

***Juan F.* v. Malloy Exit Plan  
Quarterly Report  
October 1, 2014 – December 31, 2014  
Civil Action No. 2:89 CV 859 (SRU)**

Submitted by:  
DCF Court Monitor's Office  
300 Church St, 4<sup>th</sup> Floor  
Wallingford, CT 06492  
Tel: 203-741-0458  
Fax: 203-741-0462  
E-Mail: [Raymond.Mancuso@CT.GOV](mailto:Raymond.Mancuso@CT.GOV)

**Table of Contents**  
***Juan F.* v Malloy Exit Plan Quarterly Report**  
**October 1, 2014 – December 31, 2014**

<b>Section</b>	<b>Page</b>
<b>Highlights</b>	<b>3</b>
<b><i>Juan F.</i> Exit Plan Outcome Measure Overview Chart (October 1, 2014 – December 31, 2014)</b>	<b>9</b>
<b><i>Juan F.</i> Pre-Certification Review-Status Update Fourth Quarter 2014</b>	<b>10</b>
<b>DCF Court Monitor's Office Case Review for Outcome Measure 3 and Outcome Measure 15</b>	<b>14</b>
<b><i>Juan F.</i> Action Plan Monitoring Report</b>	<b>36</b>
<b><i>Appendix 1</i> - Commissioner's Highlights from: The Department of Children and Families Exit Plan Outcome Measures Summary Report: Fourth Quarter Report (October 1, 2014 - December 31, 2014)</b>	<b>52</b>
<b>Appendix 2 - <i>Juan F.</i> Pre-Certification Of Outcome Measure 10 (Sibling Placement)</b>	<b>55</b>

***Juan F. v Malloy* Exit Plan Quarterly Report  
October 1, 2014 – December 31, 2014**

**Highlights**

- The Fourth Quarter 2014 findings regarding the Exit Plan indicate that the Department maintained compliance with 16 of the 22 measures. Of the six measures that did not meet the established standards the most critical dealt with the case planning process, meeting children’s service needs, completing timely investigations, appropriate visitation with family members of open in-home cases and excessive caseloads for Social Work staff.
- The Court Monitor's quarterly review of the Department's efforts to meet the Exit Plan Outcome Measures during the period of October 1, 2014 through December 31, 2014 indicates the Department achieved 16 of the 22 Outcome Measures. The six measures not met include: Outcome Measure 2 (Completion of Investigation), Outcome Measure 3 (Case Planning), Outcome Measure 8 (Adoption), Outcome Measure 15 (Children's Needs Met), Outcome Measure 17 (Worker-Child Visitation In-Home)<sup>1</sup>, and Outcome Measure 18 (Caseload Standards).

Outcome Measure 10 (Sibling Placement) did not meet under the definitions set forth in the 2004 Exit Plan. However, given the recent expansion of the exception group to include sibling groups of 3 or more siblings, this quarter’s cohort did in fact, meet the measure (as did several prior quarters). See Precertification section and Appendix document for details.

- The Court Monitor has continued the work to pre-certify Outcome Measures in order to advance the exit process from federal oversight. As of this Fourth Quarter 2014 Report, two additional measures have been pre-certified bringing the total number of Outcome Measures that have been certified thus far to 14 measures. Outcome Measure 17 (Worker-Child Visitation of In-Home families) was not pre-certified after a pre-certification review. Outcome Measure 7 (Reunification) was first reviewed for pre-certification in June 2013. The measure was not pre-certified primarily due to the Department’s performance during the period under review (PUR). Since then, the Department has met the measure for seven consecutive quarter including the Fourth Quarter 2015. After a review of the data submitted, the Court Monitor has determined it appropriate to change the status of Outcome Measure 7 to pre-certified.

---

<sup>1</sup> Outcome Measure 17 Worker-Child Visitation In-Home - Current automated reporting indicates the measure as statistically achieved, however this does not accurately reflect performance findings. The Outcome Measure 17 Pre-Certification Review indicated that compliance is not achieved. While DCF reports are numerically accurate based upon the algorithms utilized, user error in selection of narrative entry types, and a failure to demonstrate that workers are meeting the specific steps called for with the definition of 'visit' calls into question the automated report findings. As such, the Monitor will not indicate achievement of the measure based solely on the current reporting.

Additionally, the Court Monitor's Office reviewed Outcome Measure 10 (Sibling Placement). At the onset of establishing the measure the size of the sibling group was not considered as a factor in the Exit Plan standard but has been widely recognized in other jurisdiction's standards in recent years. Weight has been given to allowances for large sibling groups given the licensing and placement standards that exist as sibling groups of three or more present unique challenges. Over the last four years the Department has focused on maintaining children in their community while increasing the number of relative/kin homes. While this has allowed significant numbers of sibling groups to remain intact when the circumstances necessitated their removal from the parent or guardians home, the Department in concert with family members and non-relative foster parents have on occasion separated large sibling groups based upon factors that include; biological relationships, zoning requirements, licensing requirements, safety concerns or behavioral health treatment needs. The analysis of the Outcome Measure 10 data for the period from First Quarter 2012 through Second Quarter 2014 indicates that if the exception for sibling groups was set at three or more the measure would be met at an average of 97.4%. If the exception were set at four or more the measure would have exceeded the 95% standard for two of the quarters and the remaining eight quarters would have varied from 93.4% to 94.9%. It should also be noted that for many years the Department's Quality Assurance division has reviewed the individual sibling placement decisions every quarter utilizing a methodology developed with the Court Monitor's Office. The findings are shared and review by the Court Monitor on a regular quarterly basis and have been found to be accurate. After review and analysis of data related to Outcome Measure 10 (Sibling Placement) the Court Monitor has pre-certified the measure.

- The Division of Foster Care's monthly report for December 2014 indicates that there are 2,098 licensed DCF foster homes. This is an increase of seventy homes when compared with the Third Quarter 2014 report. The number of approved private provider foster care homes is 844 which is an increase of 34 homes from the previous quarter. The number of private provider foster homes currently available for placement is 113.
- The number of children with the goal of Other Planned Permanent Living Arrangement (OPPLA) decreased by 47 from the 468 in November 2014 to 421 at the close of this quarter. While this goal may be appropriate for some youth, it is not a preferred goal due to its lack of formal permanent and stable relationships with an identified adult support, be it relative or kin. The Department has continued training for staff regarding Permanency Teaming, which is a collaborative approach to permanency planning for children/youth in foster care or at risk of entering the foster care system. Permanency Teaming policy and a practice guide were released this month. Permanency Teaming will be the primary means by which caseworkers engage a child's/youth natural network (birth parents, extended family, other important adults) in addition to professional supports and conduct ongoing case management activities. Individual conversations, joint meetings and large team meetings will be utilized in this effort and there is tremendous opportunity in implementing this effort to reduce the number of meetings currently held for other specific issues. The frequent large team meetings envisioned in this approach will allow a number of topics to be addressed in a more holistic manner.

When successfully implemented this process will result in a significant efficiency for children, parents, stakeholders and DCF staff with respect to time and travel and improving the clarity of plans and expectation through improved communication.

Along with the continued implementation of Permanency Teaming the Department has scheduled a “Law Forum on Youth Permanency” during April 2015. The audience will include Assistant Attorney Generals, Juvenile Contract Attorneys, Juvenile Court Judges and staff and DCF staff. The forum will focus on permanency for children and youth involved with DCF and include keynote/panel presentations on national best practice, permanency teaming, and emerging legal issues. An overview of the Connecticut’s permanency model will be provided along with a clarification of the roles of various stakeholders.

- **According to the 53 case, blind-sample conducted for the Fourth Quarter 2014, the Department's statewide result for Outcome Measure 3 (Case Plans), is 41.5%.** The standard for Outcome Measure 3 is 90%. Middletown, Manchester and New Britain surpassed the benchmark standard of 90% or higher this quarter each with 100% of reviewed cases meeting the standards set forth in the methodology. Region VI maintained the highest regional level of performance with 71.4%; while Region I was at the lowest level measured: 28.6%. This quarter, 8 of the 53 case plans (15.1%) that did not pass Outcome Measure 3 lacked supervisory approval.

Outcome Measure 15 requires that all needs be met within the case for 80% of the children and families served. **The Department's statewide result for OM 15 (Needs Met), within the 53 case sample is calculated at a rate of 52.8%.** This means that the standard (80%) was not achieved for the Fourth Quarter 2014. The Area Offices that met or exceeded the measure were New Britain, Norwalk, and Torrington and Willimantic. At the combined regional level, Region VI achieved the standard. This is the second consecutive quarter Region VI has achieved Outcome Measure 15. There were 335 unmet needs service needs captured this quarter throughout our reviews of the sample (n=53) during the prior six month period. Additionally there were 12 instances in which reviewers felt that the case management was marginal or poor due to the lack of assessment or untimely referrals. In these instances the reviewers identified the DCF case management as the service need. As with prior quarters, the largest reported barrier was due to client refusal, with 40.2% of the unmet needs documented as client refusal. 13.8% of the unmet needs were the result of a lack of referral, while an additional 9.2% had delayed referrals. 7.1% of the unmet needs were the result of wait lists and internal provider issues. It is important to note that interviews with Social Workers and Social Work Supervisors indicates that some percentage of the categories of “lack of referral” or “delayed referral” are due to staff having knowledge that certain services are not readily available. Thus, the number of cases with unmet needs due to waitlists and provider issues is higher than the 7.1% noted.

As with previous quarters, services noted that are not readily available in areas of the state include: in-home services, domestic violence services, extended day treatment,

substance abuse services, emergency mobile services, supportive housing vouchers, foster and adoptive care resources, and outpatient mental health services.

- As of February 2015, there were 114 *Juan F.* children placed in residential facilities. This is an increase of 11 children compared with November 2014. The number of children residing in residential care for greater than 12 months was 26, which is a decrease of 9 children in comparison to the 35 reported in November 2014.
- The Department continues to focus on the number of *Juan F.* children residing and receiving treatment in out-of-state residential facilities. As of April 2015, the number of children was 12 children compared to the 11 children reported for December 2014.
- The number of children age 12 years old or younger in congregate care increased by 3 to 22 children as of February 2015. Of the total, 7 are placed in residential care, 6 children reside in SAFE Homes, 8 children are placed in group homes and one is placed in a DCF facility.
- As of February 2014, there were three children aged 1 to 5 years of age residing in a Congregate Care placement. Two of the children were placed in medical care settings due to complex medical conditions and one child resided with their parent in a group home.
- The number of children utilizing SAFE Home temporary placements decreased to 13 as of February 2015 compared with the 16 reported as of November 2014. The number of children in SAFE Home overstay status (>60 days) during the Third Quarter, was 12 children or 92%. There were 9 children with lengths of stay in excess of six months as of February 2015. There are a significant number of unused beds in the SAFE Home array and the Department is proceeding with a plan to change the SAFE Home model to focus on shorter lengths of stay and increased collaborative work with families and stakeholders during the child's placement episode.
- There were 30 youth in STAR programs as of February 2015, this is 13 less than the 43 reported in November 2014 and 40 fewer than one year ago. Sixteen (53%) of these youth in STAR programs were in overstay status (>60 days) as of February 2015. There were eight children with lengths of stay longer than six months as of February 2015. In the past, the lack of sufficient and appropriate treatment/placement services, especially family-based settings for older youth, hampered efforts to reduce the utilization of STAR services. Given the drop in utilization of this resource, a review of the planning and service provision for children diverted from this service should be considered to ensure that their needs are adequately being addressed.

- The Monitor’s quarterly review of the Department for the period of October 1, 2014 through December 31, 2014 indicates that the Department did not achieve compliance with six (6) measures:
  - Completion of Investigation (81.9%)
  - Case Planning (41.5%)
  - Adoption (31.7%)
  - Children's Needs Met (52.8%)
  - Worker-Child Visitation In-Home (N/A)<sup>2</sup>
  - Caseload Standards (87.3%)
  
- The Monitor’s quarterly review of the Department for the period of October 1, 2014 through December 31, 2014 indicates the Department has achieved compliance with the following 16 Outcome Measures:
  - Commencement of Investigations (94.5%)
  - Search for Relatives (89.3%)
  - Repeat Maltreatment (6.7%)
  - Maltreatment of Children in Out-of Home Cases (0.2%)
  - Reunification (65.2%)
  - Transfer of Guardianship (72.5%)
  - Sibling Placement (90.6%)<sup>3</sup>
  - Re-Entry into DCF Custody (3.8%)
  - Multiple Placements (96.4%)
  - Foster Parent Training (100.0%)
  - Placement within Licensed Capacity (96.3%)
  - Worker-Child Visitation Out-of Home Cases (92.6% Monthly/98.4% Quarterly)
  - Residential Reduction (2.7%)
  - Discharge Measures regarding Education, Work, and Military Status (94.6%)
  - Discharge to Adult Services (100.0%)
  - Multi-disciplinary Exams (93.3%)

---

<sup>2</sup> Outcome Measure 17 Worker-Child Visitation In-Home - Current automated reporting indicates the measure as statistically achieved, however this does not accurately reflect performance findings. The Outcome Measure 17 Pre-Certification Review indicated that compliance is not achieved. While DCF reports are numerically accurate based upon the algorithms utilized, user error in selection of narrative entry types, and a failure to demonstrate that workers are meeting the specific steps called for with the definition of 'visit' calls into question the automated report findings. As such, the Monitor will not indicate achievement of the measure based solely on the current reporting.

<sup>3</sup> See page 3, second bullet for pre-certification of Outcome Measure 10 (Sibling Placement) exception for sibling groups of 3 or more.

- The Department has maintained compliance for at least two (2) consecutive quarters<sup>4</sup> with 13 of the Outcome Measures reported as achieved this quarter:
  - Commencement of Investigations
  - Search for Relatives
  - Repeat Maltreatment of In-Home Children
  - Maltreatment of Children in Out-of-Home Care
  - Reunification
  - Transfer of Guardianship
  - Multiple Placements
  - Foster Parent Training
  - Visitation Out-of-Home
  - Residential Reduction
  - Discharge of Youth with High School diplomas, work or military service
  - Discharge of Youth to Adult Services
  - Multi-disciplinary Exams

A full copy of the Department's Fourth Quarter 2014 submission including the Commissioner's Highlights may be found on page 52.

---

<sup>4</sup> The Defendants must be in compliance with all of the outcome measures, and in sustained compliance with all of the outcome measures for at least two consecutive quarters (six-months) prior to asserting compliance and shall maintain compliance through any decision to terminate jurisdiction.



Statewide		Positive Outcomes For Children																															
Measure	Measure Baseline	Q4 2014	Q3 2014	Q2 2014	Q1 2014	Q4 2013	Q3 2013	Q2 2013	Q1 2013	Q4 2012	Q3 2012	Q2 2012	Q1 2012	Q4 2011	Q3 2011	Q2 2011	Q1 2011	Q4 2010	Q3 2010	Q2 2010	Q1 2010	Q4 2009	Q3 2009	Q2 2009	Q1 2009	Q4 2008	Q3 2008	Q2 2008	Q1 2008	Q4 2007	Q3 2007		
1: Commencement of Investigation	>=90%	X	94.5%	93.8%	93.2%	93.6%	94.7%	96.0%	96.2%	95.5%	94.9%	95.7%	96.1%	96.6%	97.1%	97.3%	97.2%	97.2%	96.8%	97.4%	97.6%	97.4%	97.8%	97.6%	97.7%	97.6%	97.9%	97.4%	97.5%	97.8%	97.4%	97.0%	
2: Completion of the Investigation	>=85%	73.7%	81.9%	78.6%	77.3%	77.6%	83.7%	92.5%	92.2%	89.1%	90.2%	92.5%	92.4%	91.9%	93.3%	94.0%	94.4%	92.7%	90.0%	91.5%	92.9%	93.7%	94.3%	94.0%	91.8%	91.3%	91.4%	89.9%	93.7%	91.5%	92.9%	94.2%	
3: Treatment Plans	>=90%	X	41.5%	46.3%	46.3%	51.9%	N/A	65.5%	63.0%	56.4%	53.7%	47.8%	63.0%	39.6%	44.4%	50.9%	N/A	81.1%	67.9%	66.0%	75.5%	86.5%	47.2%	53.8%	73.1%	65.4%	81.1%	62.3%	55.8%	58.8%	51.0%	30.0%	
4: Search for Relatives	>=85%	58%	89.3%	86.9%	85.1%	86.6%	88.3%	90.2%	85.3%	92.2%	87.3%	87.5%	89.5%	89.3%	92.8%	94.5%	94.5%	90.1%	88.8%	90.9%	91.2%	92.0%	90.0%	91.0%	91.2%	94.3%	94.3%	96.3%	85.8%	95.3%	93.6%	91.4%	
5: Repeat Maltreatment of In-Home Children	<=7%	9.3%	6.7%	6.5%	5.8%	6.3%	4.5%	4.9%	5.7%	4.4%	4.9%	4.3%	4.1%	4.3%	6.0%	6.1%	5.4%	5.7%	6.2%	6.5%	6.5%	5.8%	6.0%	5.4%	4.8%	5.8%	6.1%	5.7%	5.9%	5.7%	5.4%	6.1%	
6: Maltreatment of Children in Out-of-Home Care	<=2%	1.2%	0.2%	0.3%	0.1%	0.2%	0.2%	0.2%	0.2%	0.2%	0.2%	0.2%	0.1%	0.1%	0.2%	0.1%	0.1%	0.4%	0.2%	0.1%	0.2%	0.3%	0.4%	0.1%	0.3%	0.2%	0.3%	0.3%	0.2%	0.2%	0.3%		
7: Reunification	>=60%	57.8%	65.2%	71.3%	73.9%	60.2%	62.5%	62.4%	62.8%	56.3%	57.6%	52.0%	61.1%	58.9%	65.8%	65.3%	73.1%	61.7%	64.9%	68.3%	67.1%	61.2%	71.4%	56.0%	71.9%	68.1%	69.6%	57.1%	59.4%	56.5%	58.0%	65.5%	
8: Adoption	>=32%	12.5%	31.7%	30.2%	34.2%	44.0%	33.9%	32.8%	31.6%	29.5%	25.9%	39.0%	34.3%	23.7%	33.6%	40.0%	32.7%	35.6%	38.5%	25.8%	36.8%	34.7%	35.2%	36.7%	33.2%	44.7%	27.2%	32.3%	33.0%	41.5%	35.5%	36.2%	
9: Transfer of Guardianship	>=70%	60.5%	72.5%	73.2%	65.2%	67.6%	63.8%	77.3%	65.6%	77.6%	76.5%	84.0%	76.7%	81.4%	83.1%	83.6%	78.4%	86.2%	87.3%	78.6%	74.6%	82.3%	76.3%	81.8%	75.7%	75.3%	64.9%	71.7%	70.0%	70.4%	80.8%	76.8%	
10: Sibling Placement	>=95%	57%	90.6%	88.7%	89.3%	90.6%	89.9%	92.5%	88.0%	89.5%	87.5%	87.5%	89.2%	88.5%	91.8%	89.3%	85.8%	86.7%	83.3%	81.9%	84.8%	85.6%	83.4%	84.7%	83.1%	83.4%	82.1%	82.6%	86.8%	86.7%	85.2%	83.3%	
11: Re-Entry into DCF Custody	<=7%	6.9%	3.8%	7.7%	8.0%	4.8%	4.9%	5.5%	8.6%	7.4%	7.0%	9.1%	6.8%	5.8%	6.4%	7.2%	4.4%	7.7%	6.3%	7.3%	6.7%	8.4%	7.8%	9.9%	8.8%	8.2%	7.4%	6.7%	6.7%	11.0%	7.8%	9.0%	
12: Multiple Placements	>=85%	X	96.4%	96.5%	96.7%	96.8%	97.1%	96.6%	96.7%	96.4%	96.5%	96.4%	96.6%	96.6%	96.4%	96.4%	96.1%	96.1%	96.1%	96.1%	95.7%	95.8%	95.9%	95.4%	95.7%	95.8%	96.0%	95.8%	95.9%	96.3%	91.2%	92.7%	94.4%
13: Foster Parent Training	100%	X	100%	100%	100%	100%	100%	100%	100%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	
14: Placement Within Licensed Capacity	>=96%	94.9%	96.3%	95.3%	95.4%	96.0%	95.7%	96.2%	96.4%	97.1%	96.7%	95.8%	95.3%	97.7%	96.1%	95.2%	95.6%	96.8%	96.8%	95.4%	95.1%	96.9%	96.9%	96.3%	96.6%	96.6%	96.6%	96.6%	97.0%	96.8%	96.4%	96.8%	96.9%
15: Children's Needs Met	>=80%	X	52.8%	64.8%	59.3%	57.4%	N/A	67.3%	74.1%	61.8%	53.7%	53.6%	61.1%	60.4%	55.6%	60.4%	N/A	58.5%	56.6%	58.5%	52.8%	67.3%	45.3%	55.8%	63.5%	61.5%	58.5%	52.8%	55.8%	58.8%	47.1%	64.0%	
16: Worker-Child Visitation (Out-of-Home)	>=85%(U)	X	92.6%	93.4%	94.3%	94.9%	95.4%	94.6%	95.8%	95.9%	94.2%	93.6%	92.7%	95.1%	92.3%	95.0%	95.1%	95.8%	95.3%	95.3%	95.7%	96.2%	95.8%	95.1%	95.7%	95.7%	95.7%	95.0%	95.4%	94.9%	95.9%	94.6%	94.8%
	=100%(O)	X	98.4%	98.4%	98.9%	98.8%	99.0%	98.8%	99.0%	99.2%	99.1%	98.7%	98.7%	99.2%	98.6%	99.0%	99.2%	99.2%	98.9%	98.9%	99.3%	99.6%	99.7%	99.0%	99.3%	99.2%	98.9%	98.6%	98.7%	99.1%	98.5%	98.7%	
17: Worker-Child Visitation (In-Home)	>=85%	X	83.3%	83.3%	83.9%	83.0%	85.3%	86.1%	88.6%	88.1%	84.1%	87.0%	85.8%	84.8%	85.9%	86.3%	89.7%	88.5%	89.7%	89.4%	89.7%	89.6%	88.5%	88.8%	89.6%	90.5%	89.7%	90.3%	91.4%	90.8%	89.9%	89.4%	
18: Caseload Standards	100%	69.2%	87.3%	84.5%	83.6%	94.5%	97.6%	99.9%	99.9%	99.8%	99.9%	100.0%	99.7%	99.8%	100.0%	100.0%	100.0%	100.0%	100.0%	99.9%	100.0%	100.0%	99.9%	99.8%	99.6%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	
19: Reduction in the Number of Children Placed in Residential Care	<=11%	13.5%	2.7%	2.7%	3.4%	4.0%	4.2%	4.3%	4.9%	5.1%	5.8%	6.3%	6.9%	7.5%	8.5%	8.8%	9.8%	10.0%	9.9%	9.4%	10.1%	10.0%	9.9%	9.6%	9.7%	10.0%	10.0%	10.0%	10.4%	10.5%	10.9%	10.8%	
20: Discharge Measures	>=85%	61%	94.6%	93.8%	97.1%	90.9%	94.5%	85.7%	86.5%	95.9%	89.2%	85.7%	86.9%	76.5%	88.0%	79.4%	82.9%	87.2%	88.5%	87.9%	86.0%	86.9%	80.0%	92.2%	85.3%	92.2%	93.0%	92.0%	92.0%	96.0%	95.0%		
21: Discharge of Mentally Ill or Mentally Retarded Children	100%	X	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	90.0%	100.0%	100.0%	100.0%	100.0%	100.0%	95.7%	92.0%	97.0%	96.1%	97.3%	98.1%	100.0%	97.6%	100.0%	97.2%	96.7%	95.8%	95.0%	98.0%	97.0%	96.0%	95.0%	
22: Multi-disciplinary Exams (MDE)	>=85%	5.6%	93.3%	96.0%	91.8%	85.4%	85.1%	94.1%	93.6%	95.0%	89.7%	95.5%	93.8%	90.0%	93.4%	93.3%	96.3%	91.9%	97.5%	96.1%	96.4%	95.7%	95.7%	91.4%	94.5%	93.6%	90.1%	94.0%	93.6%	98.7%	96.4%	95.2%	

### **Juan F. Pre-Certification Review-Status Update Fourth Quarter 2014**

Under the Revised Exit Plan (¶5), the Court Monitor is required to conduct what the parties and the Court Monitor refer to as a “Certification” review as follows:

*The Defendants must be in compliance with all of the outcome measures, and in sustained compliance with all of the outcome measures for at least two quarters (six months) prior to asserting compliance and shall maintain compliance through any decision to terminate jurisdiction. The Court Monitor shall then conduct a review of a statistically significant valid sample of case files at a 96% confidence level, and such other measurements as are necessary, to determine whether Defendants are in compliance. The Court Monitor shall then present findings and recommendations to the District Court. The parties shall have a meaningful opportunity to be heard by the Court Monitor before rendering his findings and recommendations.*

In recognition of the progress made and sustained by the Department with respect to a number of Outcome Measures, and the fact that the well-being of the *Juan F.* class members will be promoted by the earliest possible identification and resolution of the any quantitative or qualitative problems affecting class members that may be identified by the review required by Revised Exit Plan ¶5, the parties and the Court Monitor agree that it is in the best-interests of the *Juan F.* class members to create a “Pre-Certification” review process. It is expected that this “pre-certification” process may, in certain instances, obviate the need to implement the full certification review for certain outcome measures after sustained compliance is achieved for all Outcome Measures.

The “Pre-Certification” process that parties and the Court Monitor have created, and to which they have agreed, is as follows:

If DCF has sustained compliance as required by the Revised Exit Plan for at least two consecutive quarters (6 months) for any Outcome Measure (“OM”), the Court Monitor may, in his discretion, conduct a “pre-certification review” of that OM (“Pre-Certification Review”). The purpose of the Pre-Certification Review is to recognize DCF’s sustained improved performance, to identify and provide a prompt and timely opportunity to remedy any problem areas that are affecting the well-being of *Juan F.* class members, and to increase the efficiency of DCF’s eventual complete compliance and exit from the Consent Decree.

Other than conducting the Pre-Certification Review earlier than the review mandated by Revised Exit Plan ¶5, the Pre-Certification Review will be conducted in accordance with the provision for review as described in the Revised Exit Plan ¶5 unless otherwise agreed upon by the parties and the Court Monitor.

If the Pre-Certification Review does not identify any material issues requiring remediation, and no assertions of noncompliance with the specific Outcome Measures(s) at issue are pending at the time Defendants assert sustained

compliance with all Outcome Measures, the Parties agree that the full review as per paragraph 5 of the Revised Exit Plan will not be required after the Defendants assert sustained compliance with all Outcome Measures. Upon Defendants' assertion of sustained compliance with all Outcome Measures, the parties, with the involvement and consent of the Court Monitor, agree to present for the Court's review, any agreement to conduct less than the full review process required by Revised Exit Plan (¶5) for any specific Outcome Measures, as a proposed modification of the Revised Exit Plan.

As of this Fourth Quarter 2014 Report, two additional measures have been pre-certified bringing the total number of Outcome Measures that have been certified thus far to 14 measures. Outcome Measure 17 (Worker-Child Visitation of In-Home families) was not pre-certified after a pre-certification review. Outcome Measure 7 (Reunification) was first reviewed for pre-certification in June 2013. The measure was not pre-certified primarily due to the Department's performance during Period under review (PUR). Since then, the Department has met the measure for seven consecutive quarters including the Fourth Quarter 2014. After a review of the data submitted, the Court Monitor has determined it appropriate to change the status of Outcome Measure 7 to pre-certified.

Additionally, the Court Monitor's Office reviewed Outcome Measure 11 (Sibling Placement). At the onset of establishing the measure the size of the sibling group was not considered as a factor in the Exit Plan standard but has been widely recognized in other jurisdiction's standards in recent years. Weight has been given to allowances for large sibling groups given the licensing and placement standards that exist as sibling groups of three or more present unique challenges. Over the last four years the Department has focused on maintaining children in their community while increasing the number of relative/kin homes. While this has allowed significant numbers of sibling groups to remain intact when the circumstances necessitated their removal from the parent or guardians home, the Department in concert with family members and non-relative foster parents have on occasion separated large sibling groups based upon factors that include; biological relationships, zoning requirements, licensing requirements, safety concerns or behavioral health treatment needs. The analysis of the Outcome Measure 11 for the period from First Quarter 2012 through Second Quarter 2014 indicates that if the exception for sibling groups was set at three or more the measure would be met at an average of 97.4%. If the exception were set at four or more the measure would have exceeded the 95% standard for two of the quarters and the remaining eight quarters would have varied from 93.4% to 94.9%. It should also be noted that for many years the Department's Quality Assurance division has reviewed the individual sibling placement decisions every quarter utilizing a methodology developed with the Court Monitor's Office. The findings are shared and review by the Court Monitor on a regular quarterly basis and have been found to be accurate. After review and analysis of data related to Outcome Measure 11 (Sibling Placement) the Court Monitor has pre-certified the measure.

<b><i>Juan F.</i> Pre-Certification Review</b>		
<b>Outcome Measure</b>	<b>Statement of Outcome</b>	<b>Status</b>
<b>OM 4: Search for Relatives</b>	If a child(ren) must be removed from his or her home, DCF shall conduct and document a search for maternal and paternal relatives, extended formal or informal networks, friends of the child or family, former foster parents, or other persons known to the child. The search period shall extend through the first six (6) months following removal from home. The search shall be conducted and documented in at least 85.0% of the cases.	Pre-Certified October 2013
<b>OM 5: Repeat Maltreatment of Children</b>	No more than 7% of the children who are victims of substantiated maltreatment during any six-month period shall be the substantiated victims of additional maltreatment during any subsequent six-month period. This outcome shall begin to be measured within the six-month period beginning January 1, 2004.	Pre-Certified* July 2014
<b>OM6: Maltreatment of Children in Out-of-Home Care</b>	No more than 2% of the children in out of home care on or after January 1, 2004 shall be the victims of substantiated maltreatment by substitute caregivers while in out of home care.	Pre-Certified October 2014
<b>OM 7: Reunification</b>	At least 60% of the children, who are reunified with their parents or guardians, shall be reunified within 12 months of their most recent removal from home.	Pre-Certified April 2015
<b>OM 8: Adoption</b>	At least 32% of the children who are adopted shall have their adoptions finalized within 24 months of the child's most recent removal from his/her home.	Pre-Certified January 2013
<b>OM 9: Transfer of Guardianship</b>	At least 70% of all children whose custody is legally transferred shall have their guardianship transferred within 24 months of the child's most recent removal from his/her home.	Pre-Certified January 2013
<b>OM 10: Sibling Placement</b>	At least 95% of siblings currently in or entering out-of-home placement shall be placed together unless there are documented clinical reasons for separate placements. Excludes Voluntary cases and children for whom TPR has been granted.	Pre-Certified April 2015
<b>OM 12: Multiple Placements</b>	Beginning on January 1, 2004, at least 85% of the children in DCF custody shall experience no more than three (3) placements during any twelve month period.	Pre-Certified April 2012
<b>OM 14: Placement within Licensed Capacity</b>	At least 96% of all children placed in foster homes shall be in foster homes operating within their licensed capacity, except when necessary to accommodate sibling groups.	Pre-Certified April 2012

\* Pre-Certification granted subject to verification of correction to ROM system reporting - release delayed to June 2014.

<b>OM 16: Worker/ Child Visitation (Child in Placement)</b>	DCF shall visit at least 85% of all out-of-home children at least once a month, except for probate, interstate, or voluntary cases. All children must be seen by their DCF Social Worker at least quarterly.	Pre-Certified April 2012
<b>OM 17: Worker-Child Visitation (In-Home)</b>	DCF shall visit at least 85% of all in-home family cases at least twice a month, except for probate, interstate or voluntary cases. Definitions and Clarifications: 1. Twice monthly visitation must be documented with each active child participant in the case. Visitation occurring in the home, school or other community setting will be considered for Outcome Measure 17.	Not Pre-Certified January 2012
<b>OM 19: Reduction in the Number of Children Placed in Residential Care</b>	The number of children placed in privately operated residential treatment care shall not exceed 11% of the total number of children in DCF out-of-home care. The circumstances of all children in-state and out-of-state residential facilities shall be assessed after the Court's approval of this Exit Plan on a child specific basis to determine if their needs can be met in a less restrictive setting.	Pre-Certified December 2014
<b>OM 20: Discharge Measures</b>	At least 85.0% of all children age 18 or older shall have achieved one or more of the following prior to discharge from DCF custody: (a) Graduation from High School; (b) Acquisition of GED; (c) Enrollment in or completion of college or other post secondary training program full-time; (d) Enrollment in college or other post secondary training program part-time with part-time employment; (e) Full-time employment; (f) Enlistment full-time member of the military.	Pre-Certified September 2011
<b>OM 21: Discharge of Mentally Ill or Developmentally Disabled Youth</b>	DCF shall submit a written discharge plan to either/or DMHAS or DDS for all children who are mentally ill or developmentally delayed and require adult services."	Pre-Certified September 2011
<b>OM22: Multi-disciplinary Exams</b>	At least 85% of the children entering the custody of DCF for the first time shall have an MDE conducted within 30 days of placement."	Pre-Certified January 2013

The full report for Outcome Measure 19 (Reduction in the Number of Children Placed in Residential Care) is located in **Appendix 2**.

## Quarterly DCF Court Monitor Case Review Reporting for Outcome Measure 3 and Outcome Measure 15: Fourth Quarter 2014

Statewide, the Fourth Quarter 2014 DCF performance result for Outcome Measure 3 (OM3) - Case Plans is 41.5%, a reduction from the prior quarter's result of 46.3%.

Crosstabulation 1: What is the social worker's area office assignment? * Overall Score for OM3					
Area Office			Appropriate Case Plan	Not an Appropriate Case Plan	Total
<b>Region I</b>	<b>Bridgeport</b>	Count	1	3	4
		%	25.0%	75.0%	100.0%
	<b>Norwalk</b>	Count	1	1	2
		%	50.0%	50.0%	100.0%
<b>Region I</b>			33.3%	66.7%	100.0%
<b>Region II</b>	<b>New Haven</b>	Count	0	5	5
		%	0.0%	100.0%	100.0%
	<b>Milford</b>	Count	1	3	4
		%	25.0%	75.0%	100.0%
<b>Region II</b>			11.0%	88.9%	100.0%
<b>Region III</b>	<b>Middletown</b>	Count	2	0	2
		%	100.0%	0.0%	100.0%
	<b>Norwich</b>	Count	3	2	5
		%	60.0%	40.0%	100.0%
	<b>Willimantic</b>	Count	2	1	3
		%	66.7%	33.3%	100.0%
<b>Region III</b>			70.0%	30.0%	100.0%
<b>Region IV</b>	<b>Hartford</b>	Count	2	6	8
		%	25.0%	75.0%	100.0%
	<b>Manchester</b>	Count	4	0	4
		%	100.0%	0.0%	100.0%
<b>Region IV</b>			41.7%	58.3%	100.0%
<b>Region V</b>	<b>Danbury</b>	Count	0	2	2
		%	0.00%	100.0%	100.0%
	<b>Torrington</b>	Count	1	1	2
		%	50.00%	50.00%	100.0%
	<b>Waterbury</b>	Count	0	5	5
		%	0.0%	100.0%	100.0%
<b>Region V</b>			11.1%	88.9%	100.0%
<b>Region VI</b>	<b>Meriden</b>	Count	0	2	2
		%	0.0%	100.0%	100.0%
	<b>New Britain</b>	Count	5	0	5
		%	100.0%	0.0%	100.0%
<b>Region VI</b>			71.4%	28.6%	100.0%
<b>Statewide</b>		<b>Count</b>	<b>22</b>	<b>31</b>	<b>53</b>
		<b>%</b>	<b>41.5%</b>	<b>58.5%</b>	<b>100.0%</b>

Middletown, Manchester and New Britain surpassed the benchmark standard of 90% or higher this quarter each with 100% of reviewed cases meeting the standards set forth in the methodology. As shown, Region VI maintained the highest regional level of performance with 71.4%; while Region I was at the lowest level measured: 28.6%. This quarter, 8 of the 53 case plans (15.1%) that did not pass Outcome Measure 3 lacked supervisory approval.

<b>Table 1: Outcome Measure OM3 Regional Quarterly Performance Comparison</b>							
<i>Standard: 90%</i>							
	<b>Region I</b>	<b>Region II</b>	<b>Region III</b>	<b>Region IV</b>	<b>Region V</b>	<b>Region VI</b>	<b>Statewide</b>
<b>4<sup>th</sup> Quarter 2014</b>	33.3%	11.1%	70.0%	41.7%	11.1%	71.4%	41.5%
<b>3<sup>rd</sup> Quarter 2014</b>	28.6%	55.6%	40.0%	41.7%	44.4%	71.4%	46.3%
<b>2<sup>nd</sup> Quarter 2014</b>	71.4%	33.3%	80.0%	25.0%	33.3%	42.9%	46.3%
<b>1<sup>st</sup> Quarter 2014</b>	28.6%	66.7%	80.0%	41.7%	22.2%	71.4%	51.9%
<b>4<sup>th</sup> Quarter 2013</b>	28.6%	50.0%	50.0%	50.0%	33.3%	75.0%	48.1%
<b>3<sup>rd</sup> Quarter 2013</b>	57.1%	77.8%	90.0%	46.2%	67.7%	57.1%	65.5%
<b>2<sup>nd</sup> Quarter 2013</b>	42.9%	88.9%	60.0%	50.0%	66.7%	71.4%	63.0%
<b>1<sup>st</sup> Quarter 2013</b>	37.5%	77.8%	70.0%	41.7%	55.6%	71.4%	58.2%
<b>4<sup>th</sup> Quarter 2012</b>	71.4%	55.6%	60.0%	46.2%	50.0%	57.1%	55.6%
<b>3<sup>rd</sup> Quarter 2012</b>	55.6%	54.5%	33.3%	64.3%	36.4%	55.6%	49.3%
<b>2<sup>nd</sup> Quarter 2012</b>	57.1%	66.7%	80.0%	45.5%	77.8%	50.0%	63.0%

The table below provides a case by case summary of the individual scores for each area office/region. The eight domains and indication related to supervisory approval are provided for reference. Court Monitor overrides are signified by an overall score reported in italics. This quarter there were six (6) overrides granted for Outcome Measure 3. Many were related at least in part to the lack of family feedback narratives being incorporated into the case plans, while evidence of family engagement was clear through other parts of the documentation.

**Table 2: Outcome Measure 3 – Fourth Quarter 2014**

Region and Area Office		Has the Case Plan been approved by the SWS?	Case Plan Approved within 25 Days of ACR?	Reason for DCF Involvement	Identifying Information	Engagement of Child and Family (formerly Strengths, Needs and Other Issues)	Present Situation and Assessment to Date of Review	Determining the Goals/Objectives	Progress	Action Steps to Achieving Goals Identified for the Upcoming Six Month Period	Planning for Permanency	Overall Score for OM3		
Region I	Bridgeport	1	Yes	Yes	Optimal	Very Good	Very Good	Very Good	Very Good	Very Good	Very Good	Appropriate Case Plan		
		2	Yes	UTD	Very Good	Very Good	Marginal	Marginal	Marginal	Very Good	Marginal	Very Good	Not an Appropriate Case Plan	
		3	Yes	UTD	Very Good	Optimal	Very Good	Marginal	Marginal	Very Good	Marginal	Very Good	Not an Appropriate Case Plan	
		4	Yes	Yes	Very Good	Optimal	Marginal	Very Good	Marginal	Very Good	Marginal	Optimal	Not an Appropriate Case Plan	
		AO %	100.0%	100.0%	100.0%	100.0%	50.0%	50.0%	25.0%	100.0%	25.0%	100.0%	25.0%	
	Norwalk	1	Yes	Yes	Very Good	Very Good	Marginal	Very Good	Very Good	Very Good	Very Good	Very Good	Marginal	<i>Appropriate Case Plan</i>
		2	No	No	Optimal	Very Good	Marginal	Marginal	Marginal	Very Good	Marginal	Very Good	Very Good	Not an Appropriate Case Plan
		AO%	50.0%	50.0%	100.0%	100.0%	0.0%	50.0%	50.0%	100.0%	50.0%	50.0%	50.0%	50.0%
	Region I	%	83.3%	75.0%	100.0%	100.0%	33.3%	50.0%	33.3%	100.0%	33.3%	83.3%	33.3%	



Region and Area Office			Has the Case Plan been approved by the SWS?	Case Plan Approved within 25 Days of ACR?	Reason for DCF Involvement	Identifying Information	Engagement of Child and Family (formerly Strengths, Needs and Other Issues)	Present Situation and Assessment to Date of Review	Determining the Goals/Objectives	Progress	Action Steps to Achieving Goals Identified for the Upcoming Six Month Period	Planning for Permanency	Overall Score for OM3	
Region II	Milford	1	No	No	Very Good	Very Good	Very Good	Very Good	Very Good	Marginal	Very Good	Marginal	Not an Appropriate Case Plan	
		2	Yes	UTD	Very Good	Very Good	Very Good	Marginal	Very Good	Very Good	Very Good	Very Good	Not an Appropriate Case Plan	
		3	No	UTD	Very Good	Marginal	Marginal	Marginal	Marginal	Marginal	Marginal	Marginal	Very Good	Not an Appropriate Case Plan
		4	No	No	Optimal	Very Good	Optimal	Very Good	Very Good	Very Good	Optimal	Very Good	Very Good	Appropriate Case Plan
		AO%	25.0%	0.0%	100.0%	75.0%	75.0%	50.0%	75.0%	50.0%	75.0%	75.0%	75.0%	25.0%
	New Haven	1	No	No	Very Good	Very Good	Marginal	Marginal	Marginal	Marginal	Very Good	Marginal	Very Good	Not an Appropriate Case Plan
		2	Yes	UTD	Very Good	Very Good	Marginal	Marginal	Marginal	Marginal	Very Good	Marginal	Very Good	Not an Appropriate Case Plan
		3	No	No	Optimal	Very Good	Marginal	Marginal	Marginal	Marginal	Marginal	Marginal	Very Good	Not an Appropriate Case Plan
		4	Yes	No	Very Good	Very Good	Marginal	Marginal	Marginal	Very Good	Very Good	Marginal	Very Good	Not an Appropriate Case Plan
		5	No	No	Very Good	Very Good	Very Good	Marginal	Marginal	Very Good	Very Good	Marginal	Very Good	Not an Appropriate Case Plan
		AO%	40.0%	0.0%	100.0%	100.0%	20.0%	0.0%	40.0%	80.0%	0.0%	100.0%	0.0%	0.0%
	Region II	%	33.3%	0.0%	100.0%	88.9%	44.4%	22.2%	55.6%	66.7%	33.3%	88.9%	11.1%	

Region and Area Office			Has the Case Plan been approved by the SWS?	Case Plan Approved within 25 Days of ACR?	Reason for DCF Involvement	Identifying Information	Engagement of Child and Family (formerly Strengths, Needs and Other Issues)	Present Situation and Assessment to Date of Review	Determining the Goals/Objectives	Progress	Action Steps to Achieving Goals Identified for the Upcoming Six Month Period	Planning for Permanency	Overall Score for OM3	
Region III	Norwich	1	yes	UTD	Very Good	Very Good	Marginal	Marginal	Marginal	Marginal	Very Good	Very Good	Not an Appropriate Case Plan	
		2	yes	Yes	Very Good	Very Good	Marginal	Marginal	Marginal	Optimal	Marginal	Marginal	Not an Appropriate Case Plan	
		3	yes	Yes	Very Good	Very Good	Very Good	Marginal	Very Good	Very Good	Very Good	Very Good	Optimal	Appropriate Case Plan
		4	yes	Yes	Very Good	Very Good	Very Good	Very Good	Very Good	Very Good	Very Good	Very Good	Optimal	Appropriate Case Plan
		5	yes	UTD	Very Good	Optimal	Marginal	Very Good	Very Good	Very Good	Very Good	Marginal	Very Good	Appropriate Case Plan
		AO %	100.0%	100.0%	100.0%	100.0%	100.0%	40.0%	40.0%	60.0%	80.0%	60.0%	80.0%	60.0%
	Willimantic	1	yes	Yes	Optimal	Very Good	Optimal	Very Good	Optimal	Optimal	Very Good	Very Good	Very Good	Appropriate Case Plan
		2	yes	Yes	Optimal	Very Good	Very Good	Marginal	Very Good	Very Good	Optimal	Marginal	Very Good	Not an Appropriate Case Plan
		3	yes	Yes	Very Good	Very Good	Very Good	Very Good	Very Good	Very Good	Very Good	Very Good	Very Good	Appropriate Case Plan
		AO %	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	66.7%	100.0%	100.0%	66.7%	100.0%	66.7%
	Middletown	1	yes	Yes	Optimal	Optimal	Optimal	Optimal	Optimal	Very Good	Very Good	Very Good	Very Good	Appropriate Case Plan
		2	no	UTD	Optimal	Very Good	Very Good	Very Good	Very Good	Very Good	Very Good	Very Good	Very Good	Appropriate Case Plan
		AO %	50.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%
	Region III		%	90.0%	100.0%	100.0%	100.0%	70.0%	60.0%	80.0%	90.0%	70.0%	90.0%	70.0%

Region and Area Office			Has the Case Plan been approved by the SWS?	Case Plan Approved within 25 Days of ACR?	Reason for DCF Involvement	Identifying Information	Engagement of Child and Family (formerly Strengths, Needs and Other Issues)	Present Situation and Assessment to Date of Review	Determining the Goals/Objectives	Progress	Action Steps to Achieving Goals Identified for the Upcoming Six Month Period	Planning for Permanency	Overall Score for OM3	
Region IV	Hartford	1	yes	UTD	Very Good	Very Good	Marginal	Marginal	Marginal	Very Good	Marginal	Very Good	Not an Appropriate Case Plan	
		2	yes	UTD	Very Good	Very Good	Marginal	Marginal	Marginal	Very Good	Marginal	Very Good	Not an Appropriate Case Plan	
		3	yes	Yes	Optimal	Very Good	Very Good	Very Good	Very Good	Optimal	Optimal	Very Good	Very Good	Appropriate Case Plan
		4	yes	No	Very Good	Very Good	Marginal	Very Good	Very Good	Very Good	Marginal	Marginal	Very Good	Not an Appropriate Case Plan
		5	yes	Yes	Very Good	Very Good	Very Good	Very Good	Very Good	Very Good	Very Good	Very Good	Very Good	Appropriate Case Plan
		6	yes	Yes	Very Good	Very Good	Marginal	Marginal	Very Good	Very Good	Very Good	Very Good	Marginal	Not an Appropriate Case Plan
		7	yes	Yes	Very Good	Very Good	Marginal	Marginal	Very Good	Very Good	Very Good	Marginal	Marginal	Not an Appropriate Case Plan
		8	yes	Yes	Very Good	Very Good	Poor	Marginal	Very Good	Very Good	Marginal	Very Good	Very Good	Not an Appropriate Case Plan
		AO %	100.0%	83.3%	100.0%	100.0%	25.0%	37.5%	75.0%	75.0%	50.0%	75.0%	25.0%	
	Manchester	1	yes	Yes	Very Good	Very Good	Optimal	Very Good	Very Good	Very Good	Very Good	Very Good	Very Good	Appropriate Case Plan
		2	yes	Yes	Optimal	Optimal	Marginal	Very Good	Optimal	Optimal	Very Good	Very Good	Very Good	Appropriate Case Plan
		3	yes	UTD	Optimal	Very Good	Very Good	Very Good	Very Good	Optimal	Very Good	Very Good	Optimal	Appropriate Case Plan
		4	yes	Yes	Very Good	Very Good	Very Good	Very Good	Very Good	Very Good	Very Good	Very Good	Very Good	Appropriate Case Plan
		AO %	100.0%	100.0%	100.0%	100.0%	100.0%	75.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%
Region IV	%	100.0%	88.9%	100.0%	100.0%	41.7%	58.3%	83.3%	83.3%	66.7%	83.3%	41.7%		

Region and Area Office			Has the Case Plan been approved by the SWS?	Case Plan Approved within 25 Days of ACR?	Reason for DCF Involvement	Identifying Information	Engagement of Child and Family (formerly Strengths, Needs and Other Issues)	Present Situation and Assessment to Date of Review	Determining the Goals/Objectives	Progress	Action Steps to Achieving Goals Identified for the Upcoming Six Month Period	Planning for Permanency	Overall Score for OM3	
Region V	Waterbury	1	yes	UTD	Very Good	Very Good	Very Good	Marginal	Marginal	Very Good	Marginal	Very Good	Not an Appropriate Case Plan	
		2	yes	UTD	Very Good	Very Good	Marginal	Marginal	Marginal	Very Good	Marginal	Very Good	Not an Appropriate Case Plan	
		3	yes	Yes	Very Good	Very Good	Marginal	Marginal	Optimal	Very Good	Very Good	Very Good	Not an Appropriate Case Plan	
		4	yes	Yes	Optimal	Very Good	Very Good	Marginal	Marginal	Very Good	Marginal	Very Good	Not an Appropriate Case Plan	
		5	yes	UTD	Very Good	Very Good	Marginal	Marginal	Very Good	Marginal	Marginal	Very Good	Not an Appropriate Case Plan	
		AO %	100.0%	100.0%	100.0%	100.0%	100.0%	40.0%	0.0%	40.0%	80.0%	20.0%	100.0%	0.0%
	Torrington	1	yes	UTD	Optimal	Very Good	Marginal	Very Good	Very Good	Very Good	Very Good	Very Good	Very Good	Not an Appropriate Case Plan
		2	yes	Yes	Optimal	Very Good	Optimal	Very Good	Optimal	Optimal	Optimal	Very Good	Very Good	Appropriate Case Plan
		AO %	100.0%	100.0%	100.0%	100.0%	50.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	50.0%
	Danbury	1	yes	Yes	Very Good	Very Good	Marginal	Marginal	Marginal	Very Good	Marginal	Very Good	Very Good	Not an Appropriate Case Plan
		2	yes	Yes	Very Good	Very Good	Marginal	Very Good	Very Good	Very Good	Very Good	Marginal	Marginal	Not an Appropriate Case Plan
		AO %	100.0%	100.0%	100.0%	100.0%	100.0%	0.0%	50.0%	50.0%	100.0%	0.0%	50.0%	0.0%
	Region V		%	100.0%	100.0%	100.0%	100.0%	33.3%	33.3%	55.6%	88.9%	33.3%	88.9%	11.1%

Region and Area Office			Has the Case Plan been approved by the SWS?	Case Plan Approved within 25 Days of ACR?	Reason for DCF Involvement	Identifying Information	Engagement of Child and Family (formerly Strengths, Needs and Other Issues)	Present Situation and Assessment to Date of Review	Determining the Goals/Objectives	Progress	Action Steps to Achieving Goals Identified for the Upcoming Six Month Period	Planning for Permanency	Overall Score for OM3	
Region VI	Meriden	1	yes	UTD	Optimal	Very Good	Marginal	Marginal	Marginal	Very Good	Very Good	Very Good	Not an Appropriate Case Plan	
		2	yes	Yes	Very Good	Very Good	Marginal	Marginal	Marginal	Marginal	Marginal	Very Good	Not an Appropriate Case Plan	
		AO %	100.0%	100.0%	100.0%	100.0%	100.0%	0.0%	0.0%	0.0%	50.0%	50.0%	100.0%	0.0%
	New Britain	1	yes	UTD	Optimal	Optimal	Very Good	Very Good	Very Good	Very Good	Very Good	Very Good	Very Good	Appropriate Case Plan
		2	yes	Yes	Very Good	Very Good	Marginal	Very Good	Optimal	Optimal	Very Good	Very Good	Very Good	Appropriate Case Plan
		3	yes	Yes	Optimal	Very Good	Optimal	Very Good	Very Good	Very Good	Very Good	Marginal	Very Good	Appropriate Case Plan
		4	yes	UTD	Optimal	Very Good	Very Good	Very Good	Very Good	Very Good	Very Good	Very Good	Very Good	Appropriate Case Plan
		5	yes	Yes	Very Good	Optimal	Optimal	Very Good	Very Good	Very Good	Very Good	Optimal	Optimal	Appropriate Case Plan
		AO %	100.0%	100.0%	100.0%	100.0%	100.0%	80.0%	100.0%	100.0%	100.0%	80.0%	100.0%	100.0%
	Region VI	%	100.0%	100.0%	100.0%	100.0%	57.1%	71.4%	71.4%	85.7%	71.4%	100.0%	71.4%	
Total	Statewide %		84.9%	84.9%	100.0%	98.1%	47.2%	49.1%	66.0%	84.9%	52.8%	88.7%	41.5%	

### Outcome Measure 15

The Fourth Quarter sample results of 52.8% is a decline from the prior quarter's result of 64.8% and remains significantly below the statewide goal of 80% set by Outcome Measure 15. Variance continues between the area offices and regions of the state:

"At least 80.0% of all families and children shall have their medical, dental, mental health and other service needs provided as specified in the most recent case plan."<sup>5</sup>

<b>Crosstabulation 2: What is the social worker's area office assignment? * Overall Score for Outcome Measure 15</b>				
		<b>Needs Met</b>	<b>Needs Not Met</b>	<b>Total</b>
<b>Bridgeport</b>	Count	1	3	4
	% area office	25.0%	75.0%	100.0%
<b>Norwalk</b>	Count	2	0	2
	% area office	100.0%	0.0%	100.0%
<b>Region I</b>		<b>50.0%</b>	<b>50.0%</b>	<b>100.0%</b>
<b>Milford</b>	Count	2	2	4
	% area office	50.0%	50.0%	100.0%
<b>New Haven</b>	Count	1	4	5
	% area office	20.0%	80.0%	100.0%
<b>Region II</b>		<b>33.3%</b>	<b>66.7%</b>	<b>100.0%</b>
<b>Middletown</b>	Count	1	1	2
	% area office	50.0%	50.0%	100.0%
<b>Norwich</b>	Count	3	2	5
	% area office	60.0%	40.0%	100.0%
<b>Willimantic</b>	Count	3	0	3
	% area office	100.0%	0.0%	100.0%
<b>Region III</b>		<b>70.0%</b>	<b>30.0%</b>	<b>100.0%</b>
<b>Hartford</b>	Count	2	6	8
	% area office	25.0%	75.0%	100.0%
<b>Manchester</b>	Count	2	2	4
	% area office	50.0%	50.0%	100.0%
<b>Region IV</b>		<b>33.3%</b>	<b>66.7%</b>	<b>100.0%</b>
<b>Danbury</b>	Count	1	1	2
	% area office	50.0%	50.0%	100.0%
<b>Torrington</b>	Count	2	0	2
	% area office	100.0%	0.0%	100.0%
<b>Waterbury</b>	Count	2	3	5
	% area office	40.0%	60.0%	100.0%
<b>Region V</b>		<b>55.6%</b>	<b>44.4%</b>	<b>100.0%</b>
<b>Meriden</b>	Count	1	1	2
	% area office	50.0%	50.0%	100.0%
<b>New Britain</b>	Count	5	0	5
	% area office	100.0%	0.0%	100.0%
<b>Region VI</b>		<b>85.7%</b>	<b>14.3%</b>	<b>100.0%</b>
<b>Statewide</b>	Count	28	25	53
	%	<b>52.8%</b>	<b>47.2%</b>	<b>100.0%</b>

<sup>5</sup> Measure excludes Probate, Interstate and Subsidy only cases.

The Area Offices that met or exceeded the measure were New Britain, Norwalk, and Torrington and Willimantic. At the combined regional level, Region VI achieved the standard. This is the second consecutive quarter Region VI has achieved Outcome Measure 15.

<b>Table 3: Outcome Measure 15 Regional Quarterly Performance Comparison</b>							
<i>Standard: 80%</i>							
	<b>Region I</b>	<b>Region II</b>	<b>Region III</b>	<b>Region IV</b>	<b>Region V</b>	<b>Region VI</b>	<b>Statewide</b>
<b>4<sup>th</sup> Quarter 2014</b>	50.0%	33.3%	70.0%	33.3%	55.6%	85.7%	52.8%
<b>3<sup>rd</sup> Quarter 2014</b>	85.7%	66.7%	60.0%	50.0%	55.6%	85.7%	64.8%
<b>2<sup>nd</sup> Quarter 2014</b>	85.7%	77.8%	80.0%	16.7%	44.4%	71.4%	59.3%
<b>1<sup>st</sup> Quarter 2014</b>	71.4%	55.6%	80.0%	25.0%	55.6%	71.4%	57.4%
<b>4<sup>th</sup> Quarter 2013</b>	28.6%	62.5%	60.0%	75.0%	33.3%	75.0%	57.4%
<b>3<sup>rd</sup> Quarter 2013</b>	57.1%	77.8%	90.0%	53.8%	66.7%	57.1%	67.3%
<b>2<sup>nd</sup> Quarter 2013</b>	85.7%	77.8%	80.0%	50.0%	100.0%	57.1%	74.1%
<b>1<sup>st</sup> Quarter 2013</b>	62.5%	77.8%	70.0%	41.7%	66.7%	71.4%	63.6%
<b>4<sup>th</sup> Quarter 2012</b>	71.4%	77.8%	50.0%	38.5%	50.0%	57.1%	55.6%
<b>3<sup>rd</sup> Quarter 2012</b>	33.3%	36.4%	60.0%	78.6%	27.3%	77.8%	53.6%
<b>2<sup>nd</sup> Quarter 2012</b>	71.4%	66.7%	70.0%	54.5%	77.8%	25.0%	61.1%

In the Fourth Quarter there were 10 overrides granted by the Court Monitor to achieve Needs Met status. The majority of these were granted as a result of additional documentation provided by the Area Office in response to reviewers' emails for additional information. Some cases in which the area office failed to clarify future planning but actions were already underway to signify progress toward those objectives/needs.

The full table of case summaries is provided by area office below. The overrides are designated by individual case OM15 scores in italics.

**Table 4: Case Summaries of Outcome Measure 15 Domain Performances by Individual Area Office, Region, Statewide**

			Risk: In-Home	Risk: Child In Placement	Permanency: Securing the Permanent Placement - Action Plan for the Next Six Months	Permanency: DCF Case Mgmt. - Legal Action to Achieve the Permanency Goal During the Prior Six Months	Permanency: DCF Case Mgmt. - Recruitment for Placement Providers to Achieve the Permanency Goal during the Prior Six Months	Permanency: DCF Case Mgmt. - Contracting or Providing Services to Achieve the Permanency Goal during the Prior Six Months	Well-Being: Medical Needs	Well-Being: Dental Needs	Well-Being: Mental Health, Behavioral and Substance Abuse Services	Well-Being: Child's Current Placement	Well-Being: Education	Overall Score for Outcome Measure 15	
<b>Region I</b>	<b>Bridgeport</b>	1	N/A to Case	Very Good	Very Good	Optimal	Very Good	Marginal	Very Good	Optimal	Very Good	Very Good	Optimal	<i>Needs Met</i>	
		2	Marginal	N/A to Case	N/A to Case	Very Good	N/A to Case	Marginal	Poor	Poor	Marginal	N/A to Case	Marginal	Needs Not Met	
		3	Very Good	N/A to Case	Marginal	N/A to Case	Marginal	Marginal	Marginal	Marginal	Marginal	N/A to Case	Marginal	Poor	Needs Not Met
		4	N/A to Case	Very Good	Very Good	Optimal	Marginal	Very Good	Very Good	Very Good	Very Good	Marginal	Very Good	Optimal	Needs Not Met
		<b>AO %</b>	<b>50.0%</b>	<b>100.0%</b>	<b>66.7%</b>	<b>100.0%</b>	<b>33.3%</b>	<b>25.0%</b>	<b>50.0%</b>	<b>50.0%</b>	<b>33.3%</b>	<b>66.7%</b>	<b>50.0%</b>	<b>25.0%</b>	
	<b>Norwalk</b>	1	N/A to Case	Very Good	Optimal	Very Good	Optimal	Marginal	Optimal	Optimal	Optimal	Very Good	Very Good	Very Good	<i>Needs Met</i>
		2	Very Good	N/A to Case	N/A to Case	Very Good	N/A to Case	Very Good	Very Good	Optimal	Optimal	Very Good	N/A to Case	Very Good	Needs Met
		<b>AO %</b>	<b>100.0%</b>	<b>100.0%</b>	<b>100.0%</b>	<b>100.0%</b>	<b>100.0%</b>	<b>50.0%</b>	<b>100.0%</b>	<b>100.0%</b>	<b>100.0%</b>	<b>100.0%</b>	<b>100.0%</b>	<b>100.0%</b>	
	<b>Region I</b>	<b>%</b>	<b>66.7%</b>	<b>100.0%</b>	<b>75.0%</b>	<b>100.0%</b>	<b>50.0%</b>	<b>33.3%</b>	<b>66.7%</b>	<b>66.7%</b>	<b>60.0%</b>	<b>75.0%</b>	<b>66.7%</b>	<b>50.0%</b>	



				Risk: In-Home	Risk: Child In Placement	Permanency: Securing the Permanent Placement - Action Plan for the Next Six Months	Permanency: DCF Case Mgmt. - Legal Action to Achieve the Permanency Goal During the Prior Six Months	Permanency: DCF Case Mgmt. - Recruitment for Placement Providers to Achieve the Permanency Goal during the Prior Six Months	Permanency: DCF Case Mgmt. - Contracting or Providing Services to Achieve the Permanency Goal during the Prior Six Months	Well-Being: Medical Needs	Well-Being: Dental Needs	Well-Being: Mental Health, Behavioral and Substance Abuse Services	Well-Being: Child's Current Placement	Well-Being: Education	Overall Score for Outcome Measure 15
<b>Region II</b>	<b>Milford</b>	1	N/A to Case	Optimal	Very Good	Optimal	Optimal	Very Good	Very Good	Very Good	Optimal	Optimal	Optimal	Needs Met	
		2	N/A to Case	Very Good	N/A to Case	Marginal	N/A to Case	Marginal	Marginal	Marginal	Marginal	Marginal	N/A to Case	Very Good	Needs Not Met
		3	Marginal	N/A to Case	N/A to Case	Very Good	N/A to Case	Marginal	Marginal	Very Good	Marginal	Marginal	N/A to Case	Very Good	Needs Not Met
		4	Very Good	N/A to Case	N/A to Case	Optimal	N/A to Case	Optimal	Very Good	Very Good	Very Good	Very Good	N/A to Case	Optimal	Needs Met
		<b>AO %</b>	<b>50.0%</b>	<b>100.0%</b>	<b>100.0%</b>	<b>75.0%</b>	<b>100.0%</b>	<b>50.0%</b>	<b>50.0%</b>	<b>75.0%</b>	<b>50.0%</b>	<b>100.0%</b>	<b>100.0%</b>	<b>50.0%</b>	
	<b>New Haven</b>	1	Very Good	N/A to Case	N/A to Case	Optimal	N/A to Case	Very Good	Very Good	Optimal	Very Good	N/A to Case	N/A to Case	Needs Met	
		2	Marginal	N/A to Case	N/A to Case	Very Good	N/A to Case	Marginal	Very Good	Marginal	Very Good	N/A to Case	Very Good	Needs Not Met	
		3	N/A to Case	Very Good	Very Good	Optimal	Marginal	Marginal	Very Good	Very Good	Very Good	Very Good	Marginal	Needs Not Met	
		4	Very Good	N/A to Case	N/A to Case	Very Good	N/A to Case	Marginal	Very Good	Very Good	Marginal	N/A to Case	Marginal	Needs Not Met	
		5	N/A to Case	Very Good	Very Good	Very Good	Marginal	Marginal	Marginal	Very Good	Very Good	Very Good	Very Good	Needs Not Met	
		<b>AO %</b>	<b>66.7%</b>	<b>100.0%</b>	<b>100.0%</b>	<b>100.0%</b>	<b>0.0%</b>	<b>20.0%</b>	<b>20.0%</b>	<b>80.0%</b>	<b>80.0%</b>	<b>100.0%</b>	<b>50.0%</b>	<b>20.0%</b>	
	<b>Region II</b>	<b>%</b>	<b>60.0%</b>	<b>100.0%</b>	<b>100.0%</b>	<b>88.9%</b>	<b>33.3%</b>	<b>33.3%</b>	<b>66.7%</b>	<b>77.8%</b>	<b>66.7%</b>	<b>100.0%</b>	<b>75.0%</b>	<b>33.3%</b>	

				Risk: In-Home	Risk: Child In Placement	Permanency: Securing the Permanent Placement - Action Plan for the Next Six Months	Permanency: DCF Case Mgmt. - Legal Action to Achieve the Permanency Goal During the Prior Six Months	Permanency: DCF Case Mgmt. - Recruitment for Placement Providers to Achieve the Permanency Goal during the Prior Six Months	Permanency: DCF Case Mgmt. - Contracting or Providing Services to Achieve the Permanency Goal during the Prior Six Months	Well-Being: Medical Needs	Well-Being: Dental Needs	Well-Being: Mental Health, Behavioral and Substance Abuse Services	Well-Being: Child's Current Placement	Well-Being: Education	Overall Score for Outcome Measure 15
<b>Region III</b>	<b>Norwich</b>	1	Very Good	N/A to Case	N/A to Case	Very Good	N/A to Case	Marginal	Marginal	Marginal	Marginal	N/A to Case	Marginal	Needs Not Met	
		2	Very Good	N/A to Case	N/A to Case	Very Good	N/A to Case	Very Good	Optimal	Optimal	Very Good	N/A to Case	Very Good	Needs Met	
		3	N/A to Case	Optimal	Optimal	Optimal	Optimal	Very Good	Very Good	Optimal	Very Good	Optimal	Optimal	Needs Met	
		4	N/A to Case	Very Good	Very Good	Optimal	Optimal	Very Good	Very Good	Optimal	Very Good	Very Good	Very Good	Needs Met	
		5	Marginal	N/A to Case	N/A to Case	Marginal	N/A to Case	Poor	Marginal	Very Good	Marginal	N/A to Case	Marginal	Needs Not Met	
		<b>AO %</b>	<b>66.7%</b>	<b>100.0%</b>	<b>100.0%</b>	<b>80.0%</b>	<b>100.0%</b>	<b>60.0%</b>	<b>60.0%</b>	<b>80.0%</b>	<b>60.0%</b>	<b>100.0%</b>	<b>60.0%</b>	<b>60.0%</b>	
	<b>Willimantic</b>	1	N/A to Case	Very Good	Optimal	Optimal	Very Good	Marginal	Very Good	Optimal	Optimal	Optimal	Optimal	Very Good	<i>Needs Met</i>
		2	Very Good	N/A to Case	N/A to Case	Optimal	N/A to Case	Very Good	Very Good	Very Good	Marginal	N/A to Case	Optimal	<i>Needs Met</i>	
		3	N/A to Case	Optimal	Very Good	Optimal	Very Good	Very Good	Optimal	Optimal	Very Good	Very Good	Very Good	Needs Met	
		<b>AO %</b>	<b>100.0%</b>	<b>100.0%</b>	<b>100.0%</b>	<b>100.0%</b>	<b>100.0%</b>	<b>66.7%</b>	<b>100.0%</b>	<b>100.0%</b>	<b>66.7%</b>	<b>100.0%</b>	<b>100.0%</b>	<b>100.0%</b>	
	<b>Middletown</b>	1	N/A to Case	Very Good	Optimal	Very Good	Optimal	Marginal	Optimal	Optimal	Very Good	Optimal	Optimal	<i>Needs Met</i>	
		2	Very Good	N/A to Case	N/A to Case	Very Good	N/A to Case	Marginal	Very Good	Optimal	Very Good	N/A to Case	Very Good	Needs Not Met	
		<b>AO %</b>	<b>100.0%</b>	<b>100.0%</b>	<b>100.0%</b>	<b>100.0%</b>	<b>100.0%</b>	<b>0.0%</b>	<b>100.0%</b>	<b>100.0%</b>	<b>100.0%</b>	<b>100.0%</b>	<b>100.0%</b>	<b>50.0%</b>	
	<b>Region III</b>	<b>%</b>	<b>80.0%</b>	<b>100.0%</b>	<b>100.0%</b>	<b>90.0%</b>	<b>100.0%</b>	<b>50.0%</b>	<b>80.0%</b>	<b>90.0%</b>	<b>70.0%</b>	<b>100.0%</b>	<b>80.0%</b>	<b>70.0%</b>	

				Risk: In-Home	Risk: Child In Placement	Permanency: Securing the Permanent Placement - Action Plan for the Next Six Months	Permanency: DCF Case Mgmt. - Legal Action to Achieve the Permanency Goal During the Prior Six Months	Permanency: DCF Case Mgmt. - Recruitment for Placement Providers to Achieve the Permanency Goal during the Prior Six Months	Permanency: DCF Case Mgmt. - Contracting or Providing Services to Achieve the Permanency Goal during the Prior Six Months	Well-Being: Medical Needs	Well-Being: Dental Needs	Well-Being: Mental Health, Behavioral and Substance Abuse Services	Well-Being: Child's Current Placement	Well-Being: Education	Overall Score for Outcome Measure 15
<b>Region IV</b>	<b>Hartford</b>	1	Marginal	N/A to Case	N/A to Case	Very Good	N/A to Case	Poor	Marginal	Marginal	Marginal	N/A to Case	N/A to Case	Needs Not Met	
		2	Very Good	N/A to Case	N/A to Case	Optimal	N/A to Case	Marginal	Marginal	Marginal	Marginal	N/A to Case	Marginal	Needs Not Met	
		3	Marginal	N/A to Case	N/A to Case	Optimal	N/A to Case	Marginal	Very Good	Very Good	Very Good	N/A to Case	Very Good	Needs Not Met	
		4	N/A to Case	Very Good	Very Good	Optimal	Very Good	Marginal	Very Good	Optimal	Very Good	Very Good	Very Good	Needs Met	
		5	Very Good	N/A to Case	N/A to Case	Optimal	N/A to Case	Very Good	Marginal	Very Good	Very Good	N/A to Case	Marginal	Needs Met	
		6	N/A to Case	Very Good	Very Good	Optimal	Very Good	Marginal	Very Good	Very Good	Marginal	Very Good	Marginal	Needs Not Met	
		7	N/A to Case	Very Good	Marginal	Very Good	Very Good	Marginal	Optimal	Optimal	Very Good	Very Good	Very Good	Needs Not Met	
		8	N/A to Case	Marginal	Very Good	Very Good	Marginal	Poor	Marginal	Very Good	Marginal	Very Good	Very Good	Needs Not Met	
		<b>AO %</b>	<b>50.0%</b>	<b>75.0%</b>	<b>75.0%</b>	<b>100.0%</b>	<b>75.0%</b>	<b>12.5%</b>	<b>50.0%</b>	<b>75.0%</b>	<b>50.0%</b>	<b>100.0%</b>	<b>50.0%</b>	<b>25.0%</b>	
	<b>Manchester</b>	1	N/A to Case	Optimal	Very Good	Optimal	Optimal	Optimal	Optimal	Optimal	Optimal	Optimal	N/A to Case	Needs Met	
		2	Very Good	N/A to Case	N/A to Case	Very Good	N/A to Case	Very Good	Very Good	Very Good	Very Good	N/A to Case	Very Good	Needs Met	
		3	Optimal	N/A to Case	N/A to Case	Marginal	N/A to Case	Marginal	Very Good	Very Good	Marginal	N/A to Case	Very Good	Needs Not Met	
		4	Very Good	N/A to Case	N/A to Case	Optimal	N/A to Case	Marginal	Very Good	Very Good	Marginal	N/A to Case	Very Good	Needs Not Met	
		<b>Total</b>	<b>100.0%</b>	<b>100.0%</b>	<b>100.0%</b>	<b>75.0%</b>	<b>100.0%</b>	<b>50.0%</b>	<b>100.0%</b>	<b>100.0%</b>	<b>50.0%</b>	<b>100.0%</b>	<b>100.0%</b>	<b>50.0%</b>	
	<b>Region IV</b>	<b>%</b>	<b>71.4%</b>	<b>80.0%</b>	<b>80.0%</b>	<b>91.7%</b>	<b>80.0%</b>	<b>25.0%</b>	<b>66.7%</b>	<b>83.3%</b>	<b>50.0%</b>	<b>100.0%</b>	<b>70.0%</b>	<b>33.3%</b>	

			Risk: In-Home	Risk: Child In Placement	Permanency: Securing the Permanent Placement - Action Plan for the Next Six Months	Permanency: DCF Case Mgmt. - Legal Action to Achieve the Permanency Goal During the Prior Six Months	Permanency: DCF Case Mgmt. - Recruitment for Placement Providers to Achieve the Permanency Goal during the Prior Six Months	Permanency: DCF Case Mgmt. - Contracting or Providing Services to Achieve the Permanency Goal during the Prior Six Months	Well-Being: Medical Needs	Well-Being: Dental Needs	Well-Being: Mental Health, Behavioral and Substance Abuse Services	Well-Being: Child's Current Placement	Well-Being: Education	Overall Score for Outcome Measure 15
<b>Region V</b>	<b>Waterbury</b>	1	Very Good	N/A to Case	N/A to Case	Very Good	N/A to Case	Very Good	Very Good	Very Good	Very Good	N/A to Case	Very Good	Needs Met
		2	Very Good	N/A to Case	N/A to Case	Very Good	N/A to Case	Marginal	Very Good	Very Good	Marginal	N/A to Case	Very Good	Needs Not Met
		3	N/A to Case	Very Good	Optimal	Optimal	Optimal	Marginal	Marginal	Very Good	Marginal	Very Good	Very Good	Needs Not Met
		4	N/A to Case	Very Good	Optimal	Optimal	Optimal	Very Good	Very Good	Optimal	Very Good	Optimal	Very Good	Needs Met
		5	Marginal	N/A to Case	N/A to Case	Marginal	N/A to Case	Very Good	Marginal	Very Good	Marginal	N/A to Case	Marginal	Needs Not Met
		<b>AO %</b>	<b>66.7%</b>	<b>100.0%</b>	<b>100.0%</b>	<b>80.0%</b>	<b>100.0%</b>	<b>60.0%</b>	<b>60.0%</b>	<b>100.0%</b>	<b>40.0%</b>	<b>100.0%</b>	<b>80.0%</b>	<b>40.0%</b>
	<b>Torrington</b>	1	Very Good	N/A to Case	N/A to Case	Optimal	N/A to Case	Very Good	Optimal	Optimal	Very Good	N/A to Case	Optimal	Needs Met
		2	N/A to Case	Optimal	Very Good	Optimal	Very Good	Very Good	Optimal	Optimal	Very Good	Optimal	Optimal	Needs Met
		<b>AO %</b>	<b>100.0%</b>	<b>100.0%</b>	<b>100.0%</b>	<b>100.0%</b>	<b>100.0%</b>	<b>100.0%</b>	<b>100.0%</b>	<b>100.0%</b>	<b>100.0%</b>	<b>100.0%</b>	<b>100.0%</b>	<b>100.0%</b>
	<b>Danbury</b>	1	Very Good	N/A to Case	N/A to Case	Optimal	N/A to Case	Marginal	Very Good	Very Good	Very Good	N/A to Case	Optimal	Needs Not Met
		2	N/A to Case	Very Good	Very Good	Marginal	Very Good	Marginal	Very Good	Very Good	Very Good	Optimal	Very Good	<i>Needs Met</i>
		<b>AO %</b>	<b>100.0%</b>	<b>100.0%</b>	<b>100.0%</b>	<b>50.0%</b>	<b>100.0%</b>	<b>0.0%</b>	<b>100.0%</b>	<b>100.0%</b>	<b>100.0%</b>	<b>100.0%</b>	<b>100.0%</b>	<b>50.0%</b>
	<b>Region V</b>	<b>%</b>	<b>80.0%</b>	<b>100.0%</b>	<b>100.0%</b>	<b>77.8%</b>	<b>100.0%</b>	<b>55.6%</b>	<b>77.8%</b>	<b>100.0%</b>	<b>66.7%</b>	<b>100.0%</b>	<b>88.9%</b>	<b>55.6%</b>

				Risk: In-Home	Risk: Child In Placement	Permanency: Securing the Permanent Placement - Action Plan for the Next Six Months	Permanency: DCF Case Mgmt. - Legal Action to Achieve the Permanency Goal During the Prior Six Months	Permanency: DCF Case Mgmt. - Recruitment for Placement Providers to Achieve the Permanency Goal during the Prior Six Months	Permanency: DCF Case Mgmt. - Contracting or Providing Services to Achieve the Permanency Goal during the Prior Six Months	Well-Being: Medical Needs	Well-Being: Dental Needs	Well-Being: Mental Health, Behavioral and Substance Abuse Services	Well-Being: Child's Current Placement	Well-Being: Education	Overall Score for Outcome Measure 15
<b>Region VI</b>	<b>Meriden</b>	1	Very Good	N/A to Case	N/A to Case	Optimal	N/A to Case	Very Good	Very Good	Very Good	Very Good	N/A to Case	Very Good	Needs Met	
		2	N/A to Case	Very Good	Very Good	Very Good	Marginal	Marginal	Marginal	Optimal	Very Good	Very Good	Very Good	Needs Not Met	
		AO %	<b>100.0%</b>	<b>100.0%</b>	<b>100.0%</b>	<b>100.0%</b>	<b>0.0%</b>	<b>50.0%</b>	<b>50.0%</b>	<b>100.0%</b>	<b>100.0%</b>	<b>100.0%</b>	<b>100.0%</b>	<b>100.0%</b>	<b>50.0%</b>
	<b>New Britain</b>	1	Very Good	N/A to Case	N/A to Case	Optimal	N/A to Case	Very Good	Very Good	Very Good	Very Good	Marginal	N/A to Case	Very Good	<i>Needs Met</i>
		2	N/A to Case	Optimal	Very Good	Optimal	Optimal	Very Good	Optimal	Very Good	Very Good	Optimal	Optimal	Very Good	Needs Met
		3	N/A to Case	Very Good	Very Good	Very Good	Very Good	Very Good	Very Good	Optimal	Very Good	Very Good	Very Good	Very Good	Needs Met
		4	Very Good	N/A to Case	N/A to Case	Optimal	N/A to Case	Very Good	Very Good	Very Good	Very Good	Marginal	N/A to Case	Very Good	<i>Needs Met</i>
		5	Very Good	Very Good	N/A to Case	Very Good	N/A to Case	Optimal	Very Good	Very Good	Very Good	Very Good	N/A to Case	Very Good	Needs Met
		AO%	<b>100.0%</b>	<b>100.0%</b>	<b>100.0%</b>	<b>100.0%</b>	<b>100.0%</b>	<b>100.0%</b>	<b>100.0%</b>	<b>100.0%</b>	<b>100.0%</b>	<b>60.0%</b>	<b>100.0%</b>	<b>100.0%</b>	<b>100.0%</b>
	<b>Region VI</b>	%	<b>100.0%</b>	<b>100.0%</b>	<b>100.0%</b>	<b>100.0%</b>	<b>66.7%</b>	<b>85.7%</b>	<b>85.7%</b>	<b>100.0%</b>	<b>71.4%</b>	<b>100.0%</b>	<b>100.0%</b>	<b>85.7%</b>	
<b>Statewide %</b>		<b>75.9%</b>	<b>96.0%</b>	<b>91.7%</b>	<b>90.4%</b>	<b>75.0%</b>	<b>45.3%</b>	<b>73.6%</b>	<b>86.8%</b>	<b>63.5%</b>	<b>95.8%</b>	<b>80.0%</b>	<b>52.8%</b>		

There were 239 unmet needs service needs captured this quarter throughout our reviews of the sample (n=53) during the prior six month period. Additionally there were 12 instances in which reviewers felt that the case management was marginal or poor due to the lack of assessment or untimely referrals. In these instances, the reviewers identified the DCF case management as the service need. As with prior quarters, the largest reported barrier was due to client refusal, with 40.2% of the unmet needs documented as client refusal. 13.8% of the unmet needs were the result of a lack of referral, while an additional 9.2% had delayed referrals. 7.1% of the unmet needs were the result of wait lists and internal provider issues.

It is important to note that discussions with Social Workers and Social Work Supervisors apart from this process indicate that some percentage of the categories “lack of referral” and “delayed referral” are due to the staff having knowledge of certain service providers not having a service readily available. Thus the number of cases with unmet need due to wait lists and provider issues are likely higher than the 7.1% rate indicated, but is being assumed under the DCF barrier grouping due to a lack of documentation, and would be more in line with what is reported in discussions with stakeholders and providers. This is a deficit of our methodology, which does not include an in-depth interview to establish these cases. The unmet needs for the Fourth Quarter included:

**Table 5: Unmet Needs during Fourth Quarter 2014 (n=53)**

Unmet Need	Barrier	Frequency
Adoption Recruitment	Service Deferred Pending Completion of Another	1
Anger Management: Parent	Client Refused Service	1
ARG Consult	Delay in Referral by DCF	4
ARG Consult	No Referral Made by DCF during the PUR	4
ARG Consult	No Service identified to meet this Need	2
ARG Consult	UTD from Case Plan or Narrative	1
Childcare/Daycare Program	No Referral Made by DCF during the PUR	1
DCF Case Management/Advocacy Support	Lack of timely assessment, delays in referrals during the PUR	(12)
Dental or Orthodontic Services	UTD from Case Plan or Narrative	1
Dental or Orthodontic Services	Client Refused Service	2
Dental or Orthodontic Services	Delay in Referral by DCF	1
Dental Screenings or Evaluations	Client Refused Service	6
Dental Screenings or Evaluations	UTD from Case Plan or Narrative	1
Dental Screenings or Evaluations	DCF Failure to Assess Need during the PUR	1
Dental Screenings or Evaluations	Other: Parent delay in making Appointment	1
Dental Screenings or Evaluations	Other: Mother refused to provide ROI to obtain confirmation of service	1
Developmental Screening or Evaluation	Client Refused Service	7
Developmental Screening or Evaluation	Delay in Referral by DCF	2
Developmental Screening or Evaluation	No Referral Made by DCF during the PUR	1
Developmental Screening or Evaluation	DCF Failure to Assess Need during the PUR	1
Developmental Screening or Evaluation	Other: Father delayed appointment	1
Developmental Screening or Evaluation	Other: GA medical provider delayed receipt of records	1
Developmental Screening or Evaluation	Insurance Issues	1
Developmental Screening or Evaluation	UTD from Case Plan or Narrative	2
Developmental Screening or Evaluation	Provider Issue: Untimely provision of service, or gap in service related to staffing or lack of follow through on part of provider	1

<b>Unmet Need</b>	<b>Barrier</b>	<b>0</b>
Domestic Violence Services :Victim	Delay in Referral by DCF	1
Domestic Violence Services: Victim	Client Refused Service	5
Domestic Violence Services: Victim	No Referral Made by DCF during the PUR	6
Domestic Violence Services: Victim	Service Deferred Pending Completion of Another	1
Domestic Violence Services: Victim	Placed on Wait List	1
Domestic Violence Services: Victim	UTD from Case Plan or Narrative	1
Domestic Violence Services: Perpetrator	Client Refused Service	1
Domestic Violence Services: Perpetrator	No Referral Made by DCF during the PUR	8
Domestic Violence Services: Perpetrator	Other: Services will need to be secured by court/probation	1
Educational Screening or Evaluation	Provider Issue: Untimely provision of service, or gap in service related to staffing or lack of follow through on part of provider	2
Educational Screening or Evaluation	Delay in Referral by DCF	1
Educational Screening or Evaluation	No Referral Made by DCF during the PUR	1
Educational Screening or Evaluation	Client Refused Service	1
Family or Marital Counseling	Client Refused Service	3
Family or Marital Counseling	No Referral Made by DCF during the PUR	1
Family or Marital Counseling	Service Deferred Pending Completion of Another	1
Family Preservation Services	Client Refused Services	1
Family Preservation Services	Delay in Referral by DCF	2
Family Preservation Services	No Referral Made by DCF during PUR	1
Flex Funds	No Referral Made by DCF during the PUR	1
Group Counseling – Parent	Client Refused Service	3
Head Start	Placed on Wait List	1
Housing Assistance (Section 8)	Delay in Referral by DCF	1
Housing Assistance (Section 8)	Client Refused Service	1
Housing Assistance (Section 8)	Other: Mother not eligible due to criteria	1
Housing Assistance (Section 8)	Wait List	2
IEP Programming	Provider Issue: Untimely provision of service, or gap in service related to staffing or lack of follow through on part of provider	1
Individual Counseling: Parent	Client Refused Service	17
Individual Counseling: Parent	No Referral Made by DCF during the PUR	1
Individual Counseling: Parent	Service Deferred Pending Completion of Another	2
Individual Counseling: Child	Client Refused Service	6
Individual Counseling: Child	Delay in Referral by DCF	1
Individual Counseling: Child	Provider Issue: Untimely provision of service, or gap in service related to staffing or lack of follow through on part of provider	1
In-Home Parent Education and Support	Client Refused Services	3
In-Home Parent Education and Support	Delay in Referral by DCF	1
In-Home Parent Education and Support	No Service Identified to Meet this Need	1
Maintaining Family Ties	Delay in Referral by DCF	1
Medication Management: Child	DCF Failure to Assess Need during the PUR	1
Medication Management: Parent	Client Refused Service	1
Mental Health Screening or Evaluation: Child	Delay in Referral by DCF	1
Mental Health Screening or Evaluation: Parent	Client Refused Service	3
Mental Health Screening or Evaluation: Parent	Delay in Referral by DCF	1
Mental Health Screening or Evaluation: Parent	Transportation Unavailable	1
Mentoring	No Referral Made by DCF during the PUR	3
Mentoring	No Service Identified to Meet this Need	1
Mentoring	Provider Issue: Untimely provision of service, or gap in service related to staffing or lack of follow through on part of provider	1
Neuropsychological Evaluation – Parent	Wait List	1

Unmet Need	Barrier	Frequency
Other Medical Intervention – Eye /Glasses (Vision)	Client Refused Service	1
Other Medical Intervention – Eye Examination/Glasses (Vision)	No Referral Made by DCF during the PUR	1
Other OOH Services: Legal Assistance to Achieve STOG	UTD from Case Plan or Narratives	1
Other State Agency (DMR, DMHAS, MSS, etc.)	Client Refused Services	1
Other State Agency (DMR, DMHAS, MSS, etc.)	Delay in Referral by DCF	1
Other State Agency (DMR, DMHAS, MSS, etc.)	No Service Identified to Meet this Need	1
Other State Agency (DMR, DMHAS, MSS, etc.)	Provider Issue: Untimely provision of service, or gap in service related to staffing or lack of follow through on part of provider	1
Parenting Classes	No Referral Made by DCF during the PUR	1
Parenting Classes	Client Refused Service	2
Parenting Classes	Service Deferred Pending Completion of Another	1
Parenting Classes	Other: Referral pending Court order	1
Psychiatric Evaluation: Child	Provider Issue: Untimely provision of service, or gap in service related to staffing or lack of follow through on part of provider	1
Psychological/Psychosocial Evaluation: Child	No Service Identified to Meet this Need	1
Psychological/Psychosocial Evaluation: Parent	Other: Father requires multiple services but ordered via court. Awaiting court order.	1
Relative Foster Care	No Referral Made by DCF during the PUR	1
Relative Foster Care	No Service Identified to Meet this Need	1
Respite Services	Provider Issue: Untimely provision of service, or gap in service related to staffing or lack of follow through on part of provider	1
Social Recreational Programming	UTD from Case Plan or Narratives	1
Substance Abuse Services: Drug/Alcohol Education: Parent	Client Refused Services	2
Substance Abuse Services: Drug/Alcohol Testing - Parent	Client Refused Services	5
Substance Abuse Services: Drug/Alcohol Testing - Parent	Delay in Referral by DCF	1
Substance Abuse Services: Drug/Alcohol Testing - Parent	Transportation Unavailable	1
Substance Abuse Services: Drug/Alcohol Testing - Parent	Provider Issue: Untimely provision of service, or gap in service related to staffing or lack of follow through on part of provider	1
Substance Abuse Screening/Evaluation: Child	Delay in Referral by DCF	1
Substance Abuse Screening/Evaluation: Child	DCF Failure to Assess Need during the PUR	1
Substance Abuse Screening: Parent	Client Refused Service	8
Substance Abuse Screening: Parent	Delay in Referral by DCF	1
Substance Abuse Screening: Parent	No Referral Made by DCF during PUR	1
Substance Abuse Screening: Parent	No Service Identified to Meet this Need	1
Substance Abuse Treatment: Inpatient Treatment - Parent	Client Refused Services	2
Substance Abuse Treatment: Outpatient - Parent	Client Refused Services	8
Substance Abuse Treatment: Outpatient - Parent	No Referral Made by DCF during the PUR	1
Substance Abuse Treatment: Outpatient - Parent	Insurance Issues	1
Substance Abuse Treatment: Outpatient - Child	Client Refused Services	1
Substance Abuse Treatment: Outpatient - Child	Delay in Referral by DCF	1
Therapeutic Foster Care	Approval Process	1
Supportive Housing for Recovering Families (SHRF)	Placed on Wait List	1
Transitional Living Program	Placed on Wait List	1



Unmet Need	Barrier	Frequency
Visitation: SW/Parent	Visitation Standard Not Met by DCF	14
Visitation: SW/Parent	Client Refused Services	5
Visitation: SW/Provider Contacts	Contact Standard Not Met by DCF	12
Visitation: SW/Provider Contacts	Provider Refused Contacts	2
Visitation: SW/Provider Contacts	Lack of Communication between DCF and Provider	4
		239

During the Fourth Quarter 2014 the level of engagement with families in case planning to achieve scores of Very Good or Optimal within our methodology as witnessed within the ACR documentation, case planning documentation and visitation documentation was 47.2%, down from third quarter 59.3%. (See Table 2 for details).

The reviewers noted that the ACR, case planning documentation and case plan did document a discussion of all (29.4%), or some (60.8%) of the needs that were identified of unmet in the prior six month period and were necessary to be incorporated into action steps going forward. There were 3 cases (5.9%) in which the reviewers indicated that there were no unmet needs carried forward from the prior period. There were two cases (3.9%) in which none of the needs and services were incorporated into the case plan action steps going forward. There were also 2 cases for which this was the initial case plan and these were not included in the percentage calculations as they were too soon to rate.

This process included a reading of the SDM tools within the review process. In the 30 cases in which the SDM tools were incorporated, 19 or 63.3% were identical to that indicated on the prior case plan assessment. This would indicate that the unmet objective or need has been in place for the child or individual greater than six months.

In 62.3% of this case sample, there were one or more instances where there was an identified need in the documentation or at the ACR or other meeting related to case planning, however that priority need did not get captured appropriately as an objective with defined action steps within the case plan approved by the SWS. There were 96 instances that reviewers pointed to specific needs that were of a level that should have been captured within the case planning and were not. Additionally there were two instances in which the case management were highlighted as a reason for the barrier to the case planning. The table is listed on the following page:

**Table 6: Needs Not Incorporated into the Case Plans Developed for Upcoming Six Month Period**

<b>Unmet Need</b>	<b>Barrier</b>	<b>Frequency</b>
Adoption Recruitment	No Service Identified to Meet this Need	2
ARG Consultation	No Service Identified to Meet this Need	7
<i>DCF SW Advocacy/Case Management</i>	<i>Other: 1) Lack of assessment of paramour and 2) permanency planning</i>	(2)
Dental or Orthodontic Services	No Service Identified to Meet this Need	3
Dental Screening or Evaluation	UTD from the Case Plan or Narrative	1
Dental Screening or Evaluation	No Service Identified to Meet this Need	7
Developmental Screening or Evaluation	No Service Identified to Meet this Need	1
Developmental Screening or Evaluation	Other: Delay in receipt of records from GA	1
Domestic Violence Services: Perpetrator	No Service Identified to Meet this Need	8
Domestic Violence Services: Victims	No Service Identified to Meet this Need	7
Educational Screening or Evaluation	Delay in Referral	1
Educational Screening or Evaluation	No Service Identified to Meet this Need	5
Family or Marital Counseling	No Service Identified to Meet this Need	1
Family Preservation Services	No Service Identified to Meet this Need	1
Family Reunification Services	No Service Identified to Meet this Need	1
Health or Medical Screening or Evaluation	Other: Delay in receipt of records from GA	1
Health or Medical Screening or Evaluation	No Service Identified to Meet this Need	9
Housing Assistance (Section 8)	No Service Identified to Meet this Need	3
IEP Programming	DCF Failed to properly assess child/family related to this need during the PUR	1
Individual Counseling: Child	No Service Identified to Meet this Need	2
Individual Counseling: Parent	Service Deferred Pending Completion of Another	1
In-Home Parent Education and Support	No Service Identified to Meet this Need	5
Maintaining Family Ties	No Service Identified to Meet this Need	1
Medication Management – Child	No Service Identified to Meet this Need	1
Medication Management – Parent	No Service Identified to Meet this Need	1
Mental Health Screening or Evaluation: Child	No Service Identified to Meet this Need	1
Mental Health Screening or Evaluation: Parent	No Service Identified to Meet this Need	2
Mentoring	No Referral Made by DCF during the PUR	1
Mentoring	No Service Identified to Meet this Need	1
Occupational Therapy	No Service Identified to Meet this Need	1
Other In-Home Services: Resource Management	No Service Identified to Meet this Need	1
Other Medical Intervention: Vision/Glasses	No Service Identified to Meet this Need	1
Other State Agency Program: DMR, DMHAS, MSS, etc.)	No Service Identified to Meet this Need	2
Outreach, Tracking and Reunification Programs	No Service Identified to Meet this Need	1
Parenting Classes	No Service Identified to Meet this Need	1
Physical Therapy	No Service Identified to Meet this Need	1
Psychiatric Evaluation – Parent	Client Refusal	
Psychological or Psychosocial Evaluation – Child	No Service Identified to Meet this Need	1
Relative Foster Care	No Service Identified to Meet this Need	3
Social Recreational Program	No Service Identified to Meet this Need	1
Substance Abuse Screening: Child	No Service Identified to Meet this Need	1
Substance Abuse Screening: Parent	No Service Identified to Meet this Need	3
Substance Abuse Treatment: Inpatient – Child	No Service Identified to Meet this Need	1
Substance Abuse Treatment: Outpatient – Parent	No Service Identified to Meet this Need	2
		96

We continue to stress the importance of clear and directive case plans, as elements of the assessment get lost or duplicated causing delays or disruptions to service. Not identifying areas of need when a service provider is not available is an areas that needs to be addressed. This is where we often see the initial impact in case planning regarding untimely Domestic Violence Services, Substance Abuse Treatment Services, Community Mental Health Services, and Foster Care Services that are reported in our reports, and in our discussions with stakeholders and providers.

## JUAN F. ACTION PLAN MONITORING REPORT

**February 2015**

This report includes data relevant to the permanency and placement issues and action steps embodied within the Action Plan. Data provided comes from the monthly point-in-time information from LINK and the Chapin Hall database.

### A. PERMANENCY ISSUES

#### Progress Towards Permanency:

The following table developed using the Chapin Hall database provides a longitudinal view of permanency for annual admission cohorts from 2002 through 2014.

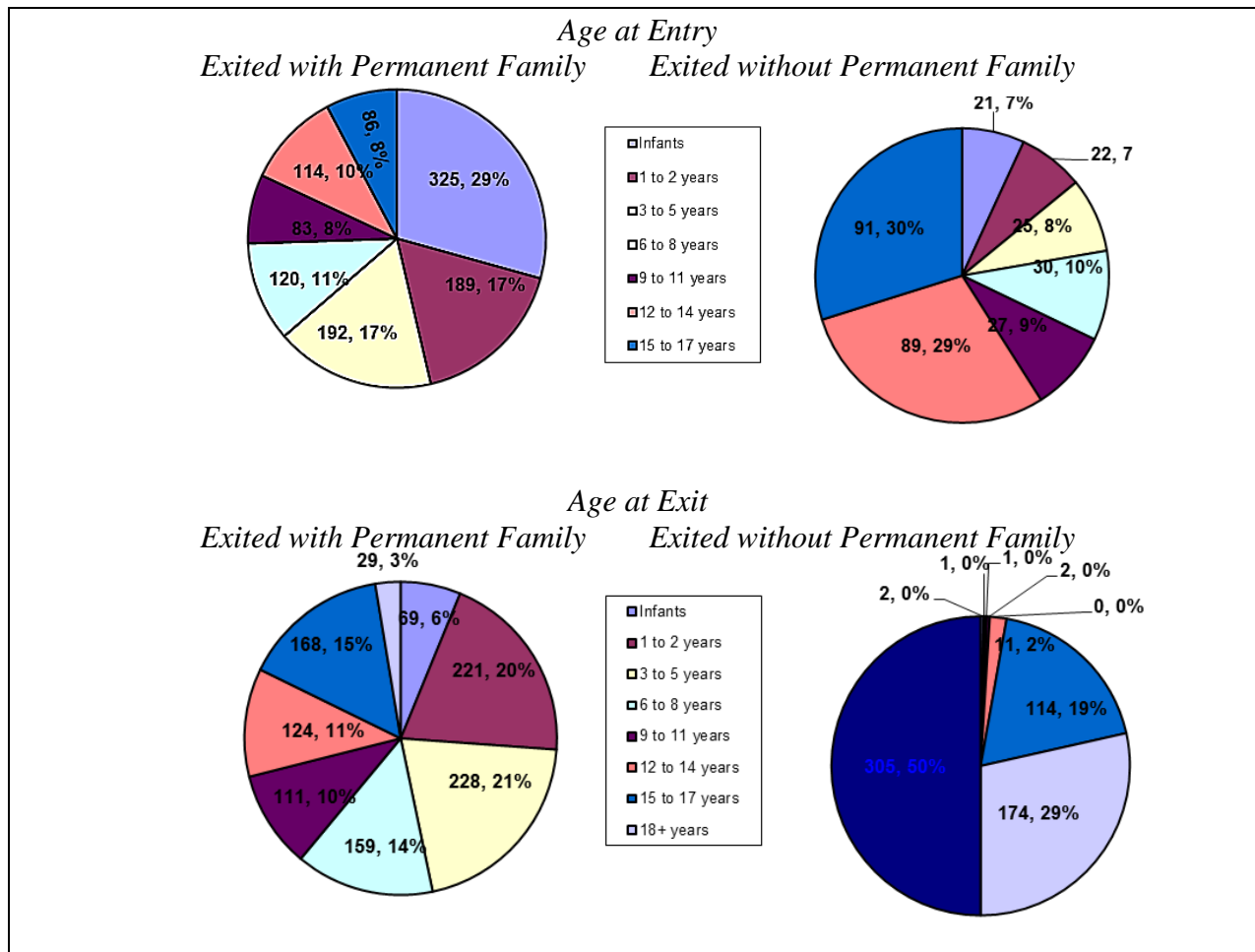
**Figure 1: Children Exiting With Permanency, Exiting Without Permanency, Unknown Exits and Remaining In Care (Entry Cohorts)**

	Period of Entry to Care												
	2002	2003	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014
<b>Total Entries</b>	3099	3546	3202	3091	3407	2854	2829	2628	2693	2299	1856	2006	1919
<b>Permanent Exits</b>													
<b>In 1 yr</b>	1179 38.0 %	1406 39.7 %	1228 38.4 %	1129 36.5 %	1263 37.1 %	1095 38.4 %	1098 38.8 %	1092 41.6 %	1023 38.0 %	705 30.7 %	545 29.4 %	493 24.6 %	
<b>In 2 yrs</b>	1637 52.8 %	2078 58.6 %	1805 56.4 %	1740 56.3 %	1973 57.9 %	1675 58.7 %	1676 59.2 %	1581 60.2 %	1375 51.1 %	1044 45.4 %	830 44.7 %		
<b>In 3 yrs</b>	1964 63.4 %	2385 67.3 %	2092 65.3 %	2013 65.1 %	2324 68.2 %	1974 69.2 %	1943 68.7 %	1791 68.2 %	1669 62.0 %	1234 53.7 %			
<b>In 4 yrs</b>	2134 68.9 %	2539 71.6 %	2262 70.6 %	2158 69.8 %	2499 73.3 %	2090 73.2 %	2033 71.9 %	1894 72.1 %	1764 65.5 %				
<b>To Date</b>	2305 74.4 %	2705 76.3 %	2367 73.9 %	2255 73.0 %	2616 76.8 %	2164 75.8 %	2109 74.5 %	1933 73.6 %	1784 66.2 %	1305 56.8 %	921 49.6 %	627 31.3 %	318 16.6 %
<b>Non-Permanent Exits</b>													
<b>In 1 yr</b>	274 8.8%	250 7.1%	231 7.2%	289 9.3%	259 7.6%	263 9.2%	250 8.8%	208 7.9%	196 7.3%	138 6.0%	93 5.0%	121 6.0%	
<b>In 2 yrs</b>	332 10.7 %	321 9.1%	301 9.4%	371 12.0 %	345 10.1 %	318 11.1 %	320 11.3 %	267 10.2 %	243 9.0%	186 8.1%	131 7.1%		
<b>In 3 yrs</b>	365 11.8 %	367 10.3 %	366 11.4 %	431 13.9 %	401 11.8 %	354 12.4 %	363 12.8 %	300 11.4 %	272 10.1 %	210 9.1%			
<b>In 4 yrs</b>	406 13.1 %	393 11.1 %	403 12.6 %	461 14.9 %	449 13.2 %	392 13.7 %	394 13.9 %	326 12.4 %	297 11.0 %				
<b>To Date</b>	511 16.5 %	493 13.9 %	506 15.8 %	564 18.2 %	525 15.4 %	442 15.5 %	439 15.5 %	353 13.4 %	308 11.4 %	232 10.1 %	152 8.2%	144 7.2%	58 3.0%

	Period of Entry to Care												
	2002	2003	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014
<b>Unknown Exits</b>													
<b><i>In 1 yr</i></b>	105 3.4%	150 4.2%	129 4.0%	83 2.7%	76 2.2%	62 2.2%	60 2.1%	76 2.9%	129 4.8%	208 9.0%	150 8.1%	147 7.3%	
<b><i>In 2 yrs</i></b>	136 4.4%	190 5.4%	171 5.3%	124 4.0%	117 3.4%	98 3.4%	91 3.2%	140 5.3%	307 11.4%	412 17.9%	293 15.8%		
<b><i>In 3 yrs</i></b>	161 5.2%	217 6.1%	208 6.5%	163 5.3%	140 4.1%	124 4.3%	125 4.4%	193 7.3%	395 14.7%	500 21.7%			
<b><i>In 4 yrs</i></b>	179 5.8%	241 6.8%	234 7.3%	181 5.9%	167 4.9%	156 5.5%	167 5.9%	221 8.4%	425 15.8%				
<b><i>To Date</i></b>	259 8.4%	324 9.1%	300 9.4%	233 7.5%	219 6.4%	199 7.0%	206 7.3%	247 9.4%	431 16.0%	511 22.2%	326 17.6%	239 11.9%	50 2.6%
<b>Remain In Care</b>													
<b><i>In 1 yr</i></b>	1541 49.7%	1740 49.1%	1614 50.4%	1590 51.4%	1809 53.1%	1434 50.2%	1421 50.2%	1252 47.6%	1345 49.9%	1248 54.3%	1068 57.5%	1245 62.1%	
<b><i>In 2 yrs</i></b>	994 32.1%	957 27.0%	925 28.9%	856 27.7%	972 28.5%	763 26.7%	742 26.2%	640 24.4%	768 28.5%	657 28.6%	602 32.4%		
<b><i>In 3 yrs</i></b>	609 19.7%	577 16.3%	536 16.7%	484 15.7%	542 15.9%	402 14.1%	398 14.1%	344 13.1%	357 13.3%	355 15.4%			
<b><i>In 4 yrs</i></b>	380 12.3%	373 10.5%	303 9.5%	291 9.4%	292 8.6%	216 7.6%	235 8.3%	187 7.1%	207 7.7%				
<b><i>To Date</i></b>	24 0.8%	24 0.7%	29 0.9%	39 1.3%	47 1.4%	49 1.7%	75 2.7%	95 3.6%	170 6.3%	251 10.9%	457 24.6%	996 49.7%	1493 77.8%

The following graphs show how the ages of children upon their entry to care, as well as at the time of exit, differ depending on the overall type of exit (permanent or non-permanent).

**FIGURE 2: CHARACTERISTICS OF CHILDREN EXITING WITH AND WITHOUT PERMANENCY (2014 EXIT COHORT)**



**Permanency Goals:**

The following chart illustrates and summarizes the number of children (which excludes youth ages 18 and older) at various stages of placement episodes, and provides the distribution of Permanency Goals selected for them.

**FIGURE 3: DISTRIBUTION OF PERMANENCY GOALS ON THE PATH TO PERMANENCY (CHILDREN IN CARE ON FEBRUARY 2, 2015<sup>6</sup>)**

<b>Is the child legally free (his or her parents' rights have been terminated)?</b>				
<b>Yes</b>	<b>No</b>			
<b>608</b>	↓ <b>2,674</b>			
<i>Goals of:</i>	<b>Has the child been in care more than 15 months?</b>			
512 (84%)	<b>No</b>	<b>Yes</b>		
Adoption	<b>1,572</b>	↓ <b>1,102</b>		
90 (15%)	<b>Has a TPR proceeding been filed?</b>			
APPLA	<b>Yes</b>	<b>No</b>		
3 (<1%)	<b>245</b>	↓ <b>857</b>		
Relatives	<i>Goals of:</i>			
3 (<1%)	177 (72%)	<b>Is a reason documented not to file TPR?</b>		
Transfer of Guardianship	Adoption	<b>Yes</b>	<b>No</b>	
	43 (18%)	<b>290</b>	<b>567</b>	
	APPLA	<i>Goals of:</i>	<i>Documented</i>	<i>Goals of:</i>
	17 (7%)	132 (46%)	<i>Reasons:</i>	171 (30%)
	Reunify	APPLA	68%	Reunify
	4 (2%)	57 (20%)	Compelling Reason	127 (22%)
	Trans. of Guardian:	Reunify	16%	APPLA
	Sub/Unsub	50 (17%)	Child is with relative	125 (22%)
	4 (2%)	Trans. of Guardian:	12%	Trans. of Guardian:
	Relatives	Sub/Unsub	Petition in process	Sub/Unsub
		40 (14%)	4%	116 (20%)
		Adoption	Services not provided	Adoption
		11 (4%)		23 (4%)
		Relatives		Relatives
				5 (1%)
				Blank

<sup>6</sup> Children over age 18 are not included in these figures.

**Preferred Permanency Goals:**

<b>Reunification</b>	<b>Nov 2013</b>	<b>Feb 2014</b>	<b>May 2014</b>	<b>Aug 2014</b>	<b>Nov 2014</b>	<b>Feb 2015</b>
Total number of children with Reunification goal, pre-TPR and post-TPR	1164	1219	1312	1257	1328	1322
Number of children with Reunification goal pre-TPR	1162	1217	1311	1257	1328	1322
<ul style="list-style-type: none"> <li>Number of children with Reunification goal, pre-TPR, &gt;= 15 months in care</li> </ul>	195	191	211	221	235	200
<ul style="list-style-type: none"> <li>Number of children with Reunification goal, pre-TPR, &gt;= 36 months in care</li> </ul>	41	38	37	38	43	45
Number of children with Reunification goal, post-TPR	2	2	1	0	0	0

<b>Transfer of Guardianship (Subsidized and Non-Subsidized)</b>	<b>Nov 2013</b>	<b>Feb 2014</b>	<b>May 2014</b>	<b>Aug 2014</b>	<b>Nov 2014</b>	<b>Feb 2015</b>
Total number of children with Transfer of Guardianship goal (subsidized and non-subsidized), pre-TPR and post TPR	238	257	261	269	294	304
Number of children with Transfer of Guardianship goal (subsidized and non-subsidized), pre-TPR	238	257	259	268	292	301
<ul style="list-style-type: none"> <li>Number of children with Transfer of Guardianship goal (subsidized and non-subsidized), pre-TPR, &gt;= 22 months</li> </ul>	64	82	78	86	86	90
<ul style="list-style-type: none"> <li>Number of children with Transfer of Guardianship goal (subsidized and non-subsidized), pre-TPR, &gt;= 36 months</li> </ul>	15	15	16	25	29	29
Number of children with Transfer of Guardianship goal (subsidized and non-subsidized), post-TPR	0	0	2	1	2	3



<b>Adoption</b>	<b>Nov 2013</b>	<b>Feb 2014</b>	<b>May 2014</b>	<b>Aug 2014</b>	<b>Nov 2014</b>	<b>Feb 2015</b>
Total number of children with Adoption goal, pre-TPR and post-TPR	947	955	977	988	1030	1030
Number of children with Adoption goal, pre-TPR	471	473	478	455	504	518
Number of children with Adoption goal, TPR not filed, >= 15 months in care	105	97	111	102	128	156
<ul style="list-style-type: none"> <li>Reason TPR not filed, Compelling Reason</li> </ul>	6	6	3	1	3	7
<ul style="list-style-type: none"> <li>Reason TPR not filed, petitions in progress</li> </ul>	27	28	31	29	27	26
<ul style="list-style-type: none"> <li>Reason TPR not filed , child is in placement with relative</li> </ul>	2	3	5	2	6	5
<ul style="list-style-type: none"> <li>Reason TPR not filed, services needed not provided</li> </ul>	5	3	4	3	3	2
<ul style="list-style-type: none"> <li>Reason TPR not filed, blank</li> </ul>	65	57	68	67	89	116
Number of cases with Adoption goal post-TPR	476	482	499	533	526	512
<ul style="list-style-type: none"> <li>Number of children with Adoption goal, post-TPR, in care &gt;= 15 months</li> </ul>	433	452	452	489	497	474
<ul style="list-style-type: none"> <li>Number of children with Adoption goal, post-TPR, in care &gt;= 22 months</li> </ul>	372	376	371	397	396	384
Number of children with Adoption goal, post-TPR, no barrier, > 3 months since TPR	8	16	13	13	13	13
Number of children with Adoption goal, post-TPR, with barrier, > 3 months since TPR	89	89	83	72	74	57
Number of children with Adoption goal, post-TPR, with blank barrier, > 3 months since TPR	275	284	279	333	344	245

<b>Progress Towards Permanency:</b>	<b>Nov 2013</b>	<b>Feb 2014</b>	<b>May 2014</b>	<b>Aug 2014</b>	<b>Nov 2014</b>	<b>Feb 2015</b>
Total number of children, pre-TPR, TPR not filed, >=15 months in care, no compelling reason	389	378	439	464	530	567

**Non-Preferred Permanency Goals:**

<b>Long Term Foster Care Relative:</b>	<b>Nov 2013</b>	<b>Feb 2014</b>	<b>May 2014</b>	<b>Aug 2014</b>	<b>Nov 2014</b>	<b>Feb 2015</b>
Total number of children with Long Term Foster Care Relative goal	53	58	56	52	52	50
Number of children with Long Term Foster Care Relative goal, pre-TPR	49	54	52	47	48	47
<ul style="list-style-type: none"> <li>Number of children with Long Term Foster Care Relative goal, 12 years old and under, pre-TPR</li> </ul>	5	5	4	2	1	1
Long Term Foster Care Rel. goal, post-TPR	4	4	4	5	4	3
<ul style="list-style-type: none"> <li>Number of children with Long Term Foster Care Relative goal, 12 years old and under, post-TPR</li> </ul>	1	0	0	0	0	0

<b>APPLA*</b>	<b>Nov 2013</b>	<b>Feb 2014</b>	<b>May 2014</b>	<b>Aug 2014</b>	<b>Nov 2014</b>	<b>Feb 2015</b>
Total number of children with APPLA goal	583	567	563	505	468	421
Number of children with APPLA goal, pre-TPR	458	448	451	400	370	331
<ul style="list-style-type: none"> <li>Number of children with APPLA goal, 12 years old and under, pre-TPR</li> </ul>	19	18	16	9	6	2
Number of children with APPLA goal, post-TPR	125	119	112	105	98	90
<ul style="list-style-type: none"> <li>Number of children with APPLA goal, 12 years old and under, post-TPR</li> </ul>	8	6	7	7	6	5

\* Columns prior to Aug 07 had previously been reported separately as APPLA: Foster Care Non-Relative and APPLA: Other. The values from each separate table were added to provide these figures. Currently there is only one APPLA goal.

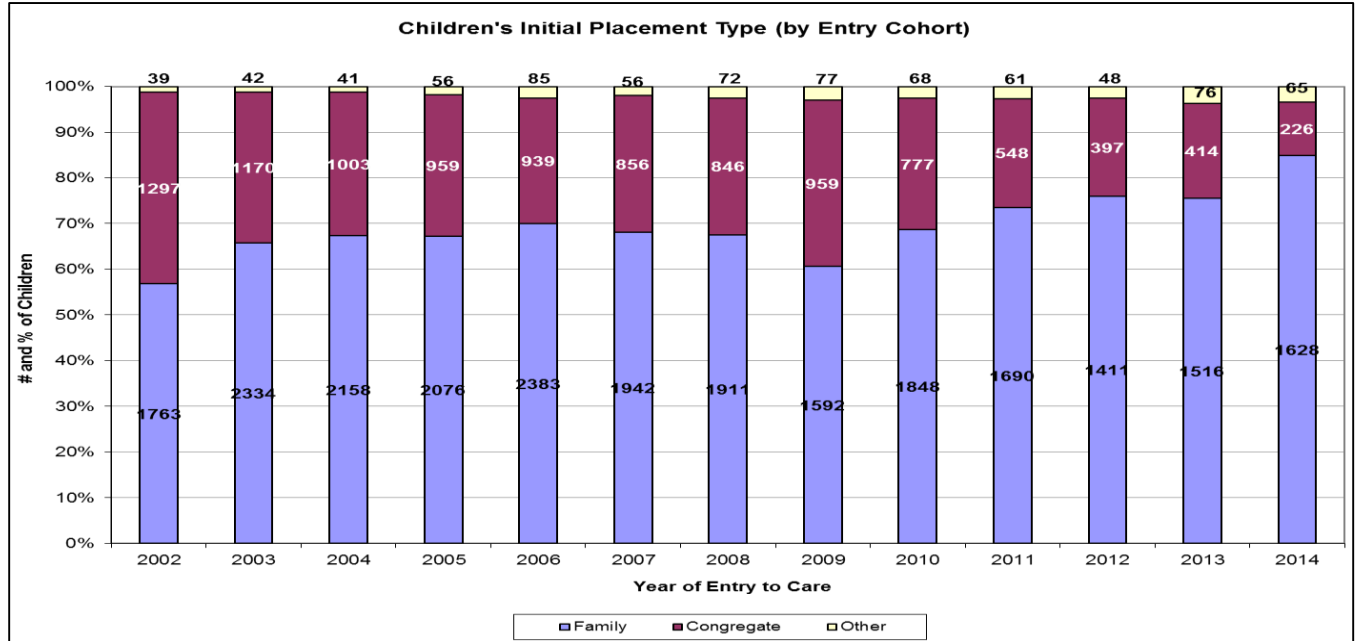
**Missing Permanency Goals:**

	<b>Nov 2013</b>	<b>Feb 2014</b>	<b>May 2014</b>	<b>Aug 2014</b>	<b>Nov 2014</b>	<b>Feb 2015</b>
Number of children, with no Permanency goal, pre-TPR, >= 2 months in care	19	24	24	102	25	19
Number of children, with no Permanency goal, pre-TPR, >= 6 months in care	9	11	14	18	17	10
Number of children, with no Permanency goal, pre-TPR, >= 15 months in care	5	7	6	6	10	5
Number of children, with no Permanency goal, pre-TPR, TPR not filed, >= 15 months in care, no compelling reason	5	5	4	4	5	5

## B. PLACEMENT ISSUES

### Placement Experiences of Children

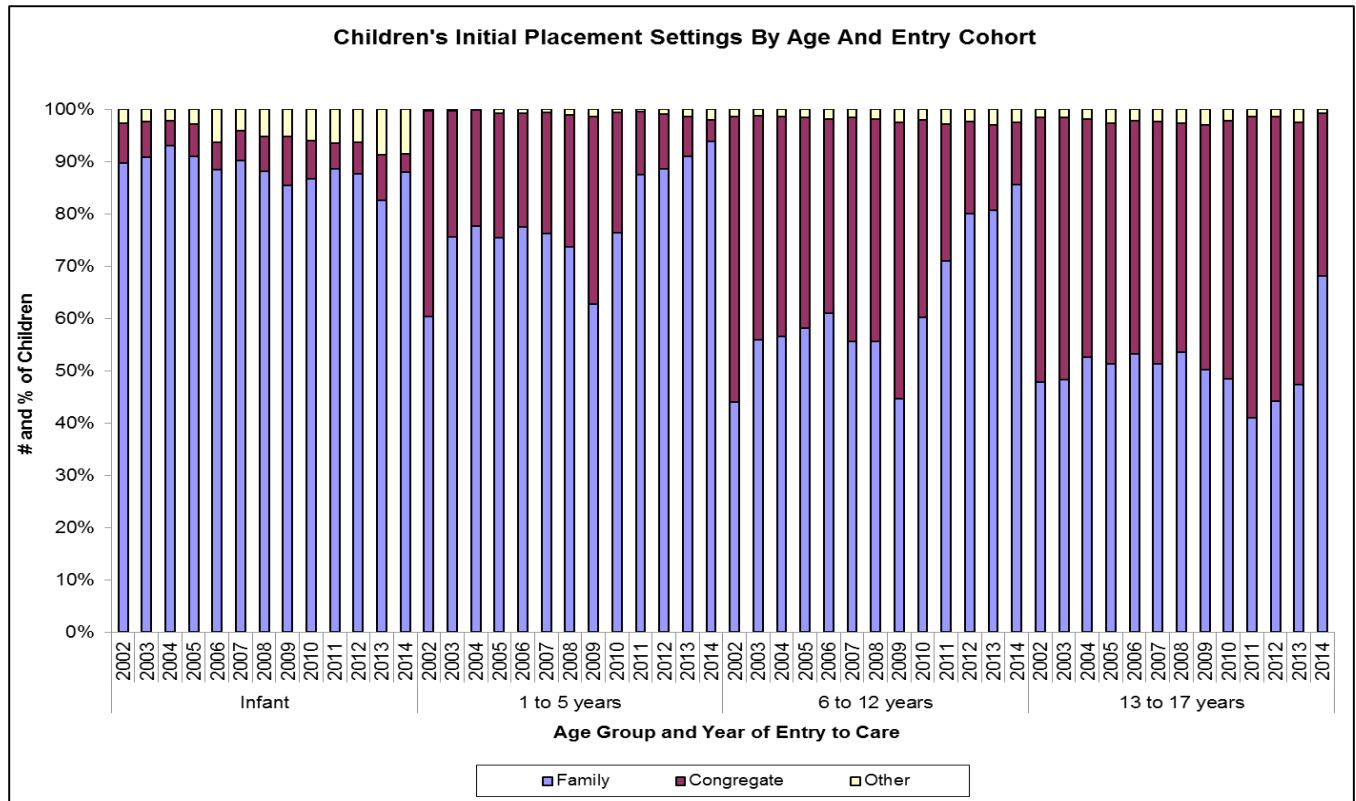
The following chart shows the change in use of family and congregate care for admission cohorts between 2002 and 2014.



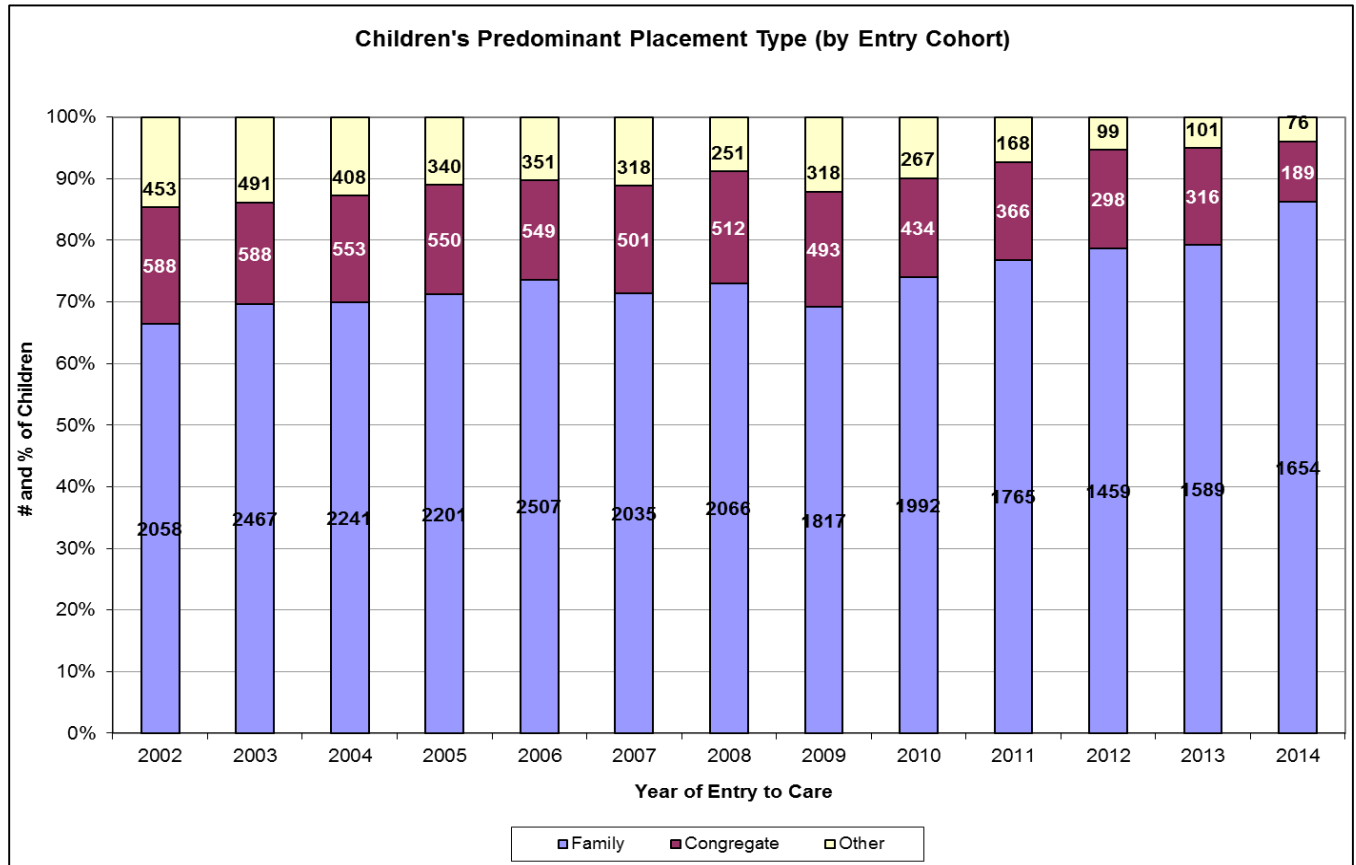
The next table shows specific care types used month-by-month for entries between January 2014 and December 2014.

		Case Summaries											
First placement type		enterJan 14	enterFeb 14	enterMar 14	enterApr 14	enterMay 14	enterJun 14	enterJul 14	enterAug 14	enterSep 14	enterOct 14	enterNov 14	enterDec 14
Residential	N	5	4	2	9		3	1	2	5	1	5	2
	%	2.9%	2.6%	1.0%	5.2%		1.9%	0.6%	1.1%	2.8%	0.7%	3.8%	1.6%
DCF Facilities	N	3	4	5	5	4	2	5	4	1	1	2	3
	%	1.8%	2.6%	2.5%	2.9%	3.1%	1.3%	2.8%	2.3%	0.6%	0.7%	1.5%	2.4%
Foster Care	N	71	53	93	90	62	66	88	90	81	63	62	45
	%	41.8%	35.1%	46.3%	52.3%	47.7%	42.9%	48.6%	51.7%	46.0%	41.4%	47.3%	36.6%
Group Home	N	2	1	6	2	2	1	3	3	2	2	2	1
	%	1.2%	0.7%	3.0%	1.2%	1.5%	0.6%	1.7%	1.7%	1.1%	1.3%	1.5%	0.8%
Relative Care	N	51	52	55	46	48	62	61	43	60	57	38	51
	%	30.0%	34.4%	27.4%	26.7%	36.9%	40.3%	33.7%	24.7%	34.1%	37.5%	29.0%	41.5%
Medical	N	7	7	10	2	2	7	3	5	4	3	10	5
	%	4.1%	4.6%	5.0%	1.2%	1.5%	4.5%	1.7%	2.9%	2.3%	2.0%	7.6%	4.1%
Safe Home	N	3	7	6	2	2	1	1	4			3	1
	%	1.8%	4.6%	3.0%	1.2%	1.5%	0.6%	0.6%	2.3%			2.3%	0.8%
Shelter	N	11	12	14	9	3	5	12	8	6	4	1	6
	%	6.5%	7.9%	7.0%	5.2%	2.3%	3.2%	6.6%	4.6%	3.4%	2.6%	0.8%	4.9%
Special Study	N	17	11	10	7	7	7	7	15	17	21	8	9
	%	10.0%	7.3%	5.0%	4.1%	5.4%	4.5%	3.9%	8.6%	9.7%	13.8%	6.1%	7.3%
Total	N	170	151	201	172	130	154	181	174	176	152	131	123
	%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%

The chart below shows the change in level of care usage over time for different age groups.



It is also useful to look at where children spend most of their time in DCF care. The chart below shows this for admission the 2002 through 2014 admission cohorts.



The following chart shows monthly statistics of children who exited from DCF placements between January 2014 and December 2014, and the portion of those exits within each placement type from which they exited.

		Case Summaries											
Last placement type in spell (as of censor date)		exitJan14	exitFeb14	exitMar14	exitApr14	exitMay14	exitJun14	exitJul14	exitAug14	exitSep14	exitOct14	exitNov14	exitDec14
Residential	N	7	7	5	1	8	8	11	4	2	1	3	4
	%	5.1%	5.1%	4.0%	0.7%	6.2%	5.5%	6.7%	2.2%	1.3%	0.8%	1.7%	3.2%
DCF Facilities	N	5	3		4	2	7	5	4	3	2	1	2
	%	3.6%	2.2%		2.8%	1.6%	4.8%	3.0%	2.2%	1.9%	1.5%	0.6%	1.6%
Foster Care	N	45	55	50	64	51	69	81	83	69	62	85	61
	%	32.8%	39.9%	40.0%	44.8%	39.5%	47.3%	49.1%	44.9%	43.4%	46.6%	48.3%	48.4%
Group Home	N	10	9	14	9	6	9	9	17	12	11	6	6
	%	7.3%	6.5%	11.2%	6.3%	4.7%	6.2%	5.5%	9.2%	7.5%	8.3%	3.4%	4.8%
Independent Living	N	7	4	5	8	3	2	2	1		2	4	2
	%	5.1%	2.9%	4.0%	5.6%	2.3%	1.4%	1.2%	0.5%		1.5%	2.3%	1.6%
Relative Care	N	46	42	29	39	42	33	37	49	53	48	58	42
	%	33.6%	30.4%	23.2%	27.3%	32.6%	22.6%	22.4%	26.5%	33.3%	36.1%	33.0%	33.3%
Medical	N		1	3	2	2	2		1			1	1
	%		0.7%	2.4%	1.4%	1.6%	1.4%		0.5%			0.6%	0.8%
Safe Home	N	1	1	3	4	4	1	2	3	1		1	1
	%	0.7%	0.7%	2.4%	2.8%	3.1%	0.7%	1.2%	1.6%	0.6%		0.6%	0.8%
Shelter	N	6	5	9	3	4	5	5	6	5	2	5	3
	%	4.4%	3.6%	7.2%	2.1%	3.1%	3.4%	3.0%	3.2%	3.1%	1.5%	2.8%	2.4%
Special Study	N	8	10	6	8	7	7	9	14	11	2	10	2
	%	5.8%	7.2%	4.8%	5.6%	5.4%	4.8%	5.5%	7.6%	6.9%	1.5%	5.7%	1.6%
Unknown	N	2	1	1	1		3	4	3	3	3	2	2
	%	1.5%	0.7%	0.8%	0.7%		2.1%	2.4%	1.6%	1.9%	2.3%	1.1%	1.6%
Total	N	137	138	125	143	129	146	165	185	159	133	176	126
	%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%

The next chart shows the primary placement type for children who were in care on September 1, 2014 organized by length of time in care.

Primary type of spell (>50%) * Duration Category Crosstabulation										
			Duration Category						Total	
			30	90	180	< 365	< 545	< 1095		1095
Primary type of spell (>50%)	Residential	Count	2	5	9	14	18	25	45	118
		% Row	1.7%	4.2%	7.6%	11.9%	15.3%	21.2%	38.1%	100.0%
		% Col	1.8%	1.9%	2.2%	1.9%	3.1%	2.8%	5.3%	3.1%
	DCF Facilities	Count	3	4	10	14	5	1	0	37
		% Row	8.1%	10.8%	27.0%	37.8%	13.5%	2.7%	0.0%	100.0%
		% Col	2.7%	1.6%	2.5%	1.9%	0.9%	0.1%	0.0%	1.0%
	Foster Care	Count	42	100	170	329	235	459	506	1841
		% Row	2.3%	5.4%	9.2%	17.9%	12.8%	24.9%	27.5%	100.0%
		% Col	37.8%	38.9%	41.8%	45.8%	40.9%	52.3%	59.4%	48.5%
	Group Home	Count	1	4	5	14	25	48	82	179
		% Row	0.6%	2.2%	2.8%	7.8%	14.0%	26.8%	45.8%	100.0%
		% Col	0.9%	1.6%	1.2%	1.9%	4.3%	5.5%	9.6%	4.7%
	Independent Living	Count	0	0	0	0	0	1	2	3
		% Row	0.0%	0.0%	0.0%	0.0%	0.0%	33.3%	66.7%	100.0%
		% Col	0.0%	0.0%	0.0%	0.0%	0.0%	0.1%	0.2%	0.1%
	Relative Care	Count	46	99	153	255	206	223	74	1056
		% Row	4.4%	9.4%	14.5%	24.1%	19.5%	21.1%	7.0%	100.0%
		% Col	41.4%	38.5%	37.6%	35.5%	35.8%	25.4%	8.7%	27.8%
	Medical	Count	1	5	3	4	1	3	3	20
		% Row	5.0%	25.0%	15.0%	20.0%	5.0%	15.0%	15.0%	100.0%
		% Col	0.9%	1.9%	0.7%	0.6%	0.2%	0.3%	0.4%	0.5%
	Mixed (none >50%)	Count	1	0	4	8	14	50	112	189
		% Row	0.5%	0.0%	2.1%	4.2%	7.4%	26.5%	59.3%	100.0%
		% Col	0.9%	0.0%	1.0%	1.1%	2.4%	5.7%	13.1%	5.0%
	Safe Home	Count	1	3	2	5	7	3	0	21
		% Row	4.8%	14.3%	9.5%	23.8%	33.3%	14.3%	0.0%	100.0%
		% Col	0.9%	1.2%	0.5%	0.7%	1.2%	0.3%	0.0%	0.6%
Shelter	Count	4	4	13	12	10	1	0	44	
	% Row	9.1%	9.1%	29.5%	27.3%	22.7%	2.3%	0.0%	100.0%	
	% Col	3.6%	1.6%	3.2%	1.7%	1.7%	0.1%	0.0%	1.2%	
Special Study	Count	7	29	35	54	51	61	26	263	
	% Row	2.7%	11.0%	13.3%	20.5%	19.4%	23.2%	9.9%	100.0%	
	% Col	6.3%	11.3%	8.6%	7.5%	8.9%	6.9%	3.1%	6.9%	
Unknown	Count	3	4	3	9	3	3	2	27	
	% Row	11.1%	14.8%	11.1%	33.3%	11.1%	11.1%	7.4%	100.0%	
	% Col	2.7%	1.6%	0.7%	1.3%	0.5%	0.3%	0.2%	0.7%	
Total	Count	111	257	407	718	575	878	852	3798	
	% Row	2.9%	6.8%	10.7%	18.9%	15.1%	23.1%	22.4%	100.0%	
	% Col	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	

**Congregate Care Settings**

<b>Placement Issues</b>	<b>Nov 2013</b>	<b>Feb 2014</b>	<b>May 2014</b>	<b>Aug 2014</b>	<b>Nov 2014</b>	<b>Feb 2015</b>
Total number of children 12 years old and under, in Congregate Care	47	42	34	30	19	22
• Number of children 12 years old and under, in DCF Facilities	1	1	0	1	0	1
• Number of children 12 years old and under, in Group Homes	12	10	9	7	6	8
• Number of children 12 years old and under, in Residential	11	11	13	8	5	7
• Number of children 12 years old and under, in SAFE Home	21	17	11	14	8	6
• Number of children 12 years old and under in Shelter	2	3	1	0	0	0
Total number of children ages 13-17 in Congregate Placements	442	434	431	380	328	313

**Use of SAFE Homes, Shelters and PDCs**

The analysis below provides longitudinal data for children (which may include youth ages 18 and older) who entered care in Safe Homes, Permanency Diagnostic Centers and Shelters.

	<b>Period of Entry to Care</b>											
	<b>2003</b>	<b>2004</b>	<b>2005</b>	<b>2006</b>	<b>2007</b>	<b>2008</b>	<b>2009</b>	<b>2010</b>	<b>2011</b>	<b>2012</b>	<b>2013</b>	<b>2014</b>
<b>Total Entries</b>	3545	3203	3091	3407	2854	2829	2628	2693	2298	1857	2005	1526
<b>SAFE Homes/PDCs</b>	629 18%	453 14%	394 13%	395 12%	382 13%	335 12%	471 18%	331 12%	146 6%	68 4%	56 3%	26 2%
<b>Shelters</b>	135 4%	147 5%	178 6%	114 3%	136 5%	144 5%	186 7%	175 6%	194 8%	169 9%	175 9%	81 5%
<b>Total</b>	764 22%	600 19%	572 19%	509 15%	518 18%	479 17%	657 25%	506 19%	340 15%	237 13%	231 12%	107 7%



	Period of Entry to Care											
	2003	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014
<b>Total Initial Plcmnts</b>	764	600	572	509	518	479	657	506	340	237	231	107
<= 30 days	308 40.3 %	249 41.5 %	241 42.1 %	186 36.5 %	162 31.3 %	150 31.3 %	229 34.9 %	135 26.7 %	103 30.3 %	60 25.3 %	63 27.3 %	31 29.0 %
31 - 60	180 23.6 %	102 17.0 %	114 19.9 %	73 14.3 %	73 14.1 %	102 21.3 %	110 16.7 %	106 20.9 %	57 16.8 %	44 18.6 %	41 17.7 %	22 20.6 %
61 - 91	121 15.8 %	81 13.5 %	76 13.3 %	87 17.1 %	79 15.3 %	85 17.7 %	157 23.9 %	91 18.0 %	54 15.9 %	39 16.5 %	38 16.5 %	22 20.6 %
92 - 183	107 14.0 %	124 20.7 %	100 17.5 %	118 23.2 %	131 25.3 %	110 23.0 %	124 18.9 %	136 26.9 %	84 24.7 %	56 23.6 %	57 24.7 %	25 23.4 %
184+	48 6.3%	44 7.3%	41 7.2%	45 8.8%	73 14.1 %	32 6.7%	37 5.6%	38 7.5%	42 12.4 %	38 16.0 %	32 13.9 %	7 6.5%

The following is the point-in-time data taken from the monthly LINK data, and may include those youth ages 18 and older.

<b>Placement Issues</b>	<b>Aug 2013</b>	<b>Nov 2013</b>	<b>Feb 2014</b>	<b>May 2014</b>	<b>Aug 2014</b>	<b>Nov 2014</b>	<b>Feb 2015</b>
Total number of children in SAFE Home	35	33	34	28	22	16	13
• Number of children in SAFE Home, > 60 days	24	22	23	20	17	16	12
• Number of children in SAFE Home, >= 6 months	12	8	10	10	12	8	9
Total number of children in STAR/Shelter Placement	75	73	70	59	49	43	30
• Number of children in STAR/Shelter Placement, > 60 days	35	46	40	30	27	30	16
• Number of children in STAR/Shelter Placement, >= 6 months	8	5	7	11	7	12	8
Total number of children in MH Shelter	1	1	1	1	1	1	4
• Total number of children in MH Shelter, > 60 days	1	1	1	1	0	0	2
• Total number of children in MH Shelter, >= 6 months	1	1	1	1	0	0	0

### Time in Residential Care

<b>Placement Issues</b>	<b>Aug 2013</b>	<b>Nov 2013</b>	<b>Feb 2014</b>	<b>May 2014</b>	<b>Aug 2014</b>	<b>Nov 2014</b>	<b>Feb 2015</b>
Total number of children in Residential care	173	147	157	147	116	103	114
<ul style="list-style-type: none"><li>Number of children in Residential care, &gt;= 12 months in Residential placement</li></ul>	51	42	47	40	38	35	26
<ul style="list-style-type: none"><li>Number of children in Residential care, &gt;= 60 months in Residential placement</li></ul>	2	2	2	2	1	1	0

**Appendix 1**  
**Commissioner's Highlights from**  
**The Department of Children & Families**  
**Fourth Quarter 2014 Exit Plan Report**

## **Commissioner Statement** **Juan F. 2014 Fourth Quarter Report**

The issuance of this *Juan F.* report comes in the midst of a General Assembly session during which fiscal issues present themselves as particularly challenging. While fortunately the state's economy is enjoying a recovery, the State budget faces deficits that are requiring continued belt tightening.

It is well documented that the Department has accomplished a great deal – including reductions in children in placement and in children placed in institutional settings – while actually paring back its spending. Whereas the Department spent \$808 million in State Fiscal Year (SFY) 2011, spending dropped to \$772 million in SFY2014. Projected spending this year is expected to still remain below the SFY2011 level. Much of this efficiency has been enabled by our successes. The reduction in the use of congregate care has meant that we cut that category of spending by \$75 million.

Fortunately, with the support of Governor Malloy and the Legislature, a sizable part of that reduction – 79 cents of every dollar – has been re-invested in community based services. That is essential as the children who were formerly in group settings still must have their behavioral health needs met. Nearly \$60 million more is being spent on community based care compared to SFY11. As we continue to reduce the number of children in group care, that migration of resources into community services is essential.

Another critical investment has been in staffing. Last year, the Governor and Legislature supported resources that enabled us to add more than 100 social workers, case aides and supervisors. That has enabled us to adjust caseloads to accommodate the fact that reforms -- such as Differential Response – have led us to focus our work on the most complex families. The need to adjust caseloads to accommodate this shift was dramatic, and the infusion of staff has enabled us to maintain average caseloads overall at 77 percent of the maximum levels. This is a necessity to do quality work with our most complex families.

Clearly, significant challenges lay before us. We have demonstrated, however, that we are moving down the right path with strengths-based, family-centered efforts to implement the Strengthening Families Practice Model, Differential Response, Child and Family Team Meetings and other major improvements. Comparing data from January 2011 to March 2015, the progress has been substantial:

- There are 768 fewer children in care -- a decrease of 16.1 percent;
- The percentage of children in care who live with a relative or someone else they know grew from 21% in January 2011 to 35.3%;
- The percentage of children in care who live in congregate (group) care dropped from 29.8% in January 2011 to 15.6% -- a reduction of 800 children or 56%; and
- There are 350 fewer children in out of state care -- a decrease of 96.7 percent. The number of children out of state stands at 12 as of March 1, 2015 compared to 362 when the administration began.

Despite the great challenges inherent in this work with vulnerable children and families and the additional challenges related to resources at this particular time, our staff has done a remarkable job pushing the agency forward to improve our work and the lives of those we serve. I want to thank our staff for their incredible commitment, determination and skill at working together with the families, providers and stakeholders who are our partners in this effort.

**Appendix 2**  
**Outcome Measure 10 Pre-Certification Review:**  
**Sibling Placement**



**SIBLING PLACEMENT  
EPOM # 10  
1Q 2012 - 2Q 2014  
Reasons Why Cases Have Not Met the Measure**



**December 2014**

**CT Department of Children and Families (DCF)  
Office for Research and Evaluation (ORE)**



**SIBLING PLACEMENT  
EPOM # 10  
1Q 2012 - 2Q 2014**

**Clinical and Medical Reasons**

Differences exist between what "clinical reason" means as documented in the electronic case record to explicate the rationale for casework practice and for the purposes of the Exit Outcome. Staff cite the following as "clinical reasons" which are usually located in the Link Placement Request (Link Form 469).

Siblings are separated due to/because:

- "Clinical Reasons" without any other information regarding that reason.
- One or more siblings are placed in a therapeutic foster home, therapeutic placement or medically complex home.

According to the Exit Measure, a "Clinical Reason" is "any reason that can be justified as in the best interest of the child. Clinical reasons include such things but are not limited to situations where siblings are placed with multiple relatives, one (1) sibling requires hospitalization and others do not, one (1) sibling requires detention, or where siblings were abused by another sibling, etc. Children with legal status of Statutory Parent (TPR'd) are excluded from this measure."

For the purposes of the Exit Plan review, the clinical reason exception is granted when documentation is present that supports any of the following conditions...

1. Relative/Special Study: At least one of the siblings is placed with a relative or in a special study home.
2. Restrictive Care: At least one of the siblings is placed in one of the following:
  - Residential Facility
  - CJTS
  - Group Home
  - Shelter or Safe Home for behavioral or mental health reasons
  - Hospital

Note: Therapeutic or Medically Complex Foster Homes can accommodate siblings on a case-by-case basis, so are not necessarily considered restrictive.

3. Safety/Behavioral: This is where siblings' behaviors pose a safety risk to another sibling. Examples include but are not limited to siblings that have been physically assaultive towards another sibling and/or siblings that have a history of sexual abuse or sexualized behaviors towards another sibling.
4. Other Best Interest: This is used when the reason does not fit any of the other categories but there is clear documentation that the Department has assessed the situation and made a determination that it is in the best interest of the child. For example, if there is a

sibling who is stable in a home and does not want to be placed or have contact with another sibling.

### **Other Reasons for Sibling Placed Separately**

A non-clinical reason that would not meet the measure is one that cannot be justified as in the best interest of the child. Besides clinical and medical reasons, four other reasons are cited or found for siblings being placed separately but do not meet the measure.

### Sibling Size

Staff often cite the size of the sibling group as the reason why siblings are not placed together. The statement usually found in the 469 is, "The siblings are not placed together because there weren't any resources that could take all of the siblings." Important points about sibling size are as follows:

Sibling sets in the Juan F. review population (children with a legal status of "OTC", "committed" or "commitment-dual") range from two to six siblings.

- If one of the exceptions were sibling sets of three, that would increase the "Met" percentages approximately three to four percent each quarter, resulting in the measure being met at an average of 97.4%.
- If one of the exceptions were sibling sets of four or more, the Department would have:
  - Met the measure during two (3Q 2013 and 1Q 2014) out of the ten quarters analyzed
  - "Met" percentages ranging from 93.4% to 94.9% for the remaining eight quarters