region V	Torrington	CPS In-Home Family	Very Good	N/A	N/A	Optimal	N/A	Very Good	Optimal	Optimal	Very Good	N/A	Very Good	Needs Met	
		<b>Torrington 2nd Quarter OM15 (New OM4)</b>	<b>100.0%</b>	<b>100.0%</b>	<b>100.0%</b>	<b>100.0%</b>	<b>100.0%</b>	<b>100.0%</b>	<b>100.0%</b>	<b>100.0%</b>	<b>100.0%</b>	<b>100.0%</b>	<b>100.0%</b>	<b>100.0%</b>	
Region V	Waterbury	CPS CIP Case	N/A	Optimal	Very Good	Very Good	Very Good	Very Good	Optimal	Optimal	Very Good	Very Good	N/A	Needs Met	
Region V	Waterbury	CPS In-Home Family	Very Good	N/A	N/A	Very Good	N/A	Marginal	Very Good	Very Good	Very Good	N/A	Very Good	Needs Not Met	
Region V	Waterbury	SPM CIP Case	N/A	Very Good	Optimal	Optimal	Optimal	Very Good	Optimal	Very Good	Very Good	Optimal	Optimal	Needs Met	
Region V	Waterbury	CPS In-Home Family Case	Very Good	N/A	N/A	Optimal	N/A	Marginal	Very Good	Very Good	Very Good	N/A	Marginal	Needs Met	
Region V	Waterbury	CPS In-Home Family	Very Good	N/A	N/A	Very Good	N/A	Marginal	Very Good	Optimal	Marginal	N/A	Marginal	Needs Not Met	
Region V	Waterbury	CPS CIP Case	N/A	Very Good	Very Good	Optimal	Optimal	Very Good	Marginal	Marginal	Marginal	Optimal	Very Good	Needs Not Met	
		<b>Waterbury 2nd Quarter OM15 (New OM4)</b>	<b>100.0%</b>	<b>100.0%</b>	<b>100.0%</b>	<b>100.0%</b>	<b>100.0%</b>	<b>50.0%</b>	<b>83.3%</b>	<b>83.3%</b>	<b>66.7%</b>	<b>100.0%</b>	<b>60.0%</b>	<b>50.0%</b>	
		<b>REGION V 2ND QUARTER OM15 (NEW OM4) RESULTS</b>	<b>100.0%</b>	<b>100.0%</b>	<b>100.0%</b>	<b>100.0%</b>	<b>100.0%</b>	<b>70.0%</b>	<b>90.0%</b>	<b>90.0%</b>	<b>70.0%</b>	<b>100.0%</b>	<b>77.8%</b>	<b>70.0%</b>	
Region VI	Meriden	CPS CIP Case	N/A	Very Good	Optimal	Very Good	Optimal	Very Good	Optimal	Optimal	Very Good	Optimal	Very Good	Needs Met	
Region VI	Meriden	CPS In-Home Family	Very Good	N/A	N/A	Very Good	N/A	Very Good	Very Good	Very Good	Very Good	N/A	Very Good	Needs Met	
		<b>Meriden 2nd Quarter OM15 (New OM4)</b>	<b>100.0%</b>	<b>100.0%</b>	<b>100.0%</b>	<b>100.0%</b>	<b>100.0%</b>	<b>100.0%</b>	<b>100.0%</b>	<b>100.0%</b>	<b>100.0%</b>	<b>100.0%</b>	<b>100.0%</b>	<b>100.0%</b>	
Region VI	New Britain	CPS In-Home Family	Optimal	N/A	N/A	Optimal	N/A	Very Good	Very Good	Very Good	Very Good	N/A	Very Good	Needs Met	
Region VI	New Britain	CPS CIP Case	N/A	Very Good	Very Good	Very Good	Very Good	Marginal	Very Good	Very Good	Very Good	Very Good	Very Good	Needs Not Met	
Region VI	New Britain	CPS CIP Case	N/A	Optimal	Optimal	Optimal	Optimal	Very Good	Optimal	Optimal	Optimal	Optimal	Optimal	Needs Met	
Region VI	New Britain	CPS In-Home Family	Very Good	N/A	N/A	Very Good	N/A	Very Good	Very Good	Very Good	Very Good	N/A	Very Good	Needs Met	
Region VI	New Britain	CPS In-Home Family	Very Good	N/A	N/A	Very Good	N/A	Very Good	Very Good	Very Good	Very Good	N/A	Very Good	Needs Met	
		<b>New Britain 2nd Quarter OM15 (New OM4)</b>	<b>100.0%</b>	<b>100.0%</b>	<b>100.0%</b>	<b>100.0%</b>	<b>100.0%</b>	<b>80.0%</b>	<b>100.0%</b>	<b>100.0%</b>	<b>100.0%</b>	<b>100.0%</b>	<b>100.0%</b>	<b>80.0%</b>	
		<b>REGION VI 2ND QUARTER OM15 (NEW OM4) RESULTS</b>	<b>100.0%</b>	<b>100.0%</b>	<b>100.0%</b>	<b>100.0%</b>	<b>100.0%</b>	<b>85.7%</b>	<b>100.0%</b>	<b>100.0%</b>	<b>100.0%</b>	<b>100.0%</b>	<b>100.0%</b>	<b>85.7%</b>	
		<b>STATEWIDE 2ND QUARTER OM15 (NEW OM4) RESULTS</b>	<b>96.3%</b>	<b>100.0%</b>	<b>100.0%</b>	<b>96.2%</b>	<b>100.0%</b>	<b>69.8%</b>	<b>94.3%</b>	<b>84.9%</b>	<b>71.7%</b>	<b>96.2%</b>	<b>91.5%</b>	<b>69.8%</b>	

Third Quarter 2016 Outcome Measure 15															
Region	Area Office	Case Type	Risk: In-Home	Risk: Child In Placement	Permanency: Securing the Permanent Placement Action Plan for the Next Six Months	Permanency: DCF Mgmt - Legal Action to Achieve the Permanency Goal During the Prior Six Months	Permanency: DCF Mgmt - Recruitment for Placement Providers to Achieve the Permanency Goal during the Prior Six Months	Permanency: DCF Mgmt - Contracting or Providing Services to Achieve the Permanency Goal during the Prior Six Months	Well-Being: Medical Needs	Well-Being: Dental Needs	Well-Being: Behavioral and Substance Abuse Services	Well-Being: Child's Current Placement	Well-Being: Education	Overall Score for Outcome Measure 15	
Region I	Bridgeport	CPS In-Home Family	Very Good	N/A	N/A	Very Good	N/A	Very Good	Very Good	Very Good	Marginal	N/A	Very Good	Needs Met	
Region I	Bridgeport	CPS CIP	N/A	Very Good	Marginal	Very Good	Marginal	Very Good	Very Good	Marginal	Very Good	Very Good	Very Good	Needs Not Met	
Region I	Bridgeport	CPS CIP	N/A	Very Good	Very Good	Very Good	Optimal	Very Good	Optimal	Optimal	Very Good	Very Good	Very Good	Needs Met	
Region I	Bridgeport	CPS In-Home Family	Very Good	N/A	N/A	Very Good	N/A	Marginal	Marginal	Marginal	Marginal	N/A	Marginal	Needs Not Met	
<b>Bridgeport 3rd Quarter OM15 (New OMA)</b>			100.0%	100.0%		50.0%	100.0%	50.0%	75.0%	75.0%	50.0%	50.0%	100.0%	75.0%	50.0%
Region I	Norwalk	CPS CIP	N/A	Very Good	Optimal	Very Good	Optimal	Very Good	Optimal	Optimal	Very Good	Very Good	Very Good	Needs Met	
Region I	Norwalk	CPS In-Home Family	Very Good	N/A	N/A	Very Good	N/A	Marginal	Marginal	Marginal	Marginal	N/A	Very Good	Needs Not Met	
Region I	Norwalk	CPS In-Home Family	Very Good	N/A	N/A	Very Good	N/A	Marginal	Marginal	Marginal	Marginal	N/A	Very Good	Needs Not Met	
<b>Norwalk 3rd Quarter OM15 (New OMA)</b>			100.0%	100.0%		100.0%	100.0%	100.0%	33.3%	33.3%	33.3%	33.3%	100.0%	100.0%	33.3%
<b>REGION I 3RD QUARTER OM15 (NEW OMA)</b>			100.0%	100.0%		66.7%	100.0%	66.7%	57.1%	57.1%	42.9%	42.9%	100.0%	85.7%	42.9%
Region II	Milford	CPS In-Home Family	Very Good	N/A	N/A	Very Good	N/A	Marginal	Very Good	Very Good	Marginal	N/A	N/A	Needs Not Met	
Region II	Milford	CPS In-Home Family	Very Good	N/A	N/A	Optimal	N/A	Very Good	Very Good	Very Good	Very Good	N/A	Very Good	Needs Met	
Region II	Milford	CPS In-Home Family	Very Good	N/A	N/A	Very Good	N/A	Marginal	Marginal	Optimal	Marginal	N/A	Marginal	Needs Not Met	
Region II	Milford	CPS CIP	N/A	Very Good	Very Good	Very Good	Very Good	Marginal	Very Good	Very Good	Very Good	Very Good	Very Good	Needs Met	
<b>Milford 3rd Quarter OM15 (New OMA)</b>			100.0%	100.0%		100.0%	100.0%	100.0%	25.0%	75.0%	100.0%	50.0%	100.0%	66.7%	50.0%
Region II	New Haven	CPS CIP	N/A	Very Good	Optimal	Very Good	Optimal	Marginal	Very Good	Very Good	Very Good	Very Good	Very Good	Needs Met	
Region II	New Haven	CPS In-Home Family	Very Good	N/A	N/A	Optimal	N/A	Optimal	Marginal	Very Good	Very Good	N/A	Very Good	Needs Met	
Region II	New Haven	CPS In-Home Family	Marginal	N/A	N/A	Very Good	N/A	Marginal	Very Good	Marginal	Very Good	N/A	Marginal	Needs Not Met	
Region II	New Haven	CPS CIP	N/A	Very Good	Very Good	Very Good	Marginal	Marginal	Very Good	Very Good	Very Good	Very Good	Very Good	Needs Not Met	
<b>New Haven 3rd Quarter OM15 (New OMA)</b>				50.0%	100.0%		100.0%	50.0%	25.0%	75.0%	75.0%	100.0%	100.0%	75.0%	50.0%
<b>REGION II 3RD QUARTER OM15 (NEW OMA)</b>			80.0%	100.0%		100.0%	100.0%	66.7%	25.0%	75.0%	87.5%	75.0%	100.0%	71.7%	50.0%
Region III	Middletown	CPS CIP	N/A	Very Good	Very Good	Optimal	Very Good	Very Good	Very Good	Marginal	Very Good	Marginal	Very Good	Needs Met	
Region III	Middletown	CPS In-Home Family	Very Good	N/A	N/A	Optimal	N/A	Very Good	Very Good	Marginal	Marginal	N/A	Very Good	Needs Not Met	
<b>Middletown 3rd Quarter OM15 (New OMA)</b>			100.0%	100.0%		100.0%	100.0%	100.0%	100.0%	100.0%	0.0%	50.0%	0.0%	100.0%	50.0%
Region III	Norwich	CPS CIP	N/A	Optimal	Optimal	Very Good	Optimal	Very Good	Optimal	Optimal	Very Good	Optimal	N/A	Needs Met	
Region III	Norwich	CPS In-Home Family	Very Good	N/A	N/A	Very Good	N/A	Marginal	Very Good	Very Good	Marginal	N/A	Marginal	Needs Not Met	
Region III	Norwich	CPS In-Home Family	Very Good	N/A	N/A	Very Good	N/A	Very Good	Marginal	Marginal	Marginal	N/A	Very Good	Needs Not Met	
Region III	Norwich	CPS In-Home Family	Very Good	N/A	N/A	Very Good	N/A	Very Good	Very Good	Marginal	Marginal	N/A	Very Good	Needs Not Met	
Region III	Norwich	CPS CIP	N/A	Optimal	Optimal	Optimal	Optimal	Optimal	Very Good	Very Good	Marginal	Optimal	Very Good	Needs Met	
<b>Norwich 3rd Quarter OM15 (New OMA)</b>			100.0%	100.0%		100.0%	100.0%	100.0%	80.0%	80.0%	40.0%	40.0%	100.0%	75.0%	40.0%
Region III	Willimantic	CPS In-Home Family	Very Good	N/A	N/A	Optimal	N/A	Very Good	Very Good	Very Good	Very Good	N/A	Very Good	Needs Met	
Region III	Willimantic	CPS CIP	N/A	Very Good	Very Good	Marginal	Optimal	Marginal	Optimal	Optimal	Very Good	Optimal	Optimal	Needs Not Met	
Region III	Willimantic	CPS CIP	N/A	Optimal	Very Good	Very Good	Optimal	Marginal	Optimal	Optimal	Very Good	Optimal	Very Good	Needs Not Met	
<b>Willimantic 3rd Quarter OM15 (New OMA)</b>			100.0%	100.0%		100.0%	66.7%	33.3%	100.0%	100.0%	100.0%	100.0%	100.0%	33.3%	
<b>REGION III 3RD QUARTER OM15 (NEW)</b>			100.0%	100.0%		100.0%	90.0%	100.0%	70.0%	90.0%	50.0%	60.0%	80.0%	88.9%	40.0%
Region IV	Hartford	CPS CIP	N/A	Optimal	Optimal	Very Good	Optimal	Very Good	Optimal	Very Good	Very Good	Very Good	Very Good	Needs Met	
Region IV	Hartford	CPS In-Home Family	Very Good	N/A	N/A	Very Good	N/A	Very Good	Very Good	Very Good	Very Good	N/A	Very Good	Needs Met	
Region IV	Hartford	CPS In-Home Family	Very Good	N/A	N/A	Optimal	N/A	Marginal	Very Good	Optimal	Very Good	N/A	Very Good	Needs Not Met	
Region IV	Hartford	CPS CIP	N/A	Very Good	Very Good	Optimal	Very Good	Very Good	Optimal	Optimal	Very Good	Marginal	Optimal	Needs Not Met	
Region IV	Hartford	CPS In-Home Family	Very Good	N/A	N/A	Optimal	N/A	Marginal	Marginal	Optimal	Marginal	N/A	Poor	Needs Not Met	
Region IV	Hartford	CPS In-Home Family	Very Good	N/A	N/A	Very Good	N/A	Very Good	Very Good	Optimal	Very Good	N/A	Very Good	Needs Met	
Region IV	Hartford	CPS CIP	N/A	Very Good	Optimal	Very Good	Optimal	Very Good	Optimal	Very Good	Very Good	Optimal	Very Good	Needs Met	
Region IV	Hartford	CPS CIP	N/A	Very Good	Optimal	Very Good	Very Good	Marginal	Optimal	Very Good	Very Good	Marginal	N/A	Needs Not Met	
<b>Hartford 3rd Quarter OM15 (New OMA)</b>			100.0%	100.0%		100.0%	100.0%	100.0%	62.5%	87.5%	100.0%	87.5%	50.0%	83.3%	50.0%
Region IV	Manchester	CPS In-Home Family	Optimal	N/A	N/A	Optimal	N/A	Very Good	Optimal	Very Good	Very Good	N/A	N/A	Needs Met	
Region IV	Manchester	CPS In-Home Family	Marginal	N/A	N/A	Marginal	N/A	Marginal	Very Good	Marginal	Marginal	N/A	Marginal	Needs Not Met	
Region IV	Manchester	CPS CIP	N/A	Very Good	Very Good	Marginal	Optimal	Marginal	Very Good	Very Good	Very Good	Optimal	Very Good	Needs Not Met	
Region IV	Manchester	CPS CIP	N/A	Very Good	Optimal	Very Good	Optimal	Very Good	Very Good	Marginal	Very Good	Very Good	Very Good	Needs Met	
<b>Manchester 3rd Quarter OM15 (New OMA)</b>			50.0%	100.0%		100.0%	50.0%	100.0%	50.0%	100.0%	50.0%	75.0%	100.0%	66.7%	50.0%
<b>REGION IV 3RD QUARTER OM15 (NEW)</b>			83.3%	100.0%		100.0%	83.3%	100.0%	58.3%	91.7%	83.3%	83.3%	66.7%	80.0%	50.0%
Region V	Danbury	CPS In-Home Family	Very Good	N/A	N/A	Marginal	N/A	Marginal	Optimal	Marginal	Very Good	N/A	Very Good	Needs Not Met	
Region V	Danbury	CPS CIP	N/A	Very Good	Very Good	Optimal	Very Good	Very Good	Very Good	Very Good	Very Good	Very Good	N/A	Needs Met	
<b>Danbury 3rd Quarter OM15 (New OMA)</b>			100.0%	100.0%		100.0%	50.0%	100.0%	50.0%	100.0%	50.0%	100.0%	100.0%	100.0%	50.0%
Region V	Torrington	CPS CIP	N/A	Very Good	Very Good	Very Good	Very Good	Marginal	Very Good	Very Good	Very Good	Very Good	Very Good	Needs Not Met	
Region V	Torrington	CPS In-Home Family	Very Good	N/A	N/A	Optimal	N/A	Marginal	Optimal	Optimal	Very Good	N/A	Very Good	Needs Met	
Region V	Torrington	CPS CIP	N/A	Very Good	Very Good	Optimal	Very Good	Marginal	Very Good	Very Good	Very Good	Very Good	Optimal	Needs Not Met	
<b>Torrington 3rd Quarter OM15 (New OMA)</b>			100.0%	100.0%		100.0%	100.0%	100.0%	0.0%	100.0%	100.0%	100.0%	100.0%	100.0%	66.7%
Region V	Waterbury	CPS CIP	N/A	Optimal	Optimal	Very Good	Optimal	Very Good	Very Good	Optimal	Optimal	Very Good	Optimal	Needs Met	
Region V	Waterbury	CPS In-Home Family	Very Good	N/A	N/A	Very Good	N/A	Very Good	Very Good	Optimal	Very Good	N/A	Very Good	Needs Met	
Region V	Waterbury	CPS In-Home Family	Very Good	N/A	N/A	Very Good	N/A	Very Good	Very Good	Very Good	Very Good	N/A	Very Good	Needs Met	
Region V	Waterbury	SPM CIP	N/A	Very Good	Marginal	Very Good	Very Good	Very Good	Marginal	Very Good	Marginal	Marginal	Very Good	Needs Not Met	
Region V	Waterbury	CPS In-Home Family	Very Good	N/A	N/A	Optimal	N/A	Very Good	Very Good	Very Good	Very Good	N/A	Very Good	Needs Met	
Region V	Waterbury	CPS CIP	N/A	Very Good	Very Good	Optimal	Very Good	Very Good	Optimal	Very Good	Marginal	Very Good	Very Good	Needs Not Met	
<b>Waterbury 3rd Quarter OM15 (New OMA)</b>			100.0%	100.0%		66.7%	100.0%	100.0%	100.0%	83.3%	100.0%	66.7%	66.7%	100.0%	66.7%
<b>REGION V 3RD QUARTER OM15 (NEW)</b>			100.0%	100.0%		83.3%	90.9%	100.0%	63.6%	90.9%	90.9%	81.8%	83.3%	100.0%	54.6%
Region VI	Menden	SPM CIP	N/A	Optimal	Optimal	Optimal	Optimal	Very Good	Optimal	Very Good	Optimal	Very Good	Optimal	Needs Met	
Region VI	Menden	CPS In-Home Family	Marginal	N/A	N/A	Very Good	N/A	Very Good	Marginal	Very Good	Marginal	N/A	Very Good	Needs Not Met	
<b>Menden 3rd Quarter OM15 (New OMA)</b>			0.0%	100.0%		100.0%	100.0%	100.0%	100.0%	80.0%	100.0%	50.0%	100.0%	100.0%	50.0%
Region VI	New Britain	SPM CIP	N/A	Very Good	Very Good	Optimal	Optimal	Very Good	Optimal	Optimal	Very Good	Very Good	Very Good	Needs Met	
Region VI	New Britain	Voluntary Services CIP	N/A	Very Good	Optimal	Optimal	Optimal	Very Good	Optimal	Optimal	Very Good	Very Good	Very Good	Needs Met	
Region VI	New Britain	CPS In-Home Family	Very Good	N/A	N/A	Optimal	N/A	Very Good	Very Good	Very Good	Optimal	N/A	Very Good	Needs Met	
Region VI	New Britain	CPS In-Home Family	Very Good	N/A	N/A	Very Good	N/A	Very Good	Optimal	Very Good	Very Good	N/A	N/A	Needs Met	
Region VI	New Britain	CPS CIP	N/A	Very Good	Very Good	Very Good	Optimal	Very Good	Optimal	Very Good	Very Good	Optimal	N/A	Needs Met	
<b>New Britain 3rd Quarter OM15 (New OMA)</b>			100.0%	100.0%		100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%
<b>REGION VI 3RD QUARTER OM15 (NEW)</b>			66.7%	100.0%		100.0%	100.0%	100.0%	100.0%	85.7%	100.0%	85.7%	100.0%	100.0%	85.7%
<b>STATEWIDE 3RD QUARTER OM15 (NEW)</b>			89.7%	100.0%		92.6%	92.7%	92.5%	61.8%	83.6%	76.4%	72.7%	85.2%	87.5%	52.7%
<b>SEMI-ANNUAL COMBINED OUTCOME</b>			92.9%	100.0%		96.2%	94.4%	96.2%	65.7%	88.9%	80.6%	72.2%	90.6%	89.5%	61.3%



**TABLE 8: SUMMARY OF DOMAINS FOR OUTCOME MEASURE 15 FOR 4/1/2016 - 9/30/2016**

<b>Period of Review</b>	<b>Risk: In-Home</b>	<b>Risk: Child In Placement</b>	<b>Permanency: Securing the Permanent Placement - Action Plan for the Next Six Months</b>	<b>Permanency: DCF Case Mgmt - Legal Action to Achieve the Permanency Goal During the Prior Six Months</b>	<b>Permanency: DCF Case Mgmt - Recruitment for Placement Providers to Achieve the Permanency Goal during the Prior Six Months</b>	<b>Permanency: DCF Case Mgmt - Contracting or Providing Services to Achieve the Permanency Goal during the Prior Six Months</b>	<b>Well-Being: Medical Needs</b>	<b>Well-Being: Dental Needs</b>	<b>Well-Being: Mental Health, Behavioral and Substance Abuse Services</b>	<b>Well-Being: Child's Current Placement</b>	<b>Well-Being: Education</b>	<b>Overall Score for Outcome Measure 15</b>
<b>STATEWIDE 2ND QUARTER OM15 (NEW OM4) RESULTS</b>	96.3%	100.0%	100.0%	96.2%	100.0%	69.8%	94.3%	84.9%	71.7%	96.2%	91.5%	69.8%
<b>STATEWIDE 3RD QUARTER OM15 (NEW OM4) RESULTS</b>	89.7%	100.0%	92.6%	92.7%	92.5%	61.8%	83.6%	76.4%	72.7%	85.2%	87.5%	52.7%
<b>SEMI-ANNUAL OUTCOME MEASURE 15 (OM4) RESULTS</b>	92.9%	100.0%	96.2%	94.4%	96.2%	65.7%	88.9%	80.6%	72.2%	90.6%	89.5%	61.1%

There are 154 unmet needs captured in the Second Quarter 2016 and 190 in the Third Quarter 2016 samples reviewed during each quarter of the period. This is a total of 344 unmet needs; remaining somewhat consistent or slightly higher with the level of needs reported in the prior report which included 336 unmet needs during the period reviewed.

**Table 9: Unmet Needs during Second Quarter 2016 (n=53) & Third Quarter 2016 (n=55)**

Need	Barrier	Second Quarter 2016	Third Quarter 2016	Six Month Total
Adoption Recruitment	No Referral made by DCF During the Period	1	0	1
Adoption Supports (PPSP)	Delay in Referral by DCF	0	1	1
Anger Management	Service Not Available in Primary Language	0	1	1
Anger Management	Service Delayed Pending Completion of Another	0	1	1
ARG Consult	No Referral Made by DCF During the Period	3	5	8
ARG Consult	DCF failed to properly assess child related to this need during the Period Under Review	1	0	1
Behavior Management	Placed on Wait List	1	0	1
Dental or Orthodontic Service	Client Refused Service	1	0	1
Dental or Orthodontic Service	Insurance Issues	1	0	1
Dental or Orthodontic Service	Provider Issues, Staffing, lack of follow through, etc.	1	0	1
Dental Screening or Evaluation	Client Refused Service	3	5	8
Dental Screening or Evaluation	DCF failed to properly assess child related to this need during the Period Under Review	1	1	2
Dental Screening or Evaluation	Delay in Referral by DCF	1	1	2
Dental Screening or Evaluation	No Referral Made by DCF during the Period Under Review	1	0	1
Dental Screening or Evaluation	No Service Identified to Meet this Need	0	1	1
Dental Screening or Evaluation	UTD from the Case Plan or Narrative/Area Office Did Not Respond	1	2	3
Domestic Violence Shelter	Client Refused Service	0	1	1
Domestic Violence Services for Perpetrators	Client Refused Service	0	1	1
Domestic Violence Services for Perpetrators	Service Deferred Pending Completion of Another Service	0	2	2
Domestic Violence Services for Victims	Client Refused Service	1	1	2
Domestic Violence Services for Victims	Client Placed on Wait List	0	1	1

Need	Barrier	Second Quarter 2016	Third Quarter 2016	Six Month Total
Domestic Violence Services for Victims	Service Deferred Pending Completion of Another Service	0	1	1
Domestic Violence Services for Victims	No Referral by DCF During the Period	1	0	1
Drug & Alcohol Testing – Parent	Provider Issues, Staffing, lack of follow through, etc.	0	1	1
Drug & Alcohol Testing – Parent	Client Refused	1	0	1
Educational Screening or Evaluation	Delay in Referral by DCF	0	1	1
Educational Screening or Evaluation	UTD from Case Plan or Narrative	1	1	2
Educational Screening or Evaluation	DCF failed to properly assess child/family related to this need during the Period Under Review	0	2	2
Educational Screening or Evaluation	Client Refused Service	1	3	4
Educational Screening or Evaluation	Provider Issues – Staffing, lack of follow through, etc.	0	1	1
Family or Marital Counseling	Client Refused Service	1	4	5
Family or Marital Counseling	No Referral Made by DCF during the Period	1	0	1
Family Preservation Services	Delay in Referral by DCF	0	1	1
Family Reunification Services	Service Deferred Pending Completion of Another	1	0	1
Family Reunification Services	Client Refused Service	0	1	1
Family Stabilization Services	No Referral Made by DCF during the Period	0	1	1
Group Counseling – Child	Client Refused Service	1	0	1
Group Counseling – Parents	Client Refused Service	2	0	2
Head Start	Delay in Referral by DCF	1	0	1
Health or Medical Screening/Evaluation	Client Refused Service	2	6	8
Health or Medical Screening/Evaluation	Delay in Referral by DCF	0	3	3
Health or Medical Screening/Evaluation	Lack of Communication between DCF and Provider	1	0	1
Health or Medical Screening/Evaluation	UTD from Case Plan or Narratives	0	1	1
Housing Assistance (Section 8)	Client Refused	0	1	1
Housing Assistance (Section 8)	Delay in Referral by DCF	1	1	2
Housing Assistance (Section 8)	No Referral by DCF During the PUR	1	0	1
Housing Assistance (Section 8)	Service Deferred Pending Completion of Another	0	1	1
Housing Assistance (Section 8)	No Slots Available	1	0	1
Housing Assistance (Section 8)	Placed on Waiting List	3	2	5
Housing Assistance (Section 8)	UTD from Case Plan or Narratives	1	1	2
IEP Programming	Client Refused Service	2	3	5
IEP Programming	Service Deferred Pending Completion of Another	0	1	1

Need	Barrier	Second Quarter 2016	Third Quarter 2016	Six Month Total
In Home Parent Education and Support	Placed on Wait List	0	1	1
In Home Parent Education and Support	Service Deferred Pending Completion of Another	0	1	1
In Home Parent Education and Support	Client Refused Service	0	1	1
In Home Parent Education and Support	No Service Identified to Meet this Need	1	1	2
In Home Parent Education and Support	Delay in Referral by DCF	1	0	1
In Home Parent Education and Support	No Referral Made by DCF during the Period	0	1	1
In Home Treatment	Client Refused Service	1	2	3
In Home Treatment	Provider Issues – Staffing, Lack of Follow Through, etc.	1	0	1
Individual Counseling – Child	Client Refused Service	5	9	14
Individual Counseling – Child	Delay in Referral by DCF	2	1	3
Individual Counseling – Child	No Referral Made by DCF During the PUR	1	0	1
Individual Counseling – Child	Hours of Operation (Alternate Hours Needed)	1	0	1
Individual Counseling – Child	Placed on Wait List	1	0	1
Individual Counseling – Child	Service Delayed Pending Completion of Another	0	1	1
Individual Counseling – Child	No Service Identified to Meet this Need	0	1	1
Individual Counseling – Parent	Client Refused Service	12	14	26
Individual Counseling – Parent	Delay in Referral	0	2	2
Individual Counseling – Parent	No Referral Made by DCF during the Period	2	0	2
Individual Counseling – Parent	Delay in Engagement due to parent resistance. Service began by end of PUR	1	0	1
Individual Counseling – Parent	Placed on Wait List	0	1	1
Inpatient Substance Abuse Treatment – Child	Client Refused Service	1	0	1
Job Coaching/Placement	No Referral Made by DCF during the Period	0	1	1
Life Skills Training	Provider Issues, Staffing, lack of follow through, etc.	0	1	1
Maintaining Family Ties	DCF failed to properly assess child/family related to this need during the Period Under Review	0	1	1
Matching/Placement/Processing (Includes ICO)	DCF failed to properly assess child/family related to this need during the Period Under Review	0	1	1

Need	Barrier	Second Quarter 2016	Third Quarter 2016	Six Month Total
Matching/Placement/Processing (Includes ICO)	Delay in Referral by DCF	0	1	1
Medication Management – Child	Client Refused Service	0	1	1
Medication Management – Child	Client Engaged in Service after Lengthy Delay	0	1	1
Medication Management – Child	Delay in Referral by DCF	0	1	1
Medication Management - Parent	Client Refused Service	1	0	1
Mental Health Screening or Evaluation – Child	Other – Deferred Until Child is Older per AO Determination	0	1	1
Mental Health Screening or Evaluation – Child	Client Refused Service	1	0	1
Mental Health Screening or Evaluation - Parent	No Referral Made by DCF during the Period	0	2	2
Mental Health Screening or Evaluation – Parent	Client Refused Service	2	1	3
Mental Health Screening or Evaluation – Parent	UTD from Case Plan or Narrative	1	0	1
Mental Health Screening or Evaluation – Parent	Delay in Referral by DCF	1	0	1
Mentoring	Client Refused Service	3	0	3
Mentoring	Delay in Referral by DCF	1	1	2
Mentoring	No Referral Made by DCF During the Period	1	0	1
Mentoring	Placed on Wait List	0	1	1
Other IH Support – Assistance to MGM related to Probate filing	No Service Identified to Meet this Need	0	1	1
Other IH Services – Support Services for Autistic Child	No Slots Available	1	0	1
Other IH Support – Permanency Teaming	DCF failed to properly assess child/family related to this need during the Period Under Review	0	1	1
Other Medical Intervention: Developmental Pediatrician	Placed on Wait List	0	1	1
Other Medical Intervention: Pain Management (Mother)	UTD from Case Plan or Narratives	0	1	1
Other Medical Intervention: Diabetes Management	Client Refused Service	1	0	1
Other Medical Intervention: Nutritionist & Exercise Program for Obese Child	Delay in Referral by DCF	1	0	1

Need	Barrier	Second Quarter 2016	Third Quarter 2016	Six Month Total
Other Medical Intervention: Vision	Unable to Determine from Case Plan or Narratives	1	0	1
Other Medical Intervention: Vision	Delay in Referral by DCF	1	0	1
Other Medical Intervention: HPV Vaccine	Delay in Referral by DCF	1	0	1
Other Mental Health Need - Parent: ASD Programming	Client Refused Services	0	1	1
Other Mental Health Need: Autism Evaluation/Screening	Placed on Wait List	0	2	2
Other Mental Health Need: Neuro-Psych Evaluation	Provider Issues – Staffing, lack of Follow Through	0	1	1
Other OOH Services: Legal Filing (TPR)	Not referred by DCF during the Period.	0	1	1
Other OOH Service: Driver's Education	Other: Youth made decision to wait until next PUR after consultation with SW	1	0	1
Other OOH Services: YAS Program	Placed on Wait List	0	1	1
Other State Agency Programs (DDS, DMHAS, MSS)	Other: Deferred at Request of Adoptive Parent who wishes to refer to DDS post adoption	0	1	1
Other State Agency Programs (DMR, DMHAS, MSS)	Other State Agency is Unwilling to Engage Client	1	0	1
Outpatient Substance Abuse Treatment – Parent	Client Refused Service	6	6	12
Outpatient Substance Abuse Treatment – Parent	Placed on Wait List	0	1	1
Outpatient Substance Abuse Treatment – Child	Client Refused Service	1	2	3
Parenting Classes	Client Refused Services	1	1	2
Parenting Groups	Client Refused Services	1	0	1
PPSP Services	No Referral Made by DCF During the Period	1	0	1
Prenatal Services	Client Refused Services	0	1	1
Problem Sexual Behavior Evaluation	Delay in Referral by DCF	1	0	1
Problem Sexual Behavior Therapy	Client Refused Service	1	2	3
Problem Sexual Behavior Therapy	Provider Issues – Staffing, Lack of Follow Through, Etc.	1	0	1

Need	Barrier	Second Quarter 2016	Third Quarter 2016	Six Month Total
Psychiatric Evaluation – Child	Placed on Wait List	0	1	1
Psychiatric Evaluation – Child	Client Refused Service	1	0	1
Psychiatric Evaluation – Child	Other: Deferred Until Older per Determination of AO	0	1	1
Psychiatric Hospitalization	Other: Atty/Court refused to allow Placement at Solnit	1	0	1
Psychological or psychosocial evaluation – Parent	Client Refused Service	0	1	1
Psychosocial or Psychological Evaluation - Parent	Placed on Wait List	1	0	1
Relative Foster Care	DCF failed to properly assess child/family related to this need during the Period Under Review	0	1	1
Relative Foster Care	Delay in Referral by Worker	0	1	1
Residential Facility	Placed on Wait List	1	0	1
Sexual Abuse Evaluation	No Referral Made by DCF During the Period	1	0	1
Substance Abuse Screening – Child	No Service Identified to Meet this Need	0	2	2
Substance Abuse Screening – Child	DCF failed to properly assess child/family related to this need during the Period Under Review	1	0	1
Substance Abuse Screening – Child	No Referral Made by DCF During the Period	0	1	1
Substance Abuse Screening – Parent	Client Refused Service	3	4	7
Substance Abuse Screening – Parent	Delay in Referral by DCF	0	1	1
Substance Abuse Screening – Parent	No Referral Made by DCF During the Period	1	1	2
Supportive Housing	Client Refused Service	1	0	1
Supportive Housing	Service Deferred Pending Completion of Another	0	1	1
Supportive Housing	Placed on Wait List	0	1	1
SW Case Management/Support/Advocacy	Delays in Referrals throughout PUR	8	8	16
SW/Client Visitation – Child	SW Visitation Did not Meet Standard/Marginal Assessment Quality of Visitation	3	3	6
SW/Client Visitation – Child	Client Refused Service	0	1	1
SW/Client Visitation – Parent	SW Visitation Did not Meet Standard/Marginal Assessment Quality of Visitation	8	9	17

Need	Barrier	Second Quarter 2016	Third Quarter 2016	Six Month Total
SW/Client Visitation – Parent	Client Refused Service	0	1	1
SW/Provider Contacts	General Lack of Communication/Delays by DCF	18	10	28
SW/Provider Contacts	No Contact by DCF	0	1	1
Translation Services	No Referral Made by DCF	1	0	1
Transitional Living Program (TLAP)	Client Refused Service	0	1	1
VNA Services	No Referral Made During the Period Under Review	0	1	1

During both the Second Quarter 2016 and Third Quarter 2016, the level of engagement with families in case planning to achieve scores of Very Good or Optimal within our methodology as documented within the ACR documentation, case planning documentation and visitation documentation continued to be an area needing improvement and was at a statewide level of 50.9% and 58.2% respectively each quarter. (See Table 2 found on page 18 for details).

During the Second Quarter, the reviewers noted that the ACR, case planning documentation and case plan did document a discussion of all (34.6%), or some (44.9%) of the needs that were identified as unmet in the prior six month period and were necessary to be incorporated into action steps going forward. There were 10 cases (20.4%) in which the reviewers indicated that there were no unmet needs carried forward from the prior period. There were no cases (0.0%) in which “none of the needs and services” were incorporated into the case plan action steps going forward. There were four (4) cases for which this was the initial case plan and these were not included in the percentage calculations it was too soon to rate these cases on this element.

During the Third Quarter, the reviewers noted that the ACR, case planning documentation and case plan did document a discussion of all (30.6%), or some (42.9%) of the needs that were identified as unmet in the prior six month period and were necessary to be incorporated into action steps going forward. There were 7 cases (20.4%) in which the reviewers indicated that there were no unmet needs carried forward from the prior period. There were six cases (12.2%) in which none of the needs and services were incorporated into the case plan action steps going forward. There were six (6) cases for which this was the initial case plan and these were not included in the percentage calculations it was too soon to rate these cases.

In 46.4% of the Second Quarter 2016 cases in which SDM tools were incorporated there were identical needs indicated on the prior case plan assessment. This would indicate that the same objective or need has been in place for the child or individual for greater than a period of six months. This percentage increased to 57.6% during the Third Quarter 2016.

During the Second Quarter 2016, in 45.3% of the cases there were one or more instances where there was an identified need referenced in the documentation or identified at the ACR or other meetings related to case planning that did not get captured appropriately as an objective with defined action steps within the case plan approved by the SWS. This percentage was 56.4% within the Third Quarter 2016 sample set.



<b>Crosstabulation 3: Are there service needs not identified on the case plan that should have been as a result of documentation or meeting attended?</b>				
	2 <sup>nd</sup> Quarter (n=53)	3 <sup>rd</sup> Quarter (n=55)	6 Month Total	Total Percentage
yes	24	31	55	50.9%
no	29	24	53	49.1%
Total	53	55	108	

There were 122 instances across the 108 cases reviewed in the two quarters, where reviewers pointed to specific needs that were significant and should have been captured within the case planning process. There were two cases in the third quarter in which there was not an approved case plan at the time of our review. This is a slight decrease in the number of needs not incorporated in comparison to the last period, when 139 were identified. However, the lack of approved case plans resurfacing in the third quarter is something that will bear monitoring if the numbers continue to rise.

**Table 10: Needs Not Incorporated into the Case Plans Developed for Upcoming Six Month Period - Second Quarter 2016 and Third Quarter 2016**

Unmet Need	Barrier	2Q 16	3Q 16	Six Month Total
Adoption Supports (PPSP)	No Service Identified to Meet this Need	0	2	2
ARG Consultation	No Service Identified to Meet this Need	1	1	2
Dental or Orthodontic Service	UTD from Case Plan or Narratives	1	3	4
Dental Screening or Evaluation	No Service Identified to Meet this Need	4	5	9
Dental Screening or Evaluation	DCF Failed to Assess Child related to this Need	0	1	1
Dental Screening or Evaluation	No Current Approved Case Plan to Review	0	2	2
Developmental Screening or Evaluation	No Current Approved Case Plan to Review	0	1	1
Domestic Violence Services for Victims	No Service Identified to Meet this Need	1	0	1
Educational Screening or Evaluation	No Service Identified to Meet this Need	2	3	5
Educational Screening or Evaluation	DCF Failed to Assess Child related to this Need	0	1	1
Family or Marital Counseling	No Service Identified to Meet this Need	1	0	1
Family Preservation Services	No Current Approved Case Plan to Review	0	1	1
Family Stabilization Service	No Referral Made by DCF During the Period	0	1	1
Head Start	No Service Identified to Meet this Need	1	0	1
Health/Medical Screening or Evaluation	No Service Identified to Meet this Need	4	4	8
Health/Medical Screening or Evaluation	DCF Failed to Assess Child related to this Need	0	1	1
Housing Assistance (Section 8)	No Service Identified to Meet this Need	4	2	6
Housing Assistance (Section 8)	UTD from Treatment Plan or Narrative	1	0	1
IEP Programming	No Service Identified to Meet this Need	1	0	1
Individual Counseling: Child	No Service Identified to Meet this Need	2	7	9
Individual Counseling: Child	No Current Approved Case Plan to Review	0	2	2
Individual Counseling: Parent	UTD from Case Plan or Narratives	1	0	1
Individual Counseling: Parent	No Current Approved Case Plan to Review	0	2	2
Individual Counseling: Parent	No Service Identified to Meet this Need	1	3	4
In Home Treatment	No Service Identified to Meet this Need	1	1	2
In Home Parent Education and Support	No Service Identified to Meet this Need	0	2	2
In Home Parent Education and Support	No Referral Made by DCF During the Period	0	1	1
Life Skills Training	No Service Identified to Meet this Need	0	1	1
Matching/Placement Processing (Includes ICO)	No Service Identified to Meet this Need	0	1	1
Maintaining Family Ties	No Service Identified to Meet this Need	0	1	1
Medication Management – Child	No Service Identified to Meet this Need	0	1	1
Mental Health Screening or Evaluation: Child	No Service Identified to Meet this Need	2	0	2

Unmet Need	Barrier	2Q 16	3Q 16	Six Month Total
Mental Health Screening or Evaluation: Parent	No Service Identified to Meet this Need	1	2	3
Mentoring	No Service Identified to Meet this Need	2	2	4
Mentoring	DCF Failed to Properly Assess Child/Family Related to this need During the PUR	0	1	1
Other IH Service: File TOG	UTD from Case Plan or Narrative	0	1	1
Other IH Service: Permanency Teaming	No Current Approved Case Plan to Review	0	1	1
Other Medical Intervention: bloodwork (cholesterol and iron)	No Service Identified to Meet this Need	1	0	1
Other Medical Intervention: Vision	No Service Identified to Meet this Need	1	0	1
Other Medical Intervention: HPV Vaccination	No Service Identified to Meet this Need	1	0	1
Other Medical Intervention: Developmental Pediatrician	Service Deferred Pending Completion of Another	0	1	1
Other Mental Health Service - Child: Neuropsychological Evaluation	No Service Identified to Meet this Need	0	1	1
Other Mental Health Service - Child: PSB Treatment	No Service Identified to Meet this Need	0	1	1
Other OOH Service: Legal Filing	Delay in Legal – TPR process	1	1	2
Other State Agency Program (DDS, DMHAS, MSS, etc.)	No Service Identified to Meet this Need	0	1	1
Parenting Classes	No Service Identified to Meet this Need	0	1	1
Problem Sexual Behavior Evaluation	Delay in Referral	1	0	1
Problem Sexual Behavior Therapy	No Service Identified to Meet this Need	0	1	1
Problem Sexual Behavior Therapy	Provider Issues – Staffing, Lack of Follow Through, etc.	1	0	1
Psychiatric Evaluation – Child	No Service Identified to Meet this Need	0	1	1
Relapse Prevention Program – Parent	No Service Identified to Meet this Need	1	0	1
Relative Foster Care	No Service Identified to Meet this Need	0	1	1
Substance Abuse Services – Screening/Evaluation – Parent	No Service Identified to Meet this Need	0	3	3
Substance Abuse Services – Screening/Evaluation - Child	DCF Failed to Properly Assess Child/Family Related to this need During the PUR	0	1	1
Substance Abuse Services – Screening/Evaluation - Child	No Service Identified to Meet this Need	1	2	3
Substance Abuse Services: Drug/Alcohol Testing – Parent	No Current Approved Case Plan to Review	0	1	1
Substance Abuse Services: Outpatient Parent	Client Refusing Service	0	1	1
Supervised Visitation	No Service Identified to Meet this Need	0	1	1
Supportive Housing	Service Deferred Pending Completion of Another	0	1	1
Supportive Housing	No Service Identified to Meet this Need	0	1	1
Translation Services	No Service Identified to Meet this Need	1	0	1
SW/Child Visitation	UTD from Case Plan or Narrative	0	2	2
SW/Parent Visitation	No Service Identified to Meet this Need	2	0	2
VNA Services	No Referral Made During the Period	0	1	1

## JUAN F. ACTION PLAN MONITORING REPORT

November 2016

This report includes data relevant to the permanency and placement issues and action steps embodied within the Action Plan. Data provided comes from the monthly point-in-time information from LINK and the Chapin Hall database.

### A. PERMANENCY ISSUES

#### Progress Towards Permanency:

The following table developed using the Chapin Hall database provides a longitudinal view of permanency for annual admission cohorts from 2004 through 2016.

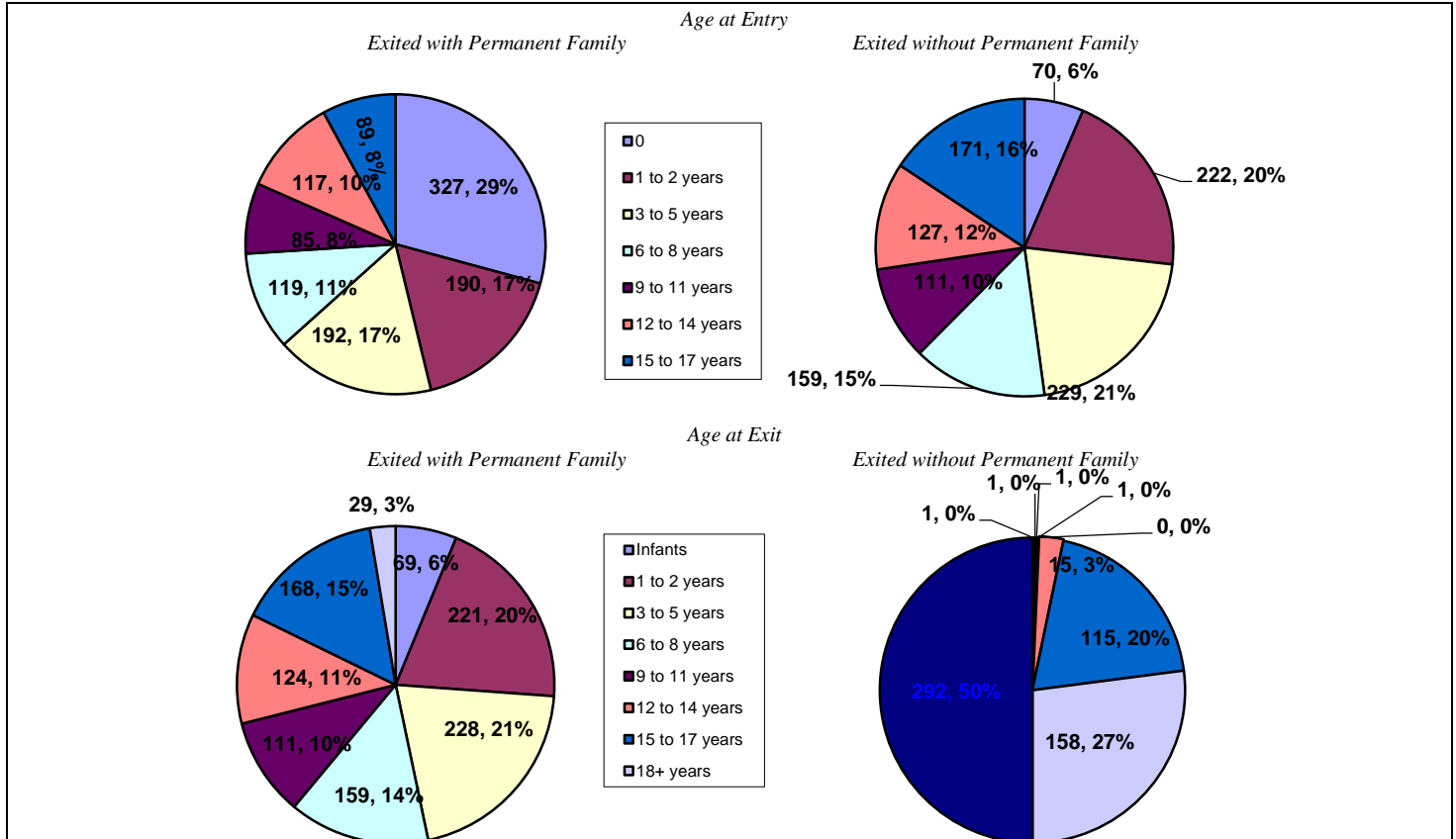
**Figure 1: Children Exiting With Permanency, Exiting Without Permanency, Unknown Exits and Remaining In Care (Entry Cohorts)**

	Period of Entry to Care												
	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014	2015	2016
<b>Total Entries</b>	3203	3091	3407	2854	2829	2628	2694	2299	1858	2005	1932	1985	1705
<b>Permanent Exits</b>													
<b>In 1 yr</b>	1228 38.3%	1129 36.5%	1263 37.1%	1096 38.4%	1098 38.8%	1093 41.6%	1023 38.0%	708 30.8%	560 30.1%	535 26.7%	498 25.8%		
<b>In 2 yrs</b>	1805 56.4%	1740 56.3%	1973 57.9%	1676 58.7%	1676 59.2%	1582 60.2%	1376 51.1%	1053 45.8%	857 46.1%	841 41.9%			
<b>In 3 yrs</b>	2092 65.3%	2013 65.1%	2324 68.2%	1975 69.2%	1943 68.7%	1792 68.2%	1674 62.1%	1246 54.2%	1035 55.7%				
<b>In 4 yrs</b>	2262 70.6%	2158 69.8%	2499 73.3%	2091 73.3%	2033 71.9%	1895 72.1%	1778 66.0%	1358 59.1%					
<b>To Date</b>	2371 74.0%	2257 73.0%	2620 76.9%	2170 76.0%	2121 75.0%	1947 74.1%	1837 68.2%	1416 61.6%	1128 60.7%	1094 54.6%	856 44.3%	471 23.7%	240 14.1%
<b>Non-Permanent Exits</b>													
<b>In 1 yr</b>	231 7.2%	289 9.3%	259 7.6%	263 9.2%	250 8.8%	208 7.9%	196 7.3%	138 6.0%	95 5.1%	125 6.2%	112 5.8%		
<b>In 2 yrs</b>	301 9.4%	371 12.0%	345 10.1%	318 11.1%	320 11.3%	267 10.2%	243 9.0%	188 8.2%	146 7.9%	182 9.1%			
<b>In 3 yrs</b>	366 11.4%	431 13.9%	401 11.8%	354 12.4%	363 12.8%	300 11.4%	275 10.2%	220 9.6%	190 10.2%				
<b>In 4 yrs</b>	403 12.6%	461 14.9%	449 13.2%	392 13.7%	394 13.9%	328 12.5%	309 11.5%	257 11.2%					
<b>To Date</b>	520 16.2%	577 18.7%	545 16.0%	456 16.0%	467 16.5%	392 14.9%	362 13.4%	284 12.4%	223 12.0%	230 11.5%	143 7.4%	107 5.4%	23 1.3%

	Period of Entry to Care												
	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014	2015	2016
<i>Unknown Exits</i>													
<b><i>In 1 yr</i></b>	129 4.0%	83 2.7%	76 2.2%	61 2.1%	60 2.1%	75 2.9%	129 4.8%	205 8.9%	134 7.2%	103 5.1%	127 6.6%		
<b><i>In 2 yrs</i></b>	171 5.3%	124 4.0%	117 3.4%	97 3.4%	91 3.2%	139 5.3%	305 11.3%	399 17.4%	255 13.7%	319 15.9%			
<b><i>In 3 yrs</i></b>	208 6.5%	163 5.3%	140 4.1%	123 4.3%	125 4.4%	192 7.3%	383 14.2%	475 20.7%	337 18.1%				
<b><i>In 4 yrs</i></b>	234 7.3%	181 5.9%	167 4.9%	155 5.4%	167 5.9%	217 8.3%	402 14.9%	500 21.7%					
<b><i>To Date</i></b>	300 9.4%	235 7.6%	219 6.4%	201 7.0%	205 7.2%	249 9.5%	423 15.7%	512 22.3%	375 20.2%	404 20.1%	384 19.9%	278 14.0%	66 3.9%
<i>Remain In Care</i>													
<b><i>In 1 yr</i></b>	1615 50.4%	1590 51.4%	1809 53.1%	1434 50.2%	1421 50.2%	1252 47.6%	1346 50.0%	1248 54.3%	1069 57.5%	1242 61.9%	1195 61.9%		
<b><i>In 2 yrs</i></b>	926 28.9%	856 27.7%	972 28.5%	763 26.7%	742 26.2%	640 24.4%	770 28.6%	659 28.7%	600 32.3%	663 33.1%			
<b><i>In 3 yrs</i></b>	537 16.8%	484 15.7%	542 15.9%	402 14.1%	398 14.1%	344 13.1%	362 13.4%	358 15.6%	296 15.9%				
<b><i>In 4 yrs</i></b>	304 9.5%	291 9.4%	292 8.6%	216 7.6%	235 8.3%	188 7.2%	205 7.6%	184 8.0%					
<b><i>To Date</i></b>	12 0.4%	22 0.7%	23 0.7%	27 0.9%	36 1.3%	40 1.5%	72 2.7%	87 3.8%	132 7.1%	277 13.8%	549 28.4%	1129 56.9%	1376 80.7%

The following graphs show how the ages of children upon their entry to care, as well as at the time of exit, differ depending on the overall type of exit (permanent or non-permanent).

**FIGURE 2: CHARACTERISTICS OF CHILDREN EXITING WITH AND WITHOUT PERMANENCY (2015 EXIT COHORT)**



**Permanency Goals:**

The following chart illustrates and summarizes the number of children (which excludes youth ages 18 and older) at various stages of placement episodes, and provides the distribution of Permanency Goals selected for them.



**Preferred Permanency Goals:**

<b>Reunification</b>	<b>Aug 2015</b>	<b>Nov 2015</b>	<b>Feb 2016</b>	<b>May 2016</b>	<b>Aug 2016</b>	<b>Nov 2016</b>
Total number of children with Reunification goal, pre-TPR and post-TPR	1320	1389	1449	1491	1577	1521
Number of children with Reunification goal pre-TPR	1320	1389	1448	1491	1577	1521
<ul style="list-style-type: none"> <li>Number of children with Reunification goal, pre-TPR, &gt;= 15 months in care</li> </ul>	282	250	271	292	272	281
<ul style="list-style-type: none"> <li>Number of children with Reunification goal, pre-TPR, &gt;= 36 months in care</li> </ul>	36	38	42	36	39	35
Number of children with Reunification goal, post-TPR	0	0	1	0	0	0

<b>Transfer of Guardianship (Subsidized and Non-Subsidized)</b>	<b>Aug 2015</b>	<b>Nov 2015</b>	<b>Feb 2016</b>	<b>May 2016</b>	<b>Aug 2016</b>	<b>Nov 2016</b>
Total number of children with Transfer of Guardianship goal (subsidized and non-subsidized), pre-TPR and post TPR	327	377	410	433	428	469
Number of children with Transfer of Guardianship goal (subsidized and non-subsidized), pre-TPR	320	366	399	425	420	460
<ul style="list-style-type: none"> <li>Number of children with Transfer of Guardianship goal (subsidized and non-subsidized , pre-TPR, &gt;= 22 months)</li> </ul>	91	122	144	153	153	166
<ul style="list-style-type: none"> <li>Number of children with Transfer of Guardianship goal (subsidized and non-subsidized), pre-TPR , &gt;= 36 months)</li> </ul>	28	41	52	46	50	69
Number of children with Transfer of Guardianship goal (subsidized and non-subsidized), post-TPR	7	11	11	8	8	9

<b>Adoption</b>	<b>Aug 2015</b>	<b>Nov 2015</b>	<b>Feb 2016</b>	<b>May 2016</b>	<b>Aug 2016</b>	<b>Nov 2016</b>
Total number of children with Adoption goal, pre-TPR and post-TPR	1047	1073	1058	1118	1105	1104
Number of children with Adoption goal, pre-TPR	489	521	557	567	561	578
Number of children with Adoption goal, TPR not filed, >= 15 months in care	170	163	172	161	167	199
<ul style="list-style-type: none"> <li>Reason TPR not filed, Compelling Reason</li> </ul>	8	10	14	9	8	6
<ul style="list-style-type: none"> <li>Reason TPR not filed, petitions in progress</li> </ul>	24	28	28	38	30	22
<ul style="list-style-type: none"> <li>Reason TPR not filed , child is in placement with relative</li> </ul>	5	4	5	5	6	6
<ul style="list-style-type: none"> <li>Reason TPR not filed, services needed not provided</li> </ul>	1	1	0	0	0	5
<ul style="list-style-type: none"> <li>Reason TPR not filed, blank</li> </ul>	132	120	125	109	123	160
Number of cases with Adoption goal post-TPR	558	552	501	551	544	526
<ul style="list-style-type: none"> <li>Number of children with Adoption goal, post-TPR, in care &gt;= 15 months</li> </ul>	521	513	466	513	507	489
<ul style="list-style-type: none"> <li>Number of children with Adoption goal, post-TPR, in care &gt;= 22 months</li> </ul>	426	432	392	423	423	420
Number of children with Adoption goal, post-TPR, no barrier, > 3 months since TPR	13	14	10	17	17	9



<b>Adoption</b>	<b>Aug 2015</b>	<b>Nov 2015</b>	<b>Feb 2016</b>	<b>May 2016</b>	<b>Aug 2016</b>	<b>Nov 2016</b>
Number of children with Adoption goal, post-TPR, with barrier, > 3 months since TPR	65	68	59	50	54	54
Number of children with Adoption goal, post-TPR, with blank barrier, > 3 months since TPR	224	259	215	254	254	233

<b>Progress Towards Permanency:</b>	<b>Aug 2015</b>	<b>Nov 2015</b>	<b>Feb 2016</b>	<b>May 2016</b>	<b>Aug 2016</b>	<b>Nov 2016</b>
Total number of children, pre-TPR, TPR not filed, >=15 months in care, no compelling reason	598	574	610	544	560	624

**Non-Preferred Permanency Goals:**

<b>Long Term Foster Care Relative:</b>	<b>Aug 2015</b>	<b>Nov 2015</b>	<b>Feb 2016</b>	<b>May 2016</b>	<b>Aug 2016</b>	<b>Nov 2016</b>
Total number of children with Long Term Foster Care Relative goal	44	40	23	24	15	7
Number of children with Long Term Foster Care Relative goal, pre-TPR	41	38	22	24	15	7
<ul style="list-style-type: none"> <li>Number of children with Long Term Foster Care Relative goal, 12 years old and under, pre-TPR</li> </ul>	1	1	1	1	1	1
Long Term Foster Care Rel. goal, post-TPR	3	2	1	0	0	0
<ul style="list-style-type: none"> <li>Number of children with Long Term Foster Care Relative goal, 12 years old and under, post-TPR</li> </ul>	0	0	0	0	0	0

<b>APPLA*</b>	<b>Aug 2015</b>	<b>Nov 2015</b>	<b>Feb 2016</b>	<b>May 2016</b>	<b>Aug 2016</b>	<b>Nov 2016</b>
Total number of children with APPLA goal	300	251	204	185	163	136
Number of children with APPLA goal, pre-TPR	238	206	165	155	142	109
<ul style="list-style-type: none"> <li>Number of children with APPLA goal, 12 years old and under, pre-TPR</li> </ul>	6	4	0	0	0	0
Number of children with APPLA goal, post-TPR	62	45	39	30	21	27
<ul style="list-style-type: none"> <li>Number of children with APPLA goal, 12 years old and under, post-TPR</li> </ul>	2	1	1	1	0	0

\* Columns prior to Aug 07 had previously been reported separately as APPLA: Foster Care Non-Relative and APPLA: Other. The values from each separate table were added to provide these figures. Currently there is only one APPLA goal.

**Missing Permanency Goals:**

	<b>Aug 2015</b>	<b>Nov 2015</b>	<b>Feb 2016</b>	<b>May 2016</b>	<b>Aug 2016</b>	<b>Nov 2016</b>
Number of children, with no Permanency goal, pre-TPR, >= 2 months in care	18	33	22	28	29	28
Number of children, with no Permanency goal, pre-TPR, >= 6 months in care	6	7	12	10	16	11
Number of children, with no Permanency goal, pre-TPR, >= 15 months in care	2	5	6	5	4	6
Number of children, with no Permanency goal, pre-TPR, TPR not filed, >= 15 months in care, no compelling reason	2	4	5	3	3	6

## B. PLACEMENT ISSUES

### Placement Experiences of Children

The following chart shows the change in use of family and congregate care for admission cohorts between 2004 and 2016.

Children's Initial Placement Type (by Entry Cohort)

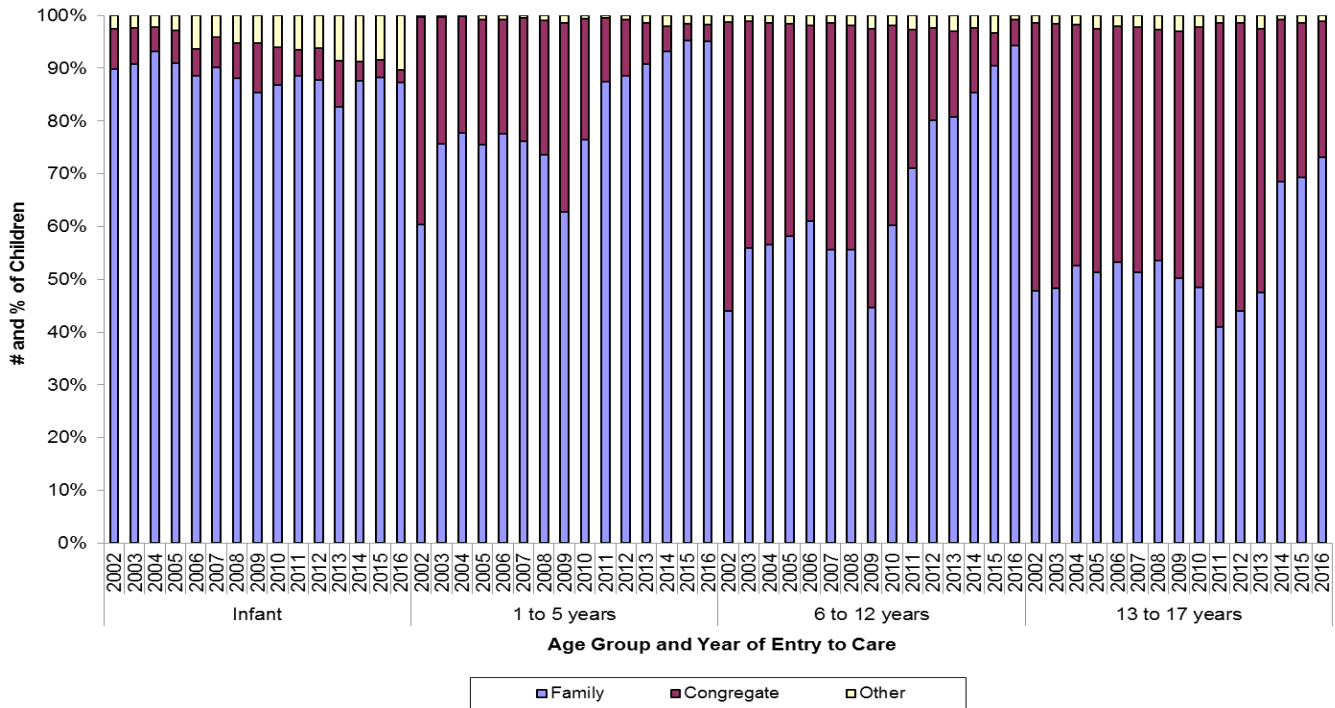


The next table shows specific care types used month-by-month for entries between October 2015 and September 2016.

		Case Summaries											
First placement type		enterOct15	enterNov15	enterDec15	enterJan16	enterFeb16	enterMar16	enterApr16	enterMay16	enterJun16	enterJul16	enterAug16	enterSep16
Residential	N	3	2	2	2	3	4	1	4	2	5	6	4
	%	2.1%	1.2%	1.2%	1.1%	1.6%	1.7%	0.5%	1.9%	1.2%	3.0%	3.1%	2.3%
DCF Facilities	N		2	4	1	2	3	2	1	3		3	1
	%		1.2%	2.4%	0.6%	1.1%	1.3%	1.0%	0.5%	1.8%		1.6%	0.6%
Foster Care	N	76	91	88	84	90	106	111	106	73	80	90	90
	%	52.1%	53.8%	53.7%	47.2%	47.9%	46.1%	54.1%	50.7%	44.8%	48.2%	46.6%	52.0%
Group Home	N	2	2	1	4	1	3	1	2	1	5	1	2
	%	1.4%	1.2%	0.6%	2.2%	0.5%	1.3%	0.5%	1.0%	0.6%	3.0%	0.5%	1.2%
Relative Care	N	42	50	44	56	75	82	64	70	59	47	61	59
	%	28.8%	29.6%	26.8%	31.5%	39.9%	35.7%	31.2%	33.5%	36.2%	28.3%	31.6%	34.1%
Medical	N	6	4	5	11	4	11	6	7	5	6	6	6
	%	4.1%	2.4%	3.0%	6.2%	2.1%	4.8%	2.9%	3.3%	3.1%	3.6%	3.1%	3.5%
Safe Home	N		1				2	3	1	1	3	2	4
	%		0.6%				0.9%	1.5%	0.5%	0.6%	1.8%	1.0%	2.3%
Shelter	N	4	4	6	7	5	3	4	3	6	3	4	5
	%	2.7%	2.4%	3.7%	3.9%	2.7%	1.3%	2.0%	1.4%	3.7%	1.8%	2.1%	2.9%
Special Study	N	13	13	14	13	8	16	13	15	13	17	20	2
	%	8.9%	7.7%	8.5%	7.3%	4.3%	7.0%	6.3%	7.2%	8.0%	10.2%	10.4%	1.2%
Total	N	146	169	164	178	188	230	205	209	163	166	193	173
	%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%

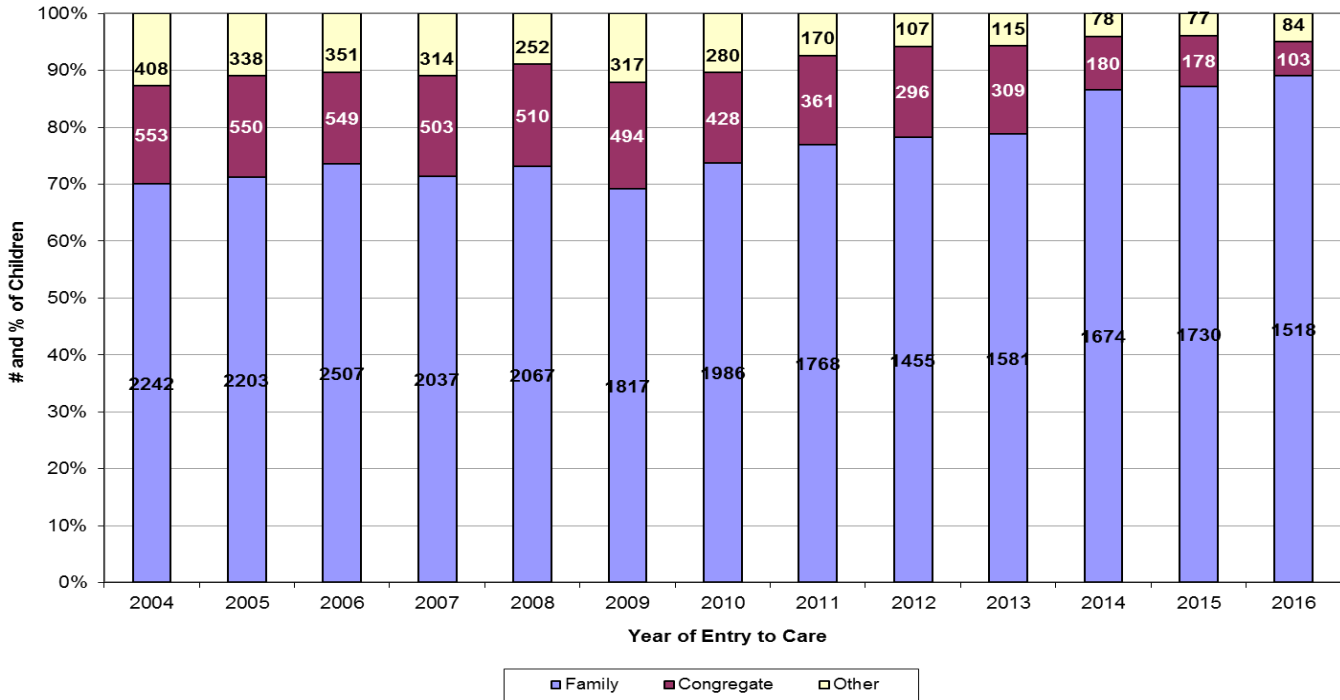
The chart below shows the change in level of care usage over time for different age groups.

**Children's Initial Placement Settings By Age And Entry Cohort**



It is also useful to look at where children spend most of their time in DCF care. The chart below shows this for admission the 2004 through 2016 admission cohorts.

Children's Predominant Placement Type (by Entry Cohort)



The following chart shows monthly statistics of children who exited from DCF placements between July 2015 and June 2016, and the portion of those exits within each placement type from which they exited.

		Case Summaries											
Last placement type in spell (as of censor date)		exitOct15	exitNov15	exitDec15	exitJan16	exitFeb16	exitMar16	exitApr16	exitMay16	exitJun16	exitJul16	exitAug16	exitSep16
Residential	N	6	5	6	3	4	9	3	1	4	4	4	5
	%	3.3%	2.3%	3.7%	2.3%	3.0%	5.2%	1.8%	0.8%	2.0%	2.2%	2.0%	4.5%
DCF Facilities	N	2	3	2	3	4	1		1	3	4	1	
	%	1.1%	1.4%	1.2%	2.3%	3.0%	0.6%		0.8%	1.5%	2.2%	0.5%	
Foster Care	N	84	119	92	63	68	61	84	51	103	70	90	55
	%	46.4%	54.6%	56.8%	47.7%	51.1%	35.1%	49.1%	40.8%	51.8%	38.9%	44.6%	50.0%
Group Home	N	8	7	7	4	4	17	6	5	11	4	8	6
	%	4.4%	3.2%	4.3%	3.0%	3.0%	9.8%	3.5%	4.0%	5.5%	2.2%	4.0%	5.5%
Independent Living	N	4	2	2	6	2	2		2	1	1	1	1
	%	2.2%	0.9%	1.2%	4.5%	1.5%	1.1%		1.6%	0.5%	0.6%	0.5%	0.9%
Relative Care	N	55	62	38	38	38	59	62	53	54	67	73	31
	%	30.4%	28.4%	23.5%	28.8%	28.6%	33.9%	36.3%	42.4%	27.1%	37.2%	36.1%	28.2%
Medical	N	1		2	5	1	3	1		1	2		
	%	0.6%		1.2%	3.8%	0.8%	1.7%	0.6%		0.5%	1.1%		
Safe Home	N	1	1	1			1		1	3	1	2	
	%	0.6%	0.5%	0.6%			0.6%		0.8%	1.5%	0.6%	1.0%	
Shelter	N		1	2	2	2	6	3	2	3	3	4	1
	%		0.5%	1.2%	1.5%	1.5%	3.4%	1.8%	1.6%	1.5%	1.7%	2.0%	0.9%
Special Study	N	20	16	9	7	8	14	9	7	13	19	13	8
	%	11.0%	7.3%	5.6%	5.3%	6.0%	8.0%	5.3%	5.6%	6.5%	10.6%	6.4%	7.3%
Unknown	N	2	2	1	1	2	1	3	2	3	5	6	3
	%	0.9%	0.9%	0.6%	0.8%	1.5%	0.6%	1.8%	1.6%	1.5%	2.8%	3.0%	2.7%
Total	N	181	218	162	132	133	174	171	125	199	180	202	110
	%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%

The next chart shows the primary placement type for children who were in care on July 1, 2016 organized by length of time in care.

Primary type of spell (>50%) * Duration Category Crosstabulation										
			Duration Category							Total
			1 <= durat < 30	30 <= durat < 90	90 <= durat < 180	180 <= durat < 365	365 <= durat < 545	545 <= durat < 1095	more than 1095	
Primary type of spell (>50%)	Residential	Count	3	10	8	16	11	14	24	86
		% Row	3.5%	11.6%	9.3%	18.6%	12.8%	16.3%	27.9%	100.0%
		% Col	1.8%	3.3%	1.8%	2.1%	1.8%	1.7%	3.5%	2.2%
	DCF Facilities	Count	1	4	3	7	6	4	0	25
		% Row	4.0%	16.0%	12.0%	28.0%	24.0%	16.0%	0.0%	100.0%
		% Col	0.6%	1.3%	0.7%	0.9%	1.0%	0.5%	0.0%	0.7%
	Foster Care	Count	77	109	174	333	216	410	426	1745
		% Row	4.4%	6.2%	10.0%	19.1%	12.4%	23.5%	24.4%	100.0%
		% Col	47.2%	36.2%	38.2%	42.7%	34.7%	50.2%	61.8%	45.6%
	Group Home	Count	2	5	4	8	15	20	65	119
		% Row	1.7%	4.2%	3.4%	6.7%	12.6%	16.8%	54.6%	100.0%
		% Col	1.2%	1.7%	0.9%	1.0%	2.4%	2.4%	9.4%	3.1%
	Independent Living	Count	0	0	0	0	0	0	2	2
		% Row	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	100.0%	100.0%
		% Col	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.3%	0.1%
	Relative Care	Count	57	106	194	309	286	258	57	1267
		% Row	4.5%	8.4%	15.3%	24.4%	22.6%	20.4%	4.5%	100.0%
		% Col	35.0%	35.2%	42.6%	39.7%	46.0%	31.6%	8.3%	33.1%
	Medical	Count	3	5	1	4	1	5	1	20
		% Row	15.0%	25.0%	5.0%	20.0%	5.0%	25.0%	5.0%	100.0%
		% Col	1.8%	1.7%	0.2%	0.5%	0.2%	0.6%	0.1%	0.5%
	Mixed (none >50%)	Count	4	1	2	9	14	30	91	151
		% Row	2.6%	0.7%	1.3%	6.0%	9.3%	19.9%	60.3%	100.0%
		% Col	2.5%	0.3%	0.4%	1.2%	2.3%	3.7%	13.2%	3.9%
	Safe Home	Count	4	1	3	0	2	3	1	14
		% Row	28.6%	7.1%	21.4%	0.0%	14.3%	21.4%	7.1%	100.0%
		% Col	2.5%	0.3%	0.7%	0.0%	0.3%	0.4%	0.1%	0.4%
Shelter	Count	5	7	7	8	3	2	0	32	
	% Row	15.6%	21.9%	21.9%	25.0%	9.4%	6.3%	0.0%	100.0%	
	% Col	3.1%	2.3%	1.5%	1.0%	0.5%	0.2%	0.0%	0.8%	
Special Study	Count	4	43	39	71	65	66	20	308	
	% Row	1.3%	14.0%	12.7%	23.1%	21.1%	21.4%	6.5%	100.0%	
	% Col	2.5%	14.3%	8.6%	9.1%	10.5%	8.1%	2.9%	8.1%	
Unknown	Count	3	10	20	14	3	5	2	57	
	% Row	5.3%	17.5%	35.1%	24.6%	5.3%	8.8%	3.5%	100.0%	
	% Col	1.8%	3.3%	4.4%	1.8%	0.5%	0.6%	0.3%	1.5%	
Total	Count	163	301	455	779	622	817	689	3826	
	% Row	4.3%	7.9%	11.9%	20.4%	16.3%	21.4%	18.0%	100.0%	
	% Col	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	

### Congregate Care Settings

Placement Issues	Aug 2015	Nov 2015	Feb 2016	May 2016	Aug 2016	Nov 2016
Total number of children 12 years old and under, in Congregate Care	27	21	22	12	12	16
• Number of children 12 years old and under, in DCF Facilities	0	1	1	1	2	2
• Number of children 12 years old and under, in Group Homes	11	9	8	3	2	2
• Number of children 12 years old and under, in Residential	11	8	10	7	8	8
• Number of children 12 years old and under, in Safe Home or SFIT	4	1	1	0	0	2
• Number of children 12 years old and under in Shelter	1	2	2	1	0	2
Total number of children ages 13-17 in Congregate Placements	288	290	286	260	238	231

### Use of SAFE Homes, Shelters and PDCs

The analysis below provides longitudinal data for children (which may include youth ages 18 and older) who entered care in Safe Homes, Permanency Diagnostic Centers and Shelters.

	Period of Entry to Care												
	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014	2015	2016
<b>Total Entries</b>	3203	3091	3407	2854	2829	2628	2694	2299	1858	2005	1932	1985	1705
<b>SAFE Homes/SFIT</b>	453	394	395	382	335	471	331	146	68	56	30	9	16
	14%	13%	12%	13%	12%	18%	12%	6%	4%	3%	2%	0%	1%
<b>Shelters</b>	147	178	114	136	144	186	175	194	169	175	91	58	40
	5%	6%	3%	5%	5%	7%	6%	8%	9%	9%	5%	3%	2%
<b>Total</b>	600	572	509	518	479	657	506	340	237	231	121	67	56
	19%	19%	15%	18%	17%	25%	19%	15%	13%	12%	6%	3%	3%

	Period of Entry to Care												
	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014	2015	2016
<b>Total Initial Plcmnts</b>	600	572	509	518	479	657	506	340	237	231	121	67	56
<= 30 days	249	241	186	162	150	229	135	103	60	63	37	28	24
	41.5%	42.1%	36.5%	31.3%	31.3%	34.9%	26.7%	30.3%	25.3%	27.3%	30.6%	41.8%	42.9%
31 - 60	102	114	73	73	102	110	106	57	44	41	27	9	14
	17.0%	19.9%	14.3%	14.1%	21.3%	16.7%	20.9%	16.8%	18.6%	17.7%	22.3%	13.4%	25.0%
61 - 91	81	76	87	79	85	157	91	54	39	38	18	8	2
	13.5%	13.3%	17.1%	15.3%	17.7%	23.9%	18.0%	15.9%	16.5%	16.5%	14.9%	11.9%	3.6%
92 - 183	124	100	118	131	110	124	136	84	56	57	24	15	11
	20.7%	17.5%	23.2%	25.3%	23.0%	18.9%	26.9%	24.7%	23.6%	24.7%	19.8%	22.4%	19.6%
184+	44	41	45	73	32	37	38	42	38	32	15	7	5
	7.3%	7.2%	8.8%	14.1%	6.7%	5.6%	7.5%	12.4%	16.0%	13.9%	12.4%	10.4%	8.9%

The following is the point-in-time data taken from the monthly LINK data, and may include those youth ages 18 and older.

<b>Placement Issues</b>	<b>May 2015</b>	<b>Aug 2015</b>	<b>Nov 2015</b>	<b>Feb 2016</b>	<b>May 2016</b>	<b>Aug 2016</b>	<b>Nov 2016</b>
Total number of children in SAFE Home/SFIT	9	7	4	5	7	9	8
• Number of children in SAFE Home/SFIT, > 60 days	7	4	4	5	1	4	4
• Number of children in SAFE Home/SFIT, >= 6 months	4	1	2	2	0	1	1
Total number of children in STAR/Shelter Placement	34	35	39	34	29	32	24
• Number of children in STAR/Shelter Placement, > 60 days	15	17	22	18	19	19	13
• Number of children in STAR/Shelter Placement, >= 6 months	3	5	6	3	5	4	5
Total number of children in MH Shelter	3	2	2	1	0	0	0
• Total number of children in MH Shelter, > 60 days	3	0	1	1	0	0	0
• Total number of children in MH Shelter, >= 6 months	1	0	0	0	0	0	0

### Time in Residential Care

<b>Placement Issues</b>	<b>May 2015</b>	<b>Aug 2015</b>	<b>Nov 2015</b>	<b>Feb 2016</b>	<b>May 2016</b>	<b>Aug 2016</b>	<b>Nov 2016</b>
Total number of children in Residential care	106	107	103	105	99	91	90
• Number of children in Residential care, >= 12 months in Residential placement	26	21	21	25	32	27	19
• Number of children in Residential care, >= 60 months in Residential placement	0	0	1	2	2	2	1

*Appendix A*  
**2016 Juan F. Revised Exit Plan**



**UNITED STATES DISTRICT COURT  
DISTRICT OF CONNECTICUT**

-----X  
JUAN F., *et al.*, )  
 )  
 Plaintiffs, )  
 )  
 v. ) CIVIL NO. 2:89cv00859 (SRU)  
 )  
 )  
 DANIEL P. MALLOY, *et al.*, )  
 )  
 Defendants. )  
-----X

**2016 REVISED EXIT PLAN**

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**Appendix A**

**Appendix B**

**Appendix C**

**Appendix D**

Whereas, the parties to this action have been operating under the Court's Revised *Juan F.* Exit Plan (Dkt. No. 569 – “569 Order”) issued by the Court on July 1, 2004;

Whereas, Defendants have made sustained progress toward meeting their obligations under the prior governing Court orders in this action;

Whereas, the following reflects Defendants' continued and further commitment to achieve the additional progress necessary to ensure the safety and well-being of the *Juan F.* class members;

Whereas, the parties are desirous of possibly replacing the 569 Order to identify specific achievements that must be accomplished in order for Defendants to request termination of jurisdiction over this action;

Whereas, Defendants have asserted that this 2016 Revised Exit Plan must be approved by the Connecticut General Assembly pursuant to Conn. Gen. Stat. § 3-125a, a contention with which Plaintiffs disagree and about which the Court presently makes no judgment;

Whereas, the Court has considered the following Revised Exit Plan and believes it is appropriate.

Now, therefore, the Court hereby orders, adjudges and decrees,

1. Defendants will submit this 2016 Revised Exit Plan to the General Assembly within three (3) days of the opening of the 2017 session for its consideration under Conn. Gen. Stat. § 3-125a and provide notice to this Court on the thirty-third (33<sup>rd</sup>) date following its submission as to the General Assembly's action. If the 2016 Revised Exit Plan is approved or deemed approved by the General Assembly in accordance with Conn. Gen. Stat. Sec. 3-125a, the Court will enter an order approving this 2016 Revised Exit Plan which will immediately replace the 569 Order and will govern the parties' rights and obligations thereafter. If the General Assembly rejects the 2016 Revised Exit Plan in accordance with Conn. Gen. Stat. Sec. 3-125a, or if for any other reason this 2016 Revised Exit Plan shall not become effective, the parties will continue to operate under the terms set forth in the 569 Order, which shall remain effective and fully enforceable under its terms.
2. This Court will retain continuing jurisdiction over this action until the Court issues a final order terminating such jurisdiction as set forth herein.
3. The Court Monitor reserves the rights, authorities and responsibilities granted in the Monitoring Order of December 1, 1992, as modified, and all the rights, authorities and responsibilities granted in the October 7, 2003 Stipulation and Order (Dkt. No. 447), all of which are incorporated in this 2016 Revised Exit Plan by reference.
4. The *Juan F.* class is:

- A. All children who are now, or will be, in the care, custody, or supervision of the Commissioner of the Department of Children and Families (DCF) as a result of being abused, neglected or abandoned or being found at risk of such maltreatment; and
  - B. All children about whom DCF knows, or should know, by virtue of a report to the DCF, who are now, or will be, abused, neglected or abandoned, or who are now, or will be, at serious risk of such maltreatment.
5. The DCF Court Monitor's measurement procedures used to determine and sustain compliance with the Outcome Measures in this 2016 Revised Exit Plan are set forth in Appendix A attached hereto. The DCF Court Monitor's protocols and directional guides for outcome measures to be achieved, as amended pursuant to this 2016 Revised Exit Plan, are set forth in Appendix B, attached hereto. These procedures shall be final and binding on the parties.
  6. Except as specified for Outcome Measures 3 and 4, Defendants must first meet the requirements of each Outcome Measure, and then sustain compliance with each of the Outcome Measures for an additional quarter (six months total), prior to asserting compliance for the purpose of Pre-Certification as set forth in Paragraph 10. To seek termination of the Court's jurisdiction over all of the Outcome Measures, Defendants may not seek to terminate jurisdiction over individual Outcome Measures; rather, simultaneous compliance with all of the Outcome Measures is a prerequisite to seeking termination of jurisdiction over all of the Outcome Measures. If Defendants assert compliance and request termination of jurisdiction over all of the Outcome Measures, the Court Monitor shall, prior to the Court's adjudication of the Defendants' motion, determine which, if any, Outcome Measures require a final review in order to assess the Defendants' achievements, subject to Paragraph 10 of this 2016 Revised Exit Plan. The Court Monitor's determination on which Outcome Measures require a final review shall be conclusive and binding on the parties. For any Outcome Measures requiring a final review, the Court Monitor shall conduct a review of a statistically significant valid sample of case files at the 96% confidence level, and such other measurements as are necessary, to determine whether Defendants are in compliance with their obligations. The Court Monitor shall then present findings and recommendations to the District Court in connection with the Defendants' request for termination of jurisdiction over the Outcome Measures. The parties shall have a timely and meaningful opportunity to be heard by the Court Monitor before he submits any findings and recommendations to the Court, which findings and recommendations shall be submitted no more than 90 days from the submission of the Defendants' motion. The parties shall also have a meaningful opportunity to be heard by the Court before any ruling is rendered with respect to a motion to terminate jurisdiction over all of the Outcome Measures. Defendants shall maintain compliance through any final decision to terminate jurisdiction over the Outcome Measures. Upon a ruling granting termination of jurisdiction over all of the Outcome Measures, and notwithstanding the termination of such jurisdiction, the funding provisions as set forth in paragraphs 7.a. and 7.b. and the

accountability provision as set forth in paragraph 12 of this 2016 Revised Exit Plan shall be in full force and effect for an additional twelve (12) months.

7. The Defendants shall provide funding and other resources necessary to fully implement this 2016 Revised Exit Plan. In addition:
  - a. Until this Court grants final termination of jurisdiction over this action, as opposed to termination over all of the Outcome Measures, the annual budget of the Department of Children and Families shall not fall below the Agency Total identified in Public Act 16-2 of the May Special Session. The DCF summary budget page from Public Act 16-2 is attached as Appendix C hereto.
  - b. Until this Court grants final termination of jurisdiction over this action, Defendants shall provide the additional service resources identified in Appendix D hereto.
  - c. The Court Monitor shall have the authority and responsibility to identify and file reports with the Court concerning any specific shortages in personnel or service resources that the Court Monitor determines may impede full implementation of this 2016 Revised Exit Plan.
8. Reporting by the Court Monitor on all Outcome Measures is required on a quarterly basis until the Court issues an order terminating jurisdiction over the Outcome Measures, except that public filing of reports by the Court Monitor may occur on a six-month basis and cover two quarters of performance.
9. Until this Court issues an order terminating jurisdiction over the Outcome Measures, the Court Monitor shall have the authority and discretion to conduct and provide for such reporting and case file reviews that the Court Monitor deems necessary or appropriate to report on the Defendants' performance. Additionally, if the Court Monitor deems it necessary, a needs assessment shall be conducted by the Court Monitor to quantify specific resource needs in order to fully implement the obligations in this 2016 Revised Exit Plan.
10. Pre-Certification. If DCF has met the requirements for any Outcome Measure and sustained compliance for at least one (1) additional and consecutive quarter (6 months total), the Court Monitor may, in his discretion, conduct a "pre-certification review" of that Outcome Measure ("Pre-Certification Review"). Pre-Certification Reviews have already taken place and are applicable to Outcome Measures 7, 8, 9 and 10 of this 2016 Revised Exit Plan. The purpose of the Pre-Certification Review is to recognize DCF's sustained improved performance, to identify and provide a prompt and timely opportunity to remedy any problem areas that are affecting the well-being of *Juan F.* class members, and to increase the efficiency of DCF's eventual complete compliance and exit from this action. Other than conducting the Pre-Certification Review earlier than the final review mandated by paragraph 6 above, the Pre-Certification Review will be conducted in accordance with the

provision for review as described in paragraph 6, unless otherwise agreed upon by the parties and the Court Monitor. If the Pre-Certification Review with respect to a particular Outcome Measure: (a) does not identify any material issues requiring remediation; and (b) no assertions of noncompliance with the specific Outcome Measures(s) at issue are pending at the time Defendants assert sustained compliance with all Outcome Measures; and (c) the Court Monitor has not identified any material issues requiring remediation subsequent to the Pre-Certification, the final review as per paragraph 6 of this 2016 Revised Exit Plan will not be required after the Defendants assert sustained compliance with all Outcome Measures.

11. Unless as expressly stated otherwise, all provisions in this 2016 Revised Exit Plan are independently and separately enforceable.
  
12. Continued Accountability Reporting. After the Court terminates jurisdiction pursuant to paragraph 6 over all Outcome Measures in this 2016 Revised Exit Plan, jurisdiction over the funding provisions as set forth in paragraph 7 shall continue for a period of twelve (12) months. During that period of time, Defendants shall issue two reports, each covering successive six month periods during those twelve (12) months (or as otherwise agreed by the parties on consent), covering performance under all of the Outcome Measures in this 2016 Revised Exit Plan. Defendants' continued compliance with the Outcome Measures as well as the requirements of subparagraphs 7.a and 7.b shall be subject to validation by the Court Monitor. Upon validation of Defendants' compliance with their obligations during this twelve (12) month period, the parties shall file a joint motion requesting final termination of jurisdiction over this action.

## **OUTCOME MEASURES TO BE ACHIEVED**

### **Outcome Measure 1: Commencement of Investigation/FAR**

DCF shall assure that at least 90% of all reports of children alleged to be abused, or neglected, shall be prioritized, assigned and the investigation/FAR (Family Assessment Response) shall commence within the timeframes specified below.

If the report of child abuse or neglect is determined by the DCF Careline to be:

- A. A situation in which failure to respond immediately could result in the death of, or serious injury to a child, then the response time for commencing an investigation is the same calendar day Careline accepts the report.
- B. A non-life threatening situation that is severe enough to warrant a 24-hour response to secure the safety of the child and to access the appropriate and available witnesses, then the response time for commencing an investigation is 24 hours.
- C. A non-life threatening situation that, because of the age or condition of the child, the response time for commencing an investigation is 72 hours.

### **Outcome Measure 2: Completion of the Investigation/FAR**

At least 85% of all reports of alleged child maltreatment accepted by the DCF Careline shall have their investigations completed within 45 calendar days of acceptance by the Careline.

### **Outcome Measure 3: Case Plans**

Except probate, interstate, and subsidy only cases, appropriate case plans shall be developed as set forth in the "DCF Court Monitor's Protocol for Outcome Measures 3 and 4" and the accompanying "Directional Guide for Outcome Measures 3 and 4 Reviews" attached collectively as Appendix B hereto. The enforceable domains of this Outcome Measure shall not include (although all domains will be assessed and reported on each quarter by the Court Monitor and included in public monitoring reports) (1) those domains in Appendix B for which the compliance has already been sustained at 90% or more; and (2) the "overall score" domain. As of the date of filing of this 2016 Revised Exit Plan the parties agree the enforceable domains include:

- Engagement of child and family;
- Assessment at the date of review;
- Determining goals/objectives (priority needs);
- Progress;
- Action steps to achieving goals/objectives (priority needs) identified for the six month period;

Prospectively, if Defendants achieve and sustain compliance with any of the individual remaining enforceable domains for two consecutive quarters, those will no longer be enforceable domains under this Outcome Measure. Once the last remaining domain is achieved and sustained for two consecutive quarters (six months total), this item shall be considered to have achieved Pre-Certification and subject to the

process in paragraphs 6 and 10 as to whether a final review pursuant to Paragraphs 6 and 10 is required in connection with a request to terminate jurisdiction over the Outcome Measures.

#### **Outcome Measure 4: Children's Needs Met**

Families and children shall have their medical, dental, mental health, and other service needs met as set forth in the "DCF Court Monitor's Protocol for Outcome Measures 3 and 4" and the accompanying "Directional Guide for Outcome Measures 3 and 4 Reviews" attached collectively as Appendix B hereto.

The enforceable domains of this Outcome Measure shall not include (although all domains will be assessed and reported on each quarter by the Court Monitor and included in public monitoring reports): (1) those domains in Appendix B for which the compliance has been sustained at 85% or more; and (2) the "all needs met" domain. As of the date of filing of this 2016 Revised Exit Plan the parties agree the enforceable domains include:

- DCF Case Management - Legal action to achieve the permanency goal during the prior six months;
- DCF Case Management - Contracting or providing services to achieve permanency during the prior six months;
- Medical needs;
- Dental needs;
- Mental health, behavioral and substance abuse services.

Prospectively, if Defendants achieve and sustain compliance with any of the individual remaining enforceable domains for two consecutive quarters, those will no longer be enforceable domains under this Outcome Measure. Once the last remaining domain is achieved and sustained for an additional consecutive quarter (six months total), this item shall be considered to have achieved Pre-Certification and subject to the process in paragraphs 6 and 10 as to whether a final review is required in connection with a request to terminate jurisdiction over the Outcome Measures.

#### **Outcome Measure 5: Worker-Child Visitation (In-Home)**

DCF shall visit at least 85% of all in-home family cases at least twice a month, except for probate, interstate or voluntary cases.

#### **Outcome Measure 6: Caseload Standards**

The caseload of no DCF social worker shall exceed the following caseload standards, with exceptions for emergency reasons on caseloads, lasting no more than 30 days. Additionally, the average caseload of all caseload carrying DCF social workers in each of the following categories shall not exceed 0.75 (*i.e.*, 75% utilization) of these maximum caseload standards:

- A. Investigators shall have no more than 17 investigative cases at any time.
- B. In-home treatment workers shall have no more than 15 cases at any time.
- C. Out-of-Home treatment workers shall have no more than 20 individual children assigned to them at any time. This includes voluntary placements.
- D. Adoption and adolescent specialty workers shall have no more than 20 cases at any time.



- E. Probate workers shall have no more than 35 cases at any time. When the probate or interstate worker is also assigned to provide services to the family, those families shall be counted as in home treatment cases with a ratio of 1:20 cases.
- F. Social workers with in-home voluntary and interstate compact cases shall have no more than 49 cases at any time.
- G. A worker with a mixed caseload shall not exceed the maximum weighted caseload derived from the caseload standards in A through F above.

## **PRE-CERTIFIED OUTCOME MEASURES**

### **Outcome Measure 7: Repeat Maltreatment of Children**

No more than 7% of the children who are victims of substantiated maltreatment during any six-month period shall be the substantiated victims of additional maltreatment during any subsequent six-month period.

### **Outcome Measure 8: Maltreatment of Children in Out-of-Home Care**

No more than 2% of the children in out-of-home care shall be the victims of substantiated maltreatment by substitute caregivers.

### **Outcome Measure 9: Re-Entry into DCF Custody**

Of all children who enter DCF custody, 7% or fewer shall have re-entered care within 12 months of the prior out-of-home placement.

### **Outcome Measure 10: Worker-Child Visitation (Out-of-Home)**

DCF shall visit at least 85% of all out-of-home children at least one each month, except for probate, interstate or voluntary cases. All children must be seen by their DCF social worker at least quarterly.

**THE PLAINTIFFS,**

**By:** \_\_\_\_\_

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**ORDER**

The foregoing having been considered by the Court, it is approved and so ordered.

**By:** \_\_\_\_\_

Honorable Stefan R. Underhill

U.S. District Judge

United States District Court

915 Lafayette Boulevard

Bridgeport, CT 06604

*Appendix B*  
**Letter of Noncompliance**



Defending America's  
Abused and Neglected Kids

February 1, 2017

**BY EMAIL & FIRST CLASS MAIL**

Joette Katz, Commissioner  
Department of Children and Families  
505 Hudson Street  
Hartford, CT 06106

Ann H. Rubin, Esq.  
Carmody & Torrance LLP  
50 Leavenworth Street  
Waterbury, CT 06721

Re: *Juan F. v. Malloy*

Dear Joette and Ann:

Pursuant to Section III.B of the Revised Monitoring Order in this action (Dkt. 501), Plaintiffs formally assert and hereby provide notice to Defendants of actual and likely non-compliance with multiple provisions of the governing Revised Exit Plan (as modified July 2006, Dkt. 523, annexed hereto as Exhibit A).<sup>5</sup> Plaintiffs reserve the right to seek immediate relief from the Court under section III.C of the Revised

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<sup>5</sup> While Plaintiffs dispute that Connecticut General Statute Section 3-125a is applicable to the Proposed 2016 Revised Exit Plan, and the Court has not ruled on that issue (Dkt. 710-1, annexed hereto as Exhibit B), the 2016 Proposed Revised Exit Plan specifically states that, upon its rejection by the General Assembly, which occurred on February 1, 2017, the terms of the 2006 Revised Exit Plan “shall remain effective and fully enforceable under its terms.” Dkt. 520 at p. 1.

Monitor Order while this assertion is pending, if circumstances warrant.

As set forth in the DCF Court Monitor's Quarterly Reports filed with the Court, including the most recent Status Report of August 2016 (Dkt. 708-3), Defendants are in *undisputed* non-compliance with the following Outcome Measures and requirements:

- Outcome Measure 1: Commencement of the Investigation/FAR
- Outcome Measure 2: Completion of the Investigation/FAR
- Outcome Measure 3: Treatment Planning
- Outcome Measure 15: Children's Needs Met
- Outcome Measure 17: Worker-Child Visitation (In-Home)
- Outcome Measure 18: Caseload Standards
- Resources: The Defendants shall provide funding and other resources necessary to fully implement the Exit Plan.<sup>6</sup>

Not only have Defendants conceded their noncompliance with the operative Exit Plan, but they have also agreed with Plaintiffs as to an appropriate remedy to address the issues that continue to plague DCF. That remedy is set forth in the Proposed 2016 Revised Exit Plan and is as follows: (1) adoption of a modified caseload standard that requires both maximum limits on any social worker's caseload in each delineated category *and* an average caseload utilization within each category of worker of 75% of the maximum limit; (2) dedication of specifically delineated service resources to fill undisputed gaps and shortages in community-based services for the class; and (3) no further reductions in the DCF budget, using Public Act 16-2 as a baseline. (*See* Dkt. 710-1 at p. 3 and App. C and D; *see id.* at p. 6.). Additional remedies may include: (4) providing a comprehensive services needs assessment under the direction and subject to the approval of the DCF Court monitor, and a court-ordered schedule to fill service gaps confirmed by that assessment; (5) adopting mandated schedules for full implementation of the caseload remedy and the increased community-based service remedy; (6) utilization of a mandated rapid response reporting system for (a) immediate notification to the DCF Court Monitor of any actual or proposed reductions in DCF programs, staffing (including hiring freezes or slowdown in the filling of vacancies and turnover) and services; (b) rapid reporting to the Court by the DCF Court Monitor of the actual or likely impact of any such reductions on compliance; and (c) an expedited process for court enforcement and imposition of remedies to address any actual or likely noncompliance; and (7) creating a schedule for sanctions, including imposition of civil fines and other penalties for Defendants' continued noncompliance with the 2006 Revised Exit Plan and implementation of remedies going forward. Plaintiffs reserve the right to seek other remedies as may be necessary or appropriate.

### Undisputed Noncompliance

Under the controlling decision of the Second Circuit Court of Appeals, compliance in this case is defined as 100% compliance with the obligations set forth in the governing Court orders in this action. *Juan F. v. Weicker*, 37 F.3d 874, 879 (2d Cir. 1994). According to its express terms, the 2006 Revised Exit Plan "delineates the specific outcome measures whose achievement are a prerequisite for termination

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<sup>6</sup> This resource obligation in the governing 2006 Revised Exit Plan (Dkt. 523; *See* revised 2006 Exit Plan at p. 4) was expressly upheld in a decision of the Court. Dkt. 465 at p. 3-4. Thus it remains in full force and effect and binding on Defendants.

[of] the Court’s jurisdiction over this action.” Dkt. 523; *See* revised 2006 Exit Plan at p. 3.<sup>7</sup> Accordingly, “Defendants must be in compliance with all of the [22] outcome measures, and in sustained compliance with all of the outcome measures for at least two quarters (six months)” after which “the Court Monitor shall conduct a review of a statistically significant valid sample at 96% confidence level, and such other measurements as are necessary, to determine whether Defendants *are in compliance*.” Dkt. 523; *See* revised 2006 Exit Plan at p.3 (emphasis added). In an effort to expedite final exit of all Outcome Measures, the parties have agreed to a modified “Pre-Certification” process that allows the DCF Court Monitor to conduct its qualitative compliance determination upon a showing of DCF’s sustained compliance for at least 6 months and their request for such review for *any specific* Outcome Measure (rather than waiting until all 22 measures are simultaneously in sustained compliance). (*See* Dkt. 710-1pp. 11-12; Quarterly Report September 2013 (Dkt. 681-3 at pp. 4-5)). The Defendants *have never asserted compliance* sufficient to trigger a Pre-Certification reviews for Outcome Measures 1, 2, 3, 15 or 18, nor were they ever in a position to do so. Defendants did seek Pre-Certification for Outcome Measure 17, but in January 2012, the DCF Court Monitor’s review found noncompliance. (*See* Dkt 710-1 at p. 13; Dkt. 657 at pp. 5-6; 32-53).

Accordingly, Defendants’ noncompliance with Outcome Measures 1, 2, 3 15, 17 and 18 is undisputed. Consistent with this reality, most recently, in her testimony before the Joint Appropriations Committee on January 23, 2017, DCF Commissioner Katz admitted that “we [DCF] are in noncompliance” with the Revised Exit Plan.<sup>8</sup>

As expressly found by the DCF Court Monitor, areas of improvement under the current administration,

. . . are consistently undermined by insufficient staffing, which translates to an overwhelming workload issue. There also continues to be insufficient community resources to address the needs of children and families. The State’s fiscal commitment to improving child welfare case practice, as outlined in the Exit Plan, is not being properly attended to ***and it is compromising the safety and well-being of Connecticut’s most vulnerable population.***

Status Report, January 2016 (Dkt. 702-1, p. 4) (emphasis added). Noncompliance with the resource requirement in the 2006 Revised Exit Plan is thus also severe and undisputed.

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<sup>7</sup> Just as Defendants are currently in noncompliance, the Court found that Defendants were in noncompliance in 2010, when it denied Defendants’ motion to terminate jurisdiction and dismiss this action. Dkt. 640.

<sup>8</sup> *Budget committee rejects Malloy’s plan to lock in DCF Spending*. Connecticut Mirror. January 23, 2017. Available at: <http://ctmirror.org/2017/01/23/budget-committee-rejects-malloys-plan-to-lock-in-dcf-spending/>



Explaining the impact of Defendants' noncompliance, the DCF Court Monitor further found,

[A]t-risk children and families are not being seen often enough, service provision is not uniform or sufficient, siblings don't visit with one another regularly, proper assessments do not occur consistently, appropriate planning efforts are hampered, coordination with service providers and community stakeholders is not routine, [and] many of the Quality Assurance efforts . . . are severely minimized.

Dkt. 702-1 at pp. 4-5. Additionally, the DCF Court Monitor found:

As with prior reports, the reported barrier to appropriate service provision was due [to] the result of wait-lists and internal provider issues, client refusal, or the lack of/delayed referrals. As previously reported, interviews and e-mail exchanges with Social Workers and Social Work Supervisors indicates that some percentage of the categories of "lack of referral" or "delayed referral" are due to staff having knowledge that certain services are not readily available. Thus, the number of cases with unmet needs due to waitlists is understated.

Dkt. 702-1 at pp 6-7.

Areas of specific service shortages have been long documented and remain undisputed. As found by the DCF Court Monitor,

As with previous reports . . . services that are not readily available in areas of the state include: in-home services (including the most intensive services), domestic violence services, extended day treatment, substance abuse services, emergency mobile services, supportive housing vouchers, foster and adoptive care resources, and outpatient mental health services.

Dkt. 702-1 at p. 7.<sup>9</sup>

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<sup>9</sup> The undisputed staffing shortage and service deficiencies have been consistently documented in the DCF Court Monitor's reports. *See, e.g.*, Quarterly Report, June 2011 (Dkt. 651-1 at p. 3) ("wait-lists for a variety of critical services such as in-home services, mental health services, substance abuse treatment, domestic violence services, life skills, transitional living programs . . ."); Quarterly Report, January 2012 (Dkt. 657 at p. 4) ("areas of service gap include mental health services, in-home services, substance abuse treatment, domestic violence services, and limited life skills training opportunities"); Quarterly Report, April 2012 (Dkt. 660-1 at p. 3) ("Unavailability of services and service gaps significantly impact the ability to provide timely and appropriate services to children and families. The services include behavioral health services, in-home services, substance abuse treatment services, domestic violence services and limited transition services for adolescents."); Quarterly Report, April 2013 (Dkt. 674-2 at p. 4) ("Wait-lists and the lack of service availability combined with ongoing case management deficits contribute to families and children not receiving the services they require."); Quarterly Report, October 2013 (Dkt. 678-3 at p. 4) ("front-line staffing levels are inadequate given the complexity of cases that now make up the pool of Investigation and Ongoing Service cases that Social Workers have on their caseloads since the implementation of the Differential Response System (DRS)"); Quarterly Report, July 2014 (Dkt. 686-3 at p. 4) ("Additional treatment and services for children and families, including the need to provide ample

It is disappointing that, after so much progress has been made, the Plaintiffs are compelled to write this letter, which is not intended to be an exhaustive description of Defendants' undisputed noncompliance, nor a description of the impact and harm resulting to the children in the *Juan F.* class as a result of such noncompliance. It is further disappointing that the remedies the parties agreed were necessary to fully implement the governing 2006 Revised Exit Plan were rejected.

Rest assured, the Plaintiffs remain committed to taking whatever steps are necessary to protect the *Juan F.* class and ensure that Defendants make needed improvements for children in the class to achieve full compliance and exit from this action.

Very Truly Yours,

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Ira P. Lustbader  
Children's Rights

-and-

Steven M. Frederick  
Wofsey, Rosen, Kweskin & Kuriansky, LLP

FOR PLAINTIFFS

Enclosures

cc: Hon. Stephan Underhill, U.S.D.J.  
Raymond Mancuso, DCF Court Monitor  
James Shearin, Esq.

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support services to family-based care providers in the community has not kept pace with the reduction in congregate care. This has resulted in thousands of children and families in need of behavioral health, substance abuse, educational, medical, domestic violence, permanency and other services, struggling to access the limited appropriate services now available.”); Quarterly Report, April 2015 (Dkt. 694 at p. 4) (“As with previous quarters, services noted that are not readily available in areas of the state include: in-home services, domestic violence services, extended day treatment, substance abuse services, emergency mobile services, supportive housing vouchers, foster and adoptive care resources, and outpatient mental health services.”); Quarterly Report, July 2015 (Dkt. 695 at p. 4) (“the freeze in hiring last year resulted in hundreds of workers with caseloads in excess of the maximum standard. Excessive workloads compromise the quality of the Department’s case management services, including the case record documentation”; “In addition, the well documented gaps in service that already existed and have been identified in the recent Mental Health Report, numerous legislative reports, external reports and Court Monitor reviews were further exacerbated”).

*Appendix C*  
**Commissioner's Highlights from: The Department of Children  
and Families Exit Plan Outcome Measures-Status Report  
(April 1, 2016 – September 30, 2016)**

## **Commissioner Statement**

As a result of the tremendous progress made by Department staff and the recognition of that progress by the plaintiff attorneys and the Court Monitor, Connecticut has earned a historic opportunity to exit the Juan F. Consent Decree after more than a quarter century under federal court oversight.

I am deeply appreciative of the work that this reflects by our staff, by Children's Rights, the Court Monitor and the court itself. It is for this reason that I deeply regret that the General Assembly rejected the agreement, thereby impeding the Department from ending oversight by the federal government. I understand that legislators felt the modified agreement limited the capacity to reduce agency spending, however, the agreement called for a bottom line that is below what the General Assembly itself appropriated since at least SFY2008. It needs to be noted in this context that the Department has done the best in achieving the Exit Plan outcome measures when staffing is adequate and stable.

The *Juan F.* Consent Decree has made a positive contribution in a number of ways – especially by infusing the Department with the resources to reduce caseload size and to develop much needed community-based services. But after 26 years, it has worked to serve its purpose, and it is time for it to reach a measured and reasonable conclusion. I anticipate that with the continuing good faith of the plaintiff's attorneys and the Court Monitor, this will come to pass in a timely manner despite this temporary setback.